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*Effect of IX dosing on polypropylene and PVDF membrane fouling control*

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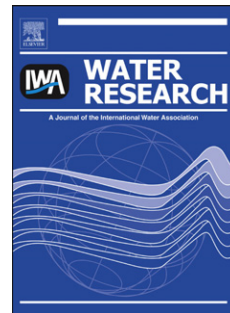
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# Accepted Manuscript

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## 1 **Effect of IX dosing on Polypropylene and PVDF membrane fouling control**

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4

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10

11 **Abstract:**

12

13 The performance of ion exchange (IX) resin for organics removal from wastewater was  
14 assessed using advanced characterisation techniques for varying doses of IX. Organic  
15 characterisation using liquid chromatography with a photodiode array (PDA) and  
16 fluorescence spectroscopy (Method A), and UV<sub>254</sub>, organic carbon and organic nitrogen  
17 detectors (Method B), was undertaken on wastewater before and after magnetic IX treatment.  
18 Results showed partial removal of the biopolymer fraction at high IX doses. With increasing  
19 concentration of IX, evidence for nitrogen-containing compounds such as proteins and amino  
20 acids disappeared from the LC-OND chromatogram, complementary to the fluorescence  
21 response. A greater fluorescence response of tryptophan-like proteins (278nm/343nm) for  
22 low IX concentrations was consistent with aggregation of tryptophan-like compounds into  
23 larger aggregates, either by self-aggregation or with polysaccharides. Recycling of IX resin  
24 through multiple adsorption steps without regeneration maintained the high level of humics  
25 removal but there was no continued removal of biopolymer. Subsequent membrane filtration  
26 of the IX treated waters resulted in complex fouling trends. Filtration tests with either

27 polypropylene (PP) or polyvinylidene fluoride (PVDF) membranes showed higher rates of  
28 initial fouling following treatment with high IX doses (10 mL/L) compared to filtration of  
29 untreated water, while treatment with lower IX doses resulted in decreased fouling rates  
30 relative to the untreated water. However, at longer filtration times the rate of fouling of IX  
31 treated waters was lower than untreated water and the relative fouling rates corresponded to  
32 the amount of biopolymer material in the feed. It was proposed that the mode of fouling  
33 changed from pore constriction during the initial filtration period to filter cake build up at  
34 longer filtration times. The organic composition strongly influenced the rate of fouling during  
35 the initial filtration period due to competitive adsorption processes, while at longer filtration  
36 times the rate of fouling appeared to depend upon the amount of biopolymer material in the  
37 feed water.

38  
39 Key words: organic fouling, microfiltration, liquid chromatography, effluent organic matter,  
40 ion exchange.

## 41 42 1. Introduction

43  
44 A major drawback of membrane filtration for drinking water treatment and wastewater reuse  
45 is fouling caused by natural organic matter (NOM) (Lee et al., 2004). Many researchers have  
46 sought to understand the effect of NOM composition on MF/UF fouling and the impact of  
47 pre-treatments on reducing membrane fouling (Shon et al., 2004; Guo et al., 2004; Galjaard et  
48 al., 2005; Tran et al., 2006; Gray et al., 2007). Water pre-treatment prior to membrane  
49 filtration can improve the final quality of water and membrane fouling rates, and a variety of  
50 pretreatment processes for membrane filtration have been investigated (Kabsch-Korbutowicz  
51 et al., 2006). Treating NOM by coagulation is commonly practised and activated carbon

52 adsorption has also been investigated (Fabris et al., 2007). IX has also been used to remove  
53 organic compounds and reduce membrane fouling, and several researchers have shown the  
54 potential of magnetic ion exchange (MIEX™) in combination with conventional coagulation  
55 for improved NOM removal and reduced low-pressure membrane fouling (Humbert et al.,  
56 2012, Drikas et al., 2011).

57

58 While IX treatment may remove organic compounds, there are several studies with both  
59 surface waters (Fabris et al., 2007; Humbert et al., 2007; Huang et al., 2012) and wastewaters  
60 (Fan et al., 2008; Filloux et al., 2012) that have found this removal to have not affected  
61 membrane fouling rates during short term laboratory studies. This has been attributed to the  
62 removal of low to medium MW humic compounds by IX (Bolto et al., 2002; Croué et al.,  
63 1999, Allpike et al., 2005; Humbert et al., 2007; Mergen et al., 2008; Huang et al., 2012), and  
64 an inability to remove high molecular weight compounds. A recent study (Huang et al.,  
65 2012) has shown partial removal of biopolymers with IX pre-treatment, and that the degree of  
66 biopolymer removal increased with higher doses of IX. However, they also found no  
67 improved membrane fouling outcomes for short term fouling trials. Filloux et al., 2012 also  
68 reported that pre-treatment with IX did not improve the permeate flux for either MF (0.04 µm  
69 PVDF) and UF (150 kDa PES) hollow fibre membranes when filtration experiments were  
70 performed with wastewater effluent. Conversely, Myat et al. (2012) have shown improved  
71 membrane fouling performance with IX treated wastewater for a hydrophobic membrane in  
72 laboratory trials performed with regular backwashing over several days. Additionally, a 2-  
73 year pilot study (Dixon et al., 2010) has demonstrated improved ultra-filtration (UF) fouling  
74 outcomes following IX pre-treatment, while Galjaard et al., 2005 demonstrate near zero UF  
75 fouling for IX pre-treated canal water prior to a pilot scale UF membrane.

76

77 The aim of this investigation was to explore the effect of IX pre-treatment and the  
78 concentration of IX on membrane fouling of both hydrophobic (PP) and hydrophilic (PVDF)  
79 membranes in laboratory trials with regular backwashing over a number of days. Batch  
80 experiments were conducted with a secondary effluent to evaluate the efficiency of IX resin  
81 for DOC removal and to identify the class of organic compounds removed as a function of  
82 resin dose. IX treated wastewaters were subsequently filtered through two types of  
83 membranes and their fouling rates determined.

84

85

## 86 **2. Materials and methods**

87

### 88 2.1. Source water

89

90 Water from Melbourne Water's Eastern Treatment Plant (ETP) was used for this  
91 investigation. ETP treats approximately 400 ML/day of wastewater via extended aeration,  
92 and receives wastewater from both domestic and industrial sources. The secondary  
93 wastewater effluent was taken from the settler overflow, and had high colour (86 PCU) and a  
94 relatively high DOC (14.3 mg/L). The raw water before treatment is referred to as ETP water  
95 in the following discussion.

96

### 97 2.2. Ion exchange (IX) treatment (Jar-test procedure)

98

99 Magnetic ion-exchange resin (MIEX<sup>TM</sup>), which consists of 150-180  $\mu\text{m}$  beads of a  
100 macroporous, polyacrylic structure, contains quaternary ammonium strong-base functional  
101 groups (Mergen et al., 2008). The resin, manufactured by Orica Watercare, was evaluated in

102 this work. This resin is designed for use by continuous dispersion and settling rather than in a  
103 static column. Particulate matter was removed from untreated ETP wastewater by vacuum  
104 filtration through a 1.2  $\mu\text{m}$  pore size filter (Whatman GF/C). Preliminary experiments with  
105 IX were performed on raw water to evaluate the impact of IX treatment on DOC, ultra-violet  
106 absorbance at 254 nm ( $\text{UV}_{254}$ ) and colour removal. IX doses in the range of 1-10 mL/L were  
107 tested, corresponding to Bed Volumes (BV) in the range of 1000-100 BV (Bed Volumes =  
108 Volume treated/IX dose). Resin doses were prepared by adding the required resin dose to  
109 measuring cylinders and allowing it to settle for 1 h. Adjustments to the required resin dose  
110 were made by adding or removing resin using a plastic pipette. Resin was added to a 1 L  
111 square glass jar and mixed on a jar tester with a 60 mm x 20 mm paddle at 180 rpm for 15  
112 minutes. After each jar test, the resin was settled from the treated water for 5 min.  
113 Supernatant water was decanted and vacuum filtered through a 1.2  $\mu\text{m}$  pore size filter  
114 (Whatman GF/C) to remove resin fines. Samples were analysed after each jar test for DOC,  
115  $\text{UV}_{254}$ , and colour removal and via liquid chromatography without further filtration. Bulk IX  
116 experiments using different IX concentrations were conducted to treat 10 L of raw water for  
117 each of the fouling experiments. The 10L of treated water was divided into two samples, with  
118 one used for the PP fouling studies and the other for the PVDF fouling studies.

119

120 Using fresh or regenerated resin in batch tests before analysis of the treated water does not  
121 reflect how the resin will be used at full scale, although useful information can be obtained  
122 from single use IX tests (Mergen et al., 2008). Therefore, in this work, a separate experiment  
123 was carried out in which IX resin was contacted with raw water in jar tests for 5 consecutive  
124 occasions (i.e., repeated 5 times using the same IX resin) without regeneration to give an  
125 equivalent to treating overall 1000 bed volumes (BV) of water (5 L water treated with 5 mL  
126 of resin). 10 L of raw water was treated in this way for fouling studies. The mixing speed and

127 contact time were kept at 180 rpm and 15 minutes. Samples were analysed for DOC, UV<sub>254</sub>  
128 and colour removal, and using liquid chromatography, after each adsorption cycle and for the  
129 combined water.

130

131 2.3. Water quality analyses and characterisation

132

133 The quality of wastewater samples before and after pre-treatment were analysed for pH,  
134 conductivity, UV<sub>254</sub>, DOC and true colour. DOC was measured using a total organic carbon  
135 analyser (TOC-V<sub>CPH/CPN</sub>) (Shimadzu, Japan). Both colour and UV<sub>254</sub> were measured using a  
136 HACH DR 5000 spectrophotometer. True colour was measured using a 5 cm quartz cell at  
137 456 nm and converted to Pt-Co units following calibration against a Platinum/Cobalt  
138 standard. UV<sub>254</sub> was measured through a 1 cm quartz cell.

139

140 Molecular weight distributions by liquid chromatography (LC) analyses were performed with  
141 a photodiode array (PDA) and fluorescence detector in series (Method A), and with LC  
142 coupled with UV<sub>254</sub>, organic carbon detector (OCD) and organic nitrogen detector (OND)  
143 (Method B). Details of Method A are described in Myat et al., (2012). Fluorescence  
144 excitation and emission wavelengths of 278 nm/304 nm and 278 nm/343 nm (ex/em) were  
145 applied, since such wavelengths are known to be specific for tyrosine and tryptophan like  
146 compounds respectively (Coble, 1996). Polystyrene sulphonate (PSS) molecular weight  
147 standards were used to calibrate the LC column used for Method A, and allowed the results to  
148 be reported as apparent molecular weight (MW). Analyses by Method B were performed with  
149 a LC-OCD instrument (DOC-Labor).

150

151 2.4. Membrane filtration



152

153 A single hollow fibre membrane filtration apparatus was used to examine the fouling rate of  
154 the raw and IX treated waters. Hydrophobic polypropylene (PP) membranes and hydrophilic  
155 PVDF membranes were used. Tran et al., (2006) has previously determined the contact angle  
156 of the PP membrane material to be  $160^\circ$  and the PVDF membrane to be  $61^\circ$ . The  
157 permeability of the membranes was verified to be within a set range (see Table 1) before  
158 experimental testing, to ensure the variation between fibres was minimised. Table 1 lists the  
159 summary of the membrane properties used for fouling studies.

160 Water was fed at a constant flowrate equivalent to a flux of  $50 \text{ kg/m}^2\text{h}$  and the  
161 transmembrane pressure (TMP) recorded. The membranes were backwashed every 30  
162 minutes and the tests performed over 3-4 days. Analysis of membrane performance used the  
163 unified membrane fouling index developed by Huang et al., (2008) and Nguyen et al., (2011).  
164 The hydraulic irreversible fouling index (HIFI) was derived from this analysis and used for  
165 comparison of the results. HIFI is related to the fouling resistance and a low value of HIFI  
166 represents low rate of membrane fouling while high HIFI values indicate greater membrane  
167 fouling rates. This filtration method precludes the determination of fouling mode directly  
168 (eg. pore blocking, cake filtration) as the flux is non-uniform along the fibre length. Greater  
169 details of the filtration method and analysis techniques are given in Myat et al., (2012).

170

171 Table 1: Membrane properties

172

### 173 3. Results and Discussion

174

#### 175 3.1. Raw water characterization

176

177 The raw water had a relatively high DOC and high colour as shown in Table 2. LC analyses  
178 (Method A) showed that the MW distribution of the  $UV_{254}$  absorbing compounds was  
179 between retention times of 30-50 minutes, approximately corresponding to the range of 100  
180 Da-3000 Da. A broad range of different MW effluent organic matter (EfOM) was also  
181 observed at  $UV_{210}$  nm (See Fig. 5 and 6 in SI), including a peak in the biopolymer region  
182 (retention time 19 minutes, approximately 50 kDa). Her et al., 2004 suggested that  $UV_{210}$   
183 absorbance is characteristic of amino groups, and it is able to detect nitrogen containing  
184 compounds such as proteins. Biopolymers, such as proteins and polysaccharides, cannot  
185 usually be detected by  $UV_{254}$  absorbance, and the lack of a biopolymer peak at this  
186 wavelength is commonly observed.

187

188 Table 2: Characteristics of ETP secondary effluent and IX-treated wastewaters

189

190 3.2. Organic removal with single use IX resin

191

192 Table 2 describes water quality after the different IX treatment methods for ETP water. DOC  
193 removals of 27%, 33%, 58% and 64% were observed during single adsorption IX treatment  
194 for ETP raw water at IX doses of 1, 1.6, 5 and 10 mL/L respectively. Similarly, both  $UV_{254}$   
195 and colour improved when a high IX dose was applied (i.e., decreasing number of BV). Table  
196 2 shows that the highest removal of DOC,  $UV_{254}$  and colour was achieved when the IX dose  
197 was at 10 mL/L while the least removal was observed with 1 mL/L resin dose. A bar graph of  
198 percent removal of  $UV_{254}$ , colour and DOC for the different IX treatment concentrations for  
199 ETP raw water is shown in Fig. 7 in SI, and higher percentage reductions in  $UV_{254}$  and  
200 colour over DOC are shown. This is consistent with preferential removal over aromatic  
201 substances over other organic compounds.

202

203 LC-UV<sub>254</sub> chromatograms from both Methods A and B gave similar results for each water  
204 treated by IX (see Figs.8 and 9 in SI). UV<sub>210</sub> results for each water treated by IX are also  
205 reported in Fig. 10 in SI. Table 3 provides the concentration of each organic fraction as  
206 determined by the LC-OCD method.

207

208 Table 3:

209 Distribution of the DOM fractions (Method B: LC-UVD-OCD-OND) for raw water treated  
210 using different IX concentrations

211

212 High MW structures i.e., biopolymer fraction, led to significant peak response in the DOC  
213 chromatograms and smaller peaks in the UV<sub>254</sub> chromatograms (see Fig. 8 in SI).  
214 Biopolymers, such as proteins and polysaccharides, could be detected in both DOC and OND  
215 chromatograms. The removal of both the biopolymer fraction and humic components  
216 increased with increasing IX doses applied (i.e., with decreasing BV). From Table 3, the  
217 results show that biopolymer DOC was the lowest and the humic DOC adsorbed or the  
218 percent removed onto the resin was >95% when 10 mL/L resin dose was applied. The  
219 biopolymer DOC removal (%) was reduced from 33% at 10 mL/L resin dose to 24% at 5  
220 mL/L resin dose, whereas less than 10% removal was observed with resin doses of 1.66  
221 mL/L and 1 mL/L. The measured biopolymer removals for 1.66 mL/L and 1 mL/L IX doses  
222 were within experimental error. The humic DOC removal (%) ranged from >95%, 89%, 45%  
223 and 29% at 10, 5, 1.66 and 1 mL/L resin doses, respectively. Similar findings were also  
224 reported by Huang et al. (2012), that the removal of biopolymer increased with higher doses  
225 of IX.

226

227 It was interesting to note that a reduction of the biopolymer fraction was only observed with  
228 IX dose applied at 10 mL/L and 5 mL/L respectively. The results also show that with  
229 increasing concentration of IX dose applied, evidence for nitrogen containing compounds  
230 such as proteins and amino acids disappeared, and that the removal of biopolymers appears  
231 consistent when determined either by OCD or OND (see Table 3). From LC-PDA-  
232 Fluorescence analysis (Method A), the response of the peaks related to individual amino  
233 acids could be detected via fluorescence. The biopolymer peak (retention time of 19 minutes:  
234 approximately 50 kDa) was also detected by the fluorescence response wavelengths of 278  
235 nm/304 nm (ex/em) and 278 nm/343 nm (ex/em). The fluorescence responses of each water  
236 sample are shown in Figs. 1 and 2.

237

238 Fig. 1. LC-Fluorescence response (Ex: 278 nm Em: 304 nm; i.e., tyrosine-like DON) for the  
239 different IX treatment methods for ETP water

240

241 Fig. 2. LC-Fluorescence response (Ex: 278 nm Em: 343 nm, i.e., tryptophane-like DON) for  
242 the different IX treatment methods for ETP water

243

244 Both Figs. 1 and 2 indicate that IX treatment removed part of the protein-like substances -  
245 removal percentages based on the area under these peaks are given in Table 4. The removal  
246 of tyrosine-like substances at 278 nm/304 nm (ex/em) from ETP raw water by IX treatment at  
247 1 mL/L was 56% and, by increasing the IX dose to 10 mL/L, only a slightly higher removal  
248 percentage of approximately 60% was suggested. The maximum removal of tryptophan-like  
249 substances detected at 278 nm/343 nm (ex/em) was approximately 46%. The removal was  
250 only observed with 10 mL/L resin dose while an increase in fluorescence intensity at that  
251 excitation/emission was detected following IX treatment with low resin doses (e.g. 1 mL/L).

252 We have previously reported that an increase in fluorescence response at these higher  
253 molecular weights indicates either an increase in fluorescence response of these compounds  
254 due to a change in their chemical environment (e.g. aggregation with polysaccharides, see  
255 Lee 1997), or aggregation of low MW fluorescing compounds into larger entities. The  
256 subsequent decrease in this peak, corresponding to low MW fluorescing compounds, for  
257 higher resin doses was assumed to arise because of greater removal of these compounds by  
258 IX resin. It should be noted that detection of organic compounds with MW > approximately  
259 50 kDa could not be resolved by the LC techniques used, as these molecular weights  
260 corresponded to the minimum retention times within the LC column.

261

262 Table 4: Method A (LC-PDA-Fluorescence) biopolymer data for different IX treated waters  
263 (calculated by peak area units at circa 50 kDa from Figs. 1 and 2)

264

265 3.3. Organic removal by consecutive magnetic resin uses

266

267 Commercially, a side stream of MIEX™ resin is regenerated so that the resin is cycled  
268 through adsorption steps a number of times before it is regenerated and treated water is  
269 exposed to resin that has a variety of adsorption histories. To understand what is taking place  
270 during this process, the removal of organic matter fractions by IX resin following a number  
271 of successive adsorption cycles was assessed by analysing the removal performance via LC  
272 Method B. Table 5 presents the percentage of each DOC fraction removed by adsorption onto  
273 the resin following the first and 5<sup>th</sup> adsorption cycles, and LC-OCD chromatograms are  
274 reported in Fig. 11 in SI. Also shown is the percentage removal for the average of the five  
275 adsorption cycles as measured by combining the resin treated water for all five adsorption

276 cycles. The overall number of bed volumes after the five adsorption cycles with no  
277 regeneration was overall 1000 BV.

278

279 Table 5: Method B (LC-UVD-OCD-OND) data for raw water treated using cycled resin with  
280 no regeneration between adsorption cycles

281

282 Analysis of LC-OCD (Method B) data showed that removal of humic DOC ranged from 88%  
283 after the first use of resin to 71% after 5 resin uses (see Table 5), and that the variation in  
284 adsorption efficiency between adsorption cycles was small. Similarly, the adsorption  
285 efficiency of low molecular weight acids and neutrals did not vary substantially between  
286 adsorption cycles. The biopolymer DOC adsorbed onto the resin after 1<sup>st</sup> resin use was 24%.  
287 The adsorption rate reduced during subsequent adsorption cycles to less than 1% removal in  
288 the final combined water. A similar trend was also observed with OND biopolymer results.

289

290 Similar observations were reported by Mergen et al. (2008). In their study, the water  
291 dominated by hydrophobic NOM showed good removal efficiency of high MW NOM during  
292 the first few adsorption cycles, but the removal efficiency declined with subsequent uses of  
293 resin. The decreasing of adsorption efficiency was explained due to size exclusion/blockage  
294 of the exchange sites by high MW NOM rather than exhaustion of ion-exchange sites. It was  
295 supported by the observation that initially good removal of all organics was observed,  
296 however the removal efficiency of high MW organics decreased with increased cycling of the  
297 resin.

298

299 Similar phenomenon can also be seen with the particular water used in this work, as the  
300 highest resin concentration gave the highest removal of biopolymer fraction (see Table 3) and

301 biopolymer adsorption decreased as the resin passed through adsorption cycles. High MW  
302 organics will have a reduced number of adsorption sites compared to smaller molecular  
303 weight compounds because their size limits access to small pores. The functional groups  
304 associated with the organic compounds will also greatly affect their removal efficiency, and  
305 the anionic exchange character of the IX resin would adsorb organic acids in preference to  
306 amine functional groups. It is interesting to note that both the biopolymers and building  
307 blocks fractions displayed reduced adsorption efficiency with number of adsorption cycles  
308 and potentially negative adsorption by the 5<sup>th</sup> cycle (i.e. compounds released from the resin).  
309 Both these groups of organic compounds are considered to contain elevated amine  
310 functionalised compounds in comparison to the other organic fractions, and such differences  
311 in functionalization would support the reduced adsorption of biopolymers and building  
312 blocks as competition for sites is increased. Release of compounds in favour of stronger  
313 adsorbing compounds may also be possible.

314

### 315 3.4. Membrane fouling results

316

#### 317 3.4.1. Fouling experiments using PP and PVDF membranes

318

319 Figs. 3 and 4 show the rate of increase in resistance ( $1/J$ 's) as a function of specific mass for  
320 filtration of ETP and treated ETP wastewaters using polypropylene and PVDF membranes at  
321 a constant flux of 50 kg/m<sup>2</sup>h. Expanded views of the fouling trends are also shown in the left  
322 hand corner of the Figs 3 and 4.

323

324 Fig. 3. Plot of  $(1/J's)$  versus specific mass ( $kg/m^2$ ) for a) ETP and overall 1000 BV treated  
325 water (5 mL/L – 5 cycles, see Table 5) b) 1mL/L and 10 mL/L IX treated wastewaters with  
326 PP membranes

327

328 Fig. 4. Plot of  $(1/J's)$  versus specific mass ( $kg/m^2$ ) for a) ETP and overall 1000 BV treated  
329 water (5 mL/L – 5 cycles, see Table 5) b) 1mL/L and 10 mL/L IX treated wastewaters with  
330 PVDF membranes

331

332 Fouling rates ( $m^2/kg$ ) were calculated from the data represented in Figs. 3 and 4, and the  
333 values are given in Table 6. The 2-point method described by Nguyen et al. (2011) was  
334 applied to compare fouling rate at equal time intervals, corresponding to equal permeate mass  
335 or specific mass.

336

337 Table 6: Hydraulic irreversible fouling indices (HIFI) of ETP and IX treated waters with PP  
338 and PVDF membranes

339

340

341 From Table 6, when filtration tests were carried out with PP membranes, the initial fouling  
342 rates were greater than the longer term fouling rates for all waters. After 12 hours of  
343 filtration, a high IX dose of 10 mL/L increased the HIFI value compared to ETP and 1 mL/L  
344 treated water, indicating that high doses of IX initially led to increased fouling rates  
345 compared to no treatment or lower IX doses. This effect was also observed for overall 1000  
346 BV IX treated water. Conversely, lower IX doses (1 mL/L) initially reduced the fouling rate  
347 compared to no treatment, but a peak appeared in the fouling rate at approximately 24 hours  
348 ( $1100 kg/m^2$ ) and it was approximately the same or slightly higher than the fouling rate for no



349 treatment. For longer term filtration, ETP filtered water only displayed a moderate decrease  
350 in fouling rate as the HIFI value decreased from 1.40 m<sup>2</sup>/kg to 0.88 m<sup>2</sup>/kg after 24 h of  
351 filtration time (after 41 backwash cycles). Interestingly, the HIFI value of overall 1000 BV  
352 IX treated water decreased to approximately 22% of its initial value. Such a finding was also  
353 reported in a previous paper (Myat et al., 2012) for overall 1000 BV IX treated water. Longer  
354 term fouling of polypropylene membrane (>1100 kg/m<sup>2</sup> or 24 hours) by IX treated water was  
355 lower than the fouling rate for untreated water, and the fouling rates for both high and low  
356 doses of IX doses approached similar values as filtration proceeded (see Fig 12 in SI).

357

358 This complex fouling behaviour demonstrates that the effect of IX treatment cannot be  
359 determined by short term fouling studies as is often conducted in many laboratory  
360 experiments, and that longer term trends may be quite different to the initial fouling  
361 characteristics. These results also showed that the fouling kinetics were not uniform and that  
362 several mechanisms might take place in the overall process.

363

364 A possible explanation for these complex fouling trends is that the fouling mechanism is time  
365 dependent. It is proposed that pore constriction occurs in the early stages of fouling due to  
366 adsorption of organic compounds on the membrane surface, leading to high fouling rates. The  
367 extent of adsorption reduces quickly as the membrane becomes coated with organic material  
368 and filter cake fouling becomes the dominant mechanism. Biopolymers are responsible for  
369 the majority of the filter cake (Lainé et al., 1989, Taniguchi et al., 2003) and the order of long  
370 term fouling rates would support this hypothesis. The initial fouling rates would be  
371 determined by adsorption of organic substances within the membrane pores. ETP water is  
372 dominated by humics and these compounds would be expected to be the dominant foulant via  
373 adsorption. However, humics are preferentially removed with IX resin and competing

374 compounds such as biopolymers, building blocks, low molecular weight acids and neutrals  
375 are able to adsorb to the membrane to a greater extent. At 10 mL/L IX dose, there was  
376 negligible humic material in the treated water (below detection: see Table 3) and therefore  
377 internal pore constriction arises predominantly from compounds other than humics. This  
378 change in composition of competing adsorption compounds could lead to the unusual initial  
379 fouling trends, however further work is required to confirm this hypothesis.

380

381 Table 6 also shows a similar trend for fouling of the PVDF membrane as the PP membrane,  
382 with the initial rates of fouling for the IX treated waters being quite different to their long  
383 term fouling trends. Again, 10 mL/L treated water had the highest initial fouling rate,  
384 followed by ETP and 1 mL/L treated water (see Fig 13 in SI). A similar fouling mechanism  
385 to that proposed for the PP membrane could also explain the PVDF fouling trends. At longer  
386 filtration times, the extent of fouling may be due to the concentration of biopolymers in the  
387 feed solutions. This was supported by the relationship between the fouling rate and the  
388 amount of biopolymer present as determined by the OCD (see Table 3), where removals of  
389 approximately 9% and 32% of the biopolymers were obtained for the 1 mL/L and 10 mL/L  
390 waters respectively. Fig. 14 in SI showed SEM images of the surface on the PVDF  
391 membranes, in which images taken from similar positions on the membrane fibres in relation  
392 to the potting position. These images also support this hypothesis as the thickness of the cake  
393 layer appeared to decrease following IX dose pretreatment.

394

## 395 **5. Conclusion**

396

397 It is known that when IX resin is used in water treatment for the removal of humic material  
398 that increased removal of humics occurs at higher resin doses and that sustained humics

399 removal can be achieved with resin recycling. Furthermore biopolymer removal can be  
400 achieved at high resin doses but the removal rate of biopolymers declines as resin is recycled  
401 through multiple adsorption stages. This behaviour has been attributed to the adsorption of  
402 biopolymers onto the exterior or within the larger pores as a result of size exclusion  
403 mechanisms, whereas the smaller humics have a greater available surface area for adsorption.  
404 However, this work also provides evidence that differences in functional groups may also  
405 explain the reduced adsorption of biopolymers and building blocks upon recycling of resin  
406 due to competitive adsorption with humic compounds, as there was some indication  
407 biopolymers and building blocks were released when resin had passed through 5 recycling  
408 cycles. Biopolymers and building blocks may contain elevated levels of amine functional  
409 groups compared to other organic components via higher concentrations of proteins and  
410 amino acids, and these groups are expected to have less affinity for adsorption by anion  
411 exchange membranes. Therefore, with an increasing number of adsorption cycles, the  
412 biopolymers and building blocks are displaced by humic substances.

413

414 Filtration tests with PP and PVDF membranes identified increased rates of initial fouling  
415 following treatment with high IX doses (10 mL/L) while treatment with lower IX doses  
416 resulted in decreased fouling rates relative to the untreated water. Fouling at longer filtration  
417 times, after approximately 24 hours, lead to a change in fouling rates with the rate of fouling  
418 of IX treated waters being lower than untreated water. The complex fouling behaviour was  
419 attributed to a change in fouling mode - from pore constriction to filter cake build up. It was  
420 proposed that during pore constriction, the rate of fouling was strongly influenced by the  
421 composition of adsorbing species and their molecular weights which varied following IX  
422 treatment. Untreated water was composed primarily of humics, while treatment with high IX  
423 doses resulted in little or no humics being present in the feed. At longer filtration where cake

424 filtration was assumed to be the dominating fouling mechanism, the rate of fouling appeared  
425 to depend upon the amount of biopolymer material in the feed water.

426

427 This mechanism may explain inconsistencies in results between laboratory studies and pilot  
428 plant trials for the effect of IX for organics removal on membrane fouling. Laboratory  
429 studies generally use new membranes in short term fouling tests and this is likely to  
430 correspond to the region where both pore blocking and cake filtration occur. The fouling  
431 response will not be indicative of long term fouling trends under such conditions. Pilot plant  
432 trials however, generally operate for extended periods of time with the same membranes and  
433 have shown reduced fouling following IX pre-treatment as has this study when extended  
434 filtration times are considered.

435

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437

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441 Siemens Water Technologies for providing membrane fibres used in this study.

442

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537



## Highlights

- A step change in membrane fouling mechanism occurred after 24 hours of filtration
- Initial membrane fouling was determined by the mix of organic compounds
- Longer term fouling appeared to be the result of biopolymer concentration
- IX change resin capability to remove biopolymers reduced with continuous use
- Associations between organic compounds was indicated by changes in fluorescence

## Tables

Table 1  
Membrane properties

Membrane	Fibre dimensions		Pore size, $\mu\text{m}$	Permeability, $\text{kg/m}^2\text{hbar}$	Surface charge mV	Contact Angle ( $^\circ$ )
	Outer diam., mm	Inner diam., mm				
PP	0.50	0.25	0.2	$1000 \pm 226$	$-19.5 \pm 0.1$	160
PVDF	0.65	0.48	0.1	$875 \pm 116$	$-8.9 \pm 0.2$	61

Table 2  
Characteristics of ETP secondary effluent and IX-treated wastewaters

IX (mL/L)	UV <sub>254</sub> (cm <sup>-1</sup> )	pH	DOC (mgC/L)	% DOC removal	SUVA (L/m-mgC)	Colour (Pt-Co)
0	0.365	7.81	14.3±0.3	-	2.6±0.1	86
1	0.261	7.48	10.5±0.1	27%	2.5±0.1	62
1.66	0.224	7.52	9.6±0.2	33%	2.3±0.1	50
5	0.112	7.45	6.1±0.4	58%	1.8±0.1	21
10	0.084	7.34	5.2±0.1	64%	1.6±0.1	12

Table 3  
 Distribution of the DOM fractions (Method B: LC-UVD-OCD-OND) for raw water treated using different IX concentrations

			IX adsorption				
			ETP	1mL/L	1.66mL/L	5mL/L	10mL/L
<b>Biopolymers</b>	DOC	ppb	1091	991	1048	826	732
	<i>Removal</i>	%	-	9.2	3.9	24.3	32.9
	DON	ppb	154	124	153	116	104
	<i>Removal</i>	%	-	19.5	0.6	24.7	32.5%
<b>Humics</b>	DOC	ppb	6967	4918	3818	791	n.a.
	<i>Removal</i>	%	-	29.4	45.1	88.6	>95%
	DON	ppb	885	370	327	73	n.a.
	<i>Removal</i>	%	-	58.2	63.1	91.8	>95%
<b>building blocks</b>	DOC	ppb	2215	1971	1981	1809	1797
	<i>Removal</i>	%	-	11.0	10.6	18.3	18.9
<b>LMW acids</b>	DOC	ppb	604	542	549	519	551
	<i>Removal</i>	%	-	10.2	9.1	14.1	8.8
<b>Neutrals</b>	DOC	ppb	2130	1711	1635	1562	1433
	<i>removal</i>	%	-	19.7	23.2	26.7	32.7

Table 4

Method A (LC-PDA-Fluorescence) biopolymer data for different IX treated waters (calculated by peak area units at circa 50 kDa from Figs. 1 and 2)

	Protein-like substances (Biopolymer fraction)			
	278-304 nm		278-343 nm	
	(Tyrosine-like)		(Tryptophan-like)	
	Peak area	% removal	Peak area	% removal
ETP	183		977	
1 mL/L	80	56%	4772	-388%
1.66 mL/L	80	56%	2217	-127%
5 mL/L	75	59%	1446	-48%
10 mL/L	74	59%	525	46%

Table 5

Method B (LC-UVD-OCD-OND) data for raw water treated using cycled resin with no regeneration between adsorption cycles

			IX adsorption			
			ETP	5 mL/L 1 <sup>st</sup> use	5 mL/L 5 <sup>th</sup> use	Overall 1000 BV*
<b>Biopolymers</b>	DOC	ppb	1091	827	1146	1085
	<i>Removal</i>	%	-	24.2	-5.0	0.5
	DON	ppb	154	122	168	137
	<i>removal</i>	%	-	20.8	-8.3	11.0
<b>Humics</b>	DOC	ppb	6967	816	2042	1482
	<i>removal</i>	%	-	88.3	70.7	78.7
	DON	ppb	885	101	168	198
	<i>removal</i>	%	-	88.6	81.0	77.6
<b>building blocks</b>	DOC	ppb	2215	1867	2444	2394
	<i>removal</i>	%	-	15.7	-10.3	-8.1
<b>LMW acids</b>	DOC	ppb	604	522	509	515
	<i>removal</i>	%	-	13.6	15.7	14.7
<b>Neutrals</b>	DOC	ppb	2130	1539	1621	1534
	<i>removal</i>	%	-	27.7	23.9	28.0

\*also termed as 'mixed of the 5 treated water'

Table 6

Hydraulic irreversible fouling indices (HIFI) of ETP and IX treated waters with PP and PVDF membranes

Time (h)	PP_HIFI ( $\text{m}^2/\text{kg} \times 10^{-3}$ )								PVDF_HIFI ( $\text{m}^2/\text{kg} \times 10^{-3}$ )							
	ETP		Overall 1000 BV		1mL/L		10 mL/L		ETP		Overall 1000 BV		1mL/L		10mL/L	
	HIFI	%	HIFI	%	HIFI	%	HIFI	%	HIFI	%	HIFI	%	HIFI	%	HIFI	%
12	1.403	100%	1.640	100%	0.915	100%	1.655	100%	0.756	100%	1.212	100%	0.674	100%	0.970	100%
24	0.877	63%	0.364	22%	1.006	110%	0.644	39%	0.614	81%	0.692	57%	0.421	62%	0.390	40%
48	0.855	61%	0.456	28%	0.735	80%	0.493	30%	0.813	108%	0.697	58%	0.403	60%	0.570	59%
72	0.903	64%	0.547	33%	0.504	55%	0.462	28%	0.703	93%	0.407	34%	0.569	84%	0.330	34%
96	0.989	70%	0.638	39%	0.589	64%	0.480	29%	0.618	82%	0.318	26%	0.583	86%	0.310	32%

**Figure Captions**

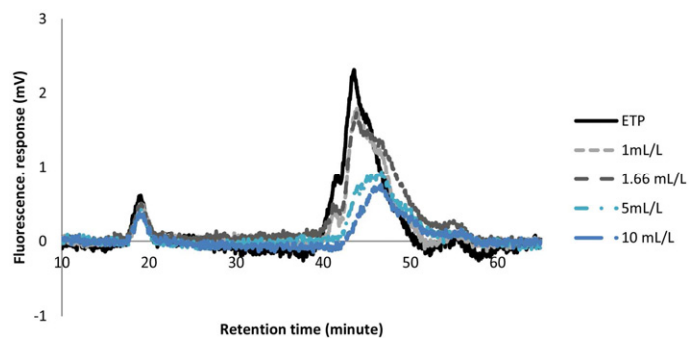
Fig. 1. LC-Fluorescence response (Ex: 278 nm Em: 304 nm; i.e., tyrosine-like DON) for the different IX treatment methods for ETP water

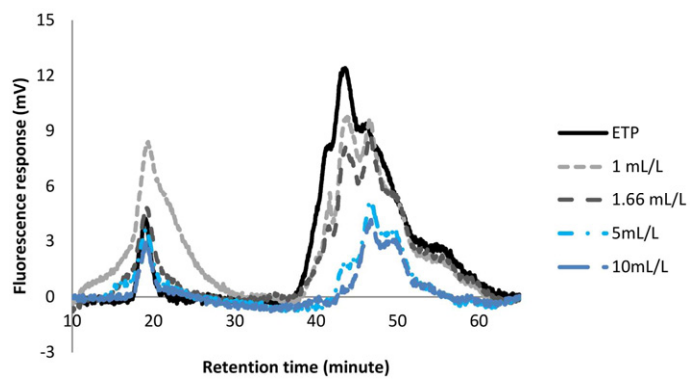
Fig. 2. LC-Fluorescence response (Ex: 278 nm Em: 343 nm, i.e., tryptophane-like DON) for the different IX treatment methods for ETP water

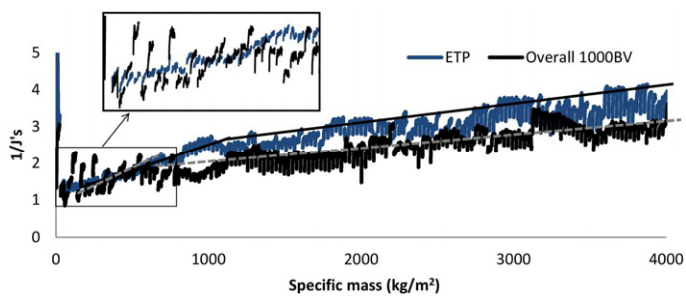
Fig. 3. Plot of  $(1/J's)$  versus specific mass ( $kg/m^2$ ) for a) ETP and overall 1000 BV treated water (5 mL/L – 5 cycles, see Table 6) b) 1mL/L and 10 mL/L IX treated wastewaters with PP membranes

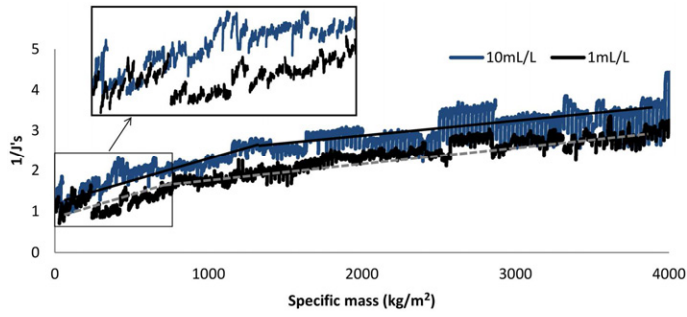
Fig. 4. Plot of  $(1/J's)$  versus specific mass ( $kg/m^2$ ) for a) ETP and overall 1000 BV treated water (5 mL/L – 5 cycles, see table 6) b) 1mL/L and 10 mL/L IX treated wastewaters with PVDF membranes

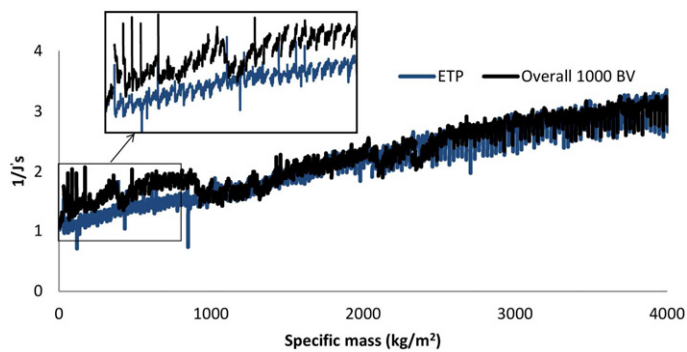


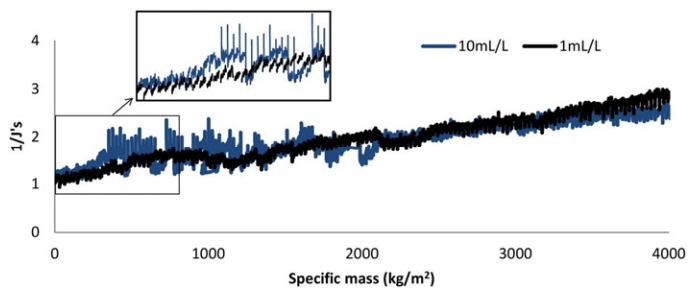












## SUPPORTING INFORMATION

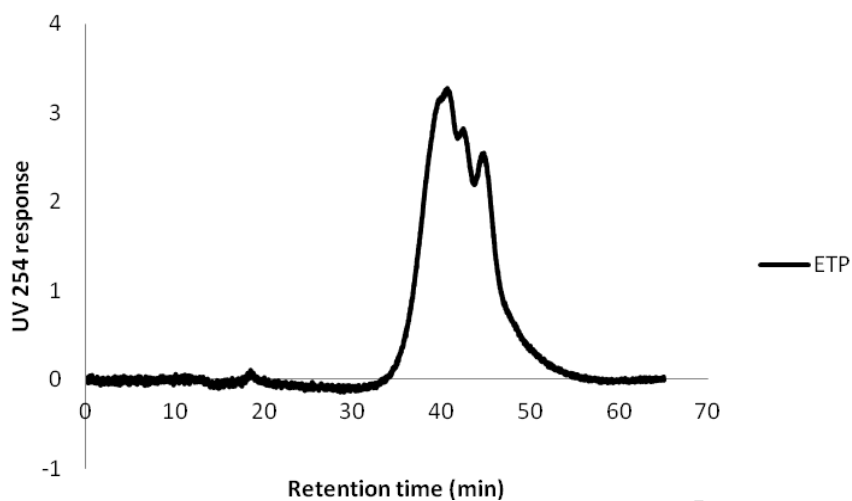
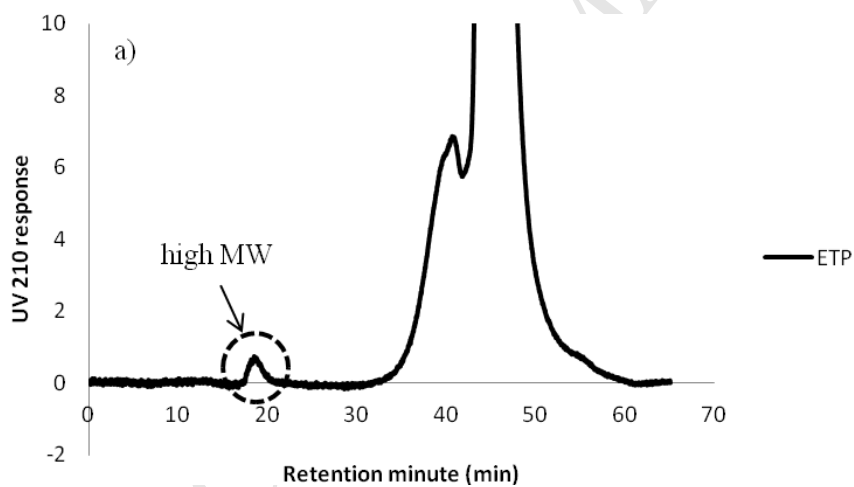


Fig. 5. Chromatogram of UV response at 254 nm for ETP wastewater (Method A)



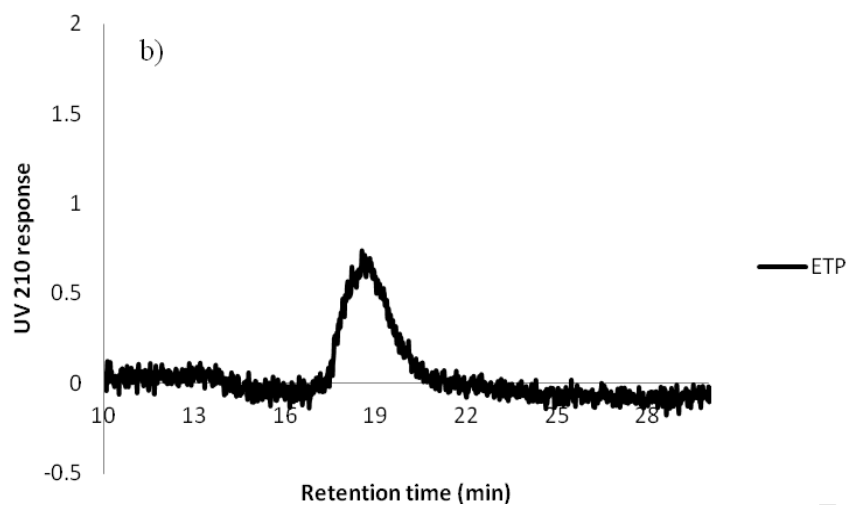


Fig. 6. Chromatograms of UV response at 210 nm showing a) at all retention times b) highlighting the high MW at approximately 19 minute retention time (Method A)

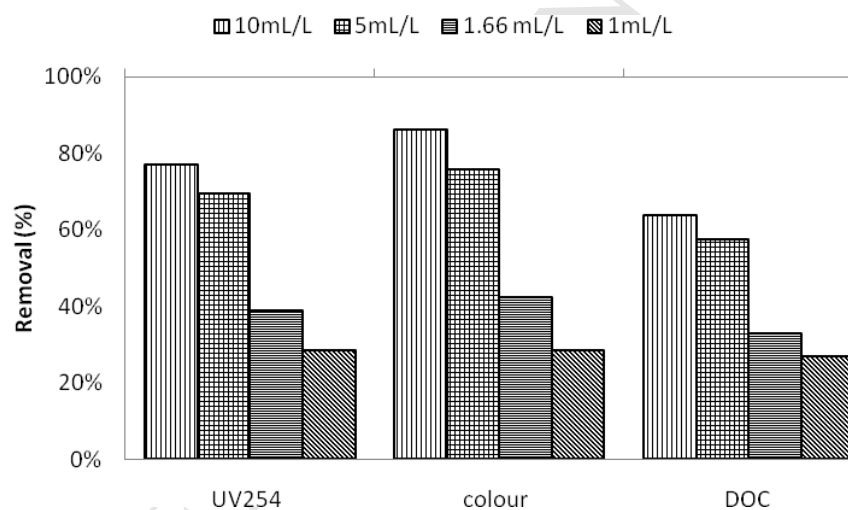


Fig. 7. Percent removal of UV<sub>254</sub>, Colour and DOC for the different IX treatment concentrations for ETP raw water



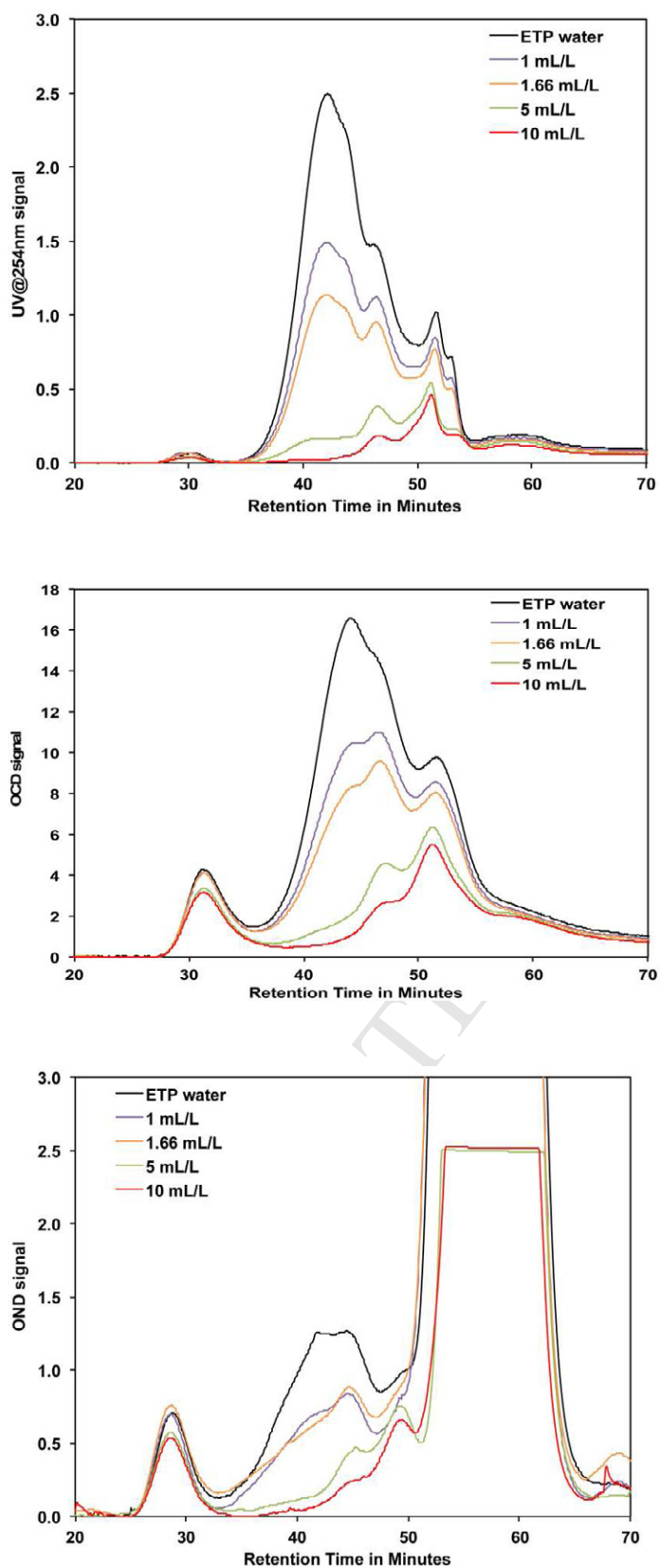


Fig. 8. LC-OCD/UV/OND chromatograms following organic matter adsorption by IX resin (single use) at different doses

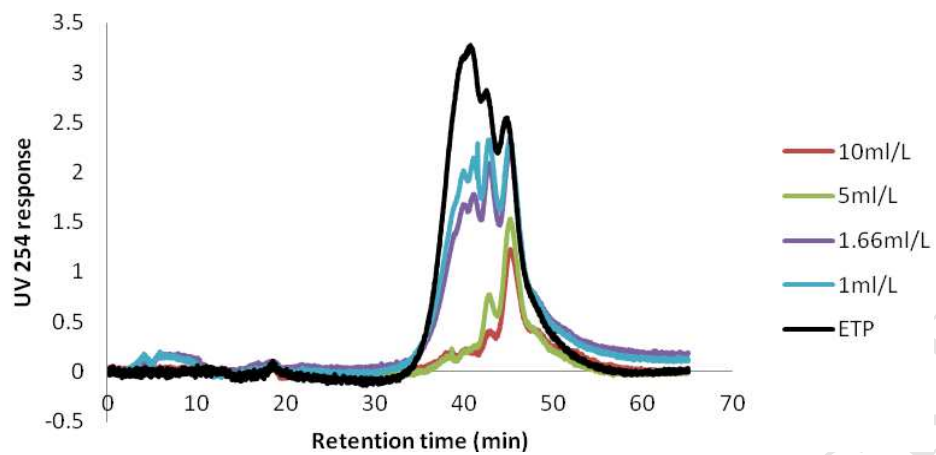


Fig. 9. The removal of UV<sub>254</sub>-absorbing compounds for the different IX doses applied for ETP wastewater (Method A)

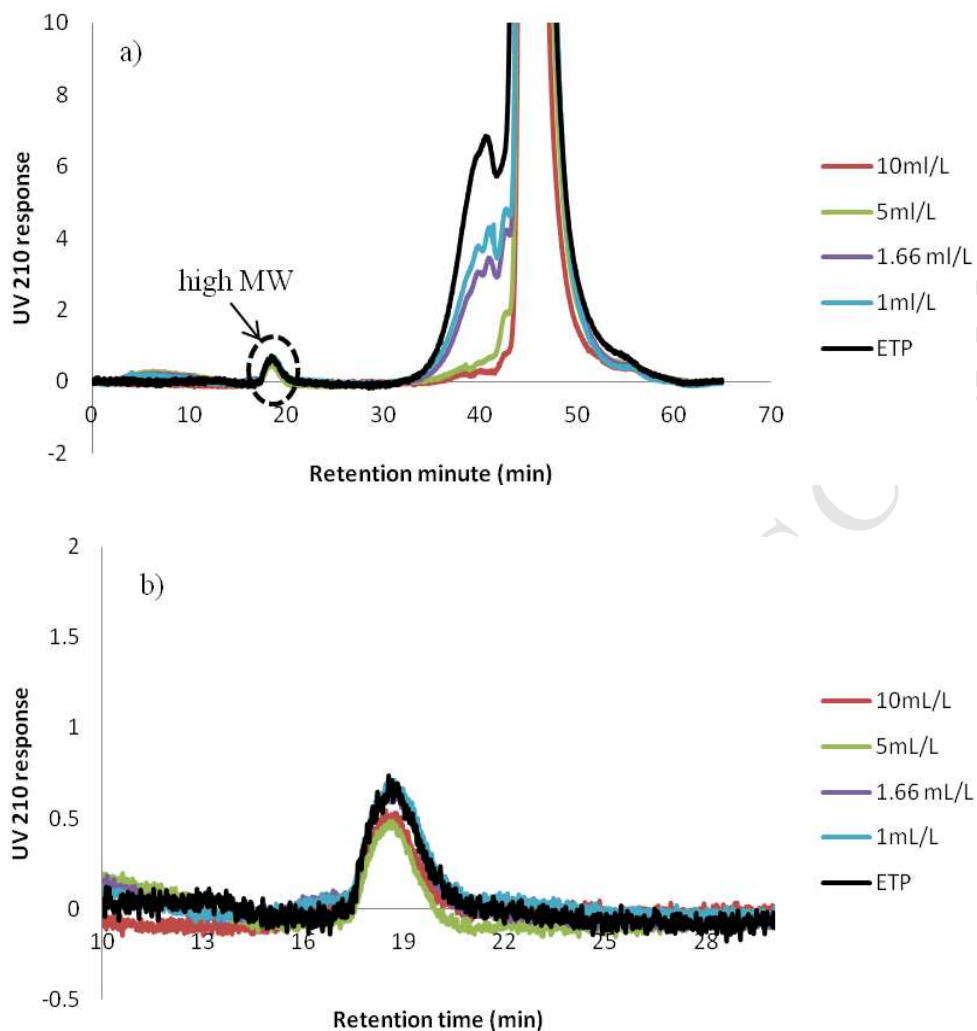


Fig. 10. The removal of UV<sub>210</sub>-absorbing compounds for the different IX doses applied for ETP wastewater a) at all retention time b) highlighting the high MW at approximately 19 minutes retention time

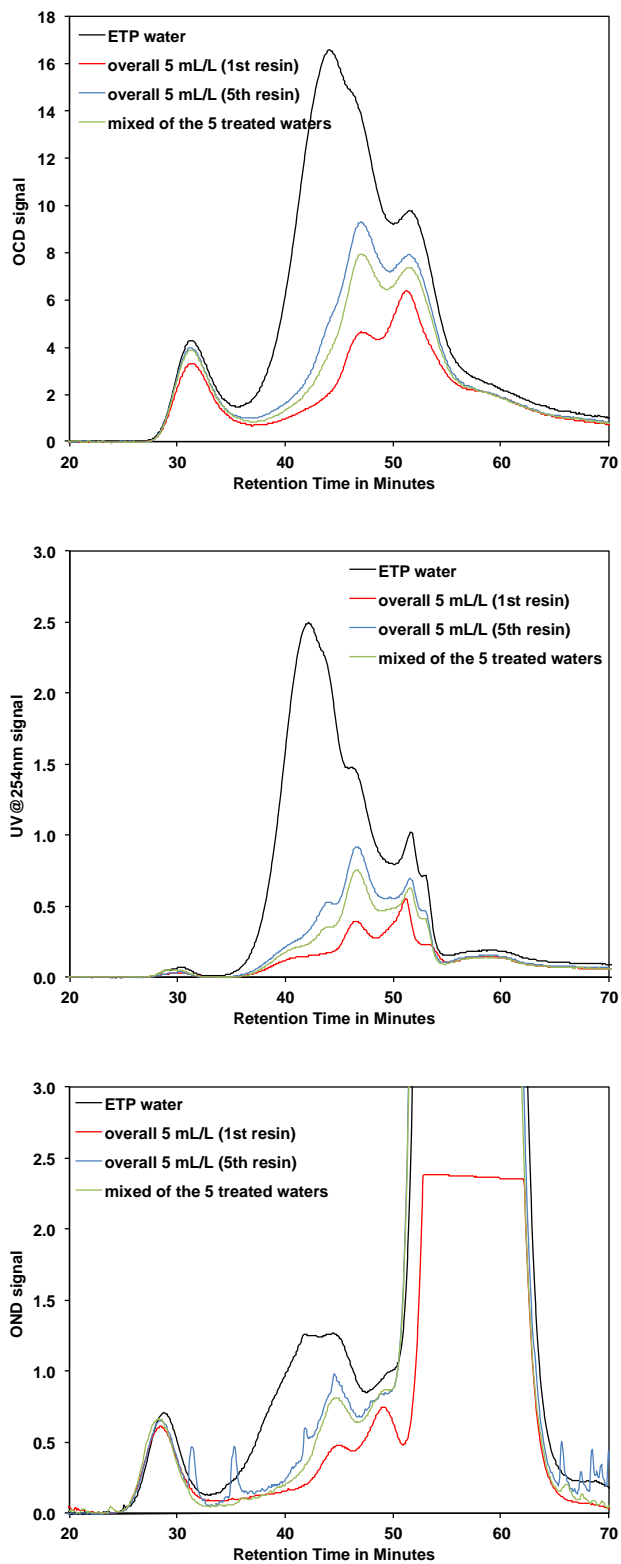


Fig. 11. LC-OCD/UV/OND chromatograms of organic matter adsorption by ion exchange resin at consecutive resin use (overall 1000 BV) for ETP water

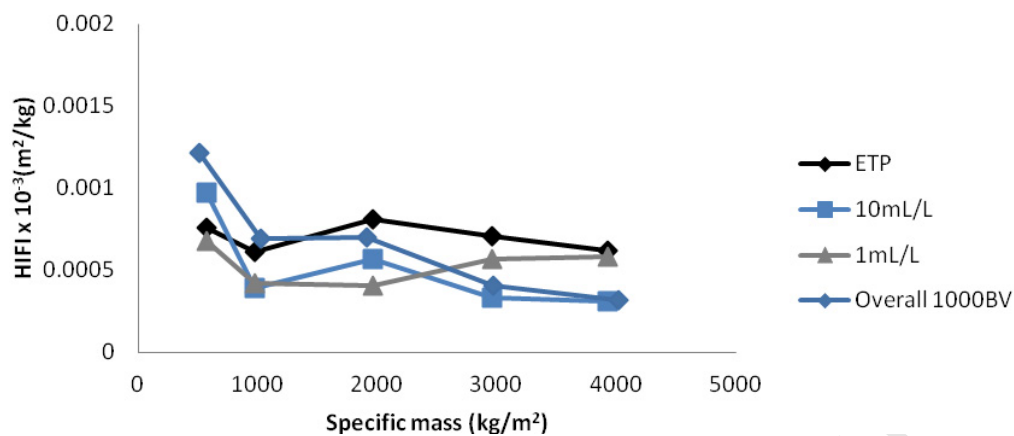


Fig. 12. Plot of HIFI values versus specific mass ( $\text{kg/m}^2$ ) for ETP, 1mL/L, 10mL/L and overall 1000 BV IX treated waters with PP membranes

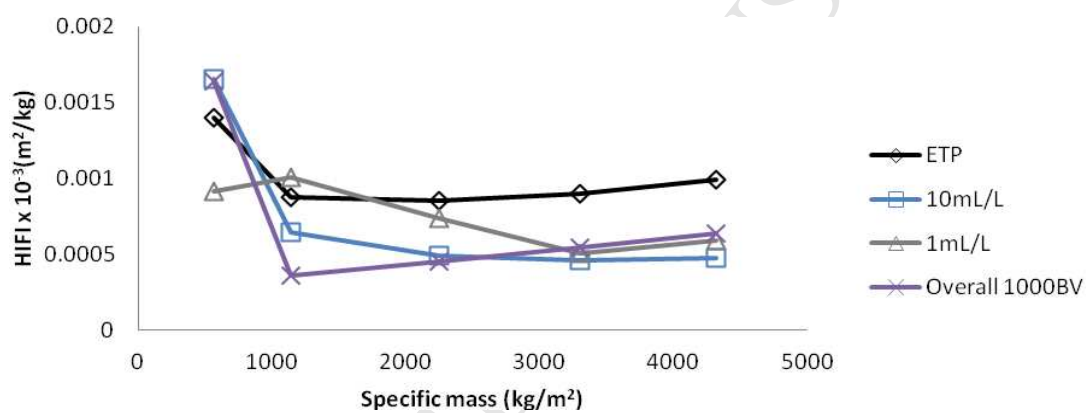
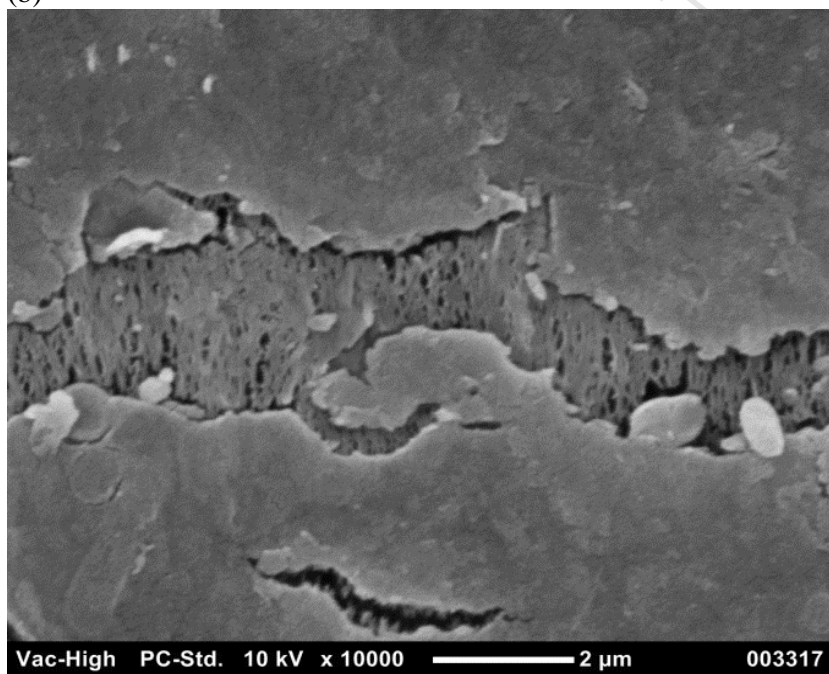


Fig. 13. Plot of HIFI values versus specific mass ( $\text{kg/m}^2$ ) for ETP, 1mL/L, 10mL/L and overall 1000 BV IX treated waters with PVDF membranes

(a)



(b)



(c)

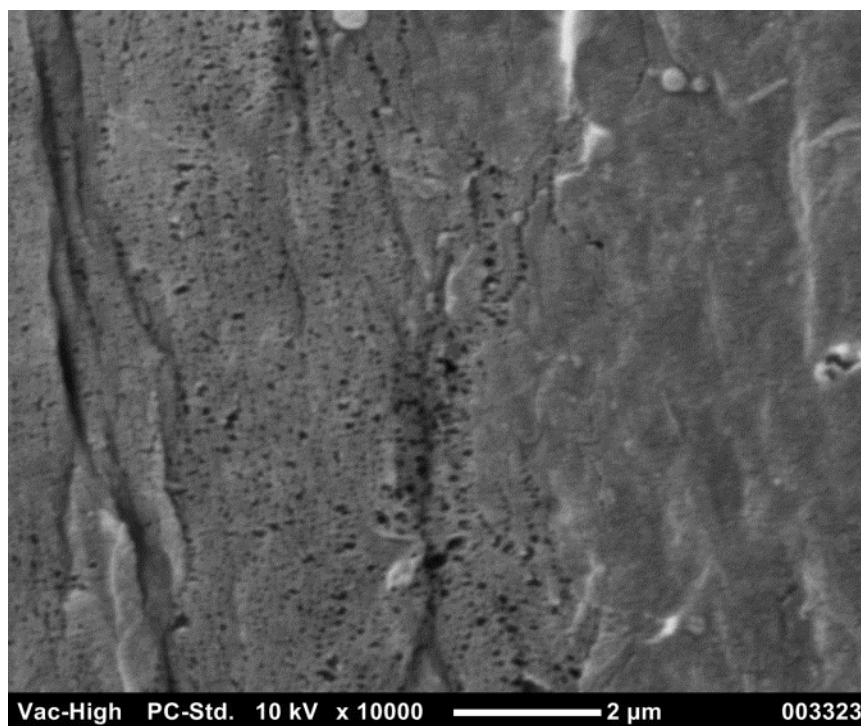


Fig. 14. SEM images representing the membrane surface of a) filtered ETP (b) 1 mL/L IX water filtered (c) 10 mL/L IX water filtered with PVDF membranes