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Optimization of cholesterol removal, growth and fermentation patterns of Lactobacillus acidophilus ATCC 4962 in the presence of mannitol, fructo-oligosaccharide and inulin: a response surface methodology approach

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1 **Optimization of Cholesterol Removal, Growth and Fermentation Patterns of**
2 ***Lactobacillus acidophilus* ATCC 4962 in Presence of Mannitol, FOS and Inulin: A**
3 **Response Surface Methodology Approach**

4

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9 **Running headline:** Optimizing removal of cholesterol

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26 **ABSTRACT**

27 **Aims:** To optimize cholesterol removal by *L. acidophilus* ATCC 4962 in the presence
28 of prebiotics, and study the growth and fermentation patterns of the prebiotics.

29 **Methods and Results:** *L. acidophilus* ATCC 4962 was screened in the presence of six
30 prebiotics, namely sorbitol, mannitol, maltodextrin, hi-amylose maize,
31 fructooligosaccharide (FOS) and inulin in order to determine the best combination for
32 highest level of cholesterol removal. The first-order model showed that the combination
33 of inoculum size, mannitol, FOS and inulin was best for removal of cholesterol. The
34 second-order polynomial regression model estimated the optimum condition of the
35 factors for cholesterol removal by *L. acidophilus* ATCC 4962 to be 2.64% w/v
36 inoculum size, 4.13% w/v mannitol, 3.29% w/v FOS and 5.81% w/v inulin. Analyses of
37 growth, mean doubling time and short-chain-fatty-acid (SCFA) production using
38 quadratic models indicated that cholesterol removal and the production of SCFA were
39 growth associated.

40 **Conclusions:** Optimum cholesterol removal was obtained from the fermentation of *L.*
41 *acidophilus* ATCC 4962 in the presence of mannitol, FOS and inulin. Cholesterol
42 removal and the production of SCFA appeared to be growth associated and highly
43 influenced by the prebiotics.

44 **Significance and impact of the study:** Response surface methodology (RSM) proved
45 reliable in developing the model, optimizing factors, and analyzing interaction effects.
46 The results provide better understanding on the interactions between probiotic and
47 prebiotics for the removal of cholesterol.

48

49 Key words: optimization; cholesterol removal; inoculum size; prebiotic; RSM

50

51 INTRODUCTION

52 Interest in the usage of probiotics for human health dated back to 1908 when
53 Metchnikoff suggested that man should consume milk fermented with lactobacilli to
54 prolong life (O'Sullivan et al. 1992). More recently, probiotics have been defined as
55 'cultures of live microorganisms that, applied in animals or humans, benefit the host by
56 improving properties of indigenous microflora' (Arihara and Itoh, 2000). They mainly
57 consist of lactobacilli, streptococci, enterococci, lactococci and bifidobacteria. Over the
58 years, lactobacilli have been associated with the improvement of lactose intolerance,
59 increase in natural resistance to infectious disease in gastrointestinal tract, suppression
60 of cancer, improved digestion and reduction in serum cholesterol level (Gibson and
61 Roberfroid, 1995). For hypercholesterolemic individuals, significant reductions in
62 plasma cholesterol levels are associated with a significant reduction in the risk of heart
63 attacks (Lourens-Hattingh and Viljoen, 2001). Various studies reported that lactobacilli
64 could lower total cholesterol and low-density-lipoprotein (LDL) cholesterol (Anderson
65 and Gilliland, 1999; Sanders, 2000).

66 Prebiotics are defined as nondigestible substances that exert biological effect on
67 humans by selectively stimulating the growth or bioactivity of beneficial
68 microorganisms either present, or therapeutically introduced to the intestine (Tomasik
69 and Tomasik, 2003). Several non-starchy polysaccharides such as
70 fructooligosaccharides, lactulose and β -cyclodextrin have been considered to have
71 prebiotic properties. Recently, polyols such as mannitol, sorbitol and xylitol have been
72 included to the prebiotics group (Klahorst, 2000). Prebiotics have been linked with
73 cholesterol reducing effects. It was previously found that hepatocytes isolated from
74 oligofructose-fed rats had a slightly lower capacity to synthesize triacylglycerol from
75 radiolabeled acetate. This led to the hypothesis that decreased de novo lipogenesis in the

76 liver, through lipogenic enzymes, is the key to reduction of VLDL-triglyceride secretion
77 in rats fed with oligosaccharides (Robertfroid and Delzenne, 1998). Administration of
78 oligofructose was postulated to modify lipogenic enzyme gene expression, observed by
79 a 50% reduction of activity of acetyl-CoA carboxylase, malic enzyme and ATP citrate
80 lyase (Delzenne and Kok, 2001).

81 Probiotics and prebiotics simultaneously present in a product are called either
82 synbiotics or eubiotics. Such a combination aids survival of the administered probiotic
83 and facilitates its inoculation into the colon. Additionally, the prebiotic induces growth
84 and increases activity of positive endogenic intestinal flora (Tomasik and Tomasik,
85 2003). Experiments with rats showed that synbiotics protect the organism from
86 carcinogens significantly better than either prebiotics or probiotics individually
87 (Gallaher and Khil, 1999). However, there is little information on suitable combinations
88 of probiotics and prebiotics specifically targeting removal or lowering of cholesterol.

89 Response surface methodology (RSM) is a collection of statistical and
90 mathematical techniques useful for developing, improving and optimizing processes. It
91 also has important applications in design, development and formulation of new
92 products, as well as improvement of existing product designs (Myers and Montgomery,
93 1995). Response surface models may involve main effects and interactions or have
94 quadratic and possibly cubic terms to account for curvature. It has been successfully
95 utilized to optimize compositions of microbiological media (Oh et al. 1995), improving
96 fermentation processes (Lee and Chen, 1997) and product development (Gomes and
97 Malcata, 1998). Conventional methods (such as one factor at one time) have been
98 applied previously to evaluate the *in vitro* performance of probiotics and/or prebiotics to
99 remove cholesterol. However, these methods require a large number of experiments to
100 describe the effect of individual factors, were time consuming, and no statistical method

101 was established to distinguish the interaction effects from main effects. Thus, the aim of
102 this study was to optimize cholesterol removal by using *L. acidophilus* ATCC 4962 in
103 the presence of mannitol, FOS and inulin, through the approach of response surface.

104

105 **MATERIALS AND METHODS**

106 **Bacteria and media preparation**

107 *L. acidophilus* ATCC 4962 is a human derived strain that was obtained from the
108 Australia Starter Culture Collection Center (ATCC) (Werribee, Australia). All stock
109 cultures were stored in 40% glycerol at $-80\text{ }^{\circ}\text{C}$, and transferred successively three times
110 in sterile de Mann, Rogosa, Sharpe (MRS) broth using 1% inoculum and 20 h
111 incubation at $37\text{ }^{\circ}\text{C}$ prior to use. The culture was then centrifuged at $4\text{ }^{\circ}\text{C}$ for 15 min at
112 $2714 \times g$ (Sorvall RT7, Newtown, Conn., U.S.A.). The supernatant was discarded while
113 the pellet was washed twice with sterile distilled water, resuspended by vortexing in 50
114 ml of 0.1 M phosphate buffer (pH 6.8), and recentrifuged at $2714 \times g$ at $4\text{ }^{\circ}\text{C}$ for 15 min.
115 After discarding the supernatant, 50 ml of 0.1 M phosphate buffer (pH 6.8) containing
116 2.0 % (w/v) of food grade cryoprotectant UnipectinTM RS 150 (Savannah Bio Systems,
117 Balwyn East, Australia) was added to the pellet. The mixture was vortexed, poured into
118 large petri dishes and freeze-dried (Dynavac FD300, Airvac Engineering Pty. Ltd.,
119 Rowville, Australia) at $-88\text{ }^{\circ}\text{C}$ for 40 h for primary freezing and 8 h for secondary
120 freezing. After freeze-drying, the hygroscopic cultures were transferred into sterile
121 sealed bags and stored at $-18\text{ }^{\circ}\text{C}$ until used. Six types of commercially available
122 prebiotics were used, namely sorbitol (Sigma Chemical Co., St. Louis, MO, U.S.A.),
123 mannitol (Sigma), maltodextrin (Grain Processing Corp., Muscatine, IA, U.S.A.), hi-
124 amylose maize (Starch Australasia Ltd., Lane Cove, NSW, Australia), inulin (Orafti
125 Pty. Ltd., Tienen, Belgium) and FOS (Orafti). FOS used was Raftilose P95 that

126 contained 5% of glucose, fructose and sucrose. It contained oligofructose with DP
127 ranging from 2 to 7, with an average DP of 4. Inulin used was Raftiline ST with a purity
128 of 92%, an average DP of 10. Hi-amylose maize contained > 70% amylose, and 32.5%
129 total dietary fiber.

130 All prebiotics were used at concentrations as per the experimental design (Table
131 1). Prebiotics were prepared in phosphate buffer (0.1 M, pH 6.0) containing ammonium
132 citrate (2.0 g L⁻¹), sodium acetate (5.0 g L⁻¹), magnesium sulfate (0.1 g L⁻¹), manganese
133 sulfate (0.05 g L⁻¹), dipotassium phosphate (2.0 g L⁻¹) and Tween 80 (1.0 ml L⁻¹).
134 Freeze-dried cells of *L. acidophilus* ATCC 4962 were inoculated at appropriate levels as
135 described in the experimental design.

136

137 **Cholesterol removal**

138 Freshly prepared media containing prebiotics were added with water-soluble
139 filter-sterilized cholesterol (polyoxyethanyl-cholesteryl sebacate), at a final
140 concentration of 70-100 µg ml⁻¹, inoculated with appropriate levels of freeze-dried *L.*
141 *acidophilus* ATCC 4962 (Table 1), and incubated anaerobically at 37 °C for 48 h. After
142 the incubation period, cells were centrifuged and the remaining cholesterol
143 concentration in the spent broth was determined using the OPA colorimetric method as
144 described previously (Rudel and Morris, 1973).

145

146 **Growth of *L. acidophilus* ATCC 4962 in the presence of prebiotics**

147 The growth was determined using the plate count method. Bacilli generally
148 divide in one plane, and can produce chains of cells due to the failure to separate
149 completely. Thus, at the end of the fermentation time, fermentation broth containing
150 probiotic cultures sonicated for 5 s to disrupt clumps of lactobacilli (Bermudez et al.

151 2001) before serial dilutions were performed. Subsequent serial dilution blanks were
152 vortexed for 30 s individually. One milliliter sample was taken after the incubation
153 period, and 10-fold serial dilutions were made using peptone water diluent. MRS agar
154 was used for plating and the plates were incubated anaerobically at 37 °C for 24 h in an
155 anaerobic jar (Becton Dickinson Microbiology Systems[®], Sparks, MD, U.S.A.) with a
156 Gas Generating Kit[®] (Oxoid, Ltd.). Growth was calculated as log₁₀ colony forming
157 units (CFU ml⁻¹) and expressed as percentage difference between initial growth values
158 obtained at time = 0 and at the end of the incubation period.

159

160 **Mean doubling time**

161 Mean doubling time was calculated as described previously (Shin et al. 2000).

162 The specific growth rate (μ) of the cultures was obtained using the following equation:

$$163 \quad \mu = (\ln X_2 - \ln X_1) / (t_2 - t_1)$$

164 where X_2 and X_1 are the cell density at time t_2 and t_1 , respectively. Mean doubling time

165 (T_d) was calculated as:

$$166 \quad T_d = \ln 2 / \mu, \text{ and expressed in min.}$$

167

168 **Short chain fatty acids (SCFA) determination**

169 The fermentation of prebiotics was determined by measuring short chain fatty

170 acids as the end products of fermentation using high performance liquid

171 chromatography (HPLC, Varian Australia Pty. Ltd., Mulgrave, Australia). At the end of

172 the incubation period, fermentation broths containing *L. acidophilus* ATCC 4962 and

173 the prebiotics used were centrifuged at 2714 x g at 4 °C for 15 min, and the supernatant

174 was prepared for HPLC analysis using the method as described previously (Dubey and

175 Mistry, 1996). Briefly, 5 ml of supernatant was added to 100 μ L of 15.5 N HNO₃ and 5

176 ml of 0.009 N H₂SO₄. The mixture was vortexed for 10 sec and recentrifuged at 14 000
177 x g for 10 min. The supernatant was filtered (0.20 µm) and stored at 4 °C until analysed.
178 SCFA was expressed as the total acetic, butyric and propionic acids.

179

180 **Experimental design and statistical analyses**

181 Screening experiments to select prebiotics were performed with seven
182 independent factors namely, inoculum size of *L. acidophilus* ATCC 4962 (X₁), sorbitol
183 (X₂), mannitol (X₃), maltodextrin (X₄), hi-amylose maize (X₅), inulin (X₆) and FOS
184 (X₇), using a two level partial factorial design 2⁷⁻² resulting in 64 experimental runs
185 (including duplicates) and 5 middle point runs. The units and the coded levels of the
186 independent factors are shown in Table 1. First order empirical equation was used to
187 exclude insignificant factors and to generate steepest ascent. Optimization was
188 performed using a rotatable central composite design (CCD) with an alpha value of ±
189 2.00 for four factors. The treatment combinations of CCD were allocated in 2 blocks,
190 with the first block representing the first day of the experiment and contained all
191 factorial runs accompanied by 4 center runs. The second block, representing the second
192 day of the experiment, contained all axial runs accompanied by 2 center runs. These
193 modeling and statistical analyses were performed using the Design Expert version 5.07
194 software (Stat-Ease Corp., Minneapolis, MN, U.S.A.). All data presented are means of
195 triplicate experiments, n = 3.

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201 **RESULTS**

202 **Screening of factors and steepest ascent**

203 Results from the two-level partial factorial design are shown in Table 1, while
204 analysis of variance (ANOVA) for the evaluation of the first-order model is shown in
205 Table 2. ANOVA showed that the model used was suitable, lack-of-fit test was
206 insignificant with only 9.58% total variation that was not explained by the model.
207 Removal of cholesterol was significantly influenced by inoculum size of *L. acidophilus*
208 ATCC 4962 (X_1), mannitol (X_3), FOS (X_6) and inulin (X_7), while the other prebiotics
209 were found to have insignificant influence and were not included in the ANOVA. Thus,
210 further optimization processes will only involve these four factors. A first-order
211 equation (coded term) was generated from this first-degree order model, for response of
212 cholesterol removal (Y), with the significant factors now redefined as inoculum size
213 (X_1), mannitol (X_2), FOS (X_3) and inulin (X_4):

$$214 \quad Y = 33.28 + 3.50X_1 + 1.17X_2 + 0.83X_3 + 1.17X_4.$$

215 From the equation and coefficient estimate, inoculum size (X_1) produced greatest effect
216 and was used as the fundamental scale in the next step, steepest ascent. In this study, the
217 steepest ascent design was based on the increase of 0.50 (% w/v) concentrations for X_1 .
218 This produced 5 design units ($0.50/0.10 = 5$). Thus, movement for X_2 was 1.67 design
219 units [$(1.17/3.50)(5) = 1.67$], for X_3 was 1.19 design units [$(0.83/3.50)(5) = 1.19$] and
220 for X_4 was 2.53 design units [$(1.17/3.50)(5) = 2.53$]. The following steepest ascent
221 coordinates were generated as shown in Table 3. Steepest ascent coordinates showed
222 that removal of cholesterol decreased after the fifth step, with highest value of 50.938
223 $\mu\text{g ml}^{-1}$, from the combination of inoculum size (2.20% w/v), mannitol (4.36% w/v),
224 FOS (3.40% w/v) and inulin (6.08% w/v). This combination was used as the middle
225 point for optimization experiments.

226 **Optimization of cholesterol removal**

227 Optimization was performed using CCD with fixed middle point of inoculum
228 size (2.20% w/v), mannitol (4.30% w/v), FOS (3.40% w/v) and inulin (6.00% w/v).
229 Design matrix for CCD and responses are shown in Table 4, while the adequacy and
230 fitness were evaluated by ANOVA and regression coefficients (Table 5). ANOVA
231 results indicated that the quadratic regression to produce the second-order model was
232 significant. Lack-of-fit test was insignificant and a good coefficient regression was
233 obtained. Inoculum size, mannitol, FOS and inulin significantly influenced cholesterol
234 removal.

235 The effect of each factors were further assessed using perturbation plots, to show
236 how the response changes as each factor moves from the chosen reference point, with
237 all other factors held constant at reference values (Oh et al. 1995). In this study, as one
238 particular chosen factor was assessed, the other factors were held constant at the
239 optimum point. Figure 1 shows the perturbation plot of the factors used in this study.
240 Although all factor showed significant quadratic effect, the curve with the most
241 prominent change was the perturbation curve of inoculum size, compared to the other
242 factors that were fixed at their maximum levels. Thus, we believe that inoculum size
243 was the most significant factor that contributed to the removal of cholesterol with the
244 most obvious quadratic effect. Although the P-values of both FOS and inulin showed
245 similar levels of significance, it could be clearly seen from the perturbation plot that the
246 response curve of inulin was less prominent than that of FOS.

247 The best explanatory equation to fit the second-order model and subsequently
248 produce the response surface was expressed as:

249
$$Y_0 = c + c_1X_1 + c_2X_2 + c_3X_3 + c_4X_4 + c_{11}X_1^2 + c_{22}X_2^2 + c_{33}X_3^2 + c_{44}X_4^2 +$$

250
$$c_{12}X_1X_2 + c_{13}X_1X_3 + c_{14}X_1X_4 + c_{23}X_2X_3 + c_{24}X_2X_4 + c_{34}X_3X_4$$

251 where $c_1 \dots c_{23}$ are regression coefficients and X_1, X_2, X_3, X_4 are the coded independent
252 factors. Here, the second-order regression model involved four factors, thus producing
253 four linear, four quadratic and six interaction terms. Response surface was generated
254 (Figure 2) based on the second-order equation:

$$\begin{aligned} 255 \quad Y_0 = & 56.58 + 6.38X_1 - 0.63X_2 - 1.49X_3 - 1.19X_4 - 7.34X_1^2 - 6.42X_2^2 - 5.97X_3^2 \\ 256 & - 5.75X_4^2 - 0.72X_1X_2 + 0.34X_1X_3 - 0.034X_1X_4 + 1.51X_2X_3 - 0.50X_2X_4 - \\ 257 & 1.01X_3X_4 \end{aligned}$$

258 An optimum point was produced with optimum cholesterol removal obtained at 58.142
259 $\mu\text{g ml}^{-1}$. The combination that produced the optimum point was $(X_1, X_2, X_3, X_4) =$
260 $(0.437, -0.082, -0.115, -0.092)$. The original levels that correlated with those coded
261 values were found to be inoculum size at 2.64% w/v, mannitol at 4.14% w/v, FOS at
262 3.28% w/v and inulin at 5.82% w/v.

263 All these predictions by the regression model were further ascertained by a
264 validation experiment. We compared the cholesterol removal patterns over a 24 h period
265 using four different media: the optimum medium (inoculum size: 2.60% w/v; mannitol:
266 4.10% w/v; FOS: 3.30% w/v; inulin: 5.80% w/v), the center-point medium (inoculum
267 size: 2.20% w/v; mannitol: 4.30% w/v; FOS: 3.40% w/v; inulin: 6.00% w/v), the high-
268 point medium (inoculum size: 3.20% w/v; mannitol: 6.30% w/v; FOS: 4.40% w/v;
269 inulin: 8.00% w/v) and the low-point medium (inoculum size: 1.20% w/v; mannitol:
270 2.30% w/v; FOS: 2.40% w/v; inulin: 4.00% w/v). The cholesterol removal curves are
271 shown in Figure 3. Although the exact cholesterol removal quantities were different
272 from the predictions, the patterns were in tandem with predictions by the model.
273 Highest cholesterol was removed from the optimum medium, and lower from the
274 center-point medium. Least cholesterol was removed from both high-point and low-
275 point media, as supported by the response surface of cholesterol removal (Figure 2).

276 **Growth, mean doubling time and production of SCFA**

277 We further studied patterns of growth, mean doubling time and production of
278 SCFA from the fermentation of prebiotics, at the experimental regions used to obtain
279 optimum removal of cholesterol. The response obtained using the CCD is shown in
280 Table 6. The statistical analyses with coefficient estimates and the significance of each
281 response model are presented in Table 7.

282 The response surface of growth (Y_1) is shown in Figure 4, and was generated
283 based on the following coded factor equation:

$$\begin{aligned} 284 \quad Y_1 = & 41.97 + 2.49X_1 - 0.12X_2 - 1.49X_3 - 3.35X_4 - 3.90X_1^2 - 4.05X_2^2 - 2.77X_3^2 \\ 285 \quad & - 0.50X_4^2 - 0.22X_1X_2 + 1.66X_1X_3 + 1.63X_1X_4 + 0.89X_2X_3 - 0.08X_2X_4 + \\ 286 \quad & 0.53X_3X_4 \end{aligned}$$

287 The response surface clearly indicated that an optimum point (45.21%) was produced
288 with X_1 , X_2 , X_3 and X_4 at 2.23% w/v, 4.21% w/v, 3.04% w/v and 4.00% w/v,
289 respectively. Growth increased with increasing inoculum size level from 1.20% w/v to
290 2.23% w/v. Further increase in concentrations of inoculum size beyond 1.69% w/v
291 generated a decrease in growth. Similarly, increasing concentrations of mannitol and
292 FOS from 2.30% w/v to 4.21% w/v and 2.40% w/v to 3.04% w/v, respectively,
293 increased growth, but further increase in the prebiotics concentration generated a
294 decrease in growth. Inulin produced highest growth at its lowest concentration of 4.00%
295 w/v, and produced lowest growth at its highest concentration of 8.00% w/v. It appeared
296 that growth of *L. acidophilus* ATCC 4962 was influenced by inulin in a linear manner,
297 while inoculum size, mannitol and FOS showed significant quadratic effects. Other than
298 main quadratic effects, interactions between inoculum size and FOS, and inoculum size
299 and inulin produced strongest influence towards growth, while the other interactions
300 were insignificant.

301 In this study, patterns of mean doubling time (Y_2) were studied using the
302 response surface (Figure 5) that was generated from the equation:

$$\begin{aligned} 303 \quad Y_2 = & 291.21 + 1.53X_1 + 0.32X_2 - 0.28X_3 - 1.31X_4 - 0.97X_1^2 + 0.095X_2^2 - \\ 304 \quad & 0.40X_3^2 - 0.60X_4^2 + 0.34X_1X_2 + 0.42X_1X_3 - 0.16X_1X_4 + 0.66X_2X_3 - \\ 305 \quad & 0.21X_2X_4 + 0.70X_3X_4 \end{aligned}$$

306 Inoculum size, FOS and inulin showed significant quadratic effect, while mannitol did
307 not (Table 7). FOS mainly contributed to the interaction effects, with only interaction
308 terms involving FOS showed significant influence on mean doubling time. All these
309 significant interaction terms also showed positive regression coefficients, indicating that
310 either a decrease or increase in both factors will contribute to an increase in mean
311 doubling times.

312 The SCFA (Y_3) was obtained as a total of individual fatty acids, namely acetic,
313 butyric and propionic acids. A response surface (Figure 6) was generated from the
314 second-order equation:

$$\begin{aligned} 315 \quad Y_3 = & 60.03 + 6.67X_1 + 0.62X_2 + 2.30X_3 + 3.29X_4 - 6.08X_1^2 - 9.65X_2^2 - \\ 316 \quad & 10.69X_3^2 - 12.34X_4^2 + 0.66X_1X_2 + 3.80X_1X_3 + 4.84X_1X_4 + 1.45X_2X_3 \\ 317 \quad & + 1.29X_2X_4 + 3.20X_3X_4 \end{aligned}$$

318 All factors produced significant quadratic effects on production of SCFA. Response
319 surfaces produced showed that the production of SCFA appeared to be growth
320 associated.

321

322 **DISCUSSION**

323 Various factors normally affect the response surfaces that are produced. Thus,
324 screening experiments are needed to segregate important main effects from less
325 important ones (Montgomery, 1996). In this study, first degree order equation was

326 generated and significance of factors was tested using screening experiments. A
327 complete replication of the seven factors using a 2^x factorial design would need 128
328 experimental runs. However, only seven degree of freedoms would be needed to
329 estimate main effects, and 21 degree of freedoms would estimate two-factor interaction
330 effects, while the remaining 99 degree of freedoms would estimate error or/and three or
331 higher-factor interaction effects (Cox and Reid, 2000). Thus, a partial two-level factorial
332 design (2^{7-2}) was applied in this study. Partial factorial designs are capable of
333 identifying important factors using less number of experimental runs without loss of
334 information on main factor effects and their interactions (Li et al. 2002). Following the
335 screening of significant factors, design points were subjected to steepest ascent before
336 subsequent optimization steps. Steepest ascent or steepest descent involved the
337 generation of mathematical movements along an ascending or descending path until no
338 improvement occurred (Montgomery, 1996).

339 A significant quadratic regression, insignificant lack-of-fit and a small total
340 variation (4.60%) that was not explained by the model, suggested that the model
341 accurately represented data in the experimental region. This also indicated that second-
342 order terms were sufficient and higher-order terms were not necessary (Oh et al. 1995).
343 It must also be noted that the t value of the quadratic term of inoculum size (X_1^2) was
344 higher than others (Table 5), indicating that the quadratic effect of inoculum size had
345 the strongest effect on cholesterol removal, which was also confirmed using the
346 perturbation plot. Validation experiments showed that the predicted value was 58.142
347 $\mu\text{g/ml}$ while the actual experimental result was 52.941 $\mu\text{g/ml}$. However, it must be
348 noted that the conditions for both were slightly different. The predicted value was
349 obtained at the predicted 2.64% w/v inoculum size, 4.14% w/v mannitol, 3.28% w/v
350 FOS and 5.82% w/v inulin, while the actual experiments were conducted with 2.60%

351 w/v inoculum size, 4.10% w/v mannitol, 3.30% w/v FOS and 5.80% w/v inulin. Under
352 such dissimilarity, the difference between the prediction and actual data was only
353 8.95%. The obvious difference of cholesterol removal between the optimum, high-
354 point, low-point and center-point media proved the validity of the model and the
355 reproducibility of the prediction.

356 From Table 5, it must be noted that the coefficient estimates of the interaction
357 terms of (X_2, X_4) and (X_3, X_4) had negative signs ($X_{24} = -0.50$, $X_{34} = -1.01$). These
358 negative signs may imply that for an increase of the response, the coded levels of $(X_2,$
359 $X_4)$ and (X_3, X_4) must have different signs, either one must be higher than zero and the
360 other lower than zero (Oh et al. 1995). However, it must be noted that the optimum was
361 achieved at $(X_2 = -0.082, X_4 = -0.092)$ and $(X_3 = -0.115, X_4 = -0.092)$, which would
362 produce a positive sign instead. This may be due to other terms that may dominate this
363 particular interaction term (Oh et al. 1995). Considering that the lack-of-fit test was
364 insignificant, other higher terms would not have contributed to this, thus, we postulate
365 that the linear term might have played a role.

366 The response surface of growth showed similar patterns with the response
367 surface of removal of cholesterol, indicating a strong correlation between removal of
368 cholesterol and growth. Previous studies also showed that cholesterol assimilation by
369 strains of *L. acidophilus* during refrigerated storage of nonfermented milk was
370 associated with bacterial growth and their viability, and was growth dependent (Piston
371 and Gilliland, 1994; Pereira and Gibson, 2002). This has led us to postulate that
372 cholesterol removal *in-vitro* was growth associated. Significant interaction terms of
373 inoculum size with FOS and inulin showed that these two prebiotics strongly
374 encouraged growth of *L. acidophilus* ATCC 4962. Comparing these two, a higher
375 coefficient of regression for X_1X_3 than X_1X_4 indicated that FOS was more preferred

376 than inulin. Studies using bifidobacteria showed that the bifidogenic effects of inulin
377 and FOS are independent of chain lengths or GF_n type. FOS of the GF₂ and GF₃ moiety
378 were also found to be more rapidly consumed compared to GF₄ (Kaplan and Hutkins,
379 2000). All these may have contributed to the preference of *L. acidophilus* ATCC 4962
380 on FOS than on inulin, and the fact that linear decrease in concentration of inulin
381 contributed to an increase in growth.

382 Mean doubling time was used as a measure of the effectiveness of a specific
383 carbon source in modulating bacterial growth rate (Bruno et al. 2002). Of all factors,
384 FOS contributed significantly in the interaction patterns of mean doubling time, and
385 higher growth rates (lower mean doubling time) were obtained at lower concentration of
386 FOS (Figure 5). It was previously reported that both the uptake and hydrolysis of FOS
387 are induced by higher oligosaccharides but repressed by products of their hydrolysis
388 (Kaplan and Hutkins, 2003). In this experiment, it appeared that at higher concentration
389 of FOS, more product of hydrolysis were produced and repressed bacterial growth rate,
390 producing a higher mean doubling time. It must also be noted that the interaction
391 between FOS and inulin produced lower mean doubling times when one factor was at
392 lower levels and the other at higher levels . This indicated that when FOS was at its
393 lower level, *L. acidophilus* ATCC 4962 utilized a higher level of inulin for higher
394 growth rate and vice versa. It appeared that although *L. acidophilus* ATCC 4962
395 preferred FOS over inulin, but under conditions of substrate limitation, inulin was
396 beneficially utilized for the modulation of growth rate.

397 The major products of metabolism of prebiotics are short chain fatty acids
398 (SCFA), carbon dioxide and hydrogen, and bacterial cell mass (Cummings et al. 2001).
399 Although much work has been done on SCFA production and the significance of the
400 individual acids, no particular pattern of SCFA production from prebiotic fermentation

401 has emerged as yet. Hence, in this study, we analyzed the SCFA production from
402 fermentation of mannitol, FOS and inulin by *L. acidophilus* ATCC 4962. Production of
403 SCFA appeared to be growth associated and correlated with the patterns of cholesterol
404 removal. Although all factors significantly affected the production of SCFA, mannitol
405 exhibited the strongest effect (Table 7). While the utilization of FOS and inulin has been
406 widely reported, the utilization of mannitol to produce high concentration of SCFA was
407 less studied and was also found to be strain dependent. Lactic acid bacteria that
408 produced NADH oxidase would have the alternative NADH-H⁺-oxidizing mechanism,
409 resulting in higher ability to grow on substrates more chemically reduced than glucose,
410 such as mannitol (Stanton et al. 1999). This may contribute to the better growth of *L.*
411 *acidophilus* ATCC 4962 in the presence of mannitol and subsequently produced higher
412 amount of SCFA and higher cholesterol removal. Previous study showed that strains of
413 *L. acidophilus* that utilized mannitol also exhibited capability of cholesterol uptake
414 (Gupta et al. 1996).

415 In conclusion, cholesterol removal was optimized after selecting a combination
416 of inoculum size and prebiotic, with the predicted optimum removal of 58.142 µg ml⁻¹
417 obtained at 2.64% w/v inoculum size, 4.14% w/v mannitol, 3.28% w/v FOS and 5.82%
418 w/v inulin. Validation experiment showed that RSM was reliable in developing a
419 model, optimization of factors, and analysis of interaction effects. Analysis of growth,
420 mean doubling time and production of SCFA showed that cholesterol removal and the
421 production of SCFA was growth associated.

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525 Table1. Treatment combinations and response for screening experiments.

Standard order	Factors*							Response
	Inoculum size (% w/v)	Sorbitol (% w/v)	Mannitol (% w/v)	FOS (% w/v)	Hi-maize (% w/v)	Inulin (% w/v)	Maltodextrin (% w/v)	Cholesterol assimilated ($\mu\text{g ml}^{-1}$)
1	-1	-1	-1	-1	-1	1	1	31.36
3	1	-1	-1	-1	-1	-1	-1	33.13
5	-1	1	-1	-1	-1	-1	-1	25.52
7	1	1	-1	-1	-1	1	1	36.09
9	-1	-1	1	-1	-1	-1	1	27.71
11	1	-1	1	-1	-1	1	-1	39.17
13	-1	1	1	-1	-1	1	-1	32.53
15	1	1	1	-1	-1	-1	1	36.15
17	-1	-1	-1	1	-1	-1	-1	27.50
19	1	-1	-1	1	-1	1	1	39.01
21	-1	1	-1	1	-1	1	1	31.51
23	1	1	-1	1	-1	-1	-1	34.90
25	-1	-1	1	1	-1	1	-1	34.58
27	1	-1	1	1	-1	-1	1	36.15
29	-1	1	1	1	-1	-1	1	30.64
31	1	1	1	1	-1	1	-1	39.58
33	-1	-1	-1	-1	1	1	-1	28.70
35	1	-1	-1	-1	1	-1	1	34.22
37	-1	1	-1	-1	1	-1	1	26.30
39	1	1	-1	-1	1	1	-1	36.20
41	-1	-1	1	-1	1	-1	-1	28.49
43	1	-1	1	-1	1	1	1	38.54
45	-1	1	1	-1	1	1	1	31.09
47	1	1	1	-1	1	-1	-1	34.01
49	-1	-1	-1	1	1	-1	1	25.25
51	1	-1	-1	1	1	1	-1	38.23
53	-1	1	-1	1	1	1	-1	30.16
55	1	1	-1	1	1	-1	1	35.73
57	-1	-1	1	1	1	1	1	33.59
59	1	-1	1	1	1	-1	-1	36.82
61	-1	1	1	1	1	-1	-1	31.61
63	1	1	1	1	1	1	1	40.52
65	0	0	0	0	0	0	0	32.81
66	0	0	0	0	0	0	0	31.98
67	0	0	0	0	0	0	0	33.02
68	0	0	0	0	0	0	0	31.88
69	0	0	0	0	0	0	0	33.96

526 *Inoculum size: 0.10-0.30% w/v; Sorbitol: 0.50-1.50% w/v; Mannitol: 0.50-1.50% w/v;
 527 Maltodextrin: 0.50-1.50% w/v; Hi-amylose maize: 0.50-1.50% w/v; FOS: 0.50-1.50%
 528 w/v; Inulin: 0.50-1.50% w/v.

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532 Table 2. Analysis of variance and coefficient estimates for the evaluation of the first-
 533 order model.

Source of variation	Sum of squares	DF*	Mean square	F-value	P-value
Model†	1115.371	4	278.84	148.73	< 0.0001
Curvature	1.41	1	1.41	0.75	0.3890
Residual	118.11	63	1.87		
Lack-of-fit	49.73	27	1.84	0.97	0.5269
Pure error	68.38	36	1.90		
Correlation total	1234.90	68			

Factor:	Coefficient estimate	DF	Standard error	t-value	P-value
Inoculum size (X ₁)	3.50	1	0.17	20.43	0.0001‡
Mannitol (X ₃)	1.17	1	0.17	6.83	0.0001‡
FOS (X ₆)	0.83	1	0.17	4.85	0.0001‡
Inulin (X ₇)	1.77	1	0.17	10.36	0.0001‡

534 *DF: degree of freedom.
 535 †R² = 0.9042.
 536 ‡Significant at alpha 0.05.

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559 Table 3: Coordination path of steepest ascent for all chosen factors in coded and natural levels.

Step	Coded factors*				Natural factors†				Cholesterol removed ($\mu\text{g ml}^{-1}$)
	ξ_1	ξ_3	ξ_6	ξ_7	X_1	X_2	X_3	X_4	
1) Base	0	0	0	0	0.20	1.00	1.00	1.00	16.478
Δ	5	1.67	1.19	2.53	(5)(0.1) = 0.5	(1.67)(0.50) = 0.84	(1.19)(0.50) = 0.60	(2.53)(0.50) = 1.27	
2) Base + Δ	5	1.67	1.19	2.53	0.70	1.84	1.60	2.27	36.563
3) Base + 2 Δ	10	3.34	2.38	5.06	1.20	2.68	2.20	3.54	44.375
4) Base + 3 Δ	15	5.01	3.57	7.59	1.70	3.52	2.80	4.81	50.781
5) Base + 4 Δ	20	6.68	4.76	10.12	2.20	4.36	3.40	6.08	50.938
6) Base + 5 Δ	25	8.35	5.95	12.65	2.70	5.20	4.00	7.35	48.813
7) Base + 6 Δ	30	10.02	7.14	15.18	3.20	6.04	4.60	8.62	47.497

560 * ξ_1 : inoculum size (% w/v), ξ_3 : mannitol (% w/v), ξ_6 : FOS (% w/v); ξ_7 : inulin (% w/v).

561 † X_1 : inoculum size (% w/v), X_2 : mannitol (% w/v), X_3 : FOS (% w/v); X_4 : inulin (% w/v).

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576 Table 4. Combination matrix of the central composite design (CCD) using coded levels
 577 for the response of cholesterol removal.

Standard run	Block*	Factors				Cholesterol removal ($\mu\text{g ml}^{-1}$)†
		Inoculum size (X_1)	Mannitol (X_2)	FOS (X_3)	Inulin (X_4)	
1	1	-1	-1	-1	-1	30.367
2	1	1	-1	-1	-1	46.304
3	1	-1	1	-1	-1	29.586
4	1	1	1	-1	-1	41.461
5	1	-1	-1	1	-1	26.461
6	1	1	-1	1	-1	42.086
7	1	-1	1	1	-1	31.929
8	1	1	1	1	-1	47.086
9	1	-1	-1	-1	1	28.023
10	1	1	-1	-1	1	40.367
11	1	-1	1	-1	1	23.648
12	1	1	1	-1	1	39.117
13	1	-1	-1	1	1	18.023
14	1	1	-1	1	1	38.179
15	1	-1	1	1	1	24.273
16	1	1	1	1	1	34.351
17	1	0	0	0	0	53.179
18	1	0	0	0	0	63.648
19	1	0	0	0	0	56.304
20	1	0	0	0	0	60.054
21	2	-2	0	0	0	15.211
22	2	2	0	0	0	33.414
23	2	0	-2	0	0	32.164
24	2	0	2	0	0	23.804
25	2	0	0	-2	0	34.586
26	2	0	0	2	0	24.976
27	2	0	0	0	-2	25.523
28	2	0	0	0	2	35.836
29	2	0	0	0	0	60.836
30	2	0	0	0	0	50.523

578 *1, first day of experiment; 2, second day of experiment.

579 †All factorial and axial points are means of duplicates.

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584 Table 5. Analysis of variance of the second-order model* and coefficient estimates for
 585 the response Y_0 and factors X_1 , X_2 , X_3 and X_4 .

Source	Sum of squares	DF	Mean square	F-value	P-value
Model†	4302.42	14	307.32	10.78	0.0001
Residual	399.17	14	28.51		
Lack-of-Fit	284.11	10	28.41	0.99	0.5541
Pure error	115.07	4	28.77		
Total	4870.60	29			

Factor‡	Coefficient estimate	DF	Standard error	t-value	P-value
Intercept	$c = 56.58$	1	2.21		
X_1	$c_1 = 6.38$	1	1.09	5.85	0.0001§
X_2	$c_2 = -0.63$	1	1.09	-0.58	0.5735
X_3	$c_3 = -1.49$	1	1.09	-1.36	0.1938
X_4	$C_4 = -1.19$	1	1.09	-1.10	0.2915
X_1^2	$c_{11} = -7.34$	1	1.02	-7.20	0.0001§
X_2^2	$c_{22} = -6.42$	1	1.02	-6.30	0.0001§
X_3^2	$c_{33} = -5.97$	1	1.02	-5.86	0.0001§
X_4^2	$C_{44} = -5.75$	1	1.02	-5.64	0.0001§
X_1X_2	$c_{12} = -0.72$	1	1.33	-0.54	0.5993
X_1X_3	$c_{13} = 0.34$	1	1.33	0.250	0.8044
X_1X_4	$C_{14} = -0.034$	1	1.33	-0.026	0.9799
X_2X_3	$C_{23} = 1.51$	1	1.33	1.13	0.2774
X_2X_4	$C_{24} = -0.50$	1	1.33	-0.38	0.7120
X_3X_4	$C_{34} = -1.01$	1	1.33	-0.76	0.4615

586 * $Y_0 = 56.58 + 6.38X_1 - 0.63X_2 - 1.49X_3 - 1.19X_4 - 7.34X_1^2 - 6.42X_2^2 - 5.97X_3^2 -$
 587 $5.75X_4^2 - 0.72X_1X_2 + 0.34X_1X_3 - 0.034X_1X_4 + 1.51X_2X_3 - 0.50X_2X_4 - 1.01X_3X_4$
 588 † $R^2 = 0.9540$.

589 ‡ X_1 : inoculum size (% w/v), X_2 : mannitol (% w/v), X_3 : FOS (% w/v), X_4 : inulin (%
 590 w/v).

591 §Significant at alpha 0.05.

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600 Table 6. Combination matrix of the central composite design (CCD) using coded levels
 601 for the factors and five responses.

Standard run	Block*	Factors†				Responses‡		
		X ₁	X ₂	X ₃	X ₄	Y ₁	Y ₂	Y ₃
1	1	-1	-1	-1	-1	39.629	288.677	6.308
2	1	1	-1	-1	-1	35.996	290.797	13.064
3	1	-1	1	-1	-1	38.381	288.303	8.220
4	1	1	1	-1	-1	33.925	290.649	16.503
5	1	-1	-1	1	-1	28.365	284.406	5.992
6	1	1	-1	1	-1	35.774	288.435	16.711
7	1	-1	1	1	-1	30.550	286.989	8.915
8	1	1	1	1	-1	36.249	290.791	15.324
9	1	-1	-1	-1	1	28.398	287.901	5.131
10	1	1	-1	-1	1	32.935	288.418	24.531
11	1	-1	1	-1	1	23.948	285.813	11.966
12	1	1	1	-1	1	32.318	288.530	17.959
13	1	-1	-1	1	1	20.730	286.911	7.239
14	1	1	-1	1	1	32.278	288.579	35.922
15	1	-1	1	1	1	24.742	286.840	7.448
16	1	1	1	1	1	31.398	291.750	62.947
17	1	0	0	0	0	38.706	290.243	67.026
18	1	0	0	0	0	48.981	291.175	53.419
19	1	0	0	0	0	38.739	290.372	46.826
20	1	0	0	0	0	42.216	291.505	67.139
21	2	-2	0	0	0	19.677	284.734	36.543
22	2	2	0	0	0	31.106	292.091	45.701
23	2	0	-2	0	0	24.825	292.169	31.714
24	2	0	2	0	0	24.734	293.195	22.015
25	2	0	0	-2	0	32.519	291.310	23.119
26	2	0	0	2	0	27.326	290.102	22.252
27	2	0	0	0	-2	46.054	290.716	16.866
28	2	0	0	0	2	31.942	289.108	15.285
29	2	0	0	0	0	45.946	290.791	44.787
30	2	0	0	0	0	38.688	291.465	72.814

602 *1, first day of experiment; 2, second day of experiment.

603 †X₁ = inoculum size, X₂ = mannitol, X₃ = FOS, X₄ = inulin.

604 ‡Y₁ = growth (%), Y₂ = mean doubling time (min), Y₃ = SCFA (mmol l⁻¹).

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609 Table 7. Regression coefficients of the second-order equation* for the five responses†.

Coefficient	Y ₁	Y ₂	Y ₃
c	41.97	291.21	60.03
c ₁	2.46‡	1.53‡	6.67‡
c ₂	-0.12	0.32	0.62
c ₃	-1.49‡	-0.28	2.30
c ₄	-3.35‡	-0.31	3.29
c ₁₁	-3.90‡	-0.97‡	-6.08‡
c ₂₂	-4.05‡	0.095	-9.65‡
c ₃₃	-2.77‡	-0.40‡	-10.69‡
c ₄₄	-0.50	-0.60‡	-12.34‡
c ₁₂	-0.22	0.34	0.66
c ₁₃	1.66‡	0.42‡	3.80
c ₁₄	1.63‡	-0.16	4.84
c ₂₃	0.89	0.66‡	1.45
c ₂₄	-0.08	-0.21	1.29
c ₃₄	0.53	0.70‡	3.20
R ²	0.9173	0.9377	0.8448
P-value	0.0001	0.0001	0.0016

610 * $Y = c + c_1X_1 + c_2X_2 + c_3X_3 + c_{11}X_1^2 + c_{22}X_2^2 + c_{33}X_3^2 + c_{12}X_1X_2 + c_{13}X_1X_3 +$
611 $c_{23}X_2X_3$.

612 †Y₁ = growth (%), Y₂ = mean doubling time (min), Y₃ = SCFA (mmol l⁻¹).

613 ‡Significant at alpha = 0.05.

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627 **Figure 1.** Perturbation plot of inoculum size (A), mannitol (B), FOS (C) and inulin (D).
628

629 **Figure 2.** Response surface for cholesterol removal ($\mu\text{g ml}^{-1}$) from the effects of (A)
630 FOS and mannitol, and (B) inoculum size and inulin. Factors that were not included in
631 the axes were fixed at their respective optimum levels.

632

633 **Figure 3.** Cholesterol removal by *L. acidophilus* ATCC 4962 in the optimum (■),
634 center-point (●), high-point (▲) and low-point (◆) media, for the validation
635 experiments. Factors combination for optimum medium were: inoculum size 2.60%
636 w/v, mannitol 4.10% w/v, FOS 3.30% w/v and inulin 5.80% w/v. Center-point medium
637 were: inoculum size 2.20% w/v, mannitol 4.30% w/v, FOS 3.400% w/v and inulin
638 6.00% w/v. High-point medium were: inoculum size 3.20% w/v, mannitol 6.30% w/v,
639 FOS 4.40% w/v and inulin 8.00% w/v, and low-point medium were inoculum size
640 1.20% w/v, mannitol 2.30% w/v, FOS 2.40% w/v and inulin 4.00% w/v. Error bars
641 represent standard error of means; n = 3.

642

643 **Figure 4.** Response surface for growth (%) from the effects of (A) FOS and mannitol,
644 and (B) inoculum size and inulin. Factors that were not included in the axes were fixed
645 at their respective optimum levels.

646

647 **Figure 5.** Response surface for mean doubling time (min) from the effects of (A)
648 inoculum size and FOS, and (B) FOS and inulin. Factors that were not included in the
649 axes were fixed at their respective optimum levels.

650

651 **Figure 6.** Response surface for the production of SCFA (mmol l^{-1}) from the effects of
652 (A) FOS and mannitol, and (B) inoculum size and inulin. Factors that were not included
653 in the axes were fixed at their respective optimum levels.

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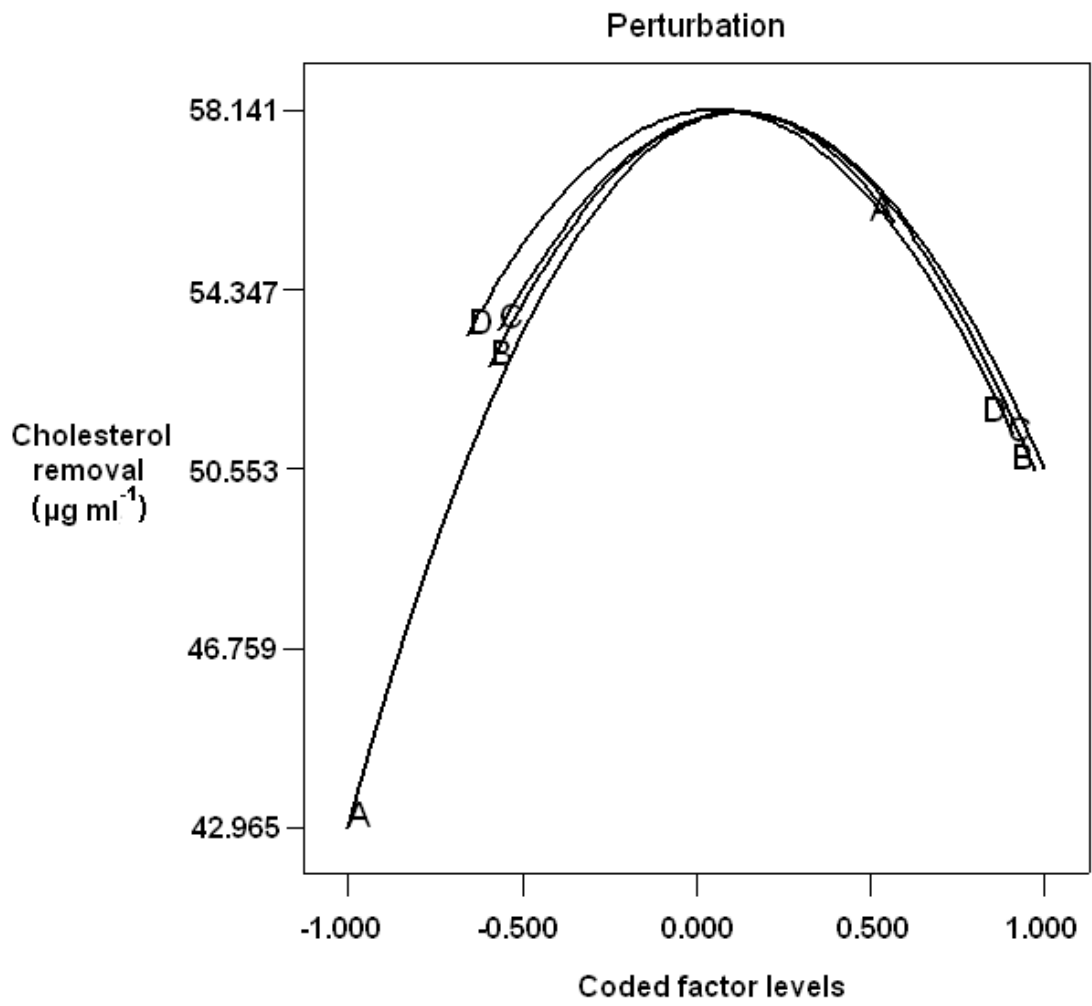
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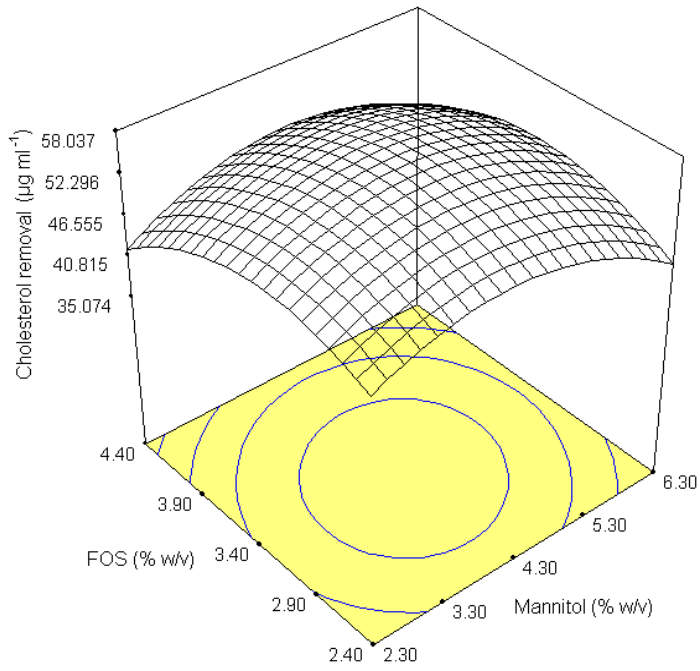
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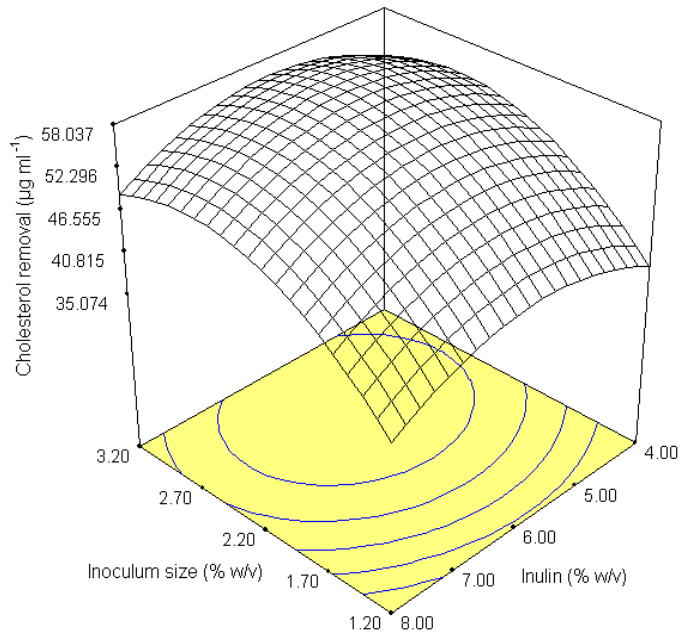


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Figure 1.



(A)

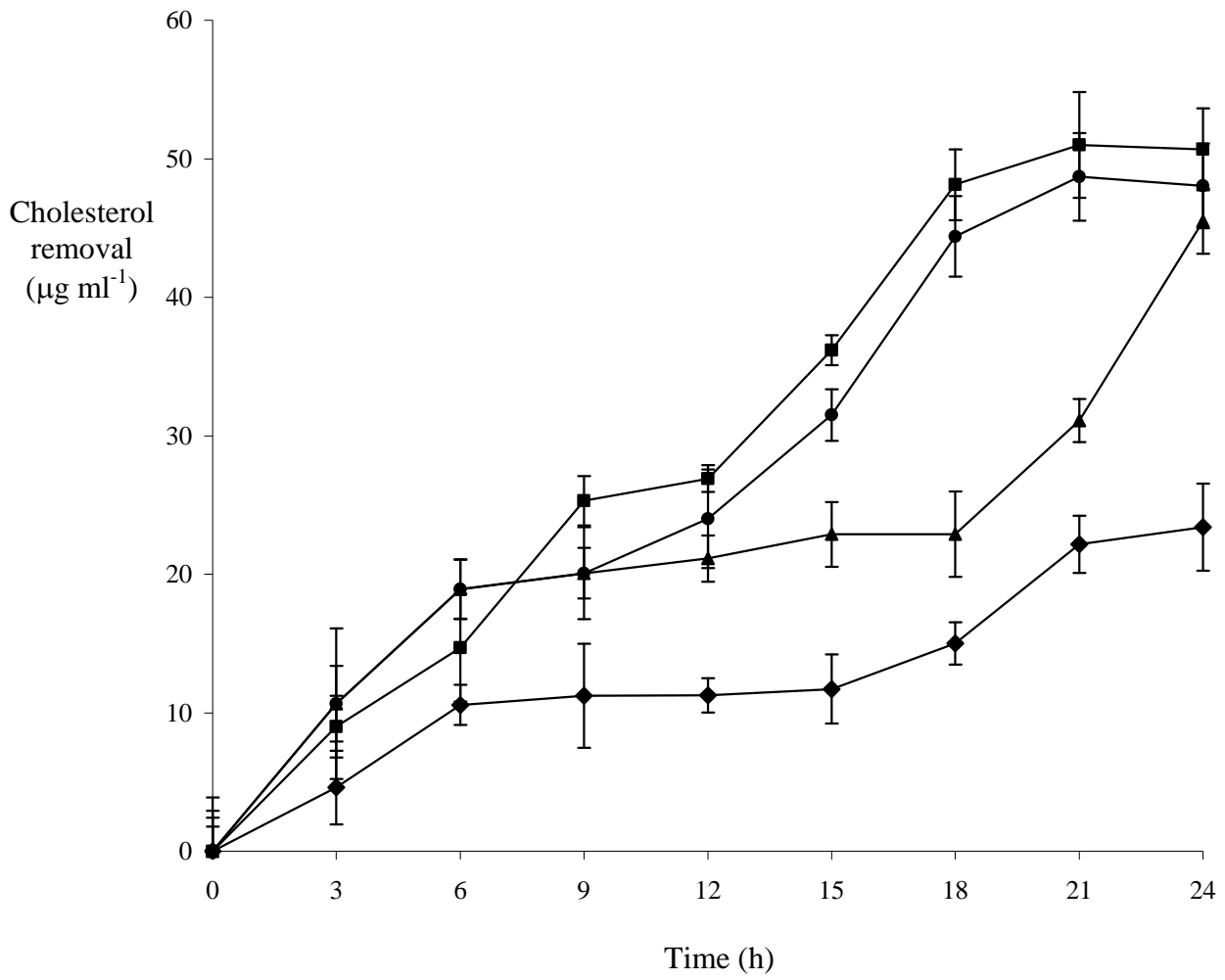


(B)

Figure 2.

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688 **Figure 3.**

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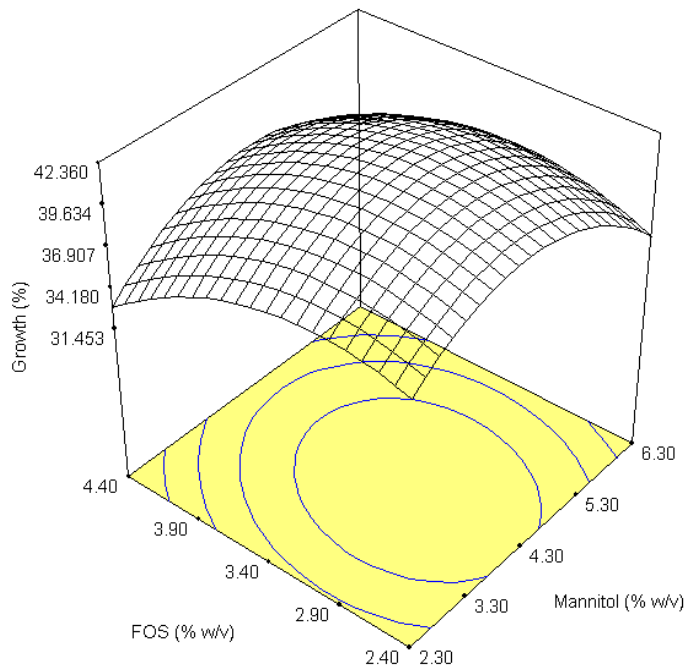
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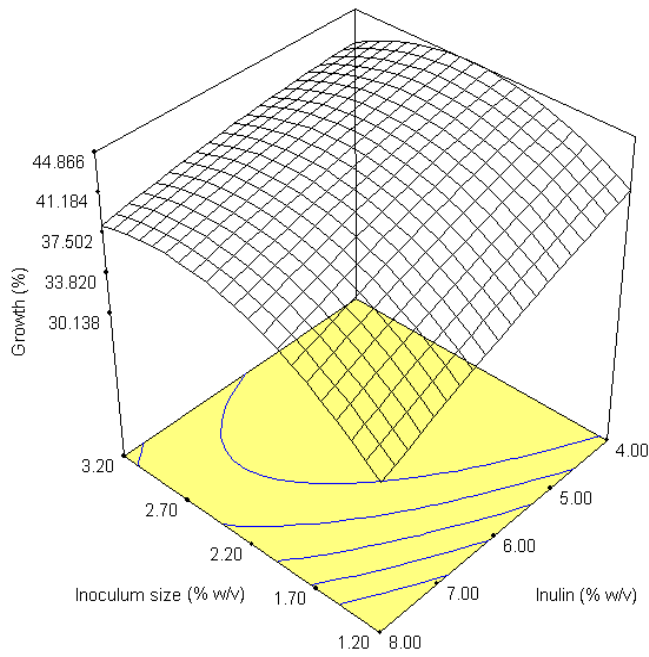
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(A)

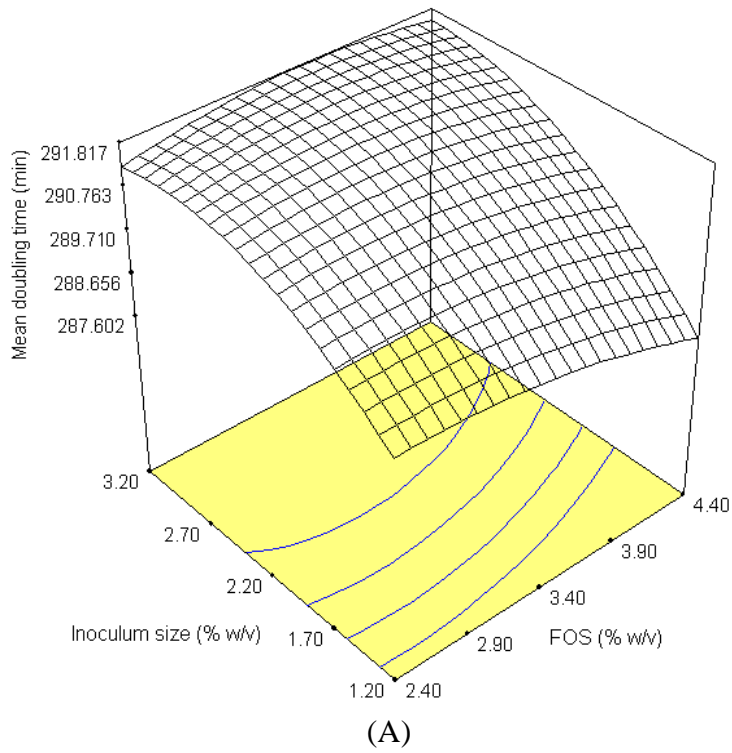
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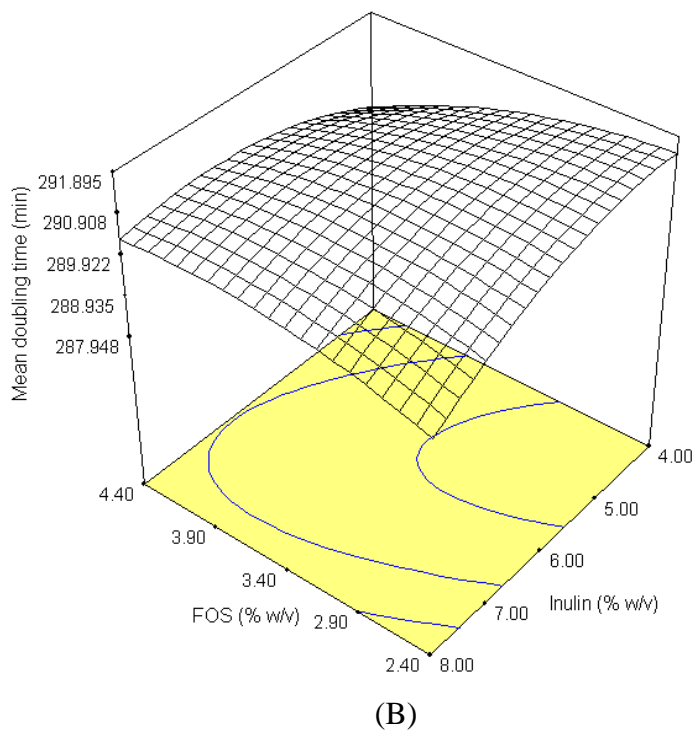
(B)

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Figure 4.



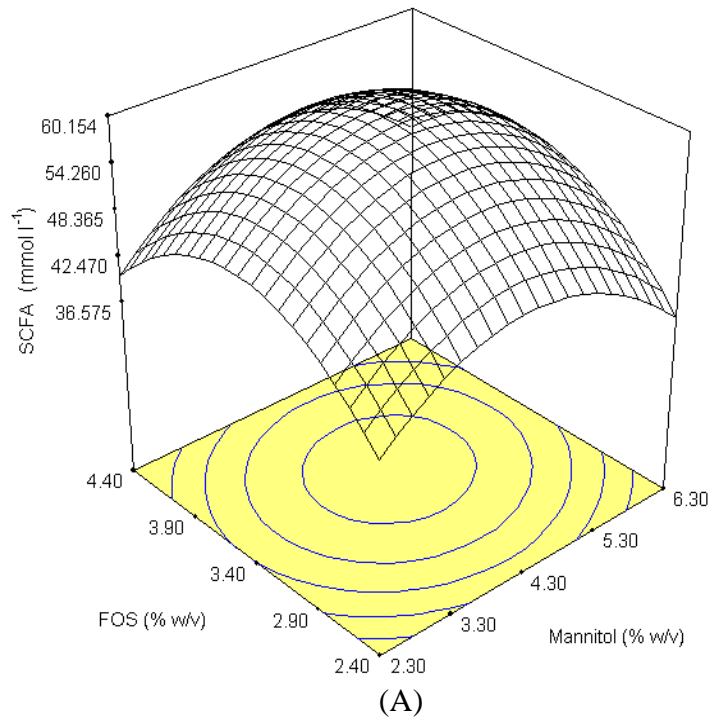
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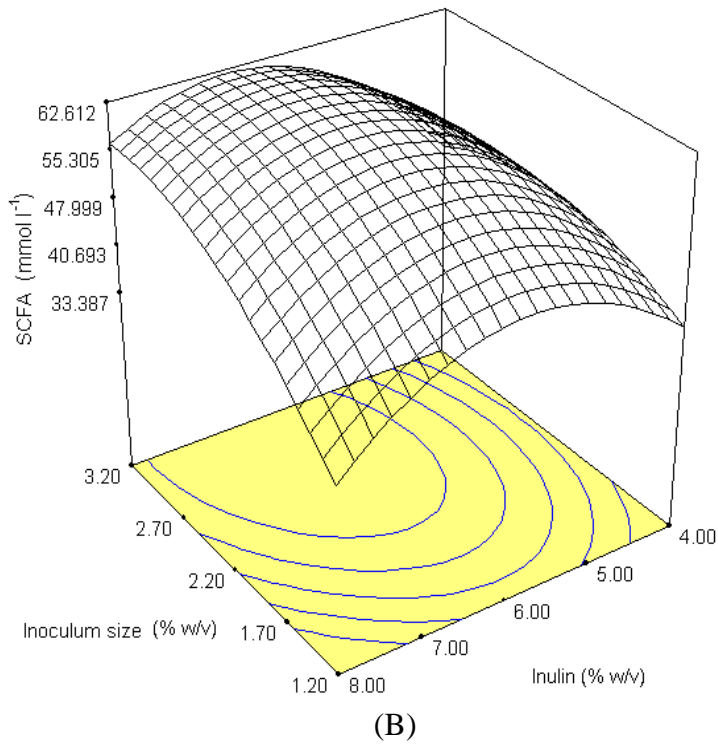
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716 **Figure 5.**

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Figure 6.