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The difference in kinematics of horses walking, trotting and cantering on a flat and banked 10m circle

This is the Accepted version of the following publication

Hobbs, Sarah, Licka, Theresia and Polman, Remco (2011) The difference in kinematics of horses walking, trotting and cantering on a flat and banked 10m circle. *Equine Veterinary Journal*, 43 (6). pp. 686-694. ISSN 0425-1644 (print) 2042-3306 (online)

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1 **The difference in kinematics of horses walking, trotting and cantering on a flat and**
2 **banked 10 m circle**

3

4 **(Flat versus banked circle locomotion)**

5

6 **Symbols & abbreviations**

7 (3-D) Three dimensional

8 (COM) Centre of mass

9 (DIPJ) Distal interphalangeal joint

10 (LCS) Laboratory coordinate system

11 (MPJ) Metacarpophalangeal joint

12 (McIII) Metacarpus

13 (MtIII) Metatarsus

14 (PIPJ) Proximal interphalangeal joint

15 (P1) Proximal phalanges

16 (SCS) Segment coordinate system

17

18 **Key words**

19 circle; locomotion; centripetal force; kinematics; limb inclination; curve

20

21

22 **Summary**

23 Background: Locomotion adaptation mechanisms have been observed in horses, but little
24 information is available in relation to banked and non-banked curve locomotion, which might
25 be important for correct training.

26 Aim: To determine if adaptation mechanisms in horses existed when moving on a banked
27 compared to a flat curve and whether adaptation was similar in different gaits.

28 Materials and Methods: Eight infra red cameras were positioned on the outside of a 10 m
29 lunging circle and calibrated. Retroreflective markers were used to define left and right
30 metacarpus (McIII) and proximal phalanges (P1), metatarsus (MtIII), head and sacrum. Data
31 were recorded at 308 Hz from six horses lunged at walk, trot and canter on a flat and 10
32 degree banked circle in a cross over design. Measurements extracted were speed, stride
33 length, McIII inclination, MtIII inclination, relative body inclination and duty factor. Data
34 were smoothed with a 4th order Butterworth filter with 30 Hz cut-off. ANOVA was used to
35 determine differences between conditions and limbs.

36 Results: Adaptation mechanisms were influenced by gait. At canter inside forelimb duty
37 factor was significantly longer ($P<.05$) on a flat curve compared to a banked curve, at walk
38 this was reversed. McIII inclination, MtIII inclination and relative body inclination were
39 significantly greater ($P<.05$) at trot and canter on a flat curve, so more inward tilt was found
40 relative to the bearing surface.

41 Conclusion: Adaptation to curved motion is gait specific. At faster gaits it appears that horses
42 negotiate a banked curve with limb posture closer to body posture and probably with
43 demands on the musculoskeletal system more similar to straight canter.

44

45 **Introduction**

46 The kinematics of walk, trot and canter gaits have been studied over ground and using
47 treadmills in two dimensions (Barrey *et al.*, 1993; van Weeren *et al.*, 1993; Buchner *et al.*,
48 1994; Clayton, 1994; Back *et al.*, 1996; Galisteo *et al.*, 1998; Galisteo *et al.*, 2001; Clayton *et*
49 *al.*, 2002) and three dimensions (Chateau *et al.*, 2004; Chateau *et al.*, 2006; Hobbs *et al.*,
50 2006; Clayton *et al.*, 2007a; 2007b; Gomez Alvarez *et al.*, 2009). From these studies
51 adaptation mechanisms have been observed during treadmill locomotion (Barrey *et al.*, 1993;
52 Buchner *et al.*, 1994; Gomez Alvarez *et al.*, 2009) and other studies have reported adaptations
53 due to shoeing regimens and hoof conformation, which include Clayton *et al.* (1990),
54 Roepstorff *et al.* (1999) and van Heel *et al.* (2006). To date, few studies have investigated
55 adaptations in kinematics during locomotion on a curve.

56

57 Curve negotiation involves producing an inwardly directed ground reaction force (GRF)
58 during the stance phase which results in centripetal acceleration (see Fig. 1) and this presents
59 different challenges for different vertebrates. Greyhounds are not constrained when running
60 on a curve as their body weight is supported mainly by their forelimbs and locomotion is
61 powered by torque about the hip joint and by back extension (Usherwood and Wilson, 2005).
62 In contrast, the muscles that power sprinting in humans are loaded by weight induced
63 compression forces along the leg and a greater proportion of the maximum muscular effort
64 must be directed medio-laterally in order to develop centripetal acceleration (Usherwood and
65 Wilson, 2005). Chang and Kram (2007) found the inside leg to be particularly ineffective at
66 generating push off forces for propulsion in humans and proposed that this is due to a need to
67 optimise the alignment of the resultant GRF vector with the long axis of the leg. They
68 suggested that muscles required to stabilize joints in the frontal plane, which have a
69 negligible effect in straight path sprinting, are required in curve sprinting to realign and

70 stabilize the long axis of the leg. This increased muscle activity may therefore be inhibiting
71 leg extension force during curve running and as vertical GRF decreased more than could be
72 explained by a re-distribution of force to the medio-lateral direction. Usherwood and Wilson
73 (2006) also suggested that tighter radii result in greater increases in duty factor, which Chang
74 and Kram (2007) again found to be greater for the inside leg.

75

76 Adaptations to curve motion in horses have been reported in two recent studies. Clayton and
77 Sha (2006) investigated head and body centre of mass (COM) movement trotting on a flat
78 surface with a circular path of radius 2.83 ± 0.62 m. They found an average tilt of the COM
79 towards the inside of the circle of 14.8 ± 2.8 degrees and medio-lateral oscillation of the
80 COM outwards with outside forelimb stance and inwards with inside forelimb stance. In
81 addition, the inclination of the COM in the frontal plane was more vertically oriented around
82 the time of ground contact with the inside forelimb. Chateau *et al.* (2005) investigated
83 adaptations of the inside, distal forelimb during a tight turn at walk. It was reported that the
84 limb adducted through the stance phase substantially more until heel off to cover the ground
85 in the direction of movement. The distal interphalangeal joint (DIPJ) underwent substantial
86 internal (medial) rotation during the weight bearing phase of the turn, the proximal
87 interphalangeal joint (PIPJ) rotated internally and the metacarpophalangeal joint (MPJ) also
88 rotated internally in the second half of the stance phase as the joint flexed. As body mass was
89 brought over the limb in the direction of the turn the limb adducted, there was a large external
90 rotation of the hoof to lift off and the medial side of the hoof left the ground first. This
91 rotation was associated with sudden external rotation of the PIPJ and DIPJ which realigned
92 the distal segments that were internally rotated at the end of the weight bearing phase. From
93 these studies it is clear that adaptations to curve motion are also found in horses, but
94 constraints placed on the limbs at faster speeds are unknown.

95 Fredricson and Drevemo (1971) recognised that the characteristics of the surface, banking,
96 curve and gradient as well as surface variation will affect the trotting action. In this respect
97 they suggested that at high speed good horses can compensate for many of these factors, but
98 to the expense of wear and tear on their limbs. The risk of injury to the distal joints when
99 negotiating curves may increase further for horses performing at faster gaits and over longer
100 time periods, as Johnston *et al.* (1999) found stride length, stance time and joint excursion
101 during stance to increase with fatigue. Hill (2003) remarked that most catastrophic injuries in
102 racing will occur in turns and in the stretch run to the finish. In a study of 58 horses suffering
103 serious accidents during racing, Ueda *et al.* (1993) also came to the conclusion that injuries
104 were more likely to occur in turns. Despite this, studies of racing injury risks (Stephen *et al.*,
105 2003; Parkin 2008) have yet to address factors such as the design of the course, the radius of
106 the curves on the course, and whether these curves are banked or not, which was also
107 suggested by (Anthenill *et al.*, 2007). Evidence suggests that the greatest injury risks during
108 turning are to the forelimbs, but there is conflicting information on the prevalence of injury to
109 left and right limbs, considering that many racetracks are counter-clockwise. Peckham (2009)
110 reported a prevalence of injuries to left forelimbs on the Polytrack at Kentucky during a
111 holiday meet and in a study by Hill (2003) from a total of 27 third metacarpal bone (McIII)
112 fractures, 19 were to the left fore. This was supported by Bertone (1997) who suggested that
113 typical Standardbred condylar fractures are a left front lateral injury. However, right sided
114 carpal injuries have previously been reported in the USA (Schneider *et al.*, 1988) and UK and
115 Australian studies have found injuries to left and right forelimbs to be equally represented
116 (Bathe, 1994; Verheyen and Wood, 2004; Boden *et al.*, 2006).

117

118 In the distal limb at low loads the DIPJ accounts for most of the motions outside the sagittal
119 plane, but with increasing load the involvement of this joint becomes less whereas the

120 involvement of the PIPJ and MPJ increases (Chateau *et al.*, 2002). Out of plane rotations will
121 increase stress on the distal joints during weight bearing (Denoix, 1999) and as a result
122 degenerative joint disease is most frequently found in horses that make tight turns or twisting
123 movements (Stashak, 2002a; Swanson, 1988; McDiarmid, 1998). Lunging is often used in
124 lameness assessment as most clinical orthopaedic conditions of the horse are known to be
125 increased on the turn (Stashak 2002b), mostly for the inside limb, but in some defined
126 conditions such as proximal suspensory desmitis the lameness may also be exacerbated in the
127 outside limb (Dyson, 2007). Further investigation of the adaptation mechanisms of the horse
128 on banked and unbanked curves could lead to more scientifically qualified exercise
129 suggestions for horses recovering from orthopaedic injury.

130

131 The use of banking on curves of different sporting venues is widespread and well designed
132 tracks are known to allow better curve negotiation (Schuermann, 2008), as a component of
133 body weight assists in providing inwardly directed force at the ground (Hay, 1993) (see Fig.
134 1b). Despite this, little information is available on adaptation of horses to curved and banked
135 curve locomotion which may be important for correct training. The aims of this study were
136 therefore to determine whether there was an adaptation mechanism in horses during lunging
137 on a banked curve compared to a flat curve and whether this adaptation mechanism was
138 similar in different gaits. Based on previous studies of curve and banked curve motion
139 (Greene 1985; 1987; Hay, 1993; Clayton and Sha, 2006; Usherwood and Wilson, 2005;
140 Chang and Kram, 2007) it was hypothesised that forelimb inclination and relative body
141 inclination will be greater on a flat surface compared to a banked surface, as a component of
142 bodyweight assists in providing inwardly directed force at the ground on a banked surface.
143 That there will be a need for relatively longer duty factors on a flat surface at trot and canter,

144 as more resultant force will be required to maintain speed. That inclination and duty factor
145 will be more pronounced in the inside forelimb.

146

147 **Method**

148 *Animals*

149 Ethical approval was obtained for this project from the UCLan and the University of
150 Edinburgh animal projects committees. Six sound veterinary school horses (height at the
151 withers 154 ± 8 cm and body mass 529 ± 25 kg (mean \pm s.d.) were used in the study. Horses
152 were lunged regularly at walk, trot and canter for 4 weeks prior to the commencement of the
153 study to increase fitness levels and habituated to the test set up on the lunge at walk, trot and
154 canter prior to testing in both flat and banked conditions.

155

156 *Data Collection*

157 Eight infra-red cameras¹ were positioned in an arc configuration on the outside of a 10 m
158 lunging circle and calibrated to a horizontal-vertical laboratory coordinate system (LCS)
159 using a spirit level. The lunging circle surface used for both conditions was prepared from
160 wetted and then pressed sand and rubber particles. The average penetration depth of the
161 surface with a Longchamps Pentrometer was 7.3 cm allowing plastic deformation to an
162 average hoof depth of 4.8 ± 1.9 cm and 4.5 ± 1.8 cm on the flat and banked surfaces
163 respectively. The measurement volume was 5 m long by 2 m wide by 2 m high, the
164 maximum residual from the cameras was 0.42 mm and the wand measurement error was 1.35
165 mm for a 750.5 mm wand. A marker set of 30 retro-reflective markers were used to define
166 the left and right McIII, proximal phalanges (PI), metatarsus (MtIII), head and sacrum. A
167 three-dimensional (3-D) marker set was used for McIII and PI using both anatomical markers
168 (markers that define the segment end points, joints and segment orientation) and tracking

169 markers (markers that track the movement of that segment through 3-D space) as shown in
170 Fig. 2a. A static trial was recorded with both anatomical markers and tracking markers in
171 position whilst the horse stood square, from which the tracking markers are referenced to
172 their anatomical position on the segment. Anatomical markers were positioned on the medial
173 and lateral locations of the proximal head of McIII (positioned between McIII and medial and
174 lateral splint bones) and the proximal site of attachment of the proximal collateral ligaments
175 of the MPJ and PIPJ. Tracking markers were positioned on medial proximal, medial distal
176 and the lateral mid-shaft of McIII and proximal medial, proximal lateral and the distal
177 midline of PI. These locations were used to minimize soft tissue artefacts and also to ensure
178 non co-linearity (a requirement for 3-D tracking). This method was based on the Calibrated
179 Anatomical Systems Technique (Cappozzo *et al.* 1995; 2005). The anatomical markers were
180 then removed.

181

182 *Procedure*

183 A cross over design was used such that 3 horses were lunged first on the flat and 3 horses
184 were lunged first on the bank. Kinematic data from the tracking markers were recorded from
185 the horses lunged on a 10 m circle at walk, trot and canter turning to the left and right at 308
186 Hz. The starting turn direction was randomised for each horse and for each condition. Forty
187 seconds of data were collected for each trial to ensure that a sufficient number of strides
188 could be extracted for each gait and each condition. The trials were digitised in Qualisys
189 Track Manager¹, exported to three dimensional (3-D) motion analysis software², separated
190 into and normalised to full strides. Foot strike and toe off were determined from inspection of
191 the vertical velocity (Mickelborough *et al.* 2000) curves of left and right forelimb lateral PI
192 and distal MtIII tracking markers. The kinematic data were filtered with a low pass 4th order
193 Butterworth filter with a cut off frequency of 30 Hz from inspection of the canter data and as

194 20 Hz is commonly used for lower forelimb data at walk and trot (Chateau *et al.* 2006;
195 Strobach *et al.* 2006). For each subject an ensemble average of a minimum of 3 stance phases
196 for each leg, each condition and each turn direction was computed from replicate walks, trots
197 and canters.

198

199 *Calculations*

200 The origin of the LCS was defined with X-axis as cranio-caudal (in the direction of motion),
201 the Y-axis as medial-lateral (towards the inside-outside of the circle) and the Z-axis as
202 vertical (see Fig. 2). From the LCS origin coordinates, the normal (perpendicular) to the
203 bearing surface for flat and banked conditions was defined, which was vertical for the flat
204 surface and at 10 degrees inwards from the vertical for the banked surface (see Fig. 1). All
205 inclinations were measured from the normal for that surface (see Fig. 1 and 2). Speed was
206 calculated from the resultant velocity of the X and Y sacrum marker velocity components in
207 the LCS. Stride length was calculated from the resultant of displacement of the X and Y
208 components of PI in the LCS from left foot strike to left foot strike and right foot strike to
209 right foot strike. Duty factor was calculated as the ratio of stance time (foot strike to toe off)
210 to stride time (foot strike to foot strike).

211

212 Segment position and orientation within the LCS was determined in two stages using a
213 similar method to that described by Clayton and Sha (2006): 1) Position and orientation were
214 defined relative to the origin of the LCS in Visual 3D², 2) Position and orientation were
215 extracted at foot strike and the coordinate system was then rotated to their relative position on
216 the curve in Excel³ (see Fig. 3). Stage 1: For McIII and PI, a segment coordinate system
217 (SCS) was defined with respect to the calibrated LCS (Cappozzo *et al.*, 1995; Hobbs *et al.*
218 2006; Clayton *et al.* 2007b) and from this measurement the segment end point positions

219 relative to the origin of the LCS were determined as described by Hobbs *et al.* (2006). For
220 MtIII, segment position in the LCS was determined using proximal and distal tracking marker
221 coordinates. Similarly, relative body inclination in the LCS was determined using the
222 coordinates of the sacrum marker relative to the stance limb MtIII distal marker. Stage 2: For
223 each foot strike the coordinates of each proximal and distal marker/segment end point were
224 transposed to a new coordinate system that defined the X' axis at a tangent to the curve and
225 Y' axis radially inwards. Coordinates of the proximal and distal end points/markers in Y'-Z
226 plane were then used to calculate McIII, MtIII and relative body inclination (see Fig. 3).

227

228 *Data analysis*

229 Mean and standard deviations were calculated for speed, stride length, duty factor, McIII
230 inclination, MtIII inclination and relative body inclination at walk, trot and canter. A
231 Kolmogorov-Smirnov test was used to test for normality. For speed a 2 (flat vs. banked) by 2
232 (left turn vs. right turn) ANOVA was conducted. For the other dependent variables a 2 (flat
233 vs. banked) by 2 (inside leg vs. outside leg) by 2 (left turn vs. right turn) ANOVA was
234 conducted in SPSS⁴. This was done separately for each gait for the dependent variables stride
235 length, duty factor, McIII, MtIII, and relative body inclination. In the instance turn direction
236 did not influence the analysis this was removed from the model. In the instance of a
237 significant interaction effect post-hoc comparisons were conducted using Fisher LSD⁵.
238 Significance was set at $P < .05$.

239

240 **Results**

241 All data were normally distributed except for duty factor at walk on the flat and McIII
242 inclination at walk. A log transformation was conducted for these data.

243

244 *Speed*

245 Results for speed at walk, trot and canter gaits on left and right turns is shown in Table 1.
246 Turn direction did not influence speed and as such was removed from the model. A
247 significant surface angle effect (flat vs. banked) was found for walk, ($F(1,22) = 4.53$; $P = .05$;
248 $\eta^2 = .17$) with higher speeds on the flat (1.54 m s^{-1}) than the banked surface (1.40 m s^{-1}). No
249 differences were found for trot ($F(1,22) = 0.91$; $P = .35$; $\eta^2 = .04$) or canter ($F(1,22) = 0.01$; P
250 $= .94$; $\eta^2 = .00$).

251 *Stride length*

252 Mean and standard deviations for stride length, duty factor and McIII, MtIII and relative body
253 inclination at walk trot and canter on flat and banked curves are shown in Table 2 whereas
254 Table 3 shows the results of the analysis of variance. There was a significant leg main effect
255 for walk and canter for stride length. A shorter stride length was found for the inside leg (1.66
256 m walk; 2.50 m canter) than the outside leg (1.77 m walk; 2.65 m canter). There were no
257 effects for surface angle (flat vs. banked) or interaction effects. There was no effect for turn
258 direction on stride length.

259 *Duty factor*

260 There was a significant main effect for surface angle at walk. Duty factor was higher for the
261 banked surface (66.79%) than the flat (65.18%) surface. There was no interaction or leg main
262 effect at walk. There was a significant interaction effect for trot and canter. Post-hoc
263 comparisons for trot showed that duty factor for the flat inside leg (45.65%) was significantly
264 longer than the flat outside leg (43.07%; $P = .02$) and the banked inside leg (42.92%; $P =$
265 $.02$). For canter, post-hoc comparisons showed that the banked inside leg differed
266 significantly from the flat inside leg ($P = .001$) and the flat outside leg ($P = .04$), but not from
267 the banked outside leg ($P = .06$). There was no effect of turn direction for duty factor.

268

269 *McIII inclination*

270 There was no effect for turn direction for McIII inclination. For all three gaits McIII
271 inclination, which reflects the magnitude of limb adduction, was found to be significantly
272 larger for the flat in comparison to the banked condition (walk 0.1 vs. -10.2 degrees; trot 18.2
273 vs. 7.2 degrees; canter 25.7 vs. 17.7 degrees). Similarly, a leg main effect was found for all
274 three gait patterns. McIII inclination was found to be larger for the inside leg for walk (1.9 vs.
275 -12.0 degrees), trot (15.0 vs. 10.4 degrees) and canter (24.3 vs. 19.1 degrees) compared to the
276 outside leg.

277

278 *MtIII inclination*

279 Again, there was no effect for turn direction for MtIII inclination. There was a significant
280 main effect for surface angle for all three gaits. MtIII inclination was larger in walk (6.7 vs. -
281 2.53 degrees), trot (19.3 vs. 10.1 degrees), and canter (26.6 vs. 20.8 degrees) gaits. Also, the
282 inside leg had a larger MtIII inclination (24.1 degrees) than the outside leg (15.3 degrees) in
283 the trot condition.

284

285 *Relative body inclination*

286 There was no effect for turn direction for relative body inclination. At walk (5.3 vs. -2.1
287 degrees), trot (18.8 vs. 9.5 degrees) and canter (24.8 vs. 18.2 degrees) relative body
288 inclination was larger in the flat condition in comparison to the banked condition. In addition,
289 at trot and canter relative body inclination was significantly greater for outside hind limb foot
290 strike than for inside hind limb foot strike.

291

292

293

294 **Discussion**

295 This study aimed to determine whether horses adapt their locomotion on a banked curve
296 compared to a flat curve and if so, whether these adaptation mechanisms were similar in
297 different gaits. The results show that at faster gaits (trot and canter) an increase in duty factor
298 for the inside forelimb compared to the outside forelimb is dependent on surface angle, so an
299 increase could be expected on a flat, but not necessarily a banked surface. Duty factor was
300 also significantly greater on a flat surface compared to a banked surface at canter and at both
301 trot and canter the increase was significant for the inside forelimb, which in part supports our
302 hypotheses. Greater forelimb (McIII) inclination and relative body inclination were found on
303 a flat surface compared to a banked surface, supporting our a priori hypothesis. In addition, a
304 similar pattern was observed for the hind limbs (MtIII inclination). So, more tilt relative to
305 the ground was recorded on a flat surface in comparison to the banked surface. Inside
306 forelimb (McIII) inclination compared to outside forelimb (McIII) inclination was also more
307 pronounced on the flat surface in comparison to the banked surface, although care must be
308 taken when interpreting these results as a larger angle would be expected in relation to the
309 surface. These results were therefore considered with respect to relative body inclination to
310 reflect how much each limb adducted.

311

312 To negotiate a curve the outside legs have to travel further than the inside legs, so a longer
313 stride for the outside leg at all gaits was expected. The introduction of a banked curve did not
314 change this difference between limbs, but at walk a shorter stride length for both limbs was
315 found. This may be because a banked curve presents an unlevel surface that is more difficult
316 to negotiate and this therefore slowed the horses down, reducing stride length.

317

318 At walk the increase in duty factor on a banked curve may also relate to the reduction in
319 speed. In contrast, at canter on a flat curve, duty factor increased significantly for the inside
320 leg. Although the horses were not negotiating the curves at maximum speed, the inside leg
321 may be kept on the ground for longer on a flat curve to produce sufficient inwardly directed
322 force at the ground to stay on the curve in addition to maintaining propulsive forces.
323 Usherwood and Wilson (2005) suggested that in greyhounds this is a role of the forelimbs
324 and in humans Chang and Kram (2007) found that larger medio-lateral forces were generated
325 by the inside leg. On a banked curve, this requirement may have been reduced as a
326 component of body weight assisted in providing inwardly directed force at the ground (Hay,
327 1993). The interaction effect found at trot and canter however may suggest that the difference
328 in timing relates to the position of the limbs relative to the ground. On a flat curve the horse
329 tilts more, so their outside leg is further away from the ground and consequently it may take
330 longer for this limb to make contact with the ground, on a banked curve this situation is
331 reversed. So, relative body position to the bearing surface in addition to the requirement to
332 generate inwardly directed GRFs may influence duty factor for the forelimbs.

333

334 McIII inclination at foot strike occurs during straight locomotion as a result of global limb
335 adduction (Chateau *et al.*, 2004; Hobbs *et al.*, 2006; Clayton *et al.*, 2007a; 2007b). In
336 contrast, during a tight turn Chateau *et al.* (2005) reported McIII abduction of the inside leg at
337 foot strike with adduction increasing throughout stance. They suggested that adducting the
338 limb during the turn positions the limb further under the body which allows the horse's body
339 mass to travel over it in the direction of motion. In this study where a larger curve was
340 negotiated, similar magnitudes of inclination to McIII adduction previously reported for
341 straight line walk at foot strike were found for both inside and outside forelimbs on a flat
342 curve. On a banked curve however, it appears the body leans outwards as if traversing a slope

343 and consequently to maintain balance the forelimbs are more inclined towards the outside of
344 the circle, which is most pronounced in the outside forelimb. These inclinations may reflect
345 the need to control the location of the COM under the influence of gravitational forces when
346 the horse is moving slowly.

347

348 At faster gaits greater McIII inclination was found, which corresponds with greater body
349 inclination, so the more the body tilts, the more the limbs tilt. Tilt was also more pronounced
350 on a flat curve. These findings support the theory described in Fig. 1a and b. The implication
351 of these findings are that additional frontal plane forces and moments expected when
352 negotiating a curve together with a more adducted limb relative to the ground may increase
353 out of plane stresses on the distal joints, particularly on a flat curve (Denoix, 1999; Chateau *et*
354 *al.*, 2002). Injuries reported from racing tend to include lateral condylar fractures, distal
355 phalanx wing fractures, medial proximal sesamoid bone fractures and fractures of PI, which
356 tend to be compression fractures (Bertone, 1997; Boden *et al.*, 2006). The forelimbs may also
357 be more susceptible to collateral ligament injuries and degenerative joint disease when frontal
358 plane forces become unbalanced. For this variable, overload is more likely to relate to
359 misalignment of the resultant GRF with the inside forelimb when the requirement for
360 centripetal force development is large.

361

362 MtIII inclination was found to be similar for the outside hind limb compared to the inside
363 hind limb at walk and also similar to the inclination of the body, so little adduction was
364 expected. At trot and canter MtIII inclination followed the pattern of McIII and relative body
365 inclination, tilting more as the body tilted. From inspection of relative body inclination it
366 appears that greater limb adduction was found at trot and this was more pronounced on a
367 banked curve. Bringing the hind limbs under the body is required to provide optimal forces

368 for propulsion. It was surmised that where this did not occur the hind limbs may have been
369 required to assist the forelimbs in balancing the body through the turn.

370

371 The body was inclined towards the inside of the circle at all gaits with the magnitude of
372 inclination increasing with gait, except for the banked curve at walk where the horses
373 balanced by tilting their bodies towards the outside of the circle. In this study relative body
374 inclination at trot on a flat 10 m circle was slightly larger (approximately 4 degrees) than the
375 average tilt of the COM at trot found by Clayton and Sha (2006) on a 6 m circle. Trotting
376 speed was faster in this study ($3.7 \text{ m}\cdot\text{s}^{-1}$ compared to $2.3 \text{ m}\cdot\text{s}^{-1}$ average speed used by Clayton
377 and Sha (2006)). Although their radius was smaller, there is a squared effect of speed on the
378 magnitude of centripetal force, so speed will influence tilt more than the radius of the curve.
379 An increase in tilt with gait, particularly on a flat curve is expected to relate to the need to use
380 body weight to assist in balancing increasing rotational moments (Hay, 1993). Medio-lateral
381 oscillation of the COM was reported by Clayton and Sha (2006) and in this study there was
382 also evidence of body oscillation at trot and canter, although this measurement is sensitive to
383 differences in outside and inside hind limb placement. However, this finding may be
384 important in terms of injury risk to the outside fore and hind limbs, as greater oscillation of
385 the body could increase compressive forces on these limbs.

386

387 When lunging their horses, Clayton and Sha (2006) only turned to the left. The authors
388 remarked that individual differences may be evident when turning clockwise versus anti-
389 clockwise, due to asymmetries in strength, suppleness and neural programming. In this study,
390 none of the variables were significantly influenced by turn direction, although some
391 variability is evident. In addition, horses were prepared to take part in the study using a 4
392 week programme of lunging, designed to improve fitness. Their physical capability to

393 negotiate turns however is likely to be different to other sports and performance horses that
394 are trained to remain upright on a circle or trained to gallop at maximum speed around turns.
395 A recent study by Murray *et al.* (2010) found dressage horses that were lunged on a regular
396 basis to be at a reduced risk of lameness, which does suggest that demands may be discipline
397 specific. Further work is needed to explore differences between horses competing in different
398 disciplines.

399

400 Limited information is available on equine curved locomotion, despite the prevalence of
401 circles, twists, turns and curves used in most equine disciplines. As technology advances we
402 will undoubtedly be able to measure curved locomotion in more detail, but currently
403 collecting detailed information presents many challenges. Soft tissue artefacts are present in
404 these data as the study used non-invasive techniques, but errors are expected to be
405 comparable between surface angles for each horse and each gait. The choice of marker set
406 was based on the tracking capabilities of markers within the capture volume. Lunging was
407 used to capture curved locomotion, consequently cameras could not be positioned on the
408 inside of the circle. Cameras were therefore optimised to capture limb and body posture from
409 the outside of a circle, but this did limit their tracking capabilities in relation to the trunk.
410 Further work in capturing detailed information on curved locomotion is needed to understand
411 the adaptation mechanisms used by the horse and the influence of a rider and/or handler to
412 these mechanisms.

413

414 **Conclusion**

415 From this study it is evident that speed influences adaptation to curved motion, indicating that
416 adaptation is gait specific. Increased duty factor and a larger difference in limb inclination
417 for the inside forelimb on a flat curve suggests this limb may be required to develop more

418 centripetal force at the ground. Generating more centripetal force at the ground increases the
419 rotational moments in the frontal plane, which if unbalanced may increase the risk of injuries
420 to the outside fore and hind limbs. Repetitive overloading closer to the medial and lateral
421 borders due to these frontal plane forces and moments may lead to compression injuries,
422 degenerative joint disease and/or collateral ligament injuries. It appears that the slope allows
423 horses to negotiate the curve with limb posture closer to body posture and probably with
424 demands on the musculoskeletal system more similar to straight canter.

425

426 **Acknowledgements**

427 The authors would like to thank the reviewers for their contribution to the development of
428 this manuscript.

429

430 **Manufacturers**

431 1 Qualisys Medical AB, Goteburg, Sweden

432 2 C-Motion Inc., Gaithersburg, USA

433 3 Microsoft Corp., Redmond, USA

434 4 SPSS, Chicago, USA

435 5 StatSoft Inc., Tulsa, OK, USA.,

436

437

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561
562

563 **Table 1: Mean (s.d.) speed (m s⁻¹) for 6 horses at walk trot and canter gaits on left and**
 564 **right turns. Number of strides used to calculate the mean for each horse (n).**

565

		Flat		Banked	
	n	Right turn	Left turn	Right turn	Left turn
Walk*	3-6	1.57 (0.18)	1.51 (0.20)	1.39 (0.10)	1.41 (0.10)
Trot	3-8	3.82 (0.32)	3.64 (0.44)	3.57 (0.26)	3.59 (0.26)
Canter	3-11	4.89 (0.50)	4.68 (0.20)	4.72 (0.43)	4.87 (0.43)

*Indicates

573 significant difference in speed (P<.05) between flat and banked curves independent of rein.

574

575

576

577 **Table 2: Mean (s.d.) stride length (m), duty factor (% stride), McIII, MtIII and relative**
578 **body inclination (degrees) for 6 horses at walk trot and canter on flat and banked**
579 **curves. Number of trials used to calculate the mean for each horse (n). Outside and**
580 **inside legs for each rein are shown separately.**

581
582

	n	Flat				Banked			
		Right turn		Left turn		Right turn		Left turn	
		Outside	Inside	Inside	Outside	Outside	Inside	Inside	Outside
Stride Length (m)									
Walk	3-7	1.8 (0.1)	1.7 (0.2)	1.7 (0.2)	1.8 (0.8)	1.7 (0.2)	1.6 (0.1)	1.6 (0.2)	1.7 (0.2)
Trot	3-9	2.7 (0.2)	2.6 (0.2)	2.6 (0.3)	2.7 (0.3)	2.7 (0.2)	2.6 (0.2)	2.6 (0.3)	2.7 (0.3)
Canter	3-10	2.6 (0.2)	2.5 (0.2)	2.4 (0.3)	2.5 (0.2)	2.7 (0.3)	2.6 (0.3)	2.4 (0.4)	2.7 (0.2)
Duty Factor (% stride)									
Walk	3-7	65.5 (2.3)	65.1 (2.2)	65.7 (1.9)	64.3 (6.5)	68.4 (1.1)	64.9 (1.9)	66.2 (3.0)	67.9 (1.6)
Trot	3-9	42.8 (2.9)	44.5 (1.6)	46.8 (2.9)	43.3 (2.9)	44.2 (2.3)	42.4 (2.2)	43.4 (2.5)	44.1 (3.9)
Canter	3-10	45.5 (4.2)	47.2 (2.6)	47.2 (1.2)	46.0 (2.9)	45.6 (2.7)	43.1 (2.3)	43.6 (3.6)	45.4 (2.6)
McIII inclination (degrees)									
Walk	3-9	-6.5 (0.9)	6.3 (1.9)	8.3 (2.6)	-7.8 (5.3)	-17.3 (1.8)	-1.9 (3.8)	-5.1 (1.4)	-16.4 (3.5)
Trot	4-12	14.5 (6.3)	20.8 (3.9)	20.2 (2.4)	17.3 (4.1)	4.2 (3.3)	9.5 (3.6)	9.3 (4.7)	5.6 (5.4)
Canter	3-14	23.1 (6.8)	31.0 (6.2)	27.6 (4.3)	20.9 (5.8)	16.7 (5.0)	19.1 (5.0)	19.4 (5.1)	15.8 (7.5)
MtIII inclination (degrees)									
Walk	3-11	6.3 (2.8)	5.5 (2.4)	6.9 (2.4)	6.6 (2.1)	-3.8 (2.8)	-2.5 (5.3)	-0.4 (3.0)	-3.4 (3.7)
Trot	3-12	17.8 (5.5)	23.7 (9.3)	21.4 (9.5)	14.3 (3.1)	3.3 (6.2)	14.1 (6.1)	16.9 (9.1)	6.0 (5.3)
Canter	3-15	28.7 (7.4)	30.0 (6.1)	22.5 (5.8)	25.4 (4.9)	16.5 (3.5)	20.9 (7.1)	25.9 (4.8)	20.0 (7.5)
Rel. body inclination (degrees)									
Walk	3-10	5.5 (1.7)	5.8 (2.5)	4.0 (1.5)	8.4 (1.5)	-1.7 (5.0)	-3.3 (3.9)	-2.4 (5.2)	-1.1 (3.8)
Trot	3-12	22.8 (1.4)	16.0 (4.7)	14.0 (6.6)	22.3 (4.9)	10.9 (3.0)	7.8 (4.4)	7.1 (8.6)	12.1 (7.2)
Canter	3-16	30.5 (2.6)	23.5 (6.7)	18.3 (5.6)	26.7 (8.0)	19.0 (5.5)	18.1 (4.0)	13.1 (3.9)	22.7 (7.0)

583

584 **Table 3: Results of the 2 surface angle (flat vs. banked) by 2 leg (inside vs. outside)**
585 **ANOVA for the dependent variables stride length, duty factor, McIII inclination, MtIII**
586 **inclination, trunk inclination and within body angle. In all instances rein did not**
587 **influence results and are therefore omitted from the analysis. Statistical definitions are**
588 **as follows; F is the F ratio which is the variance between the groups divided by the**
589 **variance within the groups, P is the significance and η^2 is the effect size.**

590

	Surface angle (flat vs. bank)			Leg (inside vs. outside)			Interaction surface angle X leg		
	F	P	η^2	F	P	η^2	F	P	η^2
Stride Length									
Walk	3.52	.07	.07	4.06	.05*	.08	0.002	.96	.00
Trot	0.01	.98	.00	2.29	.14	.05	.01	.94	.00
Canter	1.22	.28	.03	4.05	.05*	.08	0.22	.64	.01
Duty Factor									
Walk	4.06	.05*	.09	1.59	.21	.04	3.21	.08	.07
Trot	1.11	.30	.03	0.73	.40	.02	6.06	.02*	.12
Canter	6.59	.01**	.13	0.18	.68	.00	4.90	.03*	.10
McIII Inclination									
Walk	143.4	<.001**	.79	265.3	<.001**	.88	0.42	.52	.01
Trot	70.63	<.001**	.64	12.00	.001**	.23	0.01	.97	.00
Canter	22.44	<.001**	.36	9.39	.004**	.19	1.62	.21	.04
MtIII Inclination									
Walk	84.16	<.001**	.69	0.36	.55	.01	2.37	.15	.06
Trot	20.27	<.001**	.34	18.11	<.001**	.31	1.14	.29	.03
Canter	10.53	.002**	.22	1.48	.23	.04	2.77	.10	.07
Relative Body Inclination									
Walk	66.00	<.001**	.62	3.29	.08	.08	0.08	.78	.00
Trot	33.61	<.001**	.46	13.17	.001**	.25	1.19	.28	.03
Canter	17.37	<.001**	.31	17.19	<.001**	.31	0.63	.43	.02

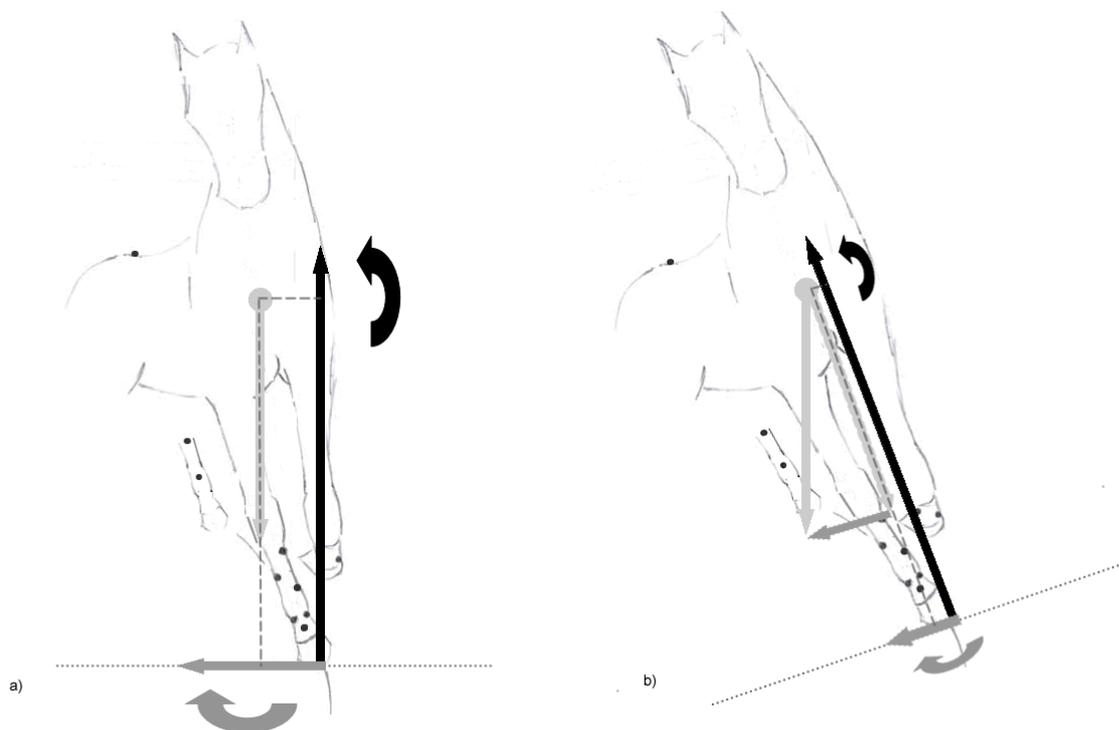
591 *P ≤ .05; **P < .01

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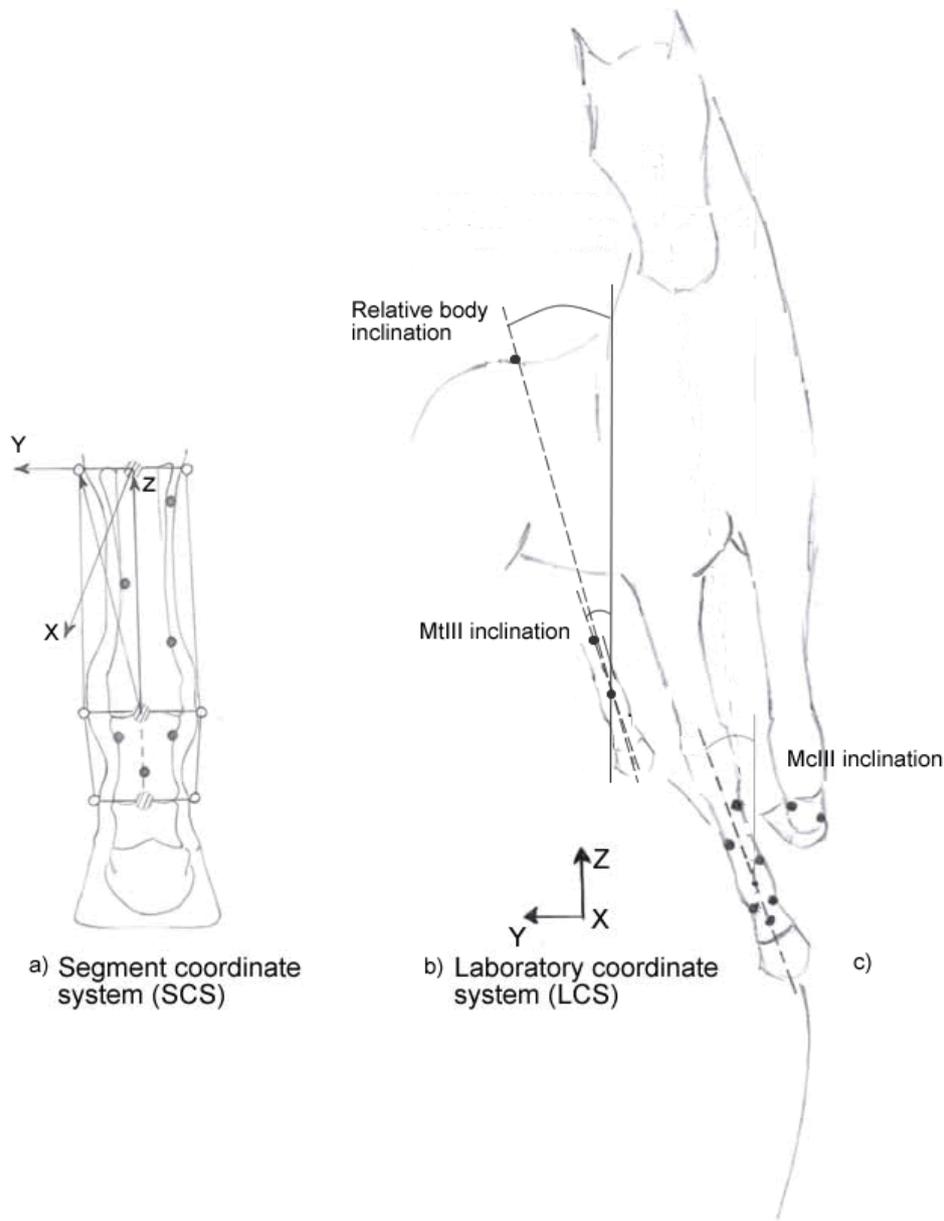
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599 **Figure 1: Theoretical GRFs and moments required in the frontal plane to negotiate a) a**
 600 **flat curve and b) a banked curve. In a) the centripetal force (inwardly directed GRF)**
 601 **(grey) is generated at the ground by the horse pushing outwards, which produces a**
 602 **clockwise moment around the centre of mass. The horse leans in, so that the normal**
 603 **GRF (black) is at a distance outside of the circle relative to the centre of mass. The**
 604 **clockwise moment (grey curved arrow) is therefore balanced with an anti-clockwise**
 605 **moment (black curved arrow) when the distance from the centre of mass to the normal**
 606 **GRF multiplied by the normal GRF equals the clockwise moment. In b) not as much**
 607 **centripetal force at the ground is required because a component of body weight (grey)**
 608 **acts down the slope. Consequently, the clockwise moment acting on the centre of mass**
 609 **from the centripetal force at the ground is smaller (grey curved arrow), so the balancing**
 610 **anti-clockwise moment (black curved arrow) is also smaller. The normal GRF (black)**
 611 **also shows the zero position for inclination measurements, which is perpendicular to the**
 612 **bearing surface.**



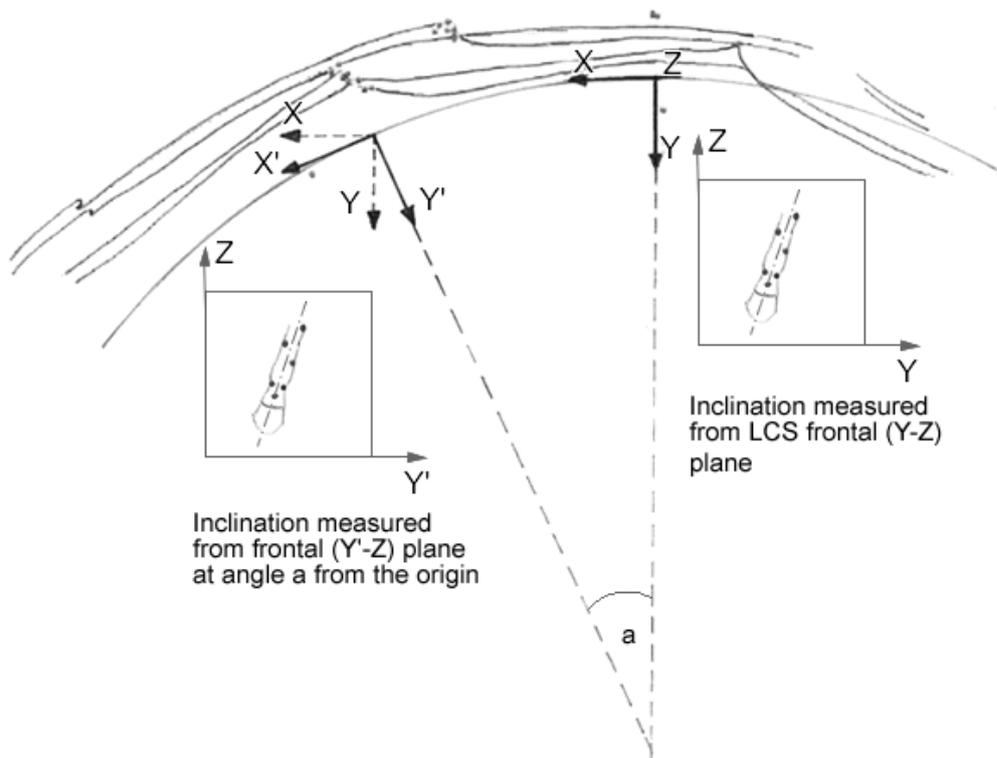
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615 **Figure 2: Definition of;** a) the segment coordinate system (SCS) for McIII and PI. The
 616 SCS is found by 1) defining segment end points from medial and lateral markers, 2)
 617 projecting the z axis from distal to proximal, 3) defining the y-z plane from the distal
 618 end point to the proximal lateral marker and using the z axis, 4) projecting the x axis
 619 forwards (dorsally), 5) calculating the y axis perpendicular to the x-z plane. The
 620 technique is illustrated for McIII. b) The laboratory coordinate system (LCS) (with the
 621 Z axis aligned vertically, the Y axis aligned towards the inside of the circle and the X
 622 axis aligned in the direction of motion (at a tangent to the circle)), and c) relative body
 623 inclination, MtIII and McIII inclination on the flat circle. Inclination is measured from
 624 the perpendicular to the bearing surface (vertical for a flat surface and at 10 degrees
 625 from the vertical towards the inside of the circle on a banked surface). This definition is
 626 similar to what might be described as a varus or valgus angle. For this study, a positive

627 inclination relates to an angle towards the inside of the circle, a negative inclination
628 relates to an angle towards the outside of the circle. The figure therefore shows positive
629 inclinations of McIII, MtIII and relative body inclination on the flat surface.
630

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634 **Figure 3: Path of McIII and PI segments from two strides of one horse at canter (lines**
635 **around the outside of the curve). Also illustrating the frontal plane at the origin of the**
636 **laboratory coordinate system (LCS) and the frontal plane at left, inside forelimb foot**
637 **strike at angle, a, from the origin of the LCS.**