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Gypsum Scaling in Forward Osmosis: Role of Membrane Surface Chemistry

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15 **ABSTRACT**

16 Forward osmosis (FO) membranes with varying surface chemical functionalities respond
17 differently to gypsum scaling. Using a real-time monitoring system, gypsum scaling was
18 quantified between an asymmetric cellulose triacetate (CTA) and a thin-film composite (TFC)
19 polyamide membrane in terms of water flux decline, gypsum surface coverage and gypsum
20 crystal morphology. At the same initial water flux, the TFC membrane was subjected to more
21 severe gypsum scaling than the CTA membrane in terms of water flux decline and gypsum
22 crystal surface coverage. The gypsum crystal morphology on the CTA membrane featured with
23 slender platelets; in contrast, that on the TFC membrane demonstrated the formation of rosette
24 arrangements. Fourier transform infrared spectra and X-ray photoelectron spectroscopy proved
25 that the gypsum scaling on CTA membrane was dominated by bulk crystallisation with
26 subsequent deposition; while that on the TFC membrane was driven by surface crystallisation via
27 specific interaction between carboxylic functional groups and calcium ions. No interaction
28 between gypsum and CTA membrane surface was demonstrated by the largely unchanged ratio
29 of wavenumbers 1740 cm^{-1} (C=O stretching) to 1366 cm^{-1} (C-O stretching), as well as binding
30 energy of C1s on the CTA membrane. In contrast, specific interaction between carboxylic
31 functional groups with calcium ions during gypsum scaling was revealed by a gradual increase in
32 the ratio of absorbance wavenumber 3400 cm^{-1} (O-H stretching) to 2970 cm^{-1} (C-H stretching),
33 and the occurrence of the carboxylate functional group at binding energy of 288.1 eV on the TFC
34 membrane during the formation of gypsum scaling.

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38 *Keywords:* forward osmosis; gypsum scaling; cellulose triacetate; polyamide; Fourier transform
39 infrared spectroscopy; X-ray photoelectron spectroscopy

40 **1. Introduction**

41 Forward osmosis (FO), an osmosis-driven membrane process, could potentially advance
42 desalination and wastewater reuse. FO utilises the osmotic pressure of a highly concentrated
43 draw solution as the driving force to transfer water from the feed solution to the draw solution
44 through a dense polymeric membrane. FO has demonstrated a much lower fouling propensity
45 and higher fouling reversibility than RO, which was attributed to the lack of applied hydraulic
46 pressure [1-4]. Consequently, FO is widely used to treat low quality feedwaters, including
47 landfill leachate [5], anaerobic digester concentrate [6], activated sludge solution [7, 8], and
48 municipal wastewater [9-11].

49 The core of FO membrane has advanced from asymmetric cellulose triacetate (CTA)
50 membrane to polyamide thin-film composite (TFC) membranes because of the excellent mass
51 transfer properties of polyamide [12-14]. Indeed, the TFC membrane not only produces higher
52 water permeability but also exhibits better contaminant rejections in comparison with the CTA
53 membrane. For example, the TFC membrane achieved rejections of four pharmaceuticals above
54 95%; in contrast, the CTA membrane exhibited varying rejections of these compounds from 65%
55 to 95% [15]. This better performance of the TFC membrane was further demonstrated by
56 substantially higher rejections of neutral contaminants than the CTA membrane [16].

57 Membrane surface chemistry of CTA and TFC membranes are markedly different.
58 Unlike the CTA membrane abundant with hydroxyl functional groups, the TFC membrane is
59 characterized by a high density of carboxylic acid functional groups, which results in potentially
60 high fouling propensity. Previous knowledge from RO membrane fouling demonstrated that
61 carboxylic functional groups enabled the formation of calcium bridging between the membrane
62 surface and a wide range of organic foulants, and consequently increased organic fouling. Wu et
63 al. [17] found that the carboxylic functional groups on RO membrane exhibited the highest initial
64 alginate adsorption rate in seawater desalination. This higher adsorption was further revealed by
65 measuring the alginate – membrane surface intermolecular force [18], which increased with
66 higher density of carboxylic functional groups.

67 Role of membrane surface chemistry in FO membrane fouling is conflicting and not well
68 understood. Limited investigations were conducted to compare FO membrane fouling behaviour

69 between CTA and TFC membranes with different surface chemical functionalities. For instance,
70 more severe gypsum scaling of the TFC membrane was observed in comparison with the CTA
71 membrane [19, 20], which was attributed to stronger adhesion force measured by atomic force
72 measurement (AFM). In contrast, negligible difference in water flux decline was observed
73 between the TFC and CTA membranes during silica scaling. In addition, the adhesion force
74 measurement by AFM also showed stronger hydrogen bonding between silica and the CTA
75 membrane abundant with hydroxyl functional groups [20]. As a result, the role of membrane
76 surface chemistry on FO fouling is not straightforward and necessitates systematic investigation.

77 In this study, we investigated the role of FO membrane surface chemistry on gypsum
78 scaling using CTA and TFC membranes. Membrane surface chemistry – surface charge and
79 surface functional groups – were characterized. Gypsum scaling of the CTA and TFC
80 membranes was conducted in a real-time observation setup and was quantified in terms of water
81 flux decline, gypsum surface coverage and gypsum crystal morphology. Fourier transform
82 infrared spectroscopy and X-ray photoelectron spectroscopy were used to capture changes of
83 membrane surface chemistry on the CTA and TFC membranes during gypsum scaling, thereby
84 elucidating the role of membrane surface chemistry on gypsum scaling in FO process. We
85 provided, for the first time, a time-resolved gypsum scaling profile of CTA and TFC membranes
86 in FO process. The real-time observation, microscopic imaging as well as comprehensive
87 membrane surface chemistry characterization constituted compelling experimental evidence to
88 elucidate the scaling mechanism from the membrane surface chemistry perspective.

89 **2. Materials and methods**

90 *2.1. FO membranes*

91 An asymmetric cellulose triacetate (CTA) and a polyamide thin-film composite (TFC)
92 forward osmosis (FO) membrane were employed in this study. The CTA membrane was
93 composed of a cellulose triacetate layer with an embedded woven support mesh [21, 22]. The
94 TFC membrane was made of a thin selective polyamide active layer on top of a porous
95 polysulfone support layer [23, 24].

96 *2.2. Real-time observation FO setup*

97 A transparent acrylic FO membrane cell coupled with microscopic observation enabled
98 real-time observation of gypsum scaling on membrane surface (Figure S1, Supplementary Data).
99 Specifically, a membrane coupon with an effective area of 20.2 cm² was placed in a transparent
100 FO membrane cell. Crossflow rate of 1 L/min (corresponding to crossflow velocity of 9 cm/s)
101 was maintained for both the feed and draw solutions using micro gear pumps. The FO water flux
102 was determined by measuring the weight changes of the feed solution at specific time intervals
103 with a precision balance connected to a computer and a data logging system.

104 Real-time membrane surface images of 2048 × 1536 pixels resolution were recorded using
105 a high resolution digital camera and an optical microscope (20× magnification). To minimize the
106 interference from air bubbles, the feed and draw solutions were degassed prior to circulation in
107 the FO setup. Through the combination of optical magnification along with a unique
108 combination of bright and low angle dark field illumination, provided by ultra-bright fibre optic
109 illuminator, digital image capture and analysis processing, occurrence and subtle changes of
110 gypsum crystal could be effectively monitored. Recorded images of the scaled membrane surface
111 were processed with image analysis softwares – Image J and Adobe Photoshop – to quantify the
112 time evolution of gypsum surface coverage.

113 *2.3. Experimental procedure of membrane scaling*

114 Both CTA and TFC FO membranes were employed in the gypsum scaling experiments.
115 Varying initial water fluxes of the CTA and TFC membranes were achieved by using different

116 concentrations of NaCl. The evolution of gypsum scaling of the CTA and TFC membranes were
117 continuously monitored by the real-time observation FO setup.

118 The protocol for all gypsum scaling experiments comprised the following steps. First, a
119 new membrane coupon, with the active layer facing the feed solution, was placed in the
120 membrane cell before each experiment and stabilised to obtain a constant flux. The stabilization
121 process took about one hour for FO. The membrane in the FO mode was stabilised with
122 deionised water as the feed and varying concentration of NaCl as the draw solution to induce
123 different initial water flux. Next, the gypsum scaling experiment was performed for about 24 h to
124 obtain approximately 1400 mL cumulative permeate volume at the conclusion of each
125 experiment. The gypsum scaling solution was comprised of 35 mM CaCl₂, 20mM Na₂SO₄, and
126 19 mM NaCl, with a gypsum (CaSO₄·2H₂O) saturation index (SI) of 1.3. Other experimental
127 conditions were: cross-flow rate of 1 L/min (corresponding to the cross-flow velocity of 8.5
128 cm/s), ambient pH (pH 6.8), and temperature of 25.0 ± 0.1°C. Scaling experiment was operated
129 for around 25 hours, attaining cumulative permeate volume of 1.4 L. Water flux was
130 continuously monitored throughout the fouling experiments by a data logger. A baseline
131 experiment (i.e., feed without CaCl₂ and Na₂SO₄) was also carried out to correct the flux decline
132 due to the continuous concentration of the feed solution and dilution of the draw solution, as
133 described in our previous publication [25]. The real-time monitoring system captured images of
134 the FO membrane surface every hour to identify the occurrence of and development of gypsum
135 crystals on FO membrane surface during scaling experiment.

136 2.4. *Relating gypsum scaling to membrane surface chemistry*

137 A suite of techniques were employed to elucidate the mechanisms of gypsum scaling on
138 CTA and TFC membranes whose membrane surface chemistry was markedly different.
139 Specifically, for pristine membranes, surface charge and major functional groups of CTA and
140 TFC membranes were characterised by streaming potential and x-ray photoelectron spectroscopy
141 (XPS). For gypsum-scaled membranes, the morphology of gypsum scaling layer on CTA and
142 TFC membranes was examined by scanning electron microscopy (SEM); changes in bonding
143 chemistry of the CTA and TFC membranes after gypsum scaling was quantified by a high

144 resolution C1s scan using XPS. In addition, the evolution of gypsum scaling on CTA and TFC
145 membrane surface was observed by Fourier transform infrared spectroscopy (FTIR).

146 *2.4.1 Streaming potential measurement*

147 Membrane surface charge was determined using a SurPASS electrokinetic analyser
148 (Anton Paar GmbH, Graz, Austria). The zeta potential of each membrane surface was calculated
149 from the measured streaming potential using the Fairbrother-Mastin approach [26]. All streaming
150 potential measurements were conducted in a background electrolyte solution containing 10 mM
151 KCl. Hydrochloric acid and potassium hydroxide were used to adjust pH by means of automatic
152 titration. The test solution was used to flush the cell thoroughly prior to pH adjustment for each
153 measurement. All streaming potential measurements were performed at room temperature (22.0
154 ± 0.1 °C), which was monitored by the temperature probe of the instrument.

155 *2.4.2 Scanning electron microscopy*

156 The morphology of the gypsum scaling layer on CTA and TFC membrane was
157 characterised using a scanning electron microscopy (SEM) (JEOL JCM-6000, Tokyo, Japan).
158 Prior to SEM analysis, scaled membrane samples were air-dried in a desiccator and were
159 subsequently sputter coated with an ultra-thin layer of gold.

160 *2.4.3 Fourier transform infrared spectroscopy*

161 Gypsum scaling evolution in the initial stage (eight hours) on CTA and TFC membranes
162 were also assessed by Fourier transform infrared spectroscopy with attenuated total reflectance
163 (FTIR-ATR). For each specific time interval, a new membrane coupon was used for gypsum
164 scaling experiment. The gypsum-scaled membrane coupon was air dried for at least 24 h before
165 spectra were measured on an FTIR spectrometer (Thermo Scientific Nicolet 6700) equipped with
166 an ATR accessory consisting of a ZnSe plate (45° angle of incidence). Absorbance spectra were
167 measured with 64 scans of each sample at a spectral resolution of 2 cm^{-1} . Background
168 measurements in air were collected before each membrane sample measurement. ATR-FTIR
169 spectra were collected at two different spots for each membrane sample. The characteristic
170 wavenumbers for the CTA and TFC membranes were summarised in Table S1, Supplementary
171 Data.

172 2.4.4 X-ray photoelectron spectroscopy

173 Bond chemistry of membrane surface layer was analysed by high resolution C1s scan
174 with XPS. Specifically, XPS analysis used monochromatic aluminium K α X-ray photoelectron
175 spectrometer (Thermo Scientific, MA). A spot size of 400 μ m was used to scan in the region of
176 the C1s binding energy at 20 eV pass energy. Two random spots on duplicate membrane samples
177 were selected. Excessive charging of the samples was minimized using an electron flood gun.
178 High resolution scans had a resolution of 0.1 eV. Calibration for the elemental binding energy
179 was done based on the reference for C1s at 284.6 eV. Data were processed by standard software
180 with Shirley background and relative sensitivity factor of 0.278 for C1s peaks. The high
181 resolution XPS spectra were subtracted by the Shirley-type background, and Gaussian-Lorentz
182 peak deconvolution was performed to estimate the binding energy shift of carbon C1s. For each
183 membrane type, the deconvoluted peaks were normalized against the peak at the lowest binding
184 energy (corrected to 284.6 eV). The characteristic C1s binding energies for the CTA and TFC
185 membranes were tabulated in Table S2, Supplementary Data. The signal residual after
186 deconvolution was also plotted to assure the accuracy (Figure S4, Supplementary Data).

187

188 3. Results and Discussion

189 3.1. Membrane surface chemistry properties

190 CTA and TFC membranes possess markedly different surface chemistry properties
191 (Figure 1). The nature of the functional groups of CTA membrane was identified by the shifts in
192 the binding energy of the deconvoluted XPS peak spectra [27, 28] as -C-H- (284.6 eV) and -C-
193 OH (286.2 eV) (Figure 1A); while in contrast, that of the TFC membrane was revealed as -C-H-
194 (284.6 eV), -C=O (286.7 eV), and -COOH (288.9 eV) (Figure 1B) [29-31]. Indeed, the
195 elemental survey by XPS analysis also showed the presence of nitrogen on TFC membrane
196 surface, but was not detected on CTA membrane surface (Figure S2, Supplementary Data). The
197 results demonstrated that the CTA membrane was abundant with hydroxyl functional group,
198 while the TFC membrane was rich in carboxylic functional group.

199 The significant variation in surface functional groups also resulted in different membrane
200 surface charge. Zeta potential measurements suggested that the surface of the TFC membrane
201 was significantly more negatively charged than that of the CTA membrane at an experimental
202 pH of 6.8 (Figure 1C). The highly negatively charged surface of the TFC membrane can be
203 attributed to the dissociation of free or uncross-linked carboxylic functional groups of the
204 polyamide active skin layer (Petersen 1993). By contrast, the predominant functional group on
205 the CTA membrane surface is hydroxyl, which can only be deprotonated at high pH. Indeed,
206 previous measurement using a toluidine blue O method showed that the number of negatively
207 charged functional groups was negligible on CTA membrane surface [32]. The marginal
208 negative charge of the CTA membrane can be attributed to preferential adsorption of anions,
209 such as chloride and hydroxide, onto the membrane surface [33, 34].

210 FTIR spectra of pristine CTA and TFC membranes also confirmed the presence of
211 hydroxyl and carboxylic functional groups, respectively (Figure 1D). The CTA membrane was
212 identified by wavenumber of 1740 cm^{-1} (ester C=O stretching in cellulose triacetate) and 1366
213 cm^{-1} (C-O stretching in hydroxyl functional group) [29, 35]. The TFC membrane exhibited its
214 distinctive amide bands at wave numbers of 1778 and 1719 cm^{-1} (symmetric and asymmetric
215 C=O stretching, respectively), 1378 cm^{-1} (C-N-C stretching), and 1110 cm^{-1} (amide ring) [30,
216 36]. In addition, in the high wavenumbers, the TFC membrane also showed a broad peak at
217 wavenumber of 3400 cm^{-1} (O-H stretching) and a sharp peak at 2970 cm^{-1} (C-H stretching) [31,
218 35], respectively, which indicated the presence of carboxylic functional groups on the membrane
219 surface. It is hypothesized that the CTA and TFC membranes abundant with hydroxyl and
220 carboxylic functional groups, respectively, could respond differently to gypsum scaling.

221 [Figure 1]

222 3.2. Membrane scaling behaviours

223 3.2.1. Role of initial water flux

224 Different initial water flux resulted in notably different water flux decline for both CTA
225 and TFC membranes during gypsum scaling (Figure 2). At low initial water flux of $5\text{ L}\cdot\text{m}^{-2}\cdot\text{h}^{-1}$,
226 both CTA and TFC membranes exhibited negligible water flux decline. In contrast, with the
227 increase of the initial water flux from 10 to $25\text{ L}\cdot\text{m}^{-2}\cdot\text{h}^{-1}$, the water flux decline of both membranes

228 was aggravated, with more than 50% water flux decline at the conclusion of the experiment for
229 initial water fluxes of $25 \text{ Lm}^{-2}\text{h}^{-1}$ (Figure 2). Such initial water flux dependent gypsum scaling
230 behaviour agreed with previous FO fouling observations using varying model foulants, such as,
231 latex particles [37], humic acid [38] and microalgae [39]. These previous studies also reported
232 more severe water flux decline when the FO membrane was operated at higher initial water flux.

233 **[Figure 2]**

234 The initial water flux dependent gypsum scaling behaviour was also revealed by real-time
235 observation technique. A strong correlation between percentage of water flux decline and
236 membrane surface coverage by gypsum was observed (Figure 3). Specifically, for the CTA
237 membrane, when initial water flux increased from 5 to $25 \text{ Lm}^{-2}\text{h}^{-1}$, the percentage of water flux
238 decline increased from 5% to 45% at the conclusion of the experiment, which was driven by an
239 increase in membrane surface coverage from 17% to 42% (Figure 3A). Similarly, TFC
240 membrane also demonstrated similar increase in the percentage of water flux decline as well
241 membrane surface coverage when the initial water flux increased from 5 to $25 \text{ Lm}^{-2}\text{h}^{-1}$ (Figure
242 3B).

243 Notably, TFC membrane was subject to more severe gypsum scaling in comparison with
244 CTA membrane (Figure 3). For instance, under the same initial water flux of $25 \text{ Lm}^{-2}\text{h}^{-1}$, water
245 flux decline as well as membrane surface coverage by the TFC membrane (65% water flux
246 decline and 67% membrane surface coverage) was significantly higher than those by the CTA
247 membrane (45% water flux decline and 43% membrane surface coverage).

248 Such variation in the CTA and TFC membrane gypsum scaling warrants an in-depth
249 examination of gypsum scaling evolution to elucidate the underlying mechanism. Previous study
250 compared gypsum scaling on polyamide and cellulose membrane by measuring the adhesion
251 force using model gypsum crystal [19], hypothesizing that the gypsum scaling on polyamide
252 membrane was dominated by surface crystallisation, while that on cellulose membrane was
253 controlled by bulk crystallisation. A detailed membrane surface chemistry analysis as well as an
254 *in situ* experimental approach can further advance and shed light on our understanding of
255 gypsum scaling on different membrane surface chemistries.

256 **[Figure 3]**

257 3.2.2. *Scaling evolution*

258 Gypsum scaling evolution of the CTA and TFC membranes were quantified by the real-
259 time observation technique. Development of gypsum scaling layer on the CTA and TFC
260 membranes were imaged at specific cumulative permeate volume (Figure 4). Visually, gypsum
261 scaling on the TFC membrane was not only more severe, but also progressed more rapidly in
262 comparison with the CTA membrane. Indeed, early gypsum scaling on TFC membrane was
263 visualised even at cumulative permeate volume of 400 mL (corresponding to 6 hours of
264 filtration). At the conclusion of gypsum scaling experiments, the coverage of gypsum scaling
265 layer on the TFC membrane was more extensive than that on the CTA membrane.

266 **[Figure 4]**

267 This real-time visualisation was consistent with a detailed membrane surface coverage
268 analysis for the CTA and TFC membranes (Figure 5A). Specifically, the quantified membrane
269 surface coverage demonstrated that the evolution of gypsum scaling of the TFC membrane was
270 more rapid and severe than the CTA membrane. More importantly, the morphology of gypsum
271 scaling was markedly different between CTA and TFC membranes (Figure 5B and C),
272 suggesting different scaling mechanisms on these two membranes. Indeed, the crystal-covered
273 CTA membrane images in Figure 5C suggest that gypsum crystals found on the surface consist
274 of slender platelets and rods in the size range of 20-100 μm and remnants of rosettes, which are
275 believed to have been fractured by bulk crystal deposition. Indeed, the gypsum crystal size range
276 on the CTA membrane surface was consistent with the size distribution measured by Zetasizer
277 Nano ZSP (Malvern, Worcestershire, UK) (Figure S3, Supplementary Data). In contrast, gypsum
278 scaling on the TFC membrane demonstrated the formation of rosette arrangements consisting of
279 gypsum needles originating from a core growth region on the membrane surface with size of 300
280 μm (Figure 5B), which suggested the domination of surface crystallization.

281 **[Figure 5]**

282 The real-time experimental approach together with a wide range of initial water fluxes
283 employed here provided a distinctively different the gypsum scaling behaviour on CTA and TFC
284 membrane. In addition, the experimental evidence opens an opportunity to track the gypsum

285 scaling on both CTA and TFC membrane in a time-resolved manner, which was a significant
286 advancement in comparison with previous literature [19].

287 3.3. Membrane surface chemistry plays an important role in gypsum scaling

288 Markedly different gypsum scaling behaviour, together with contrasted gypsum crystal
289 morphology on the CTA and TFC membranes suggested different gypsum scaling mechanisms.
290 Therefore, it is hypothesized that the CTA and TFC membranes, which possesses different
291 membrane surface chemistry, respond differently to the gypsum scaling. Specifically, the CTA
292 membrane, abundant with hydroxyl functional groups, was dominated by gypsum bulk
293 crystallisation and subsequent particle deposition; by contrast, the TFC membrane, rich in
294 carboxylic functional groups, is largely influenced by gypsum surface crystallisation via specific
295 interaction between membrane carboxylic functional groups and calcium ions.

296 Further evidence is provided to support this hypothesis by tracking changes in CTA and
297 TFC membrane surface chemistry by ATR-FTIR, as well as C1s binding energy shift of CTA
298 and TFC membrane surface by XPS.

299 3.3.1. FTIR

300 Changes in CTA and TFC membrane surface functional groups at the initial stage of
301 gypsum scaling were monitored by ATR-FTIR (Figure 6). The reference wavenumbers were
302 selected to demonstrate the interaction between gypsum and membrane interface, which can be
303 sensitively detected by the ATR-FTIR technique. The wavenumber that represents membrane
304 functional groups associated with the gypsum scaling were identified. The C=O stretching was
305 one characteristic wavenumber in the cellulose triacetate membrane where the C=O bond was
306 available in the structure of triacetate due to the phase inversion synthesis procedure. In addition,
307 ATR-FTIR is more surface sensitive in the high wave number region with a penetration depth
308 less than ~200 nm over 4000-2600 cm^{-1} . The penetration depth is greater than 300 nm at
309 wavenumbers lower than 2000 cm^{-1} , which means the chemical information of both the active
310 layer and polysulfone support layer can be obtained in the TFC membrane at wavenumbers
311 below 2000 cm^{-1} . The low wavenumber signal cannot be attributed to the interfacial interaction
312 between gypsum and TFC active layer alone. As a result, high wavenumbers (2970 cm^{-1} and
313 3400 cm^{-1}) were used to track the gypsum scaling at the TFC membrane interface.

314 The ATR-FTIR spectra were used as a qualitative indicator of gypsum scaling on
315 membrane surface. The response of CTA membrane to gypsum scaling was revealed by the ratio
316 of absorbance at wavenumbers indicative of major functional groups on the CTA membrane
317 surface, specifically 1740 cm^{-1} and 1366 cm^{-1} , representing the C=O stretching and C-O
318 stretching in the ester and hydroxyl functional groups. The largely unchanged ratio of these two
319 wave numbers (I_{1740}/I_{1366}) showed that there was no interaction between gypsum and CTA
320 membrane surface. Reduction of absorbance at these two wave numbers was mainly driven by
321 the deposition of gypsum on the CTA membrane surface, thereby reducing the penetration depth
322 of IR beam and the collected absorbance signal.

323 **[Figure 6]**

324 For the TFC membrane, progressive gypsum scaling was evident by two characteristic
325 wave numbers of 3400 cm^{-1} and 2970 cm^{-1} , which represented O-H stretching and C-H
326 stretching on the TFC membrane surface, respectively [40]. A gradual increase in the ratio of
327 absorbance at wavenumbers of 3400 cm^{-1} to 2970 cm^{-1} (I_{3400}/I_{2970}) was observed as gypsum
328 scaling progressed. This increase was mainly driven by the increased absorbance at 3400 cm^{-1} .
329 The notable increase suggested the occurrence of specific interaction between carboxylic
330 functional groups with calcium ions during gypsum scaling. The calcium ions formed complexes
331 with carboxylic functional groups on TFC membrane surface. As a result, the formation of
332 calcium carboxylate on the TFC membrane induced stronger stretching of the O-H, which also
333 enhanced calcium concentration on the membrane surface, thereby initiating the formation of
334 gypsum pre-nucleation crystals, and subsequently gypsum surface crystallisation.

335 3.3.2. Binding energy shift of C1s

336 To further elucidate the interaction between gypsum and membrane surface during
337 gypsum scaling, high resolution XPS scans were performed to examine the binding energy shift
338 of C1s of the CTA and TFC membranes after gypsum scaling. Specifically, no new peak was
339 identified on the CTA membrane after gypsum scaling (Figure 7A and Figure 1A), where the
340 major bonds were C-H and C-OH. This observation was consistent with the largely unchanged
341 absorbance ratio of the FTIR spectra during gypsum scaling (Figure 6A). As a result, the CTA
342 membrane with the predominant hydroxyl functional groups is neutral and does not have specific

343 interactions with calcium. Thus, it has much lower probability for gypsum to form pre-nucleation
344 crystals and precipitate directly on CTA membrane surfaces. The observations from FTIR
345 spectra and XPS scan supported the hypothesis that the gypsum scaling on the CTA membrane
346 was governed by crystallisation taking place in the bulk solution, which has negligible
347 interaction with the membrane functional groups.

348 **[Figure 7]**

349 By contrast, in addition to the carboxylic functional group at binding energy of 289.5 eV,
350 carboxylate functional groups were observed at binding energy of 288.1 eV on the TFC
351 membrane after gypsum scaling. The binding energy of 288.1 eV has been characterised as
352 carboxylic functional group, which can be in the form of carboxylic acid or the coordination with
353 calcium carboxylate [41, 42]. This result also agreed with the FTIR spectra collected during the
354 gypsum scaling (Figure 6B). The electrostatic attraction between negatively charged carboxylic
355 functional groups and positively charged calcium ions stretched the C-OH functional groups, and
356 formed calcium carboxylate electrovalent coordination bonds. As a result, this observation by
357 XPS and FTIR spectra confirmed the hypothesis that calcium ions have specific interaction with
358 carboxylic functional group on the TFC membrane, and thus facilitates the formation of
359 prenucleation crystals on the membrane surface, thereby inducing surface crystallisation.

360 Results showed here also agreed with previous studies comparing gypsum scaling on
361 CTA and TFC membranes. Mi and Elimelech [19] observed that the adhesion force between
362 gypsum particle and TFC membrane was significantly higher than that with CTA membrane.
363 However, via FTIR and XPS techniques, our work utilised a real-time approach to provide an in-
364 situ understanding in the gypsum-membrane interaction. Particularly, tracking intensity change
365 in specific wavenumbers by FTIR enabled a swift response of gypsum-membrane interaction
366 from the perspective of characteristic functional groups. Further to this FTIR technique, our high
367 resolution XPS spectra clearly showed the presence of calcium carboxylic groups in gypsum
368 scaling on TFC membrane. Both experimental results provide a clear picture of how gypsum
369 interacted with the carboxylic functional groups on TFC membrane. The perspective from
370 membrane surface chemistry is one step forward in comparison to the indirect force
371 measurement used in comparison with previous work [19].

372 As a result, it was suggested that gypsum scaling of TFC membrane was dominated by
373 heterogeneous crystallization, while that of the CTA membrane was dominated by bulk
374 crystallization. More importantly, these studies proposed that the adhesion force between foulant
375 and TFC membrane surface was greater than the CTA membrane, thereby significantly
376 decreasing the cleaning efficiency of the TFC membrane. The experimental observation also has
377 significant implication in providing a foundation for effective FO gypsum scaling mitigation by
378 controlling the membrane surface chemistry, which is critical to the next generation FO
379 membrane development in treatment of challenging waste streams.

380 **4. Conclusion**

381 Results reported here demonstrated that membrane surface chemistry played an important
382 role in gypsum scaling in FO process. Gypsum scaling, characterised by water flux decline and
383 gypsum crystal surface coverage using real-time observation technique, became more severe for
384 both CTA and TFC membranes as the initial water flux increases. However, at the same initial
385 water flux, the TFC membrane was subjected to more severe gypsum scaling than the CTA
386 membrane in terms of water flux and gypsum crystal surface coverage. More importantly, the
387 gypsum crystal morphology on the CTA featured slender platelets; by contrast, that on the TFC
388 membrane demonstrated the formation of rosette arrangements. As a result, the more severe
389 gypsum scaling together with contrasted gypsum crystal morphology suggested different gypsum
390 scaling mechanisms for CTA and TFC membrane. ATR-FTIR spectra and XPS scans proved that
391 the gypsum scaling on CTA membrane was dominated by bulk crystallisation with subsequent
392 deposition; while that on the TFC membrane was driven by surface crystallisation via specific
393 interaction between carboxylic functional groups and calcium ions. Specifically, the largely
394 unchanged ratio of wavenumbers 1740 cm^{-1} (C=O stretching) to 1366 cm^{-1} (C-O stretching) on
395 the CTA membrane indicated no interaction between gypsum and CTA membrane surface,
396 which was also confirmed by the C1s XPS scan. In contrast, a gradual increase in the ratio of
397 absorbance at wavenumbers of 3400 cm^{-1} to 2970 cm^{-1} was observed as gypsum scaling
398 progressed on the TFC membrane. This specific interaction between carboxylic functional
399 groups with calcium ions was also revealed by C1s XPS scan where the occurrence of the

400 carboxylate functional group at binding energy of 288.1 eV was found on the TFC membrane
401 after gypsum scaling.

402 **5. Acknowledgements**

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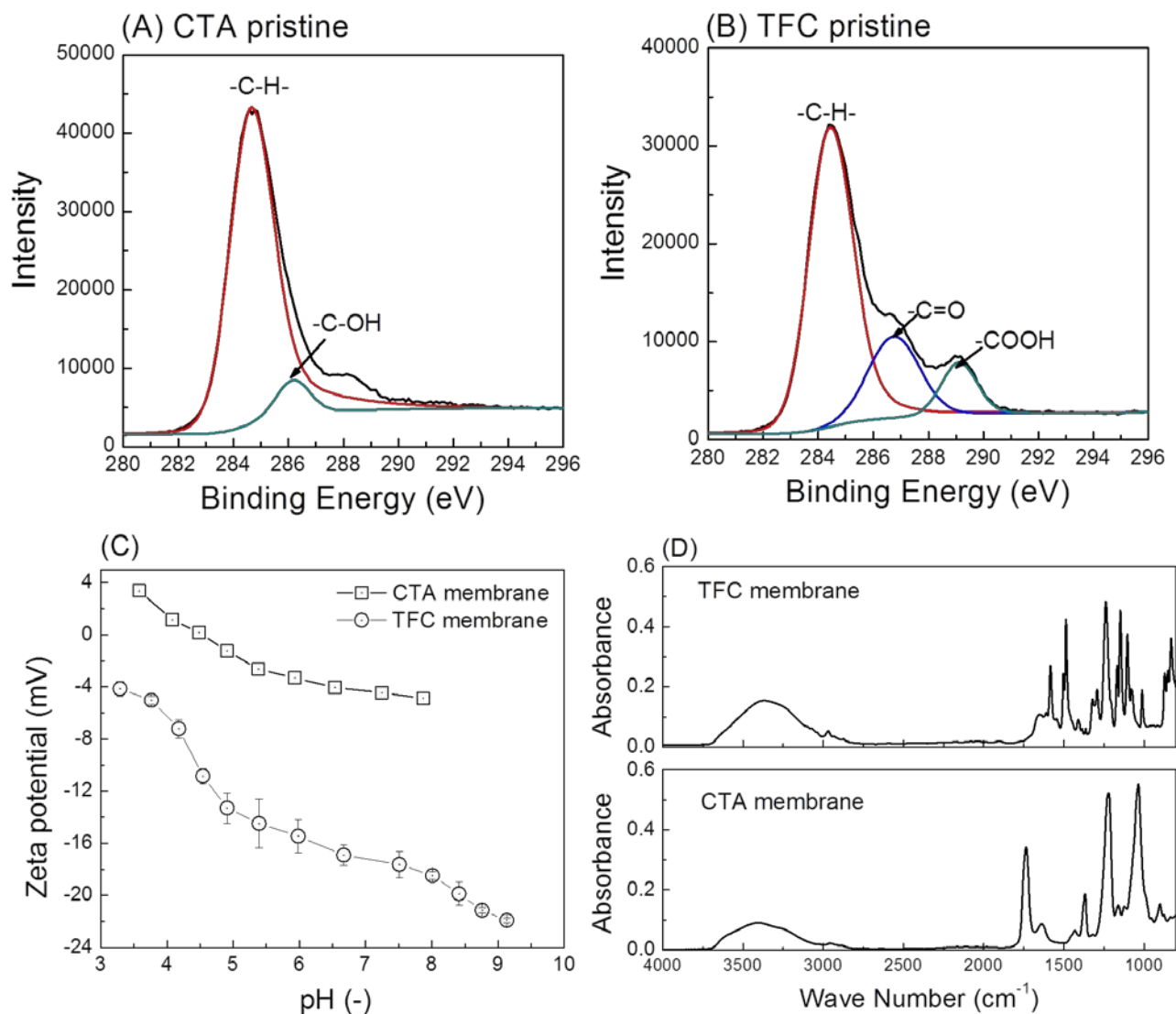
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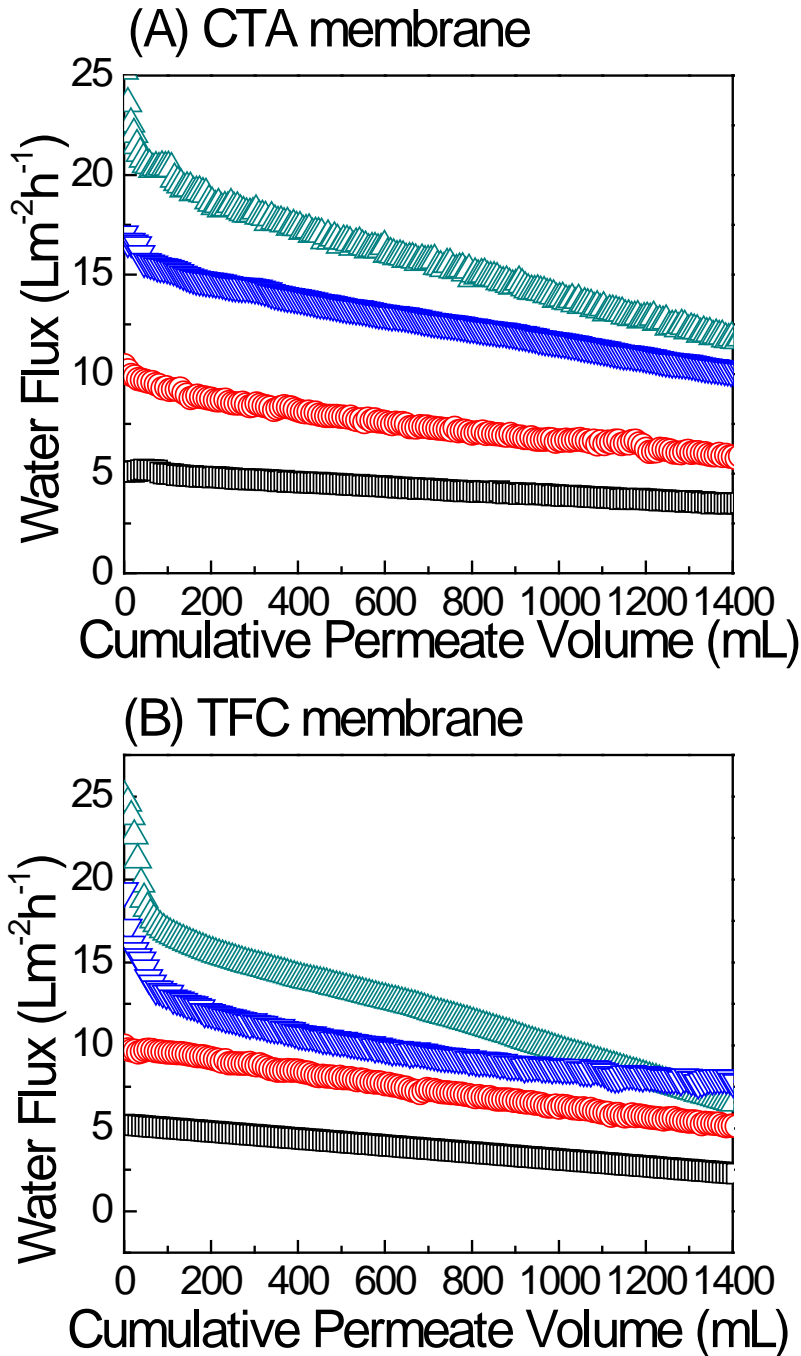
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 524 **Figure 1:** Key membrane surface chemistry properties of asymmetric cellulose triacetate (CTA)
 525 and thin-film composite (TFC) polyamide membranes. C1s binding energy of (A) CTA and (B)
 526 TFC membranes by X-ray photoelectron spectroscopy, showing the major functional groups on
 527 membrane surface; (C) Zeta potential of the CTA and TFC membranes as a function of solution
 528 pH, demonstrating membrane surface charge. Error bars represent a standard deviation from four
 529 replicate measurements using two membrane samples; (D) Representative attenuated total
 530 reflectance-Fourier transform infrared (ATR-FTIR) absorbance spectra for the CTA and TFC
 531 membranes.

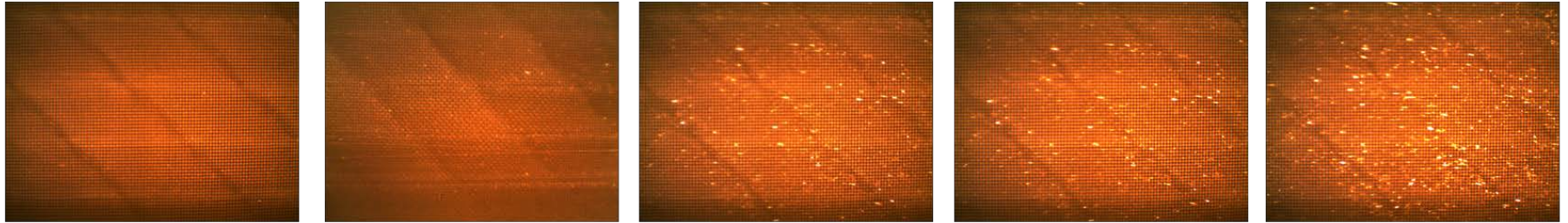
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Figure 2: Water flux of gypsum scaling by (A) CTA and (B) TFC membrane. Experimental conditions: feed solution was comprised of 35 mM CaCl₂, 20mM Na₂SO₄, and 19 mM NaCl. For the CTA membrane, concentrations of draw solution to induce initial water fluxes of 5, 10, 15, and 25 Lm⁻²h⁻¹ were 0.35, 0.6, 1.5, and 2.5 M NaCl, respectively; for the TFC membrane, those were 0.1, 0.4, 1, and 2 M NaCl, respectively. Cross-flow rate was 1 L/min (corresponding to the cross-flow velocity of 8.5 cm/s). Temperatures of feed and draw solutions were 25.0 ± 0.1 °C. Scaling experiment was operated for around 25 hours, attaining cumulative permeate volume of 1.4 L.

(A) CTA membrane



(B) TFC membrane



100 mL

400 mL

600 mL

1000 mL

1400 mL

Cumulative Permeate Volume (mL)

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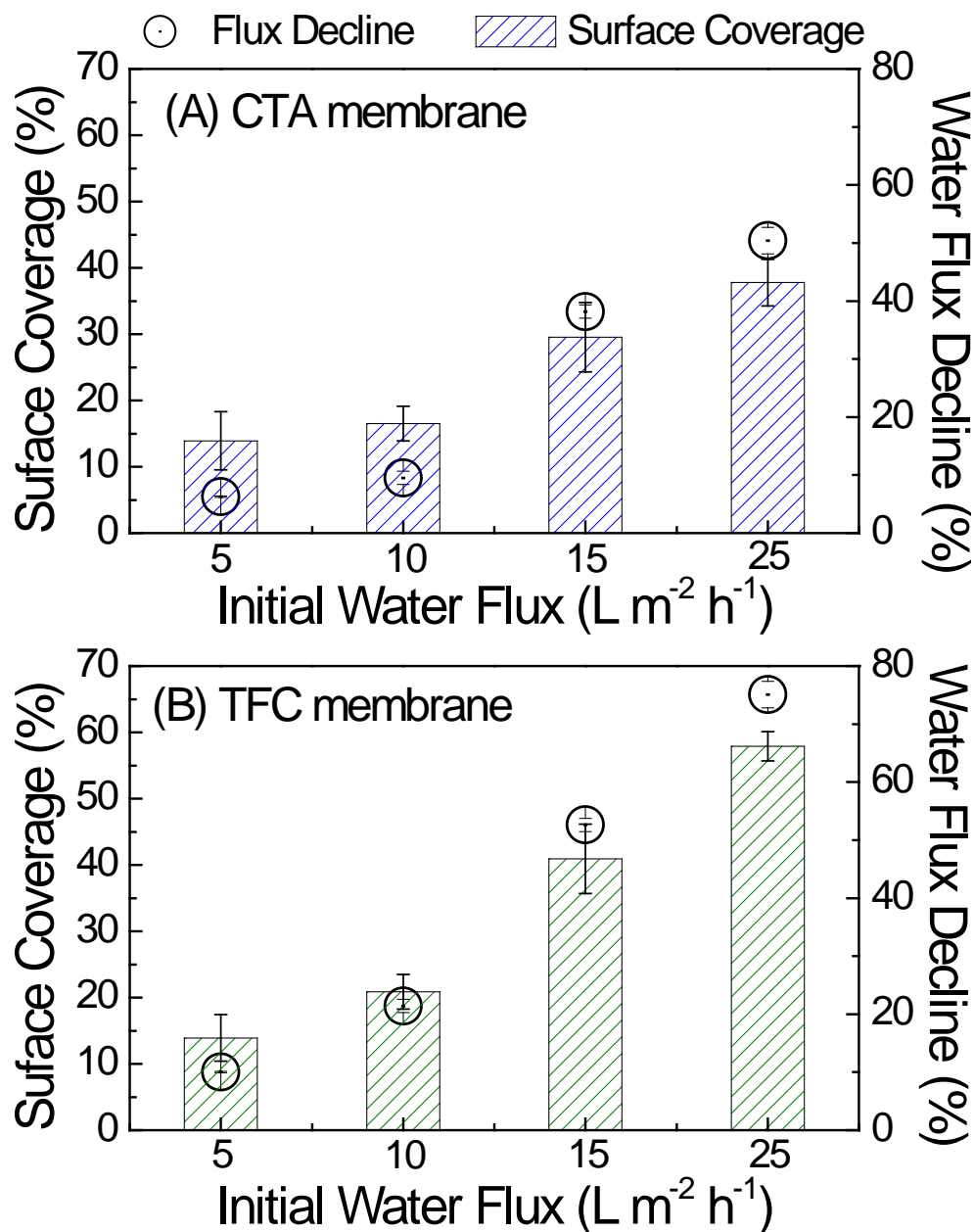
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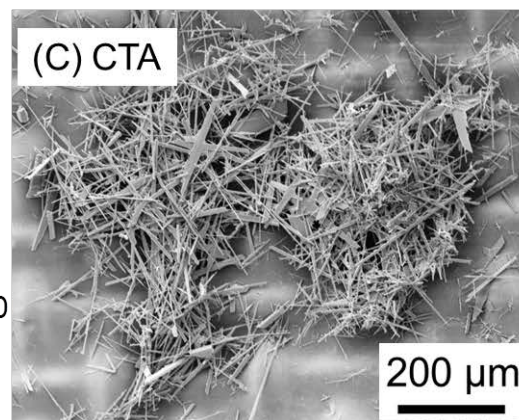
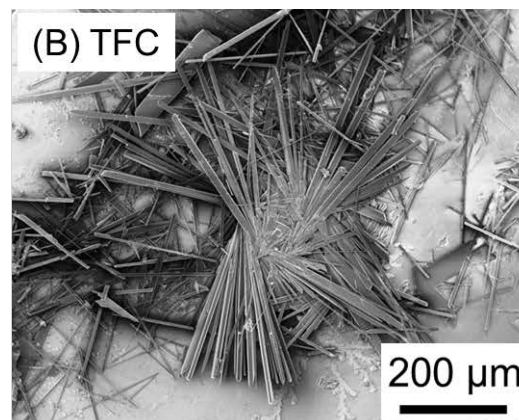
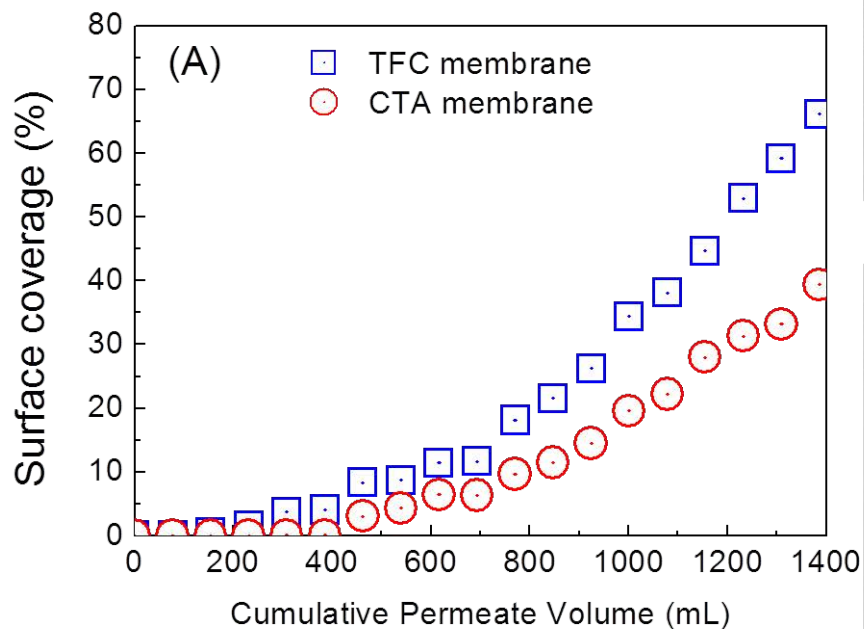
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Figure 3: Representative images of gypsum scaling as a function of cumulative permeate volume for (A) CTA membrane and (B) TFC membrane. The images were captured by a real-time observation forward osmosis filtration system, and were used to calculate the membrane surface coverage in Figures 4 and 5. Experimental conditions were described in Figure 1.

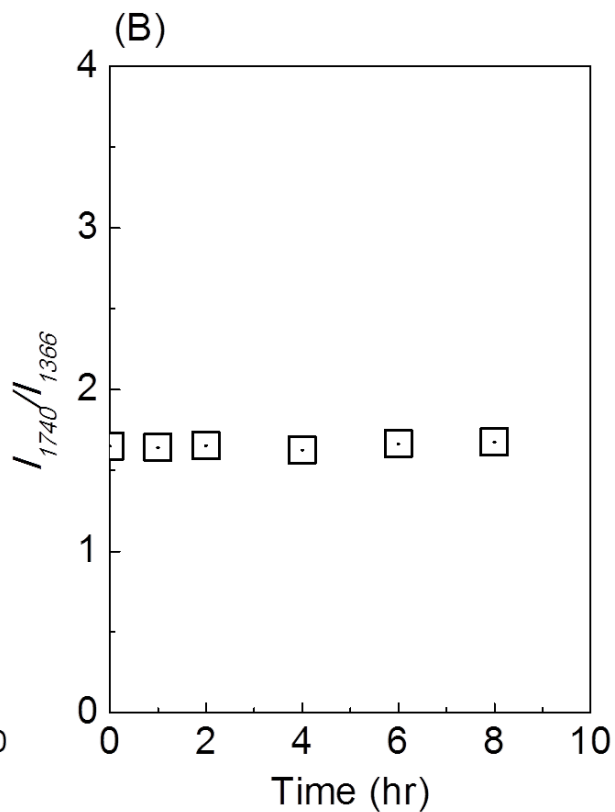
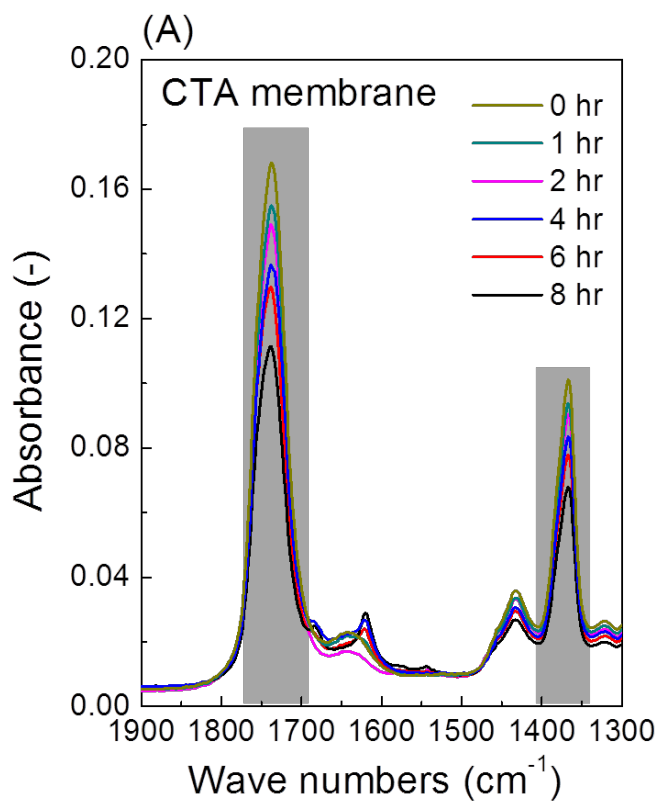


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 550 **Figure 4:** Water flux decline and membrane surface coverage as a function of initial water fluxes
 551 for (A) CTA membrane and (B) TFC membrane. Membrane surface coverage was calculated
 552 from images captured from real-time observation system with Image J and Photoshop.
 553 Experimental conditions were as described in Figure 1.

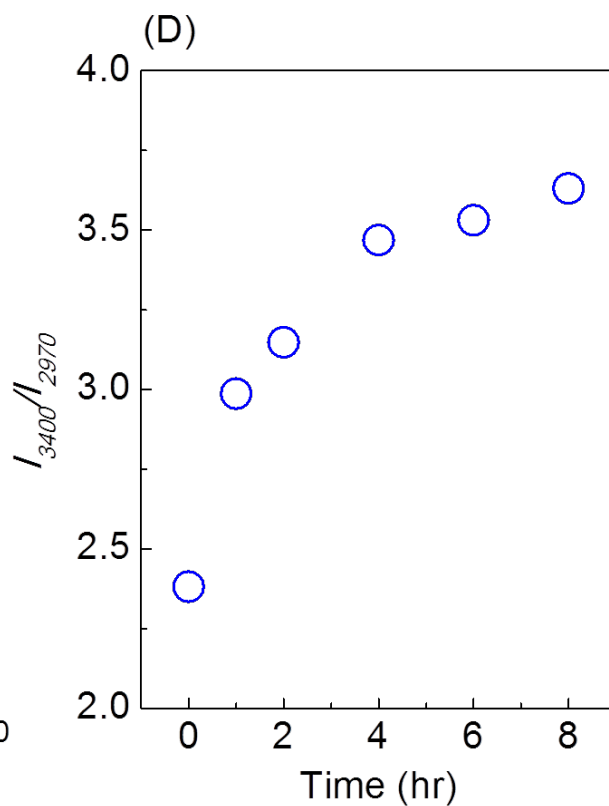
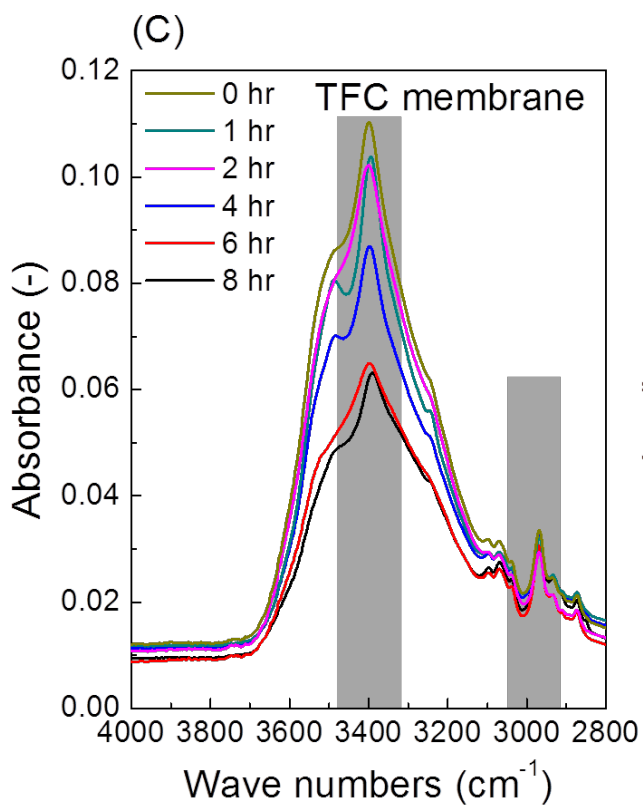


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Figure 5: (A) Comparison of membrane surface coverage between CTA and TFC membranes as a function of cumulative permeate volume. Representative gypsum crystal morphology at the conclusion of scaling experiment on (B) TFC membrane and (C) CTA membrane. Experimental conditions were: feed solution contained 35 mM CaCl₂, 20mM Na₂SO₄, and 19 mM NaCl. Draw solution concentrations was 2 and 2.5 M NaCl for TFC and CTA membrane, respectively. Cross-flow rate was 1 L/min (corresponding to the cross-flow velocity of 9 cm/s). Temperatures of feed and draw solutions were 20.0 ± 0.1 °C. Scaling experiment was operated for around 25 hours, attaining cumulative permeate volume of 1.4 L.

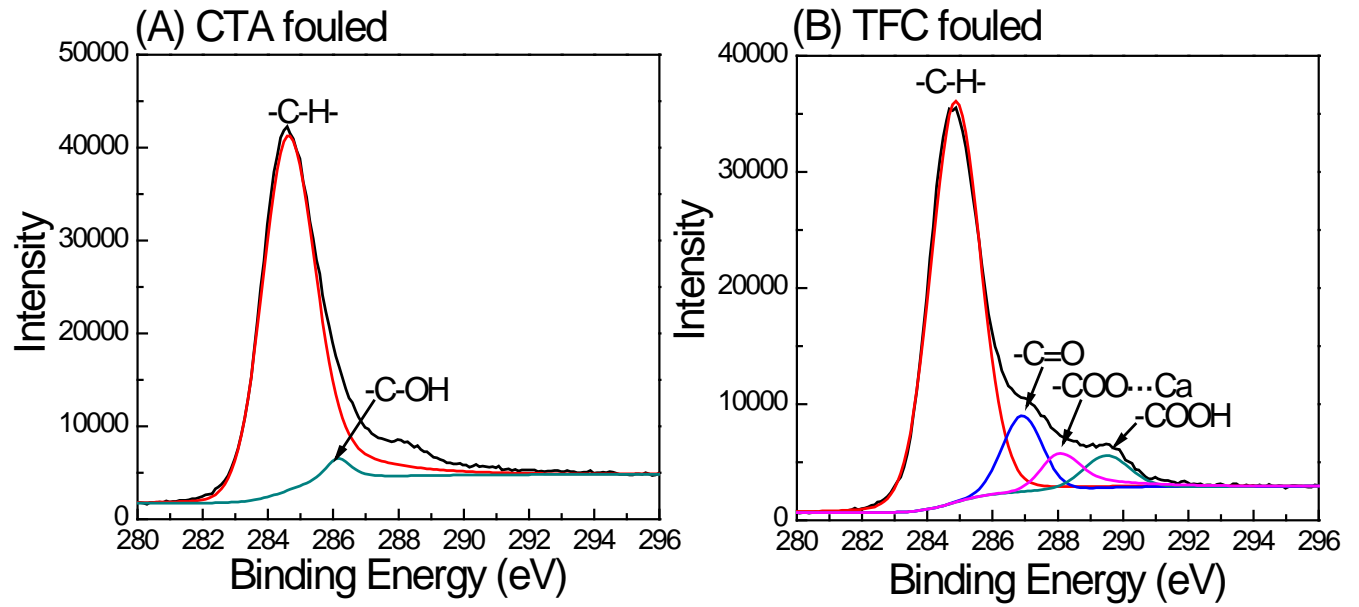


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564 **Figure 6:** Representative attenuated total reflectance-Fourier transform infrared (ATR-FTIR)
565 absorbance spectra for (A) CTA membrane and (C) TFC membrane at specific time intervals.
566 The interaction between membrane surface and gypsum scaling was quantified as (B) ratio of
567 absorbance at 1366 cm^{-1} (C-O stretching) to absorbance at 1740 cm^{-1} (C=O stretching) as a
568 function of time for CTA membrane; and (D) ratio of absorbance at 2970 cm^{-1} (C-H stretching)
569 to absorbance at 3400 cm^{-1} (O-H stretching) as a function of time for TFC membrane.



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Figure 7: High resolution C1s scan by X-ray photoelectron spectroscopy of (A) CTA membrane and (B) TFC membrane at the conclusion of gypsum scaling. Binding energy of C1s was calibrated at 284.6 eV.