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*Silica scaling in forward osmosis: From solution to membrane interface*

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1 **Silica Scaling in Forward Osmosis: from Solution to**  
2 **Membrane Interface**

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*Water Research*

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14 **ABSTRACT**

15 Membrane silica scaling hinders sustainable water production. Understanding silica  
16 scaling mechanisms provides options for better membrane process management. In this study,  
17 we elucidated silica scaling mechanisms on an asymmetric cellulose triacetate (CTA) membrane  
18 and polyamide thin-film composite (TFC) membrane. Scaling filtration showed that TFC  
19 membrane was subjected to more severe water flux decline in comparison with the CTA  
20 membrane, together with different scaling layer morphology. To elucidate the silica scaling  
21 mechanisms, silica species in the aqueous solution were characterised by mass spectrometry as  
22 well as light scattering. Key thermodynamic parameters of silica surface nucleation on the CTA  
23 and TFC membranes were estimated to compare the surface nucleation energy barrier. In  
24 addition, high resolution X-ray photoelectron spectroscopy resolved the chemical origin of the  
25 silica-membrane interaction via identifying the specific silicon bonds. These results strongly  
26 support that silica scaling in the CTA membrane was driven by the aggregation of mono-silicic  
27 acid into large silica aggregates, followed by the deposition from bulk solution onto the  
28 membrane surface; by contrast, silica polymerised on the TFC membrane surface where mono-  
29 silicic acid interacted with TFC membrane surface, which was followed by silica surface  
30 polymerisation.

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34 *Keywords:* forward osmosis; silica scaling; cellulose triacetate; polyamide; silica aggregation;  
35 silica polymerisation

36 **1. Introduction**

37 Membrane-based water purification processes have played a crucial role in mitigating  
38 water scarcity worldwide (Elimelech and Phillip 2011, Shannon et al. 2008). One promising  
39 osmosis-driven membrane process, forward osmosis (FO) could potentially find a wide range of  
40 applications in water and wastewater treatment, particularly of challenging and difficult to treat  
41 wastewaters (Shaffer et al. 2015, Xie et al. 2016a). This capacity was mainly due to less  
42 detrimental and more reversible FO fouling in comparison with pressure-driven membrane  
43 process, such as and reverse osmosis (RO) (Lee et al. 2010, Mi and Elimelech 2010, Xie et al.  
44 2015a, Xie et al. 2015b, Zou et al. 2011). Consequently, there have been several successful  
45 demonstrations of FO for the treatment of wastewaters with high fouling propensity with no or  
46 limited pretreatment, such as, anaerobic digester concentrate (Holloway et al. 2007, Xie et al.  
47 2014), activated sludge solution (Achilli et al. 2009, Cornelissen et al. 2008), and municipal  
48 wastewater (Cath et al. 2005, Valladares Linares et al. 2011, Xie et al. 2013).

49 Silica, abundant in brackish groundwater, constrained water recovery and production in  
50 membrane filtration due to scaling (Milne et al. 2014). Previous knowledge from RO  
51 desalination showed that silica scaling was complicated due to the nature of silica chemistry, and  
52 was highly dependent on the operating condition. Various silica scaling morphology was  
53 revealed on RO membranes ranging from patches of semi-transparent deposits (Den and Wang  
54 2008) to opaque, milky to white gel (Aramaki et al. 2005), which indicated the complexity of  
55 silica scaling mechanisms. Although previous research efforts were made to gain a better  
56 understanding of silica scaling mechanisms and to develop scaling control strategies, silica  
57 scaling remains a major unsolved problem facing membrane desalination units.

58 Silica scaling was profiled in FO using silica colloidal particles as well as reactive silica.  
59 For instance, Boo et al. (2012) demonstrated severe silica colloidal scaling on FO membrane  
60 under elevated reverse salt diffusion. Reactive silica in seawater was attributed to the silica  
61 polymerisation on FO membrane surface, which was further aggravated by other natural organic  
62 compounds (Li et al. 2012). Silica scaling mechanisms were proposed by Mi and Elimelech  
63 (2013) following adhesion force measurement, where the adhesion force between polyamide  
64 thin-film composite (TFC) membrane was stronger than cellulose triacetate (CTA) membrane.  
65 However, this indirect approach cannot accurately reflect the silica scaling mechanisms in the  
66 filtration of aqueous solution as well as at the silica-membrane interface. In addition, the  
67 underlying chemical origin of silica membrane scaling remains unclear.

68 In this study, we investigated reactive silica scaling in FO using TFC and CTA  
69 membranes. Silica scaling behaviours – water flux decline and scaling layer morphology – were  
70 demonstrated. Silica scaling mechanisms were elucidated by characterising silica species in the  
71 aqueous solution, and thermodynamic parameters and chemical state of silicon bonds during  
72 silica-membrane interaction. Mass spectrometry and light scattering were used to characterise  
73 size and structure of silica species in the aqueous solution during silica scaling. Key parameters  
74 of silica surface nucleation were estimated using a series of silica filtration experiments. High  
75 resolution X-ray photoelectron spectroscopy was used to resolve the chemical origin of the  
76 silica-membrane interaction by identifying the specific silicon bonds.

77

## 78 2. Materials and methods

### 79 2.1. FO membranes and silica chemistry

80 An asymmetric cellulose triacetate (CTA) and a polyamide thin-film composite (TFC)  
81 forward osmosis (FO) membrane were employed in this study. The CTA membrane was  
82 composed of a cellulose triacetate layer with an embedded woven support mesh (Cath et al. 2006,  
83 McCutcheon and Elimelech 2008). The TFC membrane was made of a thin selective polyamide  
84 active layer on top of a porous polysulfone support layer (Cath et al. 2013, McGinnis et al. 2013).  
85 The CTA membrane surface was abundant with hydroxyl functional groups, while the TFC  
86 membrane surface was enriched by carboxylic functional group. Details regarding the membrane  
87 surface chemistry can be found in our previous publication (Xie and Gray 2016). [A](#)  
88 [comprehensive membrane characterisations for both CTA and TFC membranes were provided in](#)  
89 [the Supplementary Data, including estimated pore size \(Table S1\), membrane surface zeta](#)  
90 [potential \(Figure S3\), membrane surface chemistry \(Figure S3\), membrane contact angle \(Table](#)  
91 [S4\), and surface roughness \(Figure S4\).](#)

92 Silica stock solutions with a concentration of 0.2 M  $\text{Na}_2\text{SiO}_3$  were prepared by dissolving  
93 sodium metasilicate ( $\text{Na}_2\text{SiO}_3 \cdot 9\text{H}_2\text{O}$ , assay >98%, Sigma-Aldrich) in MilliQ water. The stock  
94 solution was prepared freshly to avoid undesirable silica condensation (Felmy et al. 2001). The  
95 stock solution was dosed into a background electrolyte containing 20 mM NaCl and 1 mM  
96  $\text{NaCHO}_3$  at solution pH of 6.5. The reactive silica concentration of the working solution was  
97 determined by the molybdate yellow method (Method 8185, Hach DR5000) at wavelength of  
98 815 nm.

## 99 2.2. FO setup and silica scaling experimental protocol

100 The FO membrane cell was made of acrylic plastic and designed to hold a flat-sheet  
101 membrane under moderate pressure differential without any physical support. Flow channels  
102 were engraved in acrylic blocks that made up the feed and permeate semi-cells. Each channel  
103 was 2 mm deep, 90 mm wide, and 120 mm long. Details of this FO filtration setup were  
104 provided in our previous publications (Xie and Gray 2016, Xie et al. 2016b), and can be found at  
105 Figure S1, Supplementary Data. Crossflow rate of 1 L/min (corresponding to crossflow velocity  
106 of 9 cm/s) was maintained for both the feed and draw solutions using micro gear pumps. The FO  
107 water flux was determined by measuring the weight changes of the feed solution at specific time  
108 intervals with a precision balance connected to a computer and a data logging system.

109 Silica scaling experiment was performed on both CTA and TFC membranes. The same  
110 initial water flux of  $25 \text{ L m}^{-2} \text{ h}^{-1}$  was achieved for both the CTA and TFC membranes using NaCl  
111 draw solution (2.5 M for CTA membrane; and 1.5 M for TFC membrane). Specifically, a new  
112 membrane sample, with the active layer facing the feed solution, was placed in the membrane  
113 cell before each experiment and stabilised in FO mode with deionised water for one hour to  
114 obtain a stable water flux. Next, the silica scaling experiment was performed for about 24 h to  
115 obtain approximately 1,600 mL cumulative permeate volume at the conclusion of each  
116 experiment. The silica scaling solution contained a reactive silica concentration of 6 mM in a  
117 background electrolyte containing 20 mM NaCl and 1 mM  $\text{NaCHO}_3$  at solution pH of 6.5, which  
118 resulted in a silica saturation index of 1.6. Other experimental conditions were: cross-flow rate of  
119 1 L/min (corresponding to the cross-flow velocity of 9 cm/s), ambient pH (pH 6.5), and  
120 temperature of  $25.0 \pm 0.1^\circ\text{C}$ . Water flux was continuously monitored throughout the fouling  
121 experiments by a data logger. A baseline experiment (i.e., feed without silica foulant) was also

122 carried out to correct the flux decline due to the continuous concentration of the feed solution  
123 and dilution of the draw solution, as described in our previous publication (Xie et al. 2015b). The  
124 feed solution was continuously sampled to quantify the evolution of silica polymerisation. At the  
125 conclusion of each scaling experiment, the scaled membrane was air dried in a desiccator for  
126 scanning electron microscopy imaging.

### 127 2.3. *Quantification of silica polymerisation during scaling*

128 Mass spectrometry and light scattering were used to continuously track the growth and  
129 polymerisation silica from reactive silica (including a range of low molecular weight monomers,  
130 dimers, and trimers), to colloidal silica. Combining these techniques allowed us to capture the  
131 entire silica polymerisation process, thereby facilitating the understanding of silica scaling  
132 mechanism.

133 Electrospray ionization mass spectrometry (ESI-MS) was used to identify the evolution of  
134 reactive silica species. The ESI-MS spectra were recorded by direct infusion in negative ion  
135 mode for 15 minutes. The feed liquid sample was diluted by methanol (50/50, v:v) to enhance  
136 electrospray ionization process and minimize ion suppression. The direct infusion flow of the  
137 analyte was 10  $\mu\text{L}/\text{min}$ . ESI negative ionization was used with a detector voltage of 3 kV,  
138 desolvation temperature of 250  $^{\circ}\text{C}$ , and heating block temperature of 200  $^{\circ}\text{C}$ . High purity  
139 nitrogen was used as the nebulizing gas at a flowrate of 1 L/min. The time-average MS spectra  
140 were reported to identify the silica size and structure.

141 Dynamic and static light scattering were used to monitor the hydrodynamic radius of silica  
142 and weight-average molecular weight of silica. Light scattering experiments were conducted  
143 with a multi-angle goniometer setup (BI-200SM, Brookhaven Instruments, NY, USA) with a  
144 He-Ne laser with a wavelength of 633 nm as a light source. Dynamic light scattering



145 measurements were obtained with a fixed detector at 90°. For static light-scattering  
146 measurements, the normalized scattered light intensity was obtained by altering detector over an  
147 angular range of 17 – 135°, corresponding to wave vectors  $0.0046 < q < 0.0305 \text{ nm}^{-1}$ . The  
148 scattering intensities from static light scattering obtained as Rayleigh ratios at an angle  $\theta$  were  
149 processed in a Zimm plot in order to obtain the weight-averaged molar mass. The feed solution  
150 samples were monitored continuously over the scaling experiment to record the hydrodynamic  
151 radius and weight-averaged molar mass of silica.

#### 152 2.4. Kinetics and characterisation of silica scaling in FO membrane

153 A series of silica scaling experiments with varying saturation index (i.e., initial reactive  
154 silica concentration) were conducted on both CTA and TFC membranes in order to elucidate the  
155 silica scaling mechanisms. Three silica saturation indexes were used, namely 0.8, 1.29 and 2.0.  
156 SEM-based imaging analysis was used to quantify the silica nucleation event on membrane  
157 surface. Silica scaling experiment was terminated once the static light scattering was able to  
158 detect the weight-average molecular molar mass: three-hour for TFC membrane, and five-hour  
159 for CTA membrane. Numbers of silica crystals on the SEM images were used to estimate the  
160 silica nucleation kinetic parameters for CTA and TFC membranes. Specifically, silica surface  
161 nucleation rate is given by (Tobler et al. 2009)

$$162 \quad N_n = A \exp\left(-\frac{\Delta G^*}{k_B T}\right) \quad (1)$$

163 where  $N_n$ , steady-state surface nucleation rate (number of nucleation events per square  
164 meter per second),  $\Delta G^*$ , thermodynamic barrier to forming a silica crystal,  $k_B T$ , product of  
165 Boltzmann constant and system temperature, and  $A$ , kinetic constant, whose value depends upon  
166 many physical parameters including diffusional and steric barriers.

167 Using the classical nucleation theory (Wallace et al. 2009),  $\Delta G^*$  can be expressed in terms  
168 of silica saturation index,  $\sigma$ :

$$169 \quad \Delta G^* = B \left( \frac{k_B}{\delta} \right) \quad (2)$$

170 where  $B$  is a shape-specific constant, which was determined directly from experimental  
171 observations without direct knowledge or assumption of nucleus shape. Combining eqs 1 and 2  
172 and then rewriting into linear form gives

$$173 \quad \ln N_n = \ln A - B \left( \frac{1}{\sigma^2} \right) \quad (3)$$

174 where the slope,  $B$ , is directly proportional to the energy barrier to silica nucleation and the  
175 intercept,  $\ln A$ , contains kinetic factors that govern nucleation frequency.

176 To provide further evidence in regard to the silica-membrane interaction during scaling, we  
177 also employed an X-ray photoelectron spectroscopy (XPS) to examine the interface between  
178 silica and membrane. Bond chemistry of silica scaled membrane surface layer was analysed by  
179 high resolution Si 2p scan. Specifically, XPS analysis used monochromatic aluminium  $K\alpha$  X-ray  
180 photoelectron spectrometer (Thermo Scientific, MA). A spot size of  $400 \mu\text{m}^2$  was used to scan in  
181 the region of the Si 2p binding energy at 20 eV pass energy. Two random spots on duplicate  
182 membrane samples were selected. Excessive charging of the samples was minimized using an  
183 electron flood gun. High resolution scans had a resolution of 0.1 eV. The high resolution XPS  
184 spectra were subtracted by the Shirley-type background, and Gaussian-Lorentz peak  
185 deconvolution was performed to estimate the binding energy shift of silicon Si 2p. The signal  
186 residual after deconvolution was also plotted to assure accuracy (Figure S2, Supplementary  
187 Data).

188

### 189 3. Results and Discussion

#### 190 3.1. Silica scaling behaviour

191 Markedly different silica scaling profile was observed between CTA and TFC membranes  
192 in terms of water flux decline and silica scaling morphology (Figure 1). Generally, the CTA  
193 membrane exhibited more resilience against silica scaling in comparison with the TFC  
194 membrane. The CTA membrane demonstrated a gradual decrease in water flux during silica  
195 scaling (Figure 1A). However, unlike the CTA membrane, the TFC membrane was subjected to  
196 two distinct stages of water flux decline: water flux decreased significantly from 25 to 17 L m<sup>-2</sup>  
197 h<sup>-1</sup> during the first four hours of filtration (Figure 1A). The varying water flux decline profile  
198 also resulted in markedly different silica scaling morphology at the conclusion of the experiment  
199 (Figure 1B and C). Silica scaling morphology on the CTA membrane was sparsely distributed  
200 with clear crystal shape (Figure 1B), indicating the majority of silica was deposited on the  
201 membrane surface; by contrast, that on the TFC membrane was amorphous and compact (Figure  
202 1C), and it was hypothesised that silica scaling was initiated by reactive silica nucleation on the  
203 TFC membrane surface, followed by continuous silica polymerisation during scaling. Previous  
204 studies also showed occurrence of silica polymerisation on the TFC membrane, resulting in silica  
205 gelation on membrane surface (Mi and Elimelech 2013). These observations suggested that silica  
206 scaling mechanisms on the CTA and TFC membranes were different, thereby warranting a close  
207 examination of silica scaling evolution.

208 [Figure 1]

#### 209 3.2. Silica species characteristics during scaling

##### 210 3.2.1. Mass spectra identified the evolution of reactive silica oligomers

211 Mass spectra provided critical information on the evolution of oligomers during reactive  
212 silica scaling. We compared time-resolved mass spectra of silica oligomers in the feed solution  
213 for both CTA and TFC membranes during the first ten-hour of filtration (Figure 2), and tabulated  
214 the mass/charge ratio ( $m/z$ ) and possible structure of silica oligomers (Table 1). For both  
215 membranes, silica scaling was initiated via mono-silicic acid, which was evident by the  $m/z$  of  
216 113 (Table 1) (Belton et al. 2012). However, the evolution routes of silica oligomers were  
217 significantly different between the CTA and TFC membrane. For the CTA membrane, the  
218 oligomerisation of monomer silica proceeded via formation of dimer – linear trimer – cyclic  
219 trimer (Figure 2 A and Table 1) silicates (Bussian et al. 2000, Jiang and Wan 2015). This  
220 observation indicated that the deposition of silica on the CTA membrane is likely to occur via a  
221 homogeneous nucleation process, with silica aggregates formed in the bulk solution prior to  
222 deposition onto the membrane surface. This hypothesis was also consistent with the silica scaling  
223 morphology, as distinct silica crystals at the conclusion the scaling experiment were observed  
224 (Figure 1B). By contrast, silica polymerisation induced by the TFC membrane reached a plateau  
225 after six-hour filtration (Figure 2B), where the major species of silica oligomers in the solution  
226 remained as cyclic trimer as  $m/z$  of 398.9 (Table 1) after ten-hour scaling experiment (Eggers et  
227 al. 2005). It is hypothesized that mono-silicic acid was adsorbed on the membrane surface,  
228 thereby initiating silica polymerisation on the membrane surface and resulting in an amorphous  
229 silica scaling morphology at the conclusion of experiment (Figure 1C). It also agreed with the  
230 majority of mono-silicic acid being depleted from aqueous solution after 10 hours filtration, and  
231 the formation of varying structures of silica oligomers was limited. This quick consumption of  
232 reactive silica during TFC membrane scaling was also confirmed by a severe decrease of reactive  
233 silica concentration in comparison with the CTA membrane (Figure 3).

234 **[Figure 2]**

235 **[Table 1]**

236 **[Figure 3]**

237 *3.2.2. Dynamic and static light scattering profiled the growth and structure of reactive silica*

238 In order to provide further evidence of the varying silica scaling mechanisms during CTA  
239 and TFC membrane filtration, dynamic and static light scattering was also employed to capture  
240 the silica aggregate profiles. Dynamic light scattering continuously monitored the hydrodynamic  
241 radii of silica in the scaling experiment (Figure 4). As expected, we observed a gradual increase  
242 in silica hydrodynamic radii from 12 to 25 nm by the CTA membrane. By contrast, despite a  
243 slight increase in the silica hydrodynamic radii in the first four-hours of filtration by TFC  
244 membrane, the silica hydrodynamic radii largely remained unchanged. This observation agreed  
245 well with the trend of mass spectra reported in the previous section (section 3.2.1). In addition,  
246 static light scattering together with a Zimm plot revealed the estimated weight-average molecular  
247 weight present during scaling (Figure 4). For TFC membrane, the weight-average molecular  
248 weight of silica increased swiftly and reached plateau after six hours, which was consistent with  
249 the mass spectra as well as the hydrodynamic radii detected by dynamic light scattering.  
250 However, for the CTA membrane, the weight-average molecular weight increased gradually,  
251 which was in line with the mass spectrometry data.

252 **[Figure 4]**

253 Both increase in hydrodynamic radii as well as the weight-average molecular weight  
254 suggested that the mechanism of silica scaling for the CTA membrane was driven by the  
255 aggregation of mono-silicic acid and resulted in the growth of relatively large silica aggregates in

256 the bulk solution. On the other hand, the faster consumption of mono-silicic acid, and relatively  
257 stable hydrodynamic radii and weight-average molecular weight during the TFC membrane  
258 scaling indicated that the majority of mono-silicic acid interacted with the membrane surface,  
259 thereby facilitating the surface silica polymerisation. The evidence from light scattering as well  
260 as analysis of mass spectra supported this hypothesis.

### 261 3.3. *Silica scaling mechanisms*

#### 262 3.3.1. *Thermodynamic parameters of silica surface nucleation*

263 The thorough analysis of the aqueous solution with varying techniques suggested the  
264 different silica scaling mechanisms for the CTA and TFC membrane. We herein explored the  
265 silica-membrane surface interaction during scaling to provide further support for the hypotheses.  
266 A set of scaling experiments with varying silica saturation indexes were conducted to extract key  
267 thermodynamic and kinetic parameters for silica scaling during CTA and TFC membrane  
268 filtration using Equation 3. Silica scaling experiment was terminated once static light scattering  
269 was able to detect the weight-average molecular molar mass for silica species in the feed to  
270 either CTA or TFC membranes. The number of SEM identifiable crystals per specific membrane  
271 surface area was assumed to be initiated by one nucleation event,  $N_n$ . As expected, the CTA  
272 membrane was resilient to silica scaling, which was evident by largely unchanged nucleation  
273 events under three saturation indexes (upper row, Figure 5). By contrast, a clear increase of silica  
274 crystals was observed on the TFC membrane surface with an increase of saturation indexes  
275 (lower row, Figure 5). These results further corroborated the observation of silica species in the  
276 aqueous solution, indicating different scaling mechanisms.

277 By plotting and linear fitting nucleation events as a function of solution saturation state  
278 (Figure 6), we estimated the energy barrier to silica nucleation,  $B$ ; and nucleation frequency,  $A$ ,

279 based on the Equation 3. The estimated silica nucleation energy barrier,  $B$  was  $5.89 \pm 1.21$ , and  
280  $2.28 \pm 0.88$ , for CTA and TFC membranes, respectively; while the nucleation frequency,  $\ln A$ ,  
281 were  $16.9 \pm 3.2$ , and  $19.6 \pm 4.3$ , for CTA and TFC membranes, respectively. These parameters  
282 demonstrated a significant reduction of surface nucleation energy (more than 50%) for the TFC  
283 membrane in comparison with the CTA. Surface nucleation is driven primarily by interfacial  
284 energy, which manifests itself as reductions in the thermodynamic barrier to crystal formation  
285 specifically at the solution-membrane interface. The ability of a given membrane surface to  
286 facilitate surface nucleation is largely attributed to the magnitude of the free energy reduction.  
287 Indeed, such decrease in the surface nucleation on the TFC membrane substantially promoted  
288 silica surface polymerisation, where the majority of mono-silicic acids interacted with the TFC  
289 membrane surface to initiate silica polymerisation. In addition, the silica surface nucleation  
290 energy barrier,  $\Delta G^*$ , resulted from its dependence upon the liquid-membrane interfacial energy.  
291 A previous study reported that the CTA membrane possessed a higher liquid-membrane  
292 interfacial energy ( $37.1 \text{ mJ/m}^2$ ) than the TFC membrane ( $34.1 \text{ mJ/m}^2$ ) estimated from contact  
293 angle measurements (Coday et al. 2015, Coday et al. 2016). As a result, the TFC membrane  
294 surface was more favourable to silica surface polymerisation in comparison with the CTA  
295 membrane.

296 Via estimating key thermodynamic parameters, we confirmed that the mechanism of  
297 silica scaling on the TFC membrane was mainly driven by the silica surface polymerisation;  
298 while that on the CTA membrane was due to the silica aggregation in the bulk solution and  
299 subsequent deposition onto the membrane surface. However, the chemical origin of such  
300 different silica-membrane surface interaction remained unknown. By an indirect force  
301 measurement by atomic force microscopy, previous study suggested that the difference in silica

302 scaling between CTA and TFC membrane was due to the varied capabilities in forming hydrogen  
303 bonding (Mi and Elimelech 2013). However, in the case of carboxylic functional group, its O-H  
304 group is even more strongly polarized than the hydroxyl functional group due to the presence of  
305 the adjacent carbonyl moiety. Presence of such dipoles in carboxylic functional group allows  
306 participation in energetically favourable hydrogen bonding interactions (Brück et al. 2000),  
307 functioning as both a hydrogen bond donor and acceptor. As a result, exploring the chemical  
308 origin of varying silica scaling profiles between CTA and TFC membranes remains critical to  
309 understand the silica scaling mechanisms.

310 **[Figure 5]**

311 **[Figure 6]**

312 *3.3.2. Chemical origin of silica-membrane interaction*

313 High resolution XPS was used to examine the chemical state of Si 2p at the conclusion of  
314 silica scaling by the CTA and TFC membranes in order to elucidate the chemical origin of silica-  
315 membrane surface interaction between CTA and TFC membrane.

316 Marked differences were present in the binding energy of Si 2p for the CTA and TFC  
317 membranes at the conclusion of the experiment (Figure 7). Specifically, for the CTA membrane,  
318 the peak of Si 2p binding energy was 105.2 eV, which was the characteristic bond of Si = O  
319 (Bashouti et al. 2012, Yan et al. 2013). By contrast, for the TFC membrane, the Si 2p binding  
320 energy peak was 103.8 eV, which corresponded to the Si-O bond (Kim et al. 2015, Niu et al.  
321 2015). The varying Si 2p binding energy profile shed light on the different silica scaling  
322 mechanisms of CTA and TFC membranes. Indeed, for CTA membrane, the majority of scalants  
323 were composed of silica aggregates, which were deposited onto the membrane surface after



324 aggregation of mono-silicic acid the subsequent growth in the liquid phase. As a result, the Si =  
325 O bond with Si 2p binding energy of 105.2 eV originated from the relatively large silica  
326 aggregates, without strong interaction with membrane surface. However, on the other hand, the  
327 TFC membrane possessing carboxylic functional group with stronger hydrogen bonding capacity  
328 enabled significant silica surface polymerisation, where the major Si 2p binding energy was  
329 103.8 eV as Si-O bond. This TFC membrane surface interacted with mono-silicic acid via  
330 adsorption, with a relatively low surface nucleation energy barrier (Section 3.3.1), the mono-  
331 silicic acid formed Si-O bond with abundant carboxylic functional groups on the TFC membrane  
332 surface. As a result, silica surface polymerisation was the dominant mechanism for silica scaling  
333 of TFC membrane.

334         Based on the aforementioned results, it was plausible to picture silica scaling mechanisms  
335 from solution to membrane interface during FO filtration by the CTA and TFC membranes  
336 (Figure 8). For the CTA membrane, due to the relatively higher surface nucleation energy barrier  
337 and lower silica-membrane interaction, the majority of monosilicic acid was aggregated in the  
338 aqueous solution, which was evident by both mass spectra and light scattering measurements.  
339 The silica aggregates then deposited onto the membrane surface, resulted in a sparse silica  
340 scaling morphology as well as a strong signal of Si=O bond characteristic of silica aggregates.  
341 However, the silica scaling was manifested in a different mechanism by the TFC membrane.  
342 Monosilicic acid preferred to interact with TFC membrane surface where a lower surface  
343 nucleation energy barrier and a stronger silica-membrane affinity were presented. As a result,  
344 the silica aggregation in the aqueous phase was not severe. Rather the strong signal for Si-O  
345 binding energy was shown on the TFC membrane surface, which strongly supports the silica  
346 surface polymerisation mechanism.

347

[Figure 7]

348 *3.3.3. Implications*

349 Varying silica scaling mechanisms reported here has implication in tuning membrane  
350 surface chemistry with anti-scaling property for treating challenging waste streams, such as  
351 seawater brine and brackish groundwater, both of which are heavily laden with silica. Insights  
352 from this study also provide means for better understanding silica behaviour in the aqueous  
353 solution as well as during interaction with different membrane surfaces. In addition, evidence for  
354 different silica scaling mechanisms also suggests a plausible explanation for the role of  
355 membrane surface in silica scaling.

356 Based on the experimental results and corresponding silica scaling mechanisms, two  
357 major strategies can be proposed. First, membrane surface chemistry should be carefully tuned  
358 during interfacial polymerisation, such as using monomer with less acyl chloride groups, thereby  
359 reducing surface carboxyl group density of polyamide layer. On the other hand, reducing  
360 oligomers for reactive silica aggregation can significantly minimize silica scaling. Thus, from an  
361 operation perspective, simple but effective pre-treatment of feed streams can enhance membrane  
362 performance.

363

#### 364 **4. Conclusion**

365 Results reported here suggested that silica scaling mechanisms on the CTA and TFC  
366 membranes were largely different. For CTA membrane, silica scaling was promoted by the  
367 aggregation of mono-silicic acid into large silica aggregates, followed by the deposition from  
368 bulk solution onto the membrane surface; by contrast, silica surface polymerisation on the TFC

369 membrane was the dominant mechanism where the majority of mono-silicic acid interacted with  
370 TFC membrane surface, which was followed by polymerisation of silica on the membrane  
371 surface resulting in severe water flux reduction. This hypothesis was supported by monitoring of  
372 aqueous silica species with mass spectrometry and light scattering techniques; as well as  
373 confirmed by the estimation of key silica nucleation parameters and high-resolution XPS  
374 analysis of Si 2p binding energy on the CTA and TFC membrane. For the CTA membrane, the  
375 aggregation of monomer silicic acid proceed via formation of dimer – linear trimer – cyclic  
376 trimer, which resulted in a continuous increase in hydrodynamic radii as well as the weight-  
377 average molecular weight. However, for the TFC membrane, the major species of silica  
378 oligomers in the solution remained as cyclic trimer after ten-hours of scaling experiment, which  
379 was compounded by a largely unchanged hydrodynamic radii and weight-average molecular  
380 weight. Estimation of thermodynamic parameters of silica surface nucleation demonstrated a  
381 significant reduction of surface nucleation energy (more than 50%) for the TFC membrane in  
382 comparison with the CTA. In addition, the Si 2p binding energy suggested different silicon  
383 bonds for the CTA (Si=O) and TFC (Si-O) membranes, which supported the proposed chemical  
384 origins of silica scaling on these two membranes.

385

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390

391 **6. References**

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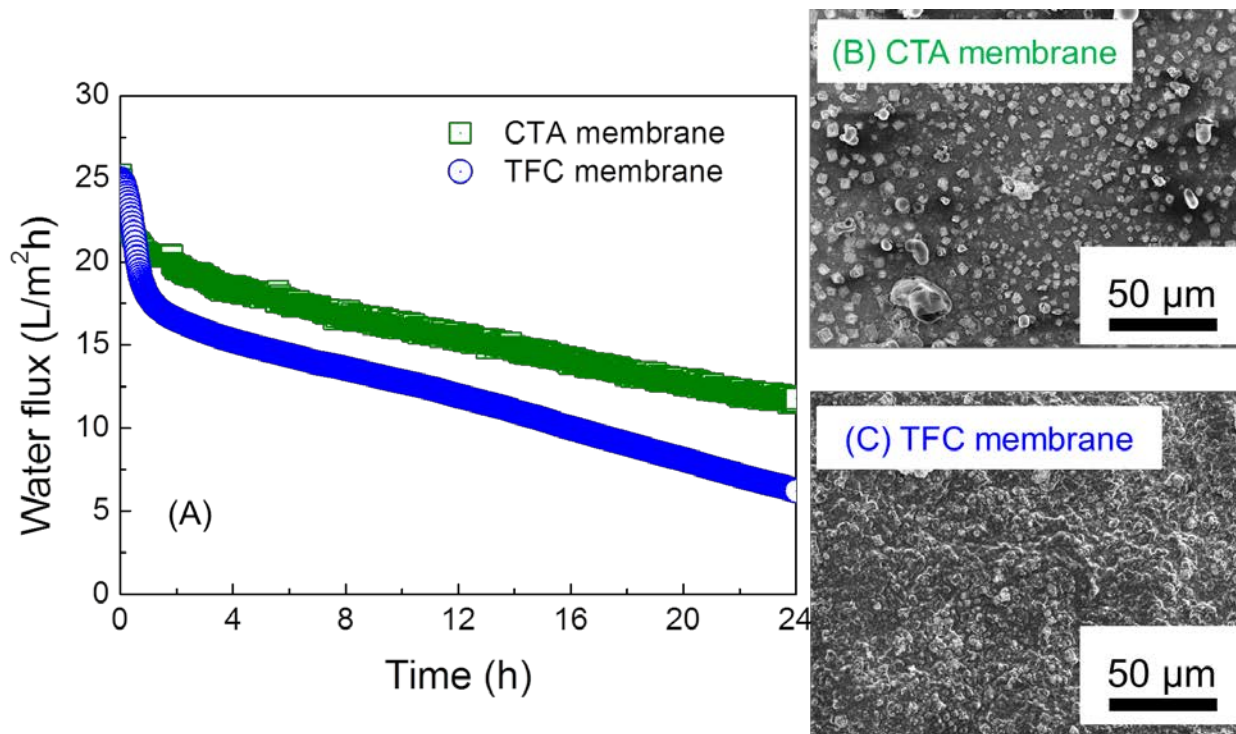
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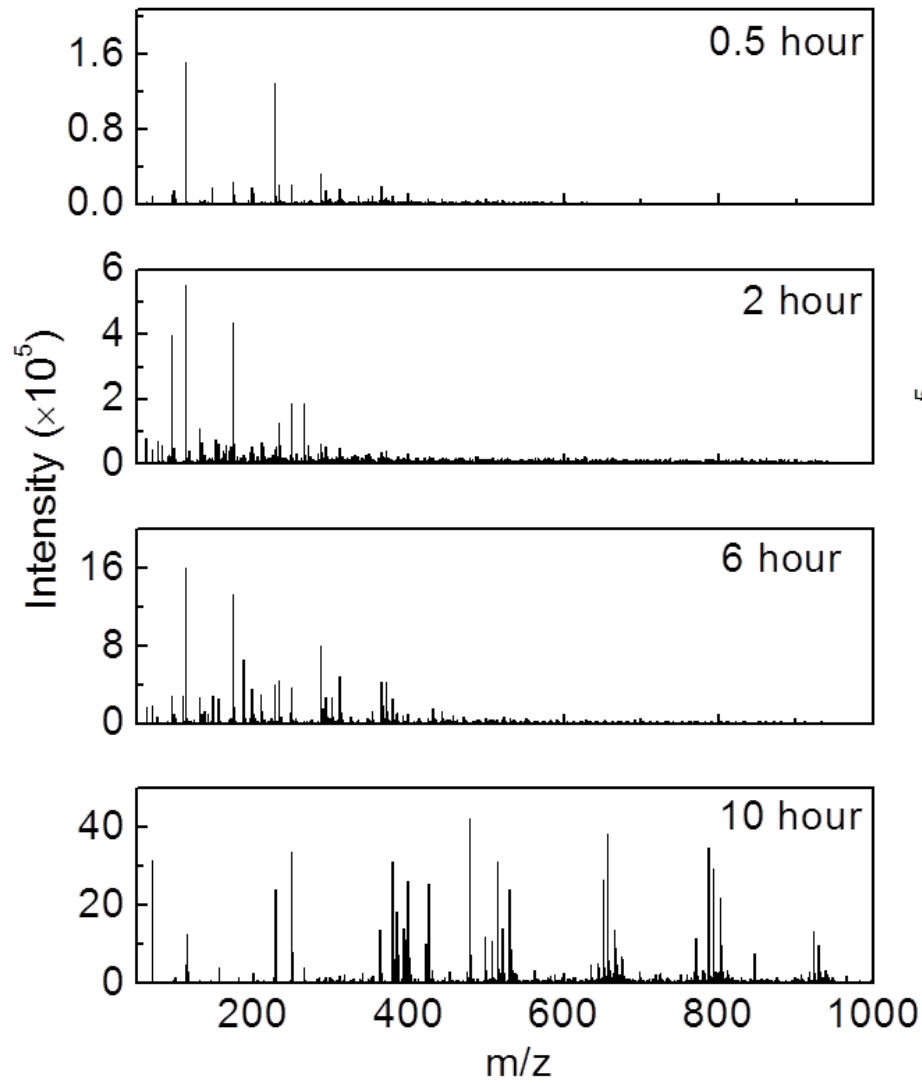


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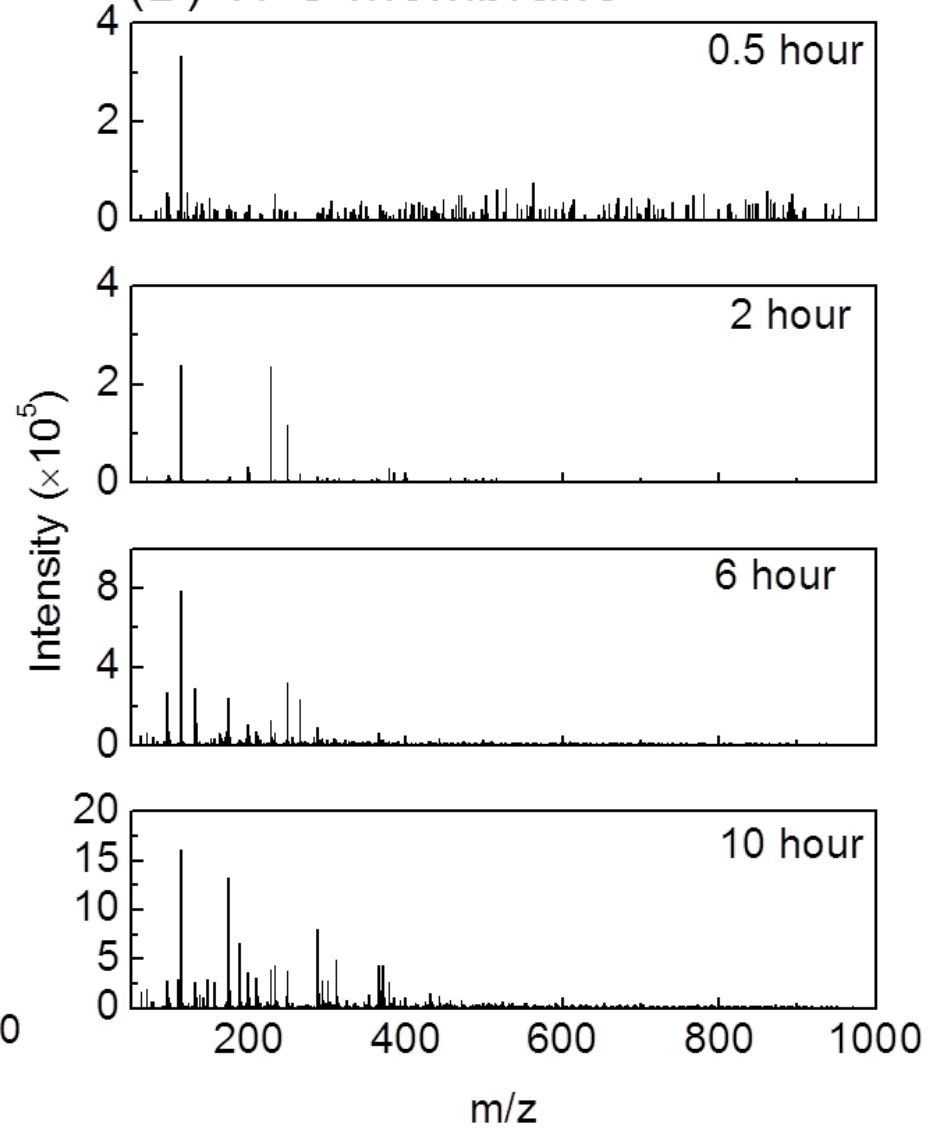
508 **Figure 1:** Silica scaling filtration by CTA and TFC membranes. (A) water flux decline by CTA  
509 and TFC membranes; representative micrographs of silica scaling morphology for (B) CTA  
510 membrane and (C) TFC membrane at the conclusion of the filtration. Experimental conditions  
511 were: The silica scaling solution contained a reactive silica concentration of 6 mM in a  
512 background electrolyte containing 20 mM NaCl and 1 mM NaCHO<sub>3</sub> at solution pH of 6.5. The  
513 NaCl draw solution concentrations were 2.5 and 1.5 M for CTA and TFC membranes,  
514 respectively. Operating conditions were: cross-flow rate of 1 L/min (corresponding to the cross-  
515 flow velocity of 9 cm/s), ambient pH (pH 6.5), and temperature of 25.0 ± 0.1°C. The filtration  
516 was operated for 24 hours, attaining 1,600 mL permeate.

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(A) CTA membrane










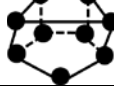


(B) TFC membrane



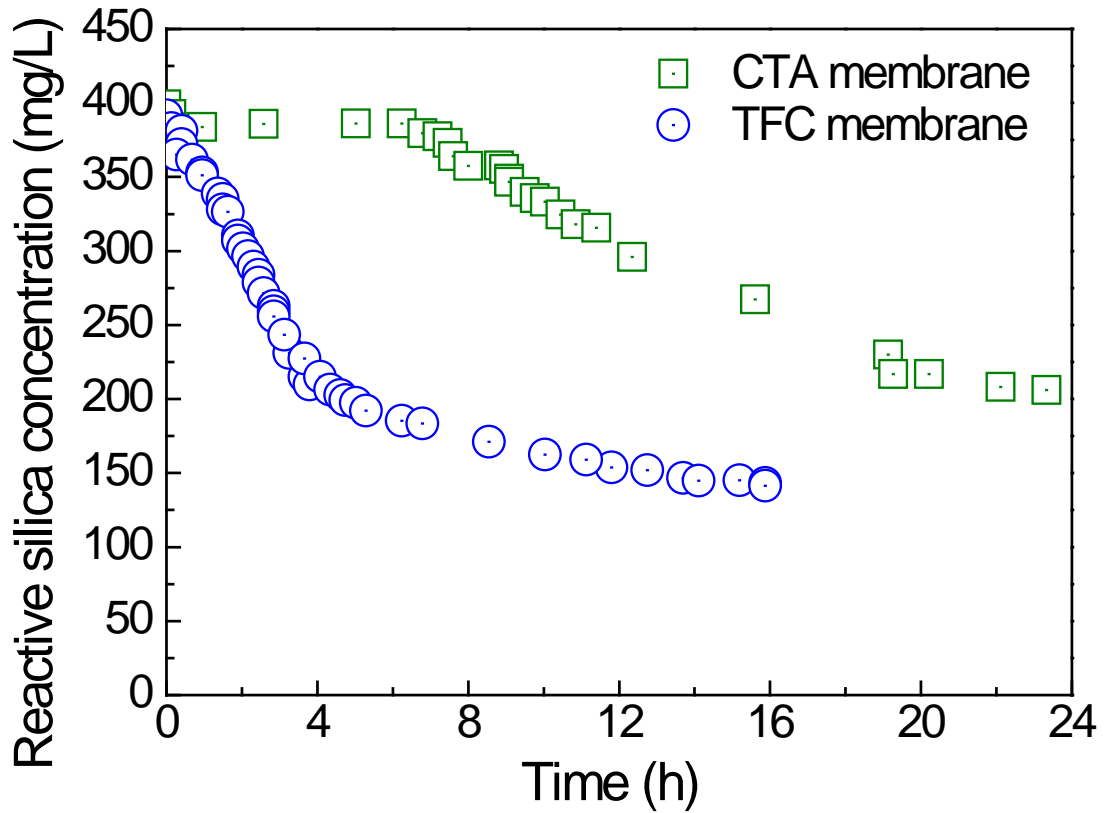


519 **Figure 2:** Mass spectra for (A) CTA and (B) TFC membranes during silica scaling. The feed solution was sampled at the specific time  
520 interval and was diluted with methanol. The mass spectrometry conditions were: The direct infusion flow of the analyte was 10  
521  $\mu\text{L}/\text{min}$ . Electrospray negative ionization was used with the detector voltage of 3 kV, desolvation temperature of 250 °C, and heating  
522 block temperature of 200 °C. High purity nitrogen was used as the nebulizing gas at a flowrate of 1 L/min.

523 **Table 1:** Possible structures of silica oligomers determined by electrospray ionization mass  
 524 spectrometry during silica scaling. The possible structures of silica oligomers were estimated  
 525 based on the m/z ratio, as well as silica chemistry in the literatures (Bussian et al. 2000, Eggers et  
 526 al. 2005).

m/z identified	Possible molecular formula	Possible structure
113.0	$H_4SiO_4 \cdot H_2O$	
172.9	$H_5Si_2O_7$	
233.3	$H_5Si_3O_9$	
293.0	$H_5Si_4O_{11}$	
370.9	$H_7Si_5O_{14}$	
398.9	$H_6Si_6O_{14}$	
507.9	$H_{11}Si_8O_{17}$	
610.9	$H_{22}Si_9O_{28}$	
656.9	$H_{22}Si_{10}O_{29}$	
828.9	$H_{26}Si_{12}O_{28} \cdot H_2O$	

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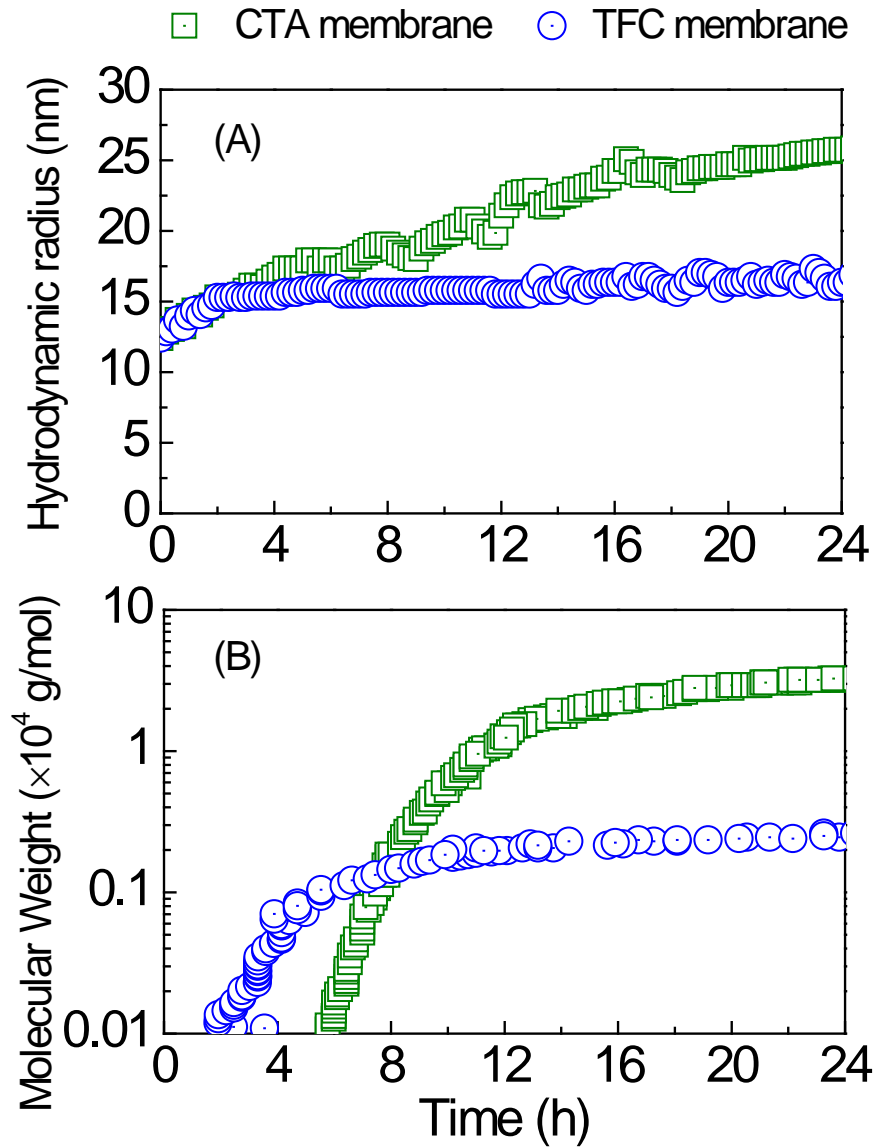


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530 **Figure 3:** Reactive silica concentration as a function of filtration time for CTA and TFC  
 531 membranes. The experimental conditions were described in Figure 1. The reactive silica  
 532 concentration was determined by the molybdate yellow method (Method 8185, Hach DR5000) at  
 533 wavelength of 815 nm.

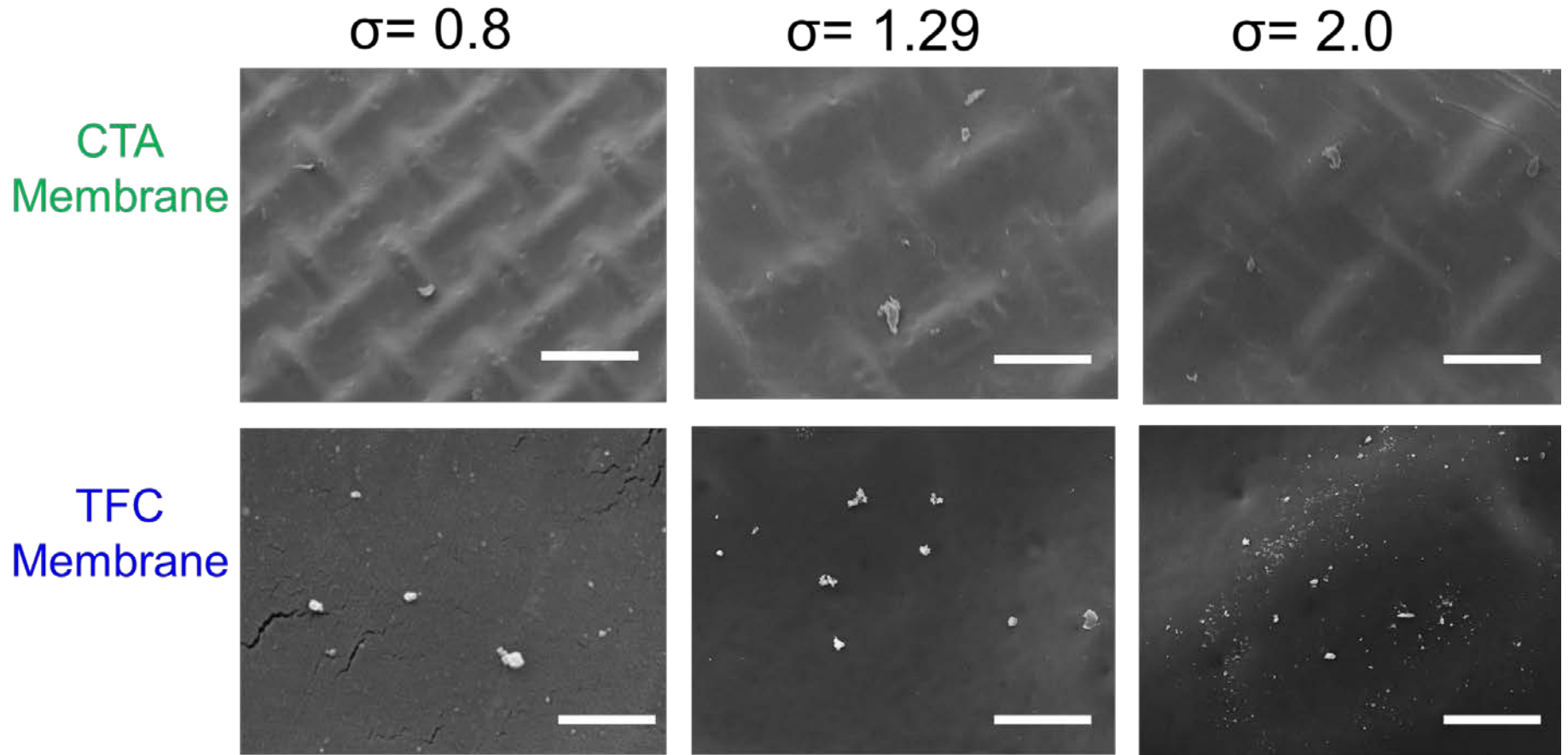
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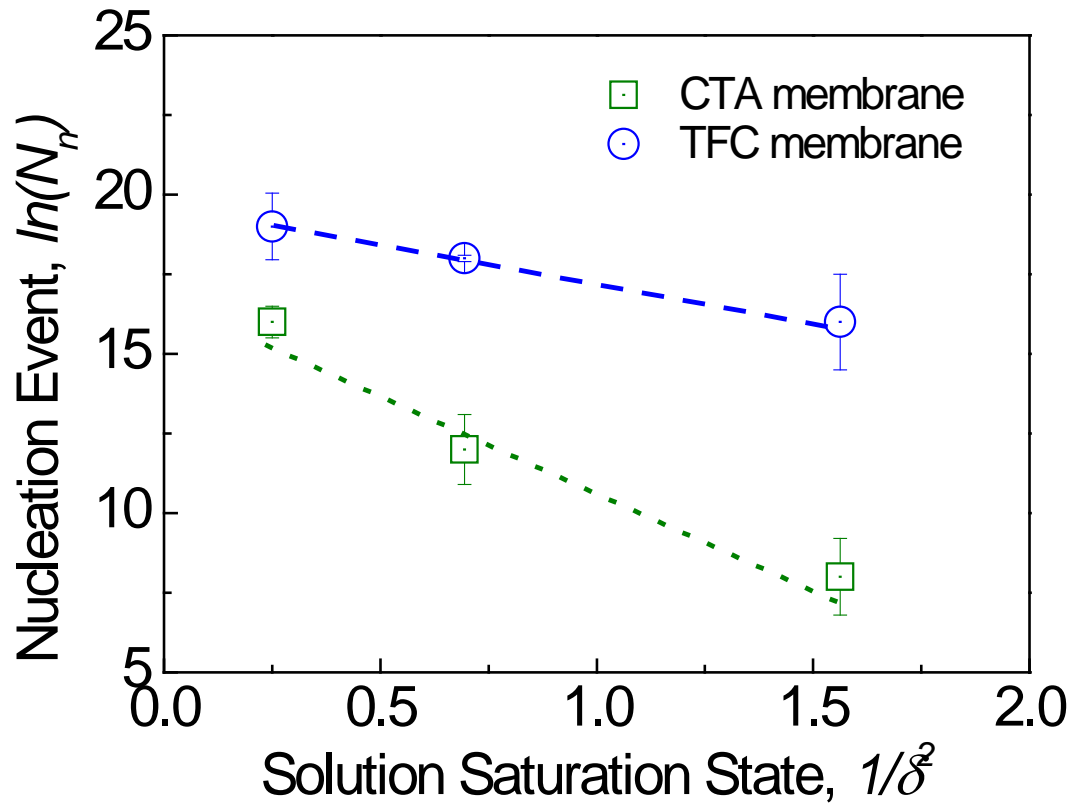
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537 **Figure 4:** (A) Hydrodynamic radii and (B) weight-average molecular weights of silica feed  
 538 solution during FO filtration by CTA and TFC membranes as a function of time. The  
 539 experimental conditions were described in Figure 1. The hydrodynamic radii were determined by  
 540 dynamic light scattering; while the weight-average molecular weight was estimated by static  
 541 light scattering with Zimm plot.



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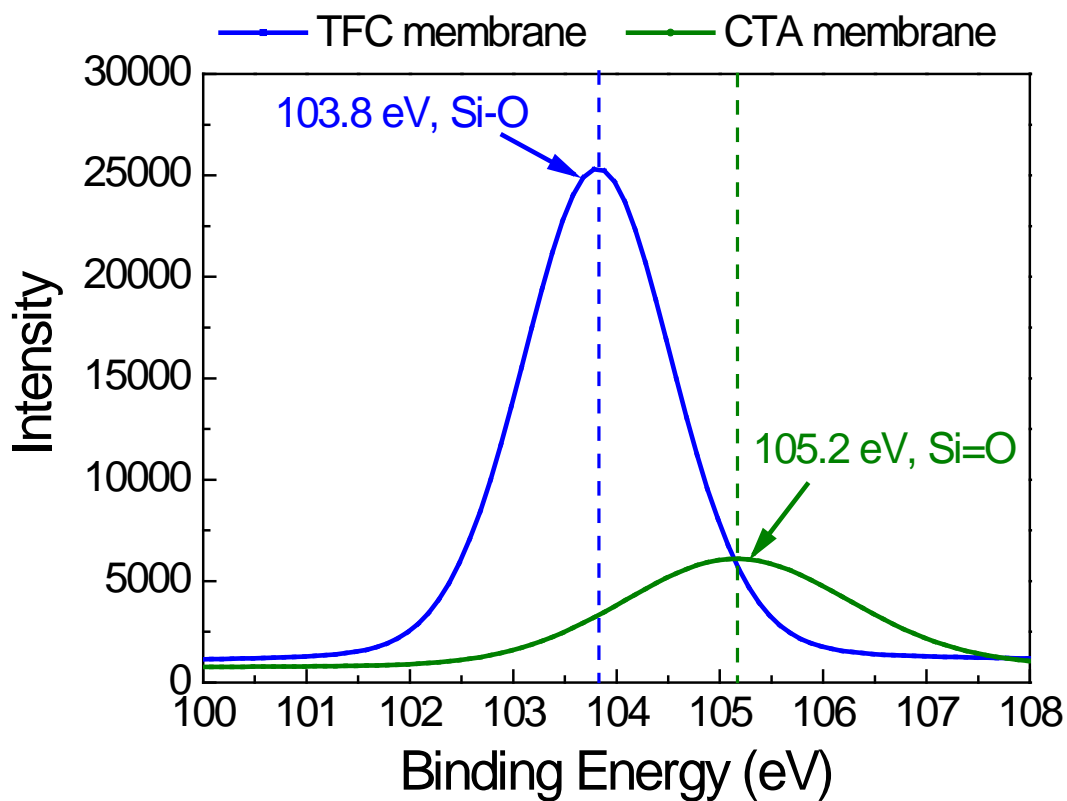
544 **Figure 5:** Representative micrographs of CTA (upper row) and TFC (lower row) membranes captured by scanning electron  
545 microscopy (SEM) at varying silica saturation indexes. The filtration experiment was terminated once the static light scattering was  
546 able to detect the weight-average molecular molar mass in the aqueous solution. The crystals identified by the SEM images were used  
547 to estimate silica surface nucleation parameters (showed in Figure 6). The bar in the SEM micrographs corresponds to 1  $\mu\text{m}$ .



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551 **Figure 6:** Estimation of silica surface nucleation parameters on CTA and TFC membranes by  
 552 plotting the nucleation events (SEM-identifiable crystal number) as a function of the inverse  
 553 square of saturation index. The experimental conditions were described in Figure 1. The slope of  
 554 the trend line yields  $B$ , which is directly proportional to the energy barrier of nucleus formation  
 555  $\Delta G^*$ .



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558 **Figure 7:** High resolution Si 2p scan by X-ray photoelectron spectroscopy of CTA and TFC  
 559 membranes at the conclusion of silica scaling. Binding energy of Si 2p of 103.8 and 105.2 eV  
 560 was for Si-O and Si=O bond, respectively.