Improving Mood with Physical ACTivity (IMPACT) trial: a cluster randomised controlled trial to determine the effectiveness of a brief physical activity behaviour change intervention on depressive symptoms in young people, compared with psychoeducation, in addition to routine clinical care within youth mental health services—a protocol study

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ABSTRACT

Introduction Depression is highly prevalent and the leading contributor to the burden of disease in young people worldwide, making it an ongoing priority for early intervention. As the current evidence-based interventions of medication and psychological therapy are only modestly effective, there is an urgent need for additional treatment strategies. This paper describes the rationale of the Improving Mood with Physical ACTivity (IMPACT) trial. The primary aim of the IMPACT trial is to determine the effectiveness of a physical activity intervention compared with psychoeducation, in addition to routine clinical care, on depressive symptoms in young people. Additional aims are to evaluate the intervention effects on anxiety and functional outcomes and examine whether changes in physical activity mediate improvements in depressive symptoms.

Methods and analysis The study is being conducted in six youth mental health services across Australia and is using a parallel-group, two-arm, cluster randomised controlled trial design, with randomisation occurring at the clinician level. Participants aged between 12 years and 25 years with moderate to severe levels of depression are randomised to receive, in addition to routine clinical care, either: (1) a physical activity behaviour change intervention or (2) psychoeducation about physical activity. The primary outcome will be change in the Quick Inventory of Depressive Symptomatology, with assessments occurring at baseline, postintervention (end-point) and 6-month follow-up from end-point. Secondary outcome measures will address additional clinical outcomes, functioning and quality of life. IMPACT is to be conducted between May 2014 and December 2019.

Ethics and dissemination Ethical approval was obtained by the youth mental health services organisation (headspace National Youth Mental Health Foundation). Trial registration number ACTRN1261400072640.

BACKGROUND

Depression is highly prevalent and is the leading cause of disability in young people...
worldwide. \(^2\) Associated adverse consequences include impairments in academic attainment and achievement, \(^3\) unemployment or underemployment, \(^4\) and increased risk of self-harm and suicide. \(^5\) Effective treatments have the potential to improve the health and functioning of young people and prevent the entrenchment of problems with relationships, education and health. \(^6\) The current international evidence-based clinical practice guideline for treating depression in children and young people recommends cognitive–behavioural therapy (CBT) as the first-line treatment for moderate to severe depression, with or without the antidepressant medication, fluoxetine. \(^6\) Recent evidence from meta-analyses of randomised controlled trials (RCTs) have shown the effect sizes of both CBT and antidepressant medication are smaller than previously reported. \(^7\)–\(^9\) This suggests that many young people either fail to respond or do not show a clinically significant change even after receiving the best available guideline-recommended treatment delivered in controlled trials. \(^7\)–\(^9\)

With such modest effects of first-line treatments, there is a need for additional therapeutic strategies. One such strategy with an emerging evidence base is exercise or physical activity as an augmentation or adjunct treatment. \(^11\) Large cross-sectional and cohort studies show that less physically active young people are currently more likely to be depressed and are at greater risk of developing future depression. \(^12\)–\(^13\) While insufficient physical activity places all young people at greater risk of poor physical health, including higher rates of cardiovascular disease, diabetes and premature death, \(^14\)–\(^15\) young people with depression are far more likely to be physically inactive than the general population, placing them at higher risk of long-term poorer physical health. \(^16\)–\(^17\) In addition to mental and physical health benefits, physical activity is a low-stigma intervention that has few side effects. \(^18\)–\(^19\)

Both of these factors are important to help-seeking young people. \(^20\)

There is strong evidence from RCTs and meta-analyses that physical activity is an effective intervention for depression in adults (standard mean difference (SMD)=1.135, \(p<0.001\)) \(^21\) and reduces the risk of suicidal ideation. \(^22\) Although not as robust as the evidence base for adults, \(^21\) physical activity interventions for young people with depression show similar findings. \(^23\)–\(^25\) A recent meta-analysis, conducted by members of our investigator team, of RCTs in young people aged 12–25 years established that physical activity is effective in reducing depression symptoms. \(^26\) Data from 771 participants across 16 trials showed a large effect of supervised physical activity interventions on depression symptoms compared with controls in subthreshold samples (SMD=−0.82, \(p<0.01\)). The effect remained robust in five trials of young people with a diagnosis of depression (SMD=−0.72, \(p<0.01\)). However, clinical applications are hindered by the majority of studies being of low quality, lack of longer term follow-up and inadequate consideration of real-world implementation factors. \(^26\)

Our group developed an intervention and evaluated it in the first RCT examining the potential benefits of low-intensity, simple psychological and unsupervised physical activity interventions for young people (\(n=176\)) with high prevalence mental health problems, \(^29\) implemented within community-based youth mental health services. Conducted in two such services in the western region of Melbourne, Australia, the trial used a factorial design to compare the effects of a psychological intervention (problem solving therapy vs supportive counselling) and a physical activity intervention (behavioural change vs psychoeducation) delivered in up six sessions (an average of 4.3 sessions were completed). Importantly, we recruited men and women, making this the first physical activity study to be conducted with young men with depression or anxiety symptoms. The physical activity intervention focused on addressing barriers to engaging in physical activity and creating individualised activity plans. Postintervention data showed the main effect of the physical activity intervention was significant when compared with the control condition (psychoeducation), resulting in a clinically meaningful reduction in depression symptoms with a medium effect size (\(d=0.41; (95\% \text{ CI 0.07 to 0.76})\)), measured by the Beck Depression Inventory. \(^27\)

Those in the physical activity intervention group reported the greatest improvement regardless of the type of psychological intervention received. \(^25\) However, it is important to note that the physical activity intervention was delivered in conjunction with manualised psychological therapies by research therapists rather than as part of routine clinical care.

**Study rationale**

Current first-line treatments for depression in young people are, at best, modestly effective. Augmentation with an additional intervention such as physical activity is a strong candidate to improve response to treatment. The results from our earlier study indicate that physical activity in combination with psychological treatment is effective in reducing depression symptoms in young people. \(^25\) However, as clinicians do not routinely include physical activity interventions in standard care, \(^28\) the opportunity to improve depression and functional outcomes and prevent poor physical health for young people with depression may be missed. \(^13\)–\(^26\) This lack of integration likely occurs because clinicians are not aware of the evidence, are unsure how to implement physical activity interventions or lack the time and resources. \(^29\)–\(^29\) By providing training and clinical resources, the objective of the current study is to determine the effectiveness of a physical activity behaviour change intervention when used as an adjunct to routine clinical care, delivered in real-world mental health contexts.

**METHODS AND ANALYSIS**

**Study aims**

The aims of this study are to test the effectiveness of a physical activity behaviour change intervention, compared
with psychoeducation about physical activity, in addition to routine clinical care in improving depressive symptoms (primary outcome) in young help-seekers with depression. Secondary outcomes include the effect of the intervention on anxiety symptoms and functioning and to examine whether changes in physical activity mediate improvements in depressive symptoms.

Study design
The study will use a parallel group, two-arm, cluster randomised controlled trial (C-RCT) design to test the effect of the physical activity intervention compared with psychoeducation, in addition to routine clinical care, with randomisation occurring at the clinician level (see figure 1). Randomising clinicians will reduce the contamination associated with clinicians concurrently managing intervention and control participants, as would occur in a standard patient randomised trial. Assessment time points will be at baseline, intervention end-point (primary outcome) and 6 months (follow-up). The trial has well-defined objectives and protocols addressing Good Clinical Practice (GCP) and Standard Protocol Items: Recommendations for Interventional Trials guidelines. Patients or the public were not involved in the design, or conduct, or reporting or dissemination of our research.

Figure 1 Flow chart of clinician and participant recruitment and study timeline.

Setting headspace centres are accessible, community-based mental health services that support young people aged 12–25 years, funded by the Australian federal government. Services are delivered in a youth-friendly environment staffed by a range of service providers, including general practitioners, psychologists, psychiatrists, youth workers, mental health nurses and other allied health professionals. headspace centres assess approximately 850 new patients per year, 40% presenting with depression. A subset of approximately 6 of the more than 100 headspace centres will be involved in this study.

Participant and recruitment procedures
Clinicians
All allied health professionals, including psychologists, social workers and occupational therapists (‘clinicians’), who provide mental health treatments to young people in the participating headspace centres will be invited to participate in the study and will be randomised to deliver either the intervention group (physical activity behaviour change) or the control group (psychoeducation), in addition to routine clinical care. Clinicians in predominantly intake and assessment roles will not be included.

Participants
All help-seeking young people aged 12–25 years who present to participating headspace centres will be screened for eligibility. We aim to recruit a total of 960 participants. Informed consent will be obtained from all participants; those aged between 15 years and 18 years will be assessed for mature minor capacity during the intake assessment procedure at entry to the service and, if they meet this threshold, will be able to make the decision whether or not to consent to participate. Those aged 12–14 years can provide assent to participate but will also require parental/legal guardian consent. After obtaining informed consent, participants will be screened by research assistants who will: (A) assess the eligibility of the young person and (B) collect outcome data (interview and self-report) at baseline, post-treatment and 6-month follow-up assessments.

Patient and public involvement
No patient involved.

Inclusion, exclusion and discontinuation criteria
Inclusion criteria
(1) Meeting the requirements for a Mental Health Treatment Plan to access up to 10 sessions of psychological treatment under the Medicare Benefits Scheme Better Access programme per annum; and (2) a Quick Inventory of Depressive Symptomatology-Adolescent (17 item) - Clinician Rated (QIDS-A17-C) score of 11 or greater, indicating depression levels of moderate or above.

Exclusion criteria
(1) Presence of a psychotic disorder or eating disorder during the intake assessment on first presentation to the headspace centre; (2) current physical activity meeting...
under 18 years, 60 min of moderate to vigorous activity every day; for those over 18 years, 30 min of moderate activity at least five times per week)\textsuperscript{39, 40}; (3) physical illness that contraindicates participation in physical activity; (4) organic mental disorder; and (5) intellectual disability/ cognitive impairment that precludes providing informed consent, as assessed by the headspace intake and access team members and the study’s research assistants and referred for review to the study site’s principal investigator or clinical review team. Any young person reporting a prior history of physical illness that might impede their ability to take part in physical activity will be required to receive medical clearance from a general practitioner in order to participate in the trial.

**Discontinuation criteria**

(1) Incidence of a psychotic disorder or eating disorder meeting diagnostic threshold or (2) physical illness that contraindicates participation in physical activity, as assessed by the treating clinicians during the course of the intervention. Discontinuation from the active or control interventions can be at the request of the participant or if any changes in the participant’s presentation warrants a referral to another service, as determined by their treating clinician or clinical review team. These participants will discontinue their assigned intervention but will remain involved in the postintervention assessment.

**Interventions**

The interventions will be integrated into routine clinical care and delivered by a headspace clinician, which may also include probationary psychologists (graduate trainees) on clinical placement. The current funding rules of the Medicare Benefits Schedule allow for six sessions of psychological treatment (plus an additional four if clinically warranted) per calendar year. We anticipate that the interventions (both physical activity and control) will be delivered in an average of four treatment sessions across approximately 4–6 weeks, consistent with the average national attendance rates to headspace centres.\textsuperscript{41} The intervention will be capped at a maximum of 10 sessions. The active and control interventions have been described to meet the Template for Intervention Description and Replication standards.\textsuperscript{42} At the first treatment session, participants will be provided with relevant materials and resources according to the treatment condition to which their treating clinician has been assigned.

**Physical activity intervention**

Participants who receive treatment from a clinician who has been allocated to this group will receive a manualised integrated physical activity behaviour change intervention, as per the training delivered to the clinicians, within their psychological treatment sessions. Treatment sessions will be delivered face to face and onsite at each participating headspace centre. The IMPACT treatment manual includes evidence-based behaviour change techniques, targeting self-regulation (planning and organising), motivation, enjoyment and tailoring for individual needs.\textsuperscript{43, 44} Participants will be encouraged to set short-term and longer term specific and measurable goals; monitor progress towards these goals; discuss the benefits of physical activity; consider factors that may facilitate their participation (eg, enlisting support from others and choosing physical activities that are enjoyable)\textsuperscript{44, 45}; and identify potential barriers and strategies for overcoming these barriers. Clinicians will review weekly goals, participants’ self-monitoring of mood preactivity and postactivity, addressing barriers and enhancing individual facilitators, reinforcing achievements and revising physical activity plans in each weekly session of psychological treatment to facilitate an increase in engagement in physical activity.\textsuperscript{46} The intervention is designed to be delivered within 15–20 min to the initial session, with 5–10 min duration in subsequent sessions. Given the real-world nature of the intervention context, it is not possible to define the frequency of sessions or duration of the intervention period a priori, due to variation in each of the study sites in terms of allocation to clinicians, managing service demands and appointment scheduling.

Participants will receive verbal and written information about the relationship between depressive symptoms and exercise\textsuperscript{47}; access to online resources providing advice and instructions on physical activities; minimal equipment (resistance band for resistance activity and skipping rope for cardiovascular physical activity); written worksheets and resources to enhance motivation, address barriers and provide physical activity suggestions, including the current Australian national physical activity and sedentary behaviour guidelines\textsuperscript{48, 49}; and a weekly planner to discuss and plan for how and when the physical activity can be completed and any memory aids that could be used to aid in completion of this (including mobile technology applications (‘apps’)).

**Psychoeducation intervention**

Participants who receive treatment from a clinician who has been allocated to this group will be provided with the same psychoeducation about the relationship between depressive symptoms and physical activity as the intervention group, within the first treatment session only. Treatment sessions will be delivered face to face and onsite at each participating headspace centre. The inclusion of the control group intervention is to examine whether the provision of information about physical activity for mental health and minimal resources is sufficient for participants to increase their current levels of physical activity. This will include providing the same verbal and written information about the relationship between depressive symptoms and exercise\textsuperscript{47}, minimal equipment (resistance band for resistance activity and skipping rope for cardiovascular physical activity); and physical activity suggestions, including the current Australian national physical activity and sedentary behaviour guidelines\textsuperscript{48, 49} that will be provided to the intervention group. The importance
of physical activity for depression will be addressed in the first session but will not be included in ongoing treatment.

**Study hypotheses**

Primary: that the physical activity intervention will lead to greater reductions in depressive symptoms compared to routine clinical care.

Secondary: (A) that the physical activity intervention will lead to greater reductions in anxiety symptoms and greater improvements in functioning, when compared with the control condition; and (B) that changes in depressive symptoms will be mediated by increases in physical activity.

**Primary outcome**

The primary outcome will be level of depression symptoms postintervention and at 6-month follow-up, measured by the QIDS-A17-C.37

**Secondary outcomes**

*Physical activity (mediator)*: levels of physical activity measured by the International Physical Activity Questionnaire50 and an electronic accelerometer device worn for 5–7 days.

*Mental health and substance use*: anxiety symptoms measured by the Overall Anxiety Severity and Impairment Scale51; depression module from the Structured Clinical Interview for DSM-IV52; and substance use measured by the WHO Alcohol, Smoking and Substance Involvement Screening Test.

*Anthropomorphic and lifestyle variables*: height, weight and hip and waist measurements to determine body mass index and hip/waist ratio; Pittsburgh Sleep Quality Index53; and the Simple Dietary Questionnaire.

*Functioning*: Social and Occupational Functional Assessment Scale54; and Australian Quality of Life Scale for adolescents55 to facilitate future cost utility and other economic analyses.

*Variables that will be the subject of subsequent exploratory analyses include*: self-efficacy measured by General Self Efficacy Scale56 and Barriers Self-Efficacy Scale (physical activity)57; Perceived Social Support Scale; Positive and Negative Affect Schedule58; Cognitive and Behavioural Therapy Skills Questionnaire59; Behavioural Activation for Depression Scale60; Montgomery Asberg Depression Rating Scale61; and Working Alliance Inventory (therapeutic alliance)62; and the Trail Making Test A & B63,64 to assess attention, processing speed and mental flexibility.

Asessments and measures will be conducted as per the assessment schedule: (1) screening for eligibility; and (2) baseline, postintervention (end-point) and 6-month follow-up assessments. Data from participating young people will be entered into a project-specific secure online database at all assessment time-points, using a reversible process in which the identifiers are removed and replaced by a code.

**Process and implementation measures**

**Training**

All participating clinicians will be trained in GCP and IMPACT study procedures, delivered in a 1.5-hour session in face-to-face format. Additionally, all participating clinicians will participate in a further 30 min training session on how to deliver the psychoeducation on physical activity and share resources with participants in the study. Following this, all clinicians who are randomised to the physical activity intervention group will take part in an additional 60 min training session to integrate the IMPACT intervention into routine clinical care. For the intervention group, skills, knowledge and attitudes will be assessed before and after the training session, measured by the Theoretical Domains Framework questionnaire.65

The face-to-face training will be supported by additional online resources using a learning management system that will host an electronic version of the intervention manual, project resources, training videos and case vignettes. The training will incorporate practice recommendations for delivering physical activity behaviour change interventions for mental health, including: (A) creating individual training plans to increase adherence and aim to increase physical activity levels and decrease sedentary behaviours, (B) integrating the physical activity intervention into routine psychoeducation and psychotherapy; and (C) incorporating evidence-based behaviour change techniques, targeting self-regulation (planning and organising), motivation, enjoyment and tailoring for individual needs.43,44 Clinicians will be provided with a comprehensive IMPACT treatment manual66 and the resources required to deliver the intervention (handouts, worksheets and access to online materials). Clinicians in the control condition will not have access to the online support materials.

**Fidelity**

Fidelity will be assessed using a brief checklist to be completed by clinicians after each session to estimate time spent on the intervention and the type of psychological treatment that was delivered as part of routine care (eg, CBT and interpersonal therapy). Fidelity will also be assessed by audio recording of all treatment sessions, with a random subset of 10% of the recordings to be coded by an independent rater for adherence to the treatment manual and to discriminate between the intervention and control conditions. Fidelity will be maintained by offering quarterly online group peer supervision sessions for the clinicians in the intervention group to allow group discussion and case presentations, in addition to the face-to-face training at the commencement of the trial. Less frequent peer supervision (approximately every 6 months) will be offered to the control group clinicians.

Fidelity of the intervention will also be assessed using a checklist of components of the active and control conditions collected from participants at the postintervention assessment. In addition, at the end of the intervention period, a subgroup of physical activity intervention group clinicians and young people will be invited to participate...
in semistructured interviews to explore their experiences of delivering and receiving the intervention, respectively.

**Randomisation and allocation to treatment**

Clinicians will be allocated to the intervention and control groups using a minimisation procedure commencing with random assignment. The procedure was devised by the study statistician (AM) and will be carried out by an independent researcher, following the ICH Guideline, to ensure allocation concealment. Minimisation factors to be incorporated are gender of clinician (two-level factor: male/female), background training of clinician (two-level factor: psychologist/non-psychologist) and headspace centre, to ensure equal numbers in each intervention at each site (six centres). Participants will be stratified by age (≤17 and 18+ years), gender (male/female) and QIDS-A17-C score (≤15 and 16+). Participants will be assigned to a clinician based on a randomised list. Due to the nature of the intervention, it will not be possible to blind the clinicians and participants; however, all preintervention, postintervention and follow-up assessments will be conducted by research assistants blinded to treatment allocation. Investigators not involved in the delivery of the intervention and the trial statistician will be blind to group allocation until the analysis is completed.

**Statistical analysis**

**Analysis plan**

Primary quantitative analyses will be undertaken on an intent-to-treat basis, including all participants as randomised, regardless of treatment received or withdrawal from the study. Linear mixed models will be used to analyse change in the primary outcome measure (QIDS-A17-C). Models will include a random ‘clinician’ factor. Correlation of repeated outcome measures will be accommodated using an unstructured variance-covariance matrix. An a priori planned comparison of change from baseline to the postintervention assessment will be used to test the primary hypothesis. Comparison of change from baseline to follow-up between arms will be undertaken as a secondary outcome analysis. Factors used in assignment by minimisation (clinician gender, discipline and headspace centre) will be introduced in models as covariates and retained if significant. Variables associated with participant missingness or found to be substantially unbalanced between groups will be included in models on an exploratory basis.

Mathematical transformation or categorisation of raw scores will be undertaken to meet distributional assumptions and address any violation of assumptions required in mixed models. When transformations have been undertaken to better meet distribution assumptions, models using the transformed data will be considered the main test of the primary hypotheses. Mixed models use all available data and do not involve any substitution of missing values with supposed or estimated values. The assumptions underlying mixed modelling allow ‘missingness’ to be related to observed variables in the analysis but not to unobserved values (termed ‘missing at random”).

Similar analyses of scaled secondary measures will assess differential change due to intervention arm. For dichotomous outcomes such as diagnoses and ordinal or count outcomes, comparable generalised mixed modeling approach will be used. Relative and reduction in risk of depression based on SCID diagnostic status will be estimated at the trial end-point and follow-up. Numbers needed to treat will be derived from these values. All analyses will use two-sided tests, with an alpha value set at 0.05. In the event of substantial missingness, sensitivity analyses based on identified clinically plausible mechanisms may be undertaken to determine the robustness of findings.

Qualitative analyses of the semistructured interviews included within the process and implementation measures will be undertaken on audio-recorded interviews that will be transcribed verbatim, with data analysed using thematic analysis.

**Power analysis**

Calculations of required sample size were based on detecting a postintervention effect size of 0.54, which is at the lower boundary of utility and also reflects the adjunctive status of the intervention. Power was set at 0.90, alpha=0.05 (two tailed) and correlation of 0.5 assumed between pretreatment and post-treatment scores. To allow for possible clustering effects (participants with the same therapist having characteristics and outcomes more alike than between therapists), a design effect was calculated assuming an intraclass correlation (ICC) of 0.05 and the number of clients per therapist up to 24. The estimate of the ICC is at the upper range of therapist effects and is conservative given the prescribed, manualised nature of the intervention. It is anticipated that 6–8 clinicians per headspace centre will be involved in the study. The resultant design effect of 2.15 reflects the possible non-independence of cluster-sampled participants and was used to inflate the calculated sample size, which assumes independence. The estimated required sample size was 784. To accommodate a potential attrition/withdrawal rate of up to 20%, the target sample size was set at 960 or 480 participants per condition.

**Safety procedures and oversight**

Each participating headspace centre will have a principal site investigator and will also be required to nominate a lead clinician who will be responsible for the oversight of participant safety during the course of the trial. Withdrawal from the study can be at the request of the participant or if the participant meets discontinuation criteria. Once withdrawn, the young person will be reviewed using the headspace centre’s standard clinical review procedure and either maintained in the headspace centre or referred to other services as necessary. Any adverse incident, any serious or unanticipated adverse effects of the research on study participants, and unforeseen events that might affect continued ethical acceptability of the project, will be captured and
reported until resolution, stabilisation or the participant is lost to follow-up, unless the condition is unlikely to resolve as per the opinion of the medical practitioner appointed by the study sponsor to monitor adverse incidents. In the event of an adverse incident or unexpected outcome, the allied health professional or research personnel will report to the study sponsor, Orygen. If necessary, a decision will be made as to whether to withdraw or suspend participation. The study sponsor will conduct annual centralised monitoring visits of the study sites.

Ethics and dissemination
Revisions to the study protocol have been enacted following approval received from the HREC (latest version: Protocol Version 9, 1 April 2019), and these revisions have been reported in the trial registry by the study’s clinical trial managers (CM and VR). Trial findings will be published in peer-reviewed journals and presented at conferences. Key messages will also be disseminated by the youth mental health services organisation (headspace National Youth Mental Health Foundation).

DISCUSSION
Depressive disorders are highly prevalent and are the leading cause of disability in young people worldwide. If effective, the physical activity intervention tested in this C-RCT has the potential to improve the immediate outcomes for young people with depression by providing an additive treatment effect in conjunction with routine clinical care. Additional benefits may include improvements in social and vocational functioning, thereby reducing the likelihood of longer term damage in these domains.

The IMPACT intervention is easily scalable. As it is set within the national network of headspace centres, this will increase the likelihood that any benefits are translated into routine clinical practice for the many thousands of young people who access headspace services per annum. There is also potential to adapt the intervention for other service delivery models (eg, primary care and tertiary mental health services) nationally and internationally—including in regional and remote areas—which may be of interest to policy makers and those funding mental health programmes or services.

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Contributors
AGP led the development of this manuscript. AGP, DJR, AM, RP, MA-J, ARY, PM, SH and AJ secured the funding for the project and, together with author CM, devised the research design and measures. AGP, CM, DR, RP, MA-J, ARY, PM, SH and AJ were involved in devising the intervention content and intervention implementation strategies, which were based on those established and previously operated by AGP, RP, ARY, PM, SH and AJ. AM was primarily responsible for the sample size and power calculations and development of proposed statistical analyses. AGP wrote the initial draft of the manuscript. All authors contributed to and approved the final version of the manuscript.

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Competing interests
None declared.

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There are no data in this work.

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