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Release of thymol from poly(lactic acid)-based antimicrobial films containing kenaf fibres as natural filler

This is the Accepted version of the following publication

Tawakkal, ISMA, Cran, Marlene and Bigger, Stephen W (2016) Release of thymol from poly(lactic acid)-based antimicrobial films containing kenaf fibres as natural filler. *LWT - Food Science and Technology*, 66. 629 - 637. ISSN 0023-6438

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24 **1 Introduction**

25 Controlled release systems in food packaging have experienced considerable growth recently due
26 to developments in active packaging concepts such as the integration of antioxidant (AOX)
27 and/or antimicrobial (AM) substances into packages in order to improve the quality and safety of
28 food products. In these systems, low molecular mass compounds and/or substances are released
29 from the package in a slow and controlled manner to maintain an adequate concentration of the
30 substance in the packed food for a certain period of time. The release of substances that involve
31 migration is the result of diffusion, dissolution and equilibrium processes ([Crank, 1979](#)). There
32 are various factors that influence the migration of a substance from the packaging material
33 including the film fabrication method, the volatility and polarity of the substance, the chemical
34 interaction between the substance and polymer chains, hydrophobicity and hydrophilicity of the
35 polymer as well as food properties and composition ([Suppakul, Miltz, Sonneveld, & Bigger, 2003](#)).

37
38 Poly(lactic acid) (PLA) is a polyester synthesized from the renewable, bio-derived, monomer
39 lactic acid and can be used as AM films and/or membranes for a different range of applications
40 ([Auras, Harte, & Selke, 2004](#); [Karami, Rezaeian, Zahedi, & Abdollahi, 2013](#)). This GRAS
41 (Generally Recognized As Safe) grade polymer can be used in contact with food and fabricated
42 via conventional processing procedures ([Jamshidian, Tehrany, Imran, Jacquot, & Desobry, 2010](#)).
43 The use of fillers in combination with PLA has been widely studied with aims to improve
44 physicomaterial properties, reduce production costs and enhance biodegradability. Naturally
45 derived additives or fillers such as starch and cellulose can be combined with PLA and other
46 active agents such as AM and AOX compounds. For example, [Hwang et al. \(2013\)](#) studied the

47 migration of α -tocopherol and resveratrol from poly(L-lactic acid) (PLLA)/starch blend films into
48 ethanol and found that the neat PLLA containing α -tocopherol had a lower release rate than the
49 PLLA/starch blend films at 43°C in 100% ethanol simulant with diffusivity coefficients of $89 \times$
50 10^{-11} and $282 \times 10^{-11} \text{ cm}^2 \text{ s}^{-1}$ respectively. A similar trend was observed for resveratrol, a non-
51 volatile AOX compound with diffusion rate of $25 \times 10^{-11} \text{ cm}^2 \text{ s}^{-1}$ in neat PLLA and 40×10^{-11}
52 $\text{cm}^2 \text{ s}^{-1}$ in the PLA/starch films. [Fortunati et al. \(2012\)](#) prepared PLA AM films with 50 g/kg
53 microcrystalline cellulose (MCC) and 10 g/kg silver nanoparticles by extrusion and injection
54 molding techniques. The PLA films with silver nanoparticles and MCC had greater AM activity
55 against *Escherichia coli* due to the presence of MCC; however, it had less AM activity than PLA
56 films with silver nanoparticles. Although there are examples of the controlled release of AM and
57 AOX substances from PLA materials ([Busolo & Lagaron, 2013](#); [Fernandez, Soriano, Hernandez-](#)
58 [Munoz, & Gavara, 2010](#); [Hwang et al., 2013](#); [Iniguez-Franco et al., 2012](#); [Llana-Ruiz-Cabello et](#)
59 [al., 2015](#)), few reports have combined PLA with natural fibres in order to control the release of
60 active substances from composite films.

61
62 Antimicrobial packaging systems can be categorised as either migratory or non-migratory
63 systems. In the former, AM substances migrate from the packaging material into the headspace
64 of the package and onto the food surface, whereas in the latter, AM substances are immobilised
65 onto the packaging material which is placed in direct contact with the foodstuff to facilitate its
66 activity ([Han, 2003](#)). The integration of PLA with AM substances has been investigated by a
67 number of researchers ([Del Nobile et al., 2009](#); [Jin, 2010](#); [Qin et al., 2015](#); [Rhim, Hong, & Ha,](#)
68 [2009](#)). Of the reported studies, many have investigated the inhibition of targeted microorganisms
69 with little attention **having been** devoted to studying the release rate from the active systems.

70 This might be due to the preponderance of non-volatile and/or immobilised AM substances (e.g.
71 nisin, chitosan, lysozyme and peptide) incorporated into PLA films rather than volatile AM
72 substances ([Green, Fulghum, & Nordhaus, 2011](#); [Rhim, 2013](#); [Tawakkal, Cran, Miltz, & Bigger,](#)
73 [2014](#)). Several AM substances have been incorporated directly into polymers including a range
74 of volatile plant extracts such as basil, thymol, linalool, methyl cinnamate and cavacrol ([Cran,](#)
75 [Rupika, Sonneveld, Miltz, & Bigger, 2010](#); [Del Nobile, Conte, Incoronato, & Panza, 2008](#);
76 [Fernández-Pan, Maté, Gardrat, & Coma, 2015](#); [Rubilar et al., 2013](#); [Suppakul, 2004](#); [Suppakul,](#)
77 [Sonneveld, Bigger, & Miltz, 2011](#); [Tawakkal, Cran, & Bigger, 2015](#)).

78
79 Thymol, an essential oil extract that has GRAS status, can be used in contact with food products
80 and like other volatile AM substances, the migration of this substance into real food products is
81 complex. In some studies, active AM films containing thymol were evaluated *in vitro* and *in vivo*
82 against a wide spectrum of microorganisms such as bacteria, mould and yeast ([Kuorwel, Cran,](#)
83 [Sonneveld, Miltz, & Bigger, 2011](#); [Wu et al., 2014](#)). In a recent study by [Petchwattana and](#)
84 [Naknaen \(2015\)](#), extruded films of poly(butylene succinate) (PBS) containing thymol
85 demonstrated AM activity against *Escherichia coli* and *Staphylococcus*. Moreover, the release
86 rate of thymol from the PBS films into 950 mL/L ethanol/water was found to be $5.9 \times 10^{-14} \text{ m}^2 \text{ s}^{-1}$.
87 ¹. [Ramos, Beltrán, Peltzer, Valente, and Garrigós \(2014\)](#) reported that the migration of thymol
88 from polypropylene (PP) films into 950 mL/L ethanol at 40°C conformed to Fick's law with a
89 diffusion coefficient of $1.0 \times 10^{-14} \text{ m}^2 \text{ s}^{-1}$. In general, an AM substance can be released into a
90 food simulant by swelling-controlled release with three main steps involved: (i) the absorption of
91 fluid (penetrant) from the food simulant which leads to the swelling effect of the polymer, (ii) the

92 active substance molecule being dissolved or dispersed in the polymeric matrix and (iii) the
93 active substance migrating to the food simulant ([Del Nobile & Conte, 2013](#)).

94

95 The quantification of volatile AM substances in active films is an important consideration given
96 that high pressure, shear forces and temperatures are required for film processing. According to
97 [Raouche, Mauricio-Iglesias, Peyron, Guillard, and Gontard \(2011\)](#), a higher temperature of *ca.*
98 160 to 190°C was needed to process PLA using extrusion which may easily degrade and
99 evaporate the volatile AM substance during thermal processing. The retention of volatile
100 additives such as thymol in PLA film was found to be approximately 70 to 80% after thermal
101 fabrication ([Tawakkal, Cran, & Bigger, 2015](#)). Such retention percentages were significantly
102 higher than those found in polyolefin film systems containing similar volatile additives. In
103 comparison, [Ramos, Jiménez, Peltzer, and Garrigós \(2012\)](#) reported much lower retention of
104 thymol and carvacrol (*ca.* 25 to 45% respectively) in PP formulations. The marked reduction in
105 the retention of these additives in polyolefin films upon thermal processing may result from the
106 reduced compatibility between the polymer matrix and the natural additives as well as the
107 processing parameters such as temperature, time and screw rotation ([Del Nobile et al., 2009](#)).

108

109 There are clearly many examples of the release of a wide range of AM substances from
110 homopolymers such as PLA. However, little attention has been devoted to evaluating the release
111 of AM agents from ternary composite systems, particularly taking into account the possible
112 swelling of polymeric materials immersed in food simulants as well as the effects of natural
113 fillers on the release rate. Moreover, the release of volatile AM substances from polymeric
114 materials also has been restricted to mainly hydrophobic and moderate hydrophilic polymeric

115 matrices ([Buonocore, Del Nobile, Panizza, Corbo, & Nicolais, 2003](#); [Herath, 2009](#)). The aim of
116 the current work was therefore to investigate the release of a naturally derived AM agent from a
117 novel ternary system comprised of PLA, kenaf fibers and thymol. In particular, the release of
118 thymol from PLA and PLA/kenaf composite and its diffusion kinetics were studied.

119

120 **2 Experimental Section**

121 **2.1 Materials**

122 Poly(lactic acid) (7001D Ingeo™; specific gravity 1.24; melting temperature 152.5°C
123 ([Tawakkal, Cran, & Bigger, 2014](#))) was obtained from NatureWorks LLC, USA. Mechanically
124 separated kenaf fibre (bast) was purchased from Ecofibre Industries, Australia. Thymol (T0501,
125 purity of 99.5%) was purchased from Sigma Aldrich Pty. Ltd., Australia. Sodium hydroxide and
126 acetic acid were purchased from Merck Chemicals, Australia. Un-denatured ethanol was
127 purchased from Chem-Supply Pty Ltd., Australia. Isooctane (2,2,4-trimethylpentane, 36006) was
128 purchased from Sigma Aldrich, Australia.

129

130 **2.2 Production of PLA/Kenaf/Thymol Films**

131 Kenaf fibres were soaked in 0.05 g/mL sodium hydroxide for 2 h at room temperature. The fibers
132 were then filtered and washed with distilled water prior to acid treatment to affect neutralization
133 by adding a few drops of acetic acid. The fibres were then filtered, washed and rinsed with
134 distilled water to remove the acetic acid, [the latter being confirmed](#) using a pH meter (inoLab®
135 pH7110, WTW GmbH, Germany). Finally, the fibres were dried overnight in an oven at 105°C.
136 Prior to mixing, PLA resin and kenaf fibres were further dried in an oven at 60°C overnight
137 before [mixing](#) with thymol at 100 g/kg concentration.

138 The film samples were prepared firstly by melt-blending the components in an internal mixer
139 (Haake PolyLab OS, Germany) at 155°C for 8 min and 50 rpm followed by heat pressing,
140 according to a method previously reported by [Tawakkal, Cran, and Bigger \(2014\)](#). The PLA was
141 added to the mixer first and the kenaf and thymol were introduced once the polymer was molten
142 in order to avoid unnecessary loss of thymol. In the current study, a 300 g/kg loading of kenaf
143 fibres was used to produce the PLA/kenaf composite that has moderate flexibility as well as high
144 strength and stiffness compared with unfilled PLA which is more suitable for the production of
145 rigid packaging applications ([Tawakkal, Cran, & Bigger, 2014](#)). In a second step, a laboratory
146 press (L0003, IDM Instrument Pty. Ltd., Australia) was used to prepare films. The samples were
147 preheated at 150°C for 3 min without applying pressure until the material melted, and then
148 pressed at the same temperature for 2 min under a force of 20 kN before quench cooling to 30°C
149 under pressure. The average thicknesses of the pressed neat PLA and PLA/kenaf films
150 incorporated with thymol were 0.19 ± 0.03 and 0.25 ± 0.05 mm respectively. The film thickness
151 was measured using a hand-held micrometer (Hahn & Kolb, Stuttgart, Germany).

152

153 **2.3 Quantification of Thymol in PLA and PLA/Kenaf Films**

154 One gram of film sample was cut into pieces (0.25 cm^2) and immersed in a round bottom flask
155 containing 150 mL of isooctane for solid-liquid extraction. Isooctane was used as it promotes the
156 swelling of the polymer and a typical reflux extraction was performed at 100°C for 4-5 h to
157 extract thymol from the films. The quantification was achieved with a gas chromatography (GC)
158 instrument (Varian 8200C_x) equipped with a fused silica capillary column (DB5; 30 m × 0.25
159 mm i.d.; thickness 0.25 μm; J & W Scientific, USA). The conditions applied in the GC
160 instrument were as follows: injected volume: 1.0 μL, initial column temperature: 80°C; heating

161 rate: 5°C min⁻¹ up to 120°C, held at this temperature for an additional 5 min; injector
162 temperature: 250°C; FID detector temperature: 300°C; flow rate: 2 mL min⁻¹; splitting; carrier
163 gas: nitrogen. Standard solutions of thymol in isooctane at concentrations from 0.05 to 1.0 mg
164 mL⁻¹ were prepared and used to produce a calibration curve. The experiments were performed in
165 triplicate. The retention of thymol in the neat PLA and PLA/kenaf films following thermal
166 processing was 73% and 62% respectively as reported by [Tawakkal, Cran, and Bigger \(2015\)](#).
167 The loss of thymol observed in the present study for composite films seems to be at an
168 acceptable level compared to petroleum-based (polyolefin) films containing volatile additives.

169

170 **2.4 Migration of Thymol into Food Simulants**

171 The release of thymol from neat PLA and PLA/kenaf films into aqueous food simulants was
172 studied at different temperatures. The release was examined *via* a total immersion migration test
173 ([EC, 1997](#)) using 950 and 150 mL/L ethanol/water. Ethanol is commonly used as food simulant
174 to investigate the migration of AM substances from the PLA matrix. The 950 and 150 mL/L
175 ethanol/water simulants are fatty and aqueous food simulants respectively. The sorption of
176 ethanol by the PLA matrix may lead to the creation of voids and/or swelling of the matrix where
177 it can penetrate the PLA chains and promote the migration of the active substance ([Mascheroni,
178 Guillard, Nalin, Mora, & Piergiovanni, 2010](#)). In the case of the 950 mL/L ethanol/water
179 simulant the experiments were performed at 30, 40, 50 and 60°C and for the 150 mL/L
180 ethanol/water simulant experiments were performed at 60, 65, 75 and 83°C. In the latter
181 experiments, the test temperatures were higher than recommended in the standard methods ([EC,
182 1997](#)) in order to accelerate the migration of thymol. Studying the release rates of thymol from
183 the neat PLA and PLA/kenaf films by using fatty and aqueous food simulants is important in

184 order to assess the *in vitro* and *in vivo* AM activity of these systems against targeted
185 microorganisms.

186

187 Samples of film weighing *ca.*0.5-0.6 g were immersed in 100 mL of simulant in a three-neck
188 round bottom flask with the ratio of simulant volume per area film being *ca.* 2.7-4.7 mL cm⁻².

189 The flask was immersed in an oil bath that was placed on a magnetic stirrer heating plate and the
190 simulant was gently agitated using magnetic stirring at 60 rpm. The flask was connected to a
191 condenser and the stirring speed and temperature were fixed and monitored throughout the
192 experiment. The amount of AM agent released from the films was monitored until equilibrium
193 was attained. A 0.2 mL sample of the simulant solution was collected periodically during the
194 experiment and 1 μ L aliquots were injected into the GC. The quantification of thymol in all
195 simulants was performed using the same method and calibration curve described in the previous
196 section. The thymol quantification was performed in triplicate.

197

198 **2.5 Data Analysis**

199 The migration of thymol from the PLA and PLA/kenaf composite films was analysed using three
200 data analysis treatments: (i) overall kinetics, (ii) diffusion models in accordance with [Cran,](#)
201 [Rupika, Sonneveld, Miltz, and Bigger \(2010\)](#) and [Kuorwel, Cran, Sonneveld, Miltz, and Bigger](#)
202 [\(2013\)](#) and (iii) Fick's diffusion law model.

203

204 **2.5.1 Overall Kinetics Analysis**

205 By considering the overall diffusion process to be a single process that obeys first-order kinetics,
206 equations describing the migration of an additive from a polymeric film into simulant with time

207 have been described by [Miltz \(1987\)](#) and [Crank \(1979\)](#). The release of the AM agent into the
208 simulant was initially analysed for its fit to a first order kinetics model. In the case of a first-
209 order system, equation (1) applies:

$$210 \quad \ln\left(1 - \frac{m_t}{m_\infty}\right) = -k_1 t \quad (1)$$

211 where m_t is the mass of additive released from the film at time t , m_∞ is the amount of additive
212 released from the film at equilibrium ($t = \infty$) and k_1 is the first-order rate constant. From equation
213 (1), a plot of $\ln(1 - m_t/m_\infty)$ versus time should be a straight line with a slope of $-k_1$. The apparent
214 first-order rate constants were calculated using equation (1) and the initial release rates, v_0 , of the
215 AM agent were calculated using equation (2) ([Kuorwel, Cran, Sonneveld, Miltz, & Bigger,](#)
216 [2013](#)):

$$217 \quad v_0 = m_\infty k_1 \quad (2)$$

218 **2.5.2 Diffusion Model**

219 In the diffusion model, the release of the AM agent from the film into the simulant is considered
220 in two stages, namely the short-term and the long-term ([Crank, 1979](#); [Miltz, 1987](#)). This
221 diffusion model is based on a geometry whereby the release is considered to occur from both
222 sides on the film. The diffusion data were analysed using equation (3) for short-term migration
223 and the corresponding rate constants were calculated using equation (4) for long-term migration.
224 Short-term migration is defined as the time for which $m_t/m_\infty < 0.6$:

$$225 \quad \frac{m_t}{m_\infty} = 4 \left(\frac{Dt}{\pi l^2}\right)^{\frac{1}{2}} \quad (3)$$

226 where D is the diffusion coefficient and l is the thickness of the film. A plot of m_t/m_∞ versus $t^{1/2}$
227 should yield a straight line from which the diffusion coefficient can be obtained.

228

229 For long-term migration $m_t/m_\infty > 0.6$ and equation (4) applies:

$$230 \quad \frac{m_t}{m_\infty} = 1 - \left(\frac{8}{\pi^2}\right) \exp\left(-\frac{\pi^2 Dt}{l^2}\right) \quad (4)$$

231

232 **2.5.3 Fick's Law Model**

233 The diffusion coefficient of the AM agent can be determined from its release *versus* time data,
234 by fitting these data to Fick's second law. Equation (5) can be derived from Fick's second law in
235 the case of one dimensional diffusion from a limited volume of film that is in contact with an
236 infinite volume of solution ([Crank, 1979](#)).

$$237 \quad \frac{m_t}{m_\infty} = 1 - \frac{8}{\pi^2} \sum_{m=0}^{\infty} \frac{1}{(2m+1)^2} \exp\left[\frac{-D(2m+1)^2\pi^2 t}{l^2}\right] \quad (5)$$

238

239 **2.5.4 Diffusion Activation Energy**

240 The effect of temperature on the release rate of the AM agent was modelled in accordance with
241 the Arrhenius equation ([Suppakul \(2004\)](#)):

$$242 \quad D = D_0 \exp\left(\frac{E_a}{RT}\right) \quad (6)$$

243 where D is the diffusion coefficient, D_0 is the pre-exponential factor, E_a is the activation energy
244 for the diffusion process, R is the ideal gas constant, and T is the absolute temperature.

245

246 3 Results and Discussion

247 3.1 Release of Thymol into Simulants

248 Figures 1(a) and 1(b) show plots of the mass fraction m_t/m_∞ versus time, t and the overall kinetic
249 analysis for the release of thymol from neat PLA and PLA/kenaf films into 950 mL/L
250 ethanol/water at the four temperatures studied. The thymol release reached equilibrium within
251 *ca.* 9 h at the lowest temperature of 30°C (Figure 1(a)). A similar observation was found for PLA
252 containing resveratrol where the system achieved equilibrium after *ca.* 14 h using the same food
253 simulant at 33°C (Soto-Valdez, Auras, & Peralta, 2011). In comparison, the times required to
254 achieve the equilibrium concentration of thymol in different polymeric systems with the same
255 food simulant at 40°C were found to be 50 h for PBS/thymol films and 150 h for PP/thymol
256 films (Ploypetchara, Suppakul, Atong, & Pechyen, 2014; Ramos, Beltrán, Peltzer, Valente, &
257 Garrigós, 2014) and 2 h for starch/thymol films in isooctane at 35 °C (Kuorwel, Cran,
258 Sonneveld, Miltz, & Bigger, 2013). In the current work, it was found that increasing the
259 temperature to say 60°C, increases the release rate of thymol and equilibrium was attained within
260 1.4 h. At the end of these experiments, *ca.* 87 to 100% and 82 to 95% of the thymol was released
261 from the neat PLA and PLA/kenaf films respectively into 950 mL/L ethanol/water over the
262 temperature range of 30 to 60°C.

263

264 >>>Insert Figure 1

265

266 The initial release rates and the overall rate constants for the release of thymol that were
267 calculated from the data in Figure 1(b) are presented in Table 1. For both neat PLA and
268 PLA/kenaf films, the initial release rates and the overall rate constants for thymol release into

269 950 mL/L ethanol/water consistently increased with an increase in temperature from 30 to 60°C.
270 This is consistent with the findings of ([Kuorwel, Cran, Sonneveld, Miltz, & Bigger, 2013](#)) where
271 an increase in temperature was found to have a significant effect on the migration of the additive
272 from the film. From the results in [Table 1](#) a similar observation can also be made in the case of
273 the 150 mL/L ethanol/water simulant over the range of the four temperatures studied. However,
274 in the latter case the rates are significantly lower compared with the 950 mL/L ethanol/water
275 case. The experimental results were further analyzed by using the diffusion model in which the
276 short-term and the long-term migration periods were considered.

277

278 >>> Insert [Table 1](#)

279

280 [Figures 2\(a\) and 2\(b\)](#) show the respective plots of m_t/m_∞ versus $t^{1/2}$ for the short-term release of
281 thymol and $\ln(1 - m_t/m_\infty)$ versus t for the long-term release of thymol from the neat PLA and
282 PLA/kenaf films into 950 mL/L ethanol/water at 30°C. A similar behavior was observed at each
283 of the temperatures that were studied and the linearity of the plots suggests that the data conform
284 well to the diffusion model given in equation (3) for short-term release. Values of the apparent
285 diffusion coefficient at each of the various temperatures were determined from the gradients of
286 these plots and are also presented in [Table 1](#). For the long-term release, the linearity of the $\ln(1 -$
287 $m_t/m_\infty)$ versus t plots also confirms the data reliably fit the model presented in equation (4).
288 Indeed, all results exhibited good linear correlation with correlation coefficients (r^2 values)
289 greater than 0.97.

290

291 >>> Insert [Figure 2](#)

292 The results in [Table 1](#) confirm that the diffusion coefficients of thymol from the neat PLA and
293 PLA/kenaf films into the [950 mL/L](#) ethanol/water increase with increasing temperature. As
294 expected, the diffusion rates of the composite films are higher than those obtained for the neat
295 PLA films. This may be attributed in part to the presence of the kenaf fibre filler in the polymer
296 matrix that creates voids thereby enabling the release of thymol from the film. These findings are
297 consistent with the result obtained for the retention of thymol [in a previous study](#) whereby
298 [following processing](#) the composite films were found to retain less thymol than neat PLA film
299 [\(Tawakkal, Cran, & Bigger, 2015\)](#). It is important to note that the values of the *D* parameter
300 found for the neat PLA and PLA/kenaf films containing thymol are one order of magnitude
301 higher than those observed for active low-density polyethylene (LDPE), PBS and PP containing
302 a similar AM agent and using [950 mL/L](#) ethanol/water simulant [\(Cran, Rupika, Sonneveld,](#)
303 [Miltz, & Bigger, 2010; Ployetchara, Suppakul, Atong, & Pechyen, 2014; Ramos, Beltrán,](#)
304 [Peltzer, Valente, & Garrigós, 2014\)](#). Moreover, and as expected, active PLA films containing a
305 non-volatile and thermally stable agent (i.e. resveratrol) prepared by [Soto-Valdez, Auras, and](#)
306 [Peralta \(2011\)](#) exhibit diffusion coefficients that are one order of magnitude lower than those of
307 PLA films containing a volatile AM agent such as thymol. Similar trends in the diffusion
308 coefficients of thymol were observed for the neat PLA and PLA/kenaf films when immersed in
309 the [150 mL/L](#) ethanol/water simulant. However, the diffusion coefficients for the films
310 immersed in the [150 mL/L](#) ethanol/water simulant were consistently lower than those pertaining
311 to the [950 mL/L](#) ethanol/water simulant. The observed decrease in the diffusivity may be
312 explained by the lack of affinity between thymol and water that leads to the limited solubility of
313 thymol in water. Moreover, PLA is a hydrophobic polymer but ethanol is sufficiently non-polar
314 to facilitate the swelling of the PLA matrix [\(Sato, Gondo, Wada, Kanehashi, & Nagai, 2013\)](#),

315 dissolve the thymol and release it into the bulk of the simulant. According to [Manzanarez-López,](#)
316 [Soto-Valdez, Auras, and Peralta \(2011\)](#), ethanol is also an aggressive solvent for PLA which can
317 penetrate into PLA chains and release the active substance.

318
319 The lower concentration of ethanol in the 150 mL/L ethanol/water simulant may lead to a slight
320 extent of swelling as well as hydrolysis of the PLA ([Manzanarez-López, Soto-Valdez, Auras, &](#)
321 [Peralta, 2011](#)). Interestingly this slight swelling effect of PLA matrix appears to be more
322 significant than the swelling effect of the kenaf fibres. The PLA/kenaf composites are semi-
323 hydrophilic materials due to the presence of kenaf fibre that acts as a hydrophilic filler. One may
324 therefore expect the rate of diffusion of thymol from these composite systems (PLA/kenaf films)
325 to increase as the water content of the simulant increases. [Taib, Ramarad, Mohd Ishak, and Todo](#)
326 [\(2009\)](#) prepared PLA/kenaf composites containing polyethylene glycol (PEG) and reported that
327 when the composite was immersed into the water, the fibres absorb water leading to expansion of
328 the fibres. Such an effect may create internal stress in the adjacent matrix and resulting in the
329 formation of microcracks. However, the rate of diffusion of thymol from the PLA/kenaf films
330 was in fact found to be lower in the 150 mL/L ethanol/water simulant than in the 950 mL/L
331 ethanol/water simulant. It can therefore be suggested that a complex and strong interaction exists
332 between the kenaf, PLA and thymol in the composite systems. The PLA matrix may act as a
333 coating agent to the kenaf fibre filler, preventing the water from swelling the kenaf fibres and
334 releasing thymol that is associated with the fibres. Such an interaction between the PLA, kenaf
335 fibre and thymol was reported previously where these composite systems were studied using
336 Fourier transform infrared (FTIR) spectroscopic and thermogravimetric (TG) analyses
337 ([Tawakkal, Cran, & Bigger, 2015](#)). In that study, the activation energy for the thermal release of

338 thymol, using a 3D diffusion kinetic model, was found to be 46 kJ mol^{-1} in the case of neat PLA
339 containing thymol and 65 kJ mol^{-1} for the PLA/kenaf composite system.

340

341 Fick's second law model was also used to calculate the diffusion coefficient by minimizing the
342 sum of the squared errors (SSE) of the measured and calculated value (see [Table 1](#)). To
343 determine the fit of the experimental data, equation (5) was used and a non-linear regression
344 function was applied to the data. [Figure 3](#) shows plots of m_t/m_∞ versus t for the diffusion of
345 thymol from PLA/kenaf film into [950 mL/L](#) ethanol/water at 30°C where the data have been
346 fitted using the Fick's second law model. The satisfactory fit of the experimental data suggests
347 that the diffusion kinetics of thymol into [950 mL/L](#) ethanol/water from the composite can also be
348 adequately described by Fick's model. [The SSE values for all the release models studied are also](#)
349 [presented in Table 1. In general, the short-term diffusion model presents the best fit for the](#)
350 [release kinetics with the lowest SSE values for all systems studied. This is followed by the](#)
351 [Fickian diffusion model, with the first order model and long-term diffusion models showing](#)
352 [some higher SSE values for some systems.](#)

353

354 >>> Insert [Figure 3](#)

355

356 Using the diffusion coefficients obtained from [the](#) diffusion model, the effect of temperature on
357 the diffusion coefficient for the release of thymol into [950](#) and [150 mL/L](#) ethanol/water was
358 determined and Arrhenius plots of the data are shown in [Figure 4](#). The activation energy for the
359 diffusion process, E_a , was calculated from the slope of [the](#) $\ln(D)$ versus $1/T$ plot in each case (see
360 [Figure 4](#)) in accordance with the Arrhenius equation (see equation (6)). The activation energies

361 for the release of thymol from the neat PLA and PLA/kenaf films were found to be: 90.6 and
362 76.2 kJ mol⁻¹ in 950 mL/L ethanol/water and 98.7 and 84.8 kJ mol⁻¹ in 150 mL/L ethanol/water
363 respectively. Significant differences were therefore found to exist amongst the E_a values for
364 these active neat PLA and PLA/kenaf films immersed in the 950 and 150 mL/L ethanol/water
365 simulants.

366

367 >>> Insert [Figure 4](#)

368

369 The E_a values for the neat PLA films are significantly higher than those found for PLA/kenaf
370 films and this is attributed mainly to the strong interaction between the PLA and thymol that
371 presumably is not as strong in the presence of the kenaf filler. Furthermore, the E_a values
372 increase with an increase in water content of the simulant and this is consistent with the
373 observations made in relation to the diffusion coefficients discussed above (see [Table 1](#)). The E_a
374 values obtained in the present study are all considerably lower than those reported elsewhere for
375 the diffusion of butylated hydroxytoluene (BHT) from PLA/BHT films into 950 mL/L
376 ethanol/water which was 164.7 kJ mol⁻¹ ([Ortiz-Vazquez, Shin, Soto-Valdez, & Auras, 2011](#)).
377 This significant difference may be explained by the difference in molecular interaction and
378 hydrogen bonding that exists between the polymeric matrix and the AM additive in these
379 systems ([Kuorwel, Cran, Sonneveld, Miltz, & Bigger, 2013](#)). As expected, the trend in E_a values
380 for the various systems when calculated using the Fick's law model are consistent with those
381 calculated by the diffusion model given that the latter model has been derived from the former
382 model. The activation energies using the Fick's law model for the release of thymol from the
383 neat PLA and PLA/kenaf films were found to be: 83.3 and 80.9 kJ mol⁻¹ in 950 mL/L

384 ethanol/water and 118.5 and 96.3 kJ mol⁻¹ in 150 mL/L ethanol/water respectively. These slight
385 differences in the E_a values that were obtained using the different models might be due to the use
386 of the diffusion coefficients derived from the short-term experimental data in the construction of
387 the Arrhenius plot.

388

389 **3.2 Film Appearance**

390 Figure 5 shows the images of neat PLA and PLA/kenaf films after the release of thymol into 150
391 and 950 mL/L ethanol/water at the different temperatures studied. A considerable change in
392 color for the neat PLA and PLA/kenaf films was observed after the release experiments. These
393 color changes are due to the effects of temperature as well as the presence of water in the
394 simulants. The color is observed to change from clear to opaque for the neat PLA immersed in
395 150 mL/L ethanol/water with an increase in opacity with increasing temperature. A similar
396 observation was made in the case of the 950 mL/L ethanol/water simulant. The opacity of
397 materials may be attributed to a polymer hydrolytic degradation process which can be related to
398 crystallization of the PLA matrix as well as moisture absorption. The water molecules diffuse
399 through the films, promoting hydrolysis and leads to the formation of low molecular degradation
400 by-products (Ramos et al., 2014). In addition, color changes from brown to light brown are also
401 observed for the composites immersed in 150 and 950 mL/L ethanol/water. For the PLA/kenaf
402 composites immersed in 150 mL/L ethanol/water, the PLA surrounding the fibres dissolved or
403 delaminated from the film surface revealing the kenaf fibers and this effect was less apparent in
404 the 950 mL/L ethanol/water simulant.

405

406 >>> Insert Figure 5

407 **4 Conclusions**

408 The results of this study suggest that thymol is readily released from neat PLA and PLA/kenaf
409 films into 150 and 950 mL/L ethanol/water simulants and the process can be described by an
410 overall first-order kinetics model that can be used to determine the initial release rate. The short-
411 and long-term diffusion models also adequately describe the release of thymol from these
412 systems and the results are consistent with those obtained using a Fick's law analysis approach.
413 The diffusion coefficient data suggest that the addition of the kenaf filler to the PLA matrix
414 facilitates the release of thymol from the matrix and that the diffusion follows an Arrhenius
415 relationship with temperature. Furthermore, an increase in polarity of the simulant that results
416 from an increase in its water content decreases the propensity of thymol to be released from the
417 composite system. Nonetheless, it is apparent that active PLA/kenaf composites containing
418 natural AM agents such as thymol are potential candidates to be explored further for use as
419 active packaging systems. Such systems have the advantage of being derived from natural
420 sources, contain a naturally-derived AM agent and, as such, are expected to be more susceptible
421 to biodegradation than their synthetically-based counterparts.

422

423 **5 Acknowledgments**

424 The authors gratefully acknowledge the Ministry of Education Malaysia and Universiti Putra
425 Malaysia (UPM) for providing the PhD scholarship for Intan S. M. A. Tawakkal. We would like
426 to acknowledge Mr. Mike Allan and the technical staff from the Royal Melbourne Institute
427 Technology, Melbourne for their invaluable assistance with the preparation of the composite
428 samples.

429

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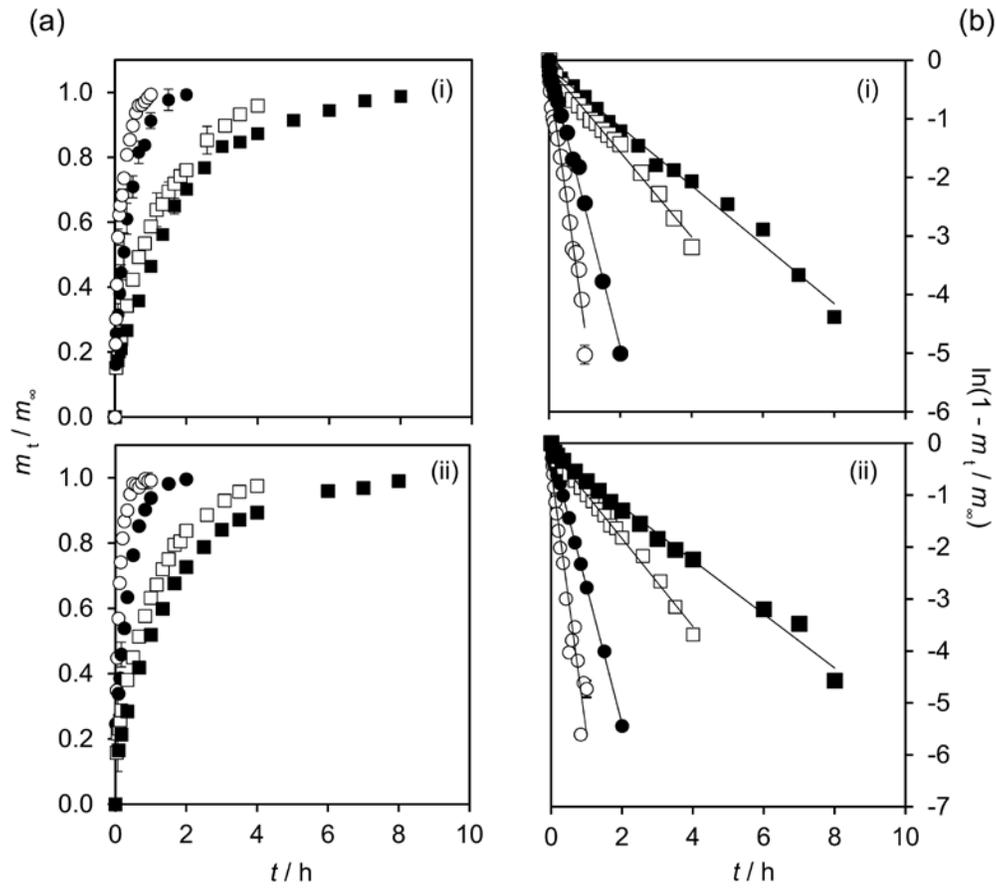
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560

561 **Figure Captions**

562

563 **Figure 1.** Plots of : (a) the mass fraction m_t/m_∞ versus t , and (b) $\ln(1 - m_t/m_\infty)$ versus t for
564 the release of thymol from: (i) PLA and (ii) PLA/kenaf films into 950 mL/L ethanol/water at: ■
565 30°C, □ 40°C, ● 50°C and ○ 60°C where m_t is the mass of thymol released from the film at time
566 t and m_∞ is the amount of thymol released from the film at equilibrium ($t = \infty$).



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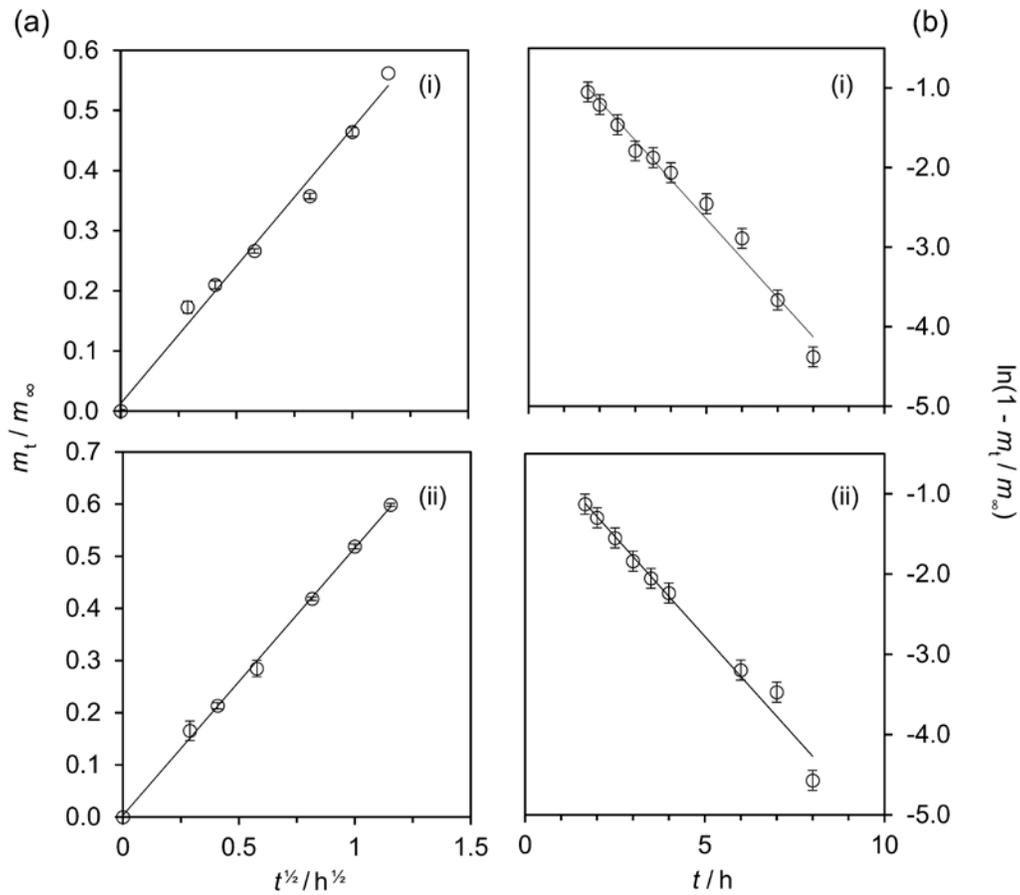
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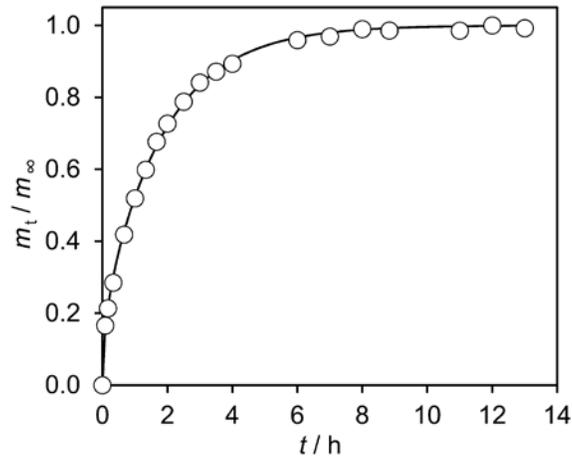
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572 **Figure 2.** Plots of: (a) mass fraction m_t/m_∞ versus $t^{1/2}$ and (b) $\ln(1 - m_t/m_\infty)$ versus t for the
 573 release of thymol from: (i) PLA and (ii) PLA/kenaf films into 950 mL/L ethanol/water at 30°C
 574 where m_t is the mass of thymol released from the film at time t and m_∞ is the amount of thymol
 575 released from the film at equilibrium ($t = \infty$).



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583 **Figure 3.** Plot of the mass fraction m_t/m_∞ versus t for the release of thymol from the PLA
 584 film into 950 mL/L ethanol/water at 30°C fitted using the Fick's law model where m_t is the mass
 585 of thymol released from the film at time t , and m_∞ is the amount of thymol released from the
 586 film at equilibrium ($t = \infty$).

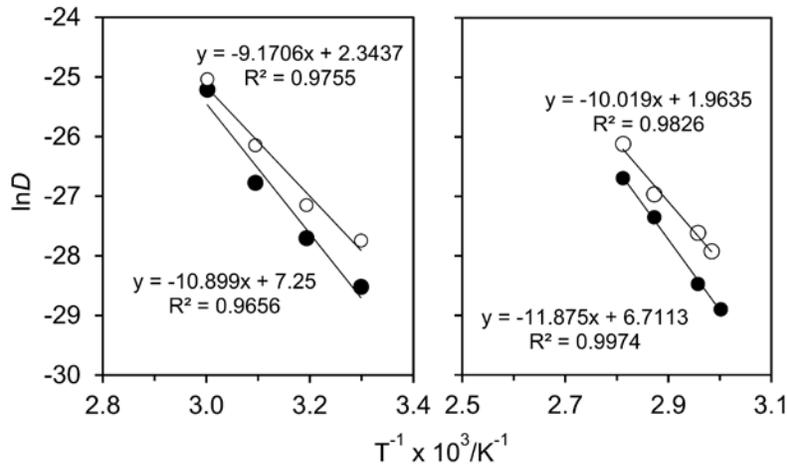


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589 **Figure 4.** Arrhenius plots of $\ln(D)$ versus $1/T$ for the release of thymol into: (a) 950 mL/L
 590 ethanol/water and (b) 150 mL/L ethanol/water from: ● PLA film and ○ PLA/kenaf film where D
 591 is the diffusion coefficient and T is the absolute temperature.

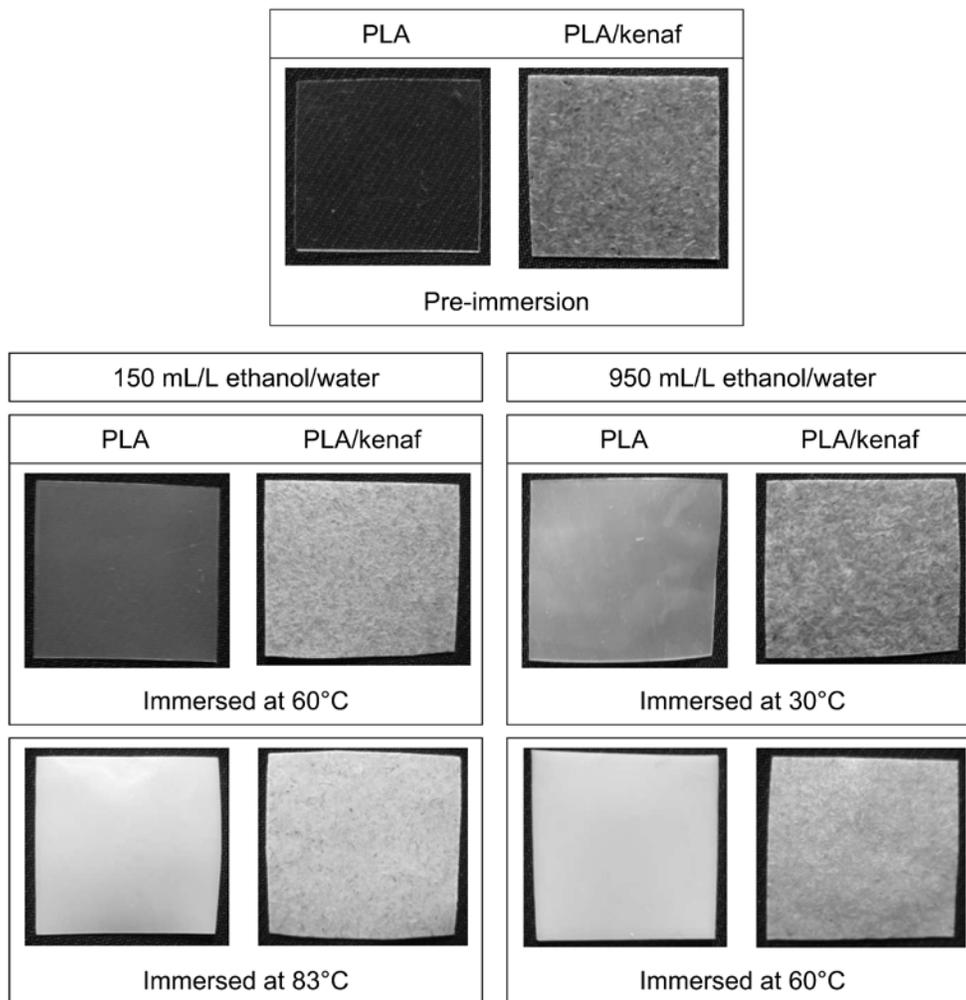
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595 **Figure 5.** Images of PLA and PLA/kenaf films after the release of thymol into 150 and 950
596 mL/L ethanol/water stimulants at different temperatures.



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