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1 **Role of membrane fouling substances on the rejection of**
2 ***N*-nitrosamines by reverse osmosis**

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22 **Abstract**

23 The impact of fouling substances on the rejection of four *N*-nitrosamines by a reverse osmosis
24 (RO) membrane was evaluated via a systematic characterisation of individual organic fractions
25 in a secondary wastewater effluent and the deployment of a novel high-performance liquid
26 chromatography-photochemical reaction-chemiluminescence (HPLC-PR-CL) analytical
27 technique. The HPLC-PR-CL analytical technique allowed for a systematic examination of the
28 correlation between the fouling level and the permeation of *N*-nitrosamines in the secondary
29 wastewater effluent and synthetic wastewaters through an RO membrane. Membrane fouling
30 caused by the secondary wastewater effluent led to a notable decrease in the permeation of *N*-
31 nitrosodimethylamine (NDMA) while a smaller but nevertheless discernible decrease in the
32 permeation of *N*-nitrosomethylethylamine (NMEA), *N*-nitrosopyrrolidine (NPYR) and *N*-
33 nitrosomorpholine (NMOR) was also observed. The decrease in *N*-nitrosamine permeation
34 became insignificant after membrane permeability decreased by approximately 30%.
35 Fluorescence spectrometry analysis revealed that major foulants in the secondary wastewater
36 effluent were humic and fulvic acid-like substances. Analysis using the size exclusion
37 chromatography technique also identified polysaccharides and proteins as additional fouling
38 substances. Thus, further examination was conducted using solutions containing model
39 foulants (i.e., sodium alginate, bovine serum albumin, humic acid and two fulvic acids). Similar
40 to the secondary wastewater effluent, membrane fouling with fulvic acid solutions resulted in
41 a decrease in *N*-nitrosamine permeation. In contrast, membrane fouling with the other model
42 foulants resulted in an increase in *N*-nitrosamine permeation. Overall, these results suggest that
43 the impact of fouling on the permeation of *N*-nitrosamines by RO is governed by specific small
44 organic fractions (e.g. fulvic acid-like organics) in the secondary wastewater effluent.

45 **Keywords:** Fulvic acid; membrane fouling; *N*-nitrosamines; NDMA; reverse osmosis; potable
46 water reuse.

47

48 **1. Introduction**

49 Potable water reuse has become an attractive approach for augmenting fresh water sources in
50 drought stricken regions such as the southwestern USA, southern Europe and Australia.
51 Stringent quality assurance is required in potable water reuse to avoid adverse impacts on
52 public health. Aside from the need to mitigate acute microbial risks through multiple treatment
53 barriers and robust disinfection (CSWRCB, 2016), the occurrence of trace organic chemicals
54 is of particular concern due to their potential for chronic health effects (Murphy et al., 2012;
55 Villanueva et al., 2014). As a result, reverse osmosis (RO) has been widely used for the removal
56 of these trace organic chemicals in many water reclamation plants around the world (Shannon
57 et al., 2008; Verliefe et al., 2008). Removal efficiencies of most trace organic contaminants
58 of over 90% can be achieved by RO (Al-Rifai et al., 2011).

59 Of the many trace organic chemicals of concern, the removal of *N*-nitrosamines is arguably the
60 most challenging for potable water reuse. Several *N*-nitrosamines are probable carcinogenic
61 chemical (USEPA, 1993). In particular, unlike most other trace organic chemicals, the rejection
62 of *N*-nitrosodimethylamine (NDMA) by RO membranes is well below 90% due to its small
63 molecular size and uncharged property in aqueous solution (Plumlee et al., 2008). NDMA and
64 other *N*-nitrosamines can occur naturally in wastewater and are not well removed by
65 conventional treatment processes (Drewes et al., 2006). A more important source of NDMA is
66 the direct result of chloramination of secondary wastewater effluent prior to RO treatment
67 which is used to control biofouling on the RO membranes (Shah and Mitch, 2011). Because
68 NDMA is sometimes identified in RO permeate at concentrations higher than the California
69 regulatory notification level and Australian Guidelines for Water Recycling value of 10 ng/L
70 (CDPH, 2015; NRMCC et al., 2008) in potable reuse schemes, additional water treatment such
71 as an ultraviolet (UV) photolytic process or UV-advanced oxidation process (AOP) is

72 employed downstream of the RO process (Fujioka et al., 2012a; Sharpless and Linden, 2003).
73 This additional treatment process ultimately increases the overall cost of potable water reuse.
74 A high rejecting RO membrane for the removal of NDMA could potentially reduce the capital
75 and operating costs of the UV-AOP. However, the large variation in NDMA rejection by RO
76 (negligible to 80%) reported in the literature (Farré et al., 2011; Plumlee et al., 2008; Sedlak
77 and Kavanaugh, 2006) makes it difficult to rely solely on RO for the removal of NDMA.

78 The underlying mechanisms of the observed variation in NDMA rejection by RO have been
79 elucidated in several recent studies. In addition to membrane properties (Fujioka et al., 2013b)
80 and RO feed solution temperature (Fujioka et al., 2012b), membrane fouling has been shown
81 to affect NDMA rejection (Fujioka et al., 2013a; Steinle-Darling et al., 2007). However, the
82 effects of membrane fouling on NDMA rejection in these previous studies did not produce
83 consistent results. Steinle-Darling et al. (2007) reported that membrane fouling with model
84 foulants (alginate) resulted in a reduction in the rejection of *N*-nitrosamines including NDMA.
85 In a subsequent study, Fujioka et al. (2013a) observed an increase in the rejection of *N*-
86 nitrosamines with tertiary wastewater effluent. It is noteworthy that Fujioka et al. (2013a) also
87 observed only negligible impact of fouling layer on *N*-nitrosamine rejection when the
88 membrane was fouled with large molecular weight model foulants (i.e., sodium alginate,
89 bovine serum albumin and humic acid). These previous results suggested that the impact of
90 membrane fouling could vary depending on the properties of the foulants, but the major model
91 foulants were unlikely to be representative of substances causing the increased *N*-nitrosamine
92 rejection.

93 In a well-controlled laboratory-scale study to evaluate the effects of membrane fouling on *N*-
94 nitrosamine rejection, bench-scale RO systems have the advantage of precise regulation of the
95 operating conditions. However, sample volumes required for their analysis can be excessive.

96 The standard method for the analysis of *N*-nitrosamines including NDMA (McDonald et al.,
97 2012; Munch and Bassett, 2004) is based on solid-phase extraction (SPE) followed by gas
98 chromatography and tandem mass spectrometry (GC-MS/MS) detection and requires a sample
99 volume of 0.2–1.0 L/sample. This limits the number of samples that can be acquired, which
100 has ultimately contributed to a lack of understanding of the dynamics of NDMA rejection
101 during RO treatment. Of a particular note, previous bench-scale studies (Fujioka et al., 2013a;
102 Steinle-Darling et al., 2007) have only evaluated *N*-nitrosamine rejection by RO membranes
103 under two sampling conditions—before and after membrane fouling development.

104 Recently, a fast, high-throughput, and reliable high-performance liquid chromatography-
105 photochemical reaction-chemiluminescence (HPLC-PR-CL) analytical technique for the
106 quantitation of *N*-nitrosamines has been developed (Kodamatani et al., 2009). The analytical
107 method can be performed with a very small sample injection volume (20–200 μ L) and requires
108 no concentration steps, unlike the SPE-GC-MS/MS method (Munch and Bassett, 2004). In
109 addition, this HPLC-PR-CL method can achieve more precise determination of NDMA
110 concentrations with method detection limits of 2 and 0.2 ng/L in UF-treated wastewater and
111 RO permeate, respectively (Fujioka et al., 2016). Thus, this newly established HPLC-PR-CL
112 analytical technique opens up new opportunities for a systematic examination of the correlation
113 between the fouling condition and *N*-nitrosamine rejection.

114 This work aimed to identify major foulants that influence *N*-nitrosamine rejection by an RO
115 membrane. A nanofiltration (NF) membrane was also used for comparison. The HPLC-PR-CL
116 analytical technique was modified for the determination of *N*-nitrosamines in the secondary
117 wastewater effluent and model foulant solutions, and was used to systematically examine the
118 correlation between fouling development and *N*-nitrosamine rejection. Consequently, five
119 model foulants were selected and four *N*-nitrosamines, including NDMA, were selected for

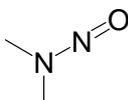
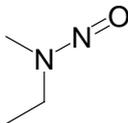
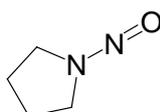
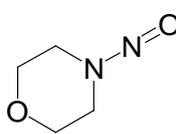
120 delineation of the mechanisms underlying the impact of membrane fouling on *N*-nitrosamine
121 rejection.

122 **2. Materials and methods**

123 *2.1. Chemicals*

124 Four analytical grade *N*-nitrosamines (Ultra Scientific, Kingstown, RI, USA) were used in this
125 study: NDMA, *N*-nitrosomethylethylamine (NMEA), *N*-nitrosopyrrolidine (NPYR) and *N*-
126 nitrosomorpholine (NMOR) (**Table 1**). A stock solution containing all four *N*-nitrosamines
127 was prepared at 1 µg/mL of each compound in pure methanol. Five model foulants – sodium
128 alginate, bovine serum albumin (BSA), humic acid and two fulvic acids – were also used.
129 Sodium alginate and humic acids were supplied by Sigma-Aldrich (St Louis, MO, USA). BSA
130 was purchased from Wako Pure Chemical Industries (Tokyo, Japan). Suwannee River fulvic
131 acid standard II and Pahokee Peat fulvic acid standard II were purchased from International
132 Humic Substances Society (IHSS, MN, USA). Analytical grade NaCl, CaCl₂, NaHCO₃ and
133 luminol (5-amino-2,3-dihydro-1,4-phthalazinedione) were supplied from Wako Pure Chemical
134 Industries (Tokyo, Japan). Secondary wastewater effluent was collected from a municipal
135 wastewater treatment plant (WWTP) in Japan. The sampling point was before chlorine
136 disinfection and after screening, primary settling and activated sludge treatment.

137 **Table 1** Physicochemical properties of the selected *N*-nitrosamines.

| Compound | Structure | Molecular formula | Molecular weight [Da] | Low <i>D</i> at pH 8 ^a | pK _a ^a |
|----------|-----------------------------------------------------------------------------------|-------------------------------------------------------------|-----------------------|-----------------------------------|------------------------------|
| NDMA |  | C ₂ H ₆ N ₂ O | 74.1 | 0.04 | 3.5 |
| NMEA |  | C ₂ H ₈ N ₂ O | 88.1 | 0.40 | 3.4 |
| NPYR |  | C ₄ H ₈ N ₂ O | 100.1 | 0.44 | 3.3 |
| NMOR |  | C ₄ H ₈ N ₂ O ₂ | 116.1 | -0.18 | 3.1 |

138 ^a Chemicalize (<http://www.chemicalize.org>).139 **2.2. Membrane treatment system**

140 A low pressure RO membrane – ESPA2 – was supplied as flat sheet samples by
141 Nitto/Hydranautics (Osaka, Japan). The ESPA2 membrane is a composite polyamide RO
142 membrane that has been used widely in water reclamation applications (Fujioka et al., 2012a).
143 An NF membrane – ESNA1-LF – from Nitto/Hydranautics (Osaka, Japan) was also used in
144 this study. A bench-scale RO system with a cross-flow configuration was used (**Fig. S1**). The
145 treatment system includes a stainless steel membrane cell (Iwai Pharma Tech, Tokyo, Japan)
146 that can hold a circular flat sheet membrane coupon with effective surface area of 36.3 cm². A
147 high-pressure pump (KP-12, FLOM, Tokyo, Japan) was also used to transport feed solution
148 from a 2-L glass reservoir to the membrane cell. The feed solution temperature was controlled
149 in the reservoir with a stainless steel heat exchanging coil connected to a temperature control
150 unit (NCB-500, Tokyo Rikakikai, Tokyo, Japan).

151 2.3. *Experimental protocols*

152 Each experiment was initiated by conditioning the RO membranes with deionized water (Q
153 18.0 MΩcm) at 1,500 kPa until the permeate flux stabilised. The deionized water was then
154 replaced with 2 L of the secondary wastewater effluent or solutions of model foulant. The
155 model foulant solutions contained background electrolytes (20 mM NaCl, 1 mM NaHCO₃, 1
156 mM CaCl₂) and 30–50 mg/L of one of the model foulants in Milli-Q water. Each *N*-nitrosamine
157 was spiked into the RO feed at a concentration of 500 ng/L. The RO treatment system was
158 operated at constant flux of 60 or 80 L/m²h. During each experiment, both RO feed and
159 permeate were recirculated into the feed reservoir to maintain a constant concentration of each
160 solute and foulant in the RO feed. While full-scale RO systems in water reclamation
161 applications are typically designed and operated at the permeate flux of ~20 L/m²h (Fujioka et
162 al., 2012a), the high flux was used in this study to accelerate membrane fouling. The feed
163 temperature was maintained at 20 °C and transmembrane pressure (TMP) was recorded. RO
164 feed and permeate samples were collected periodically in amber vials (1.5 mL). Concentrations
165 of *N*-nitrosamines in the RO feed and permeate samples were used for calculating their
166 rejections. The RO permeate and feed sample volumes were negligible (i.e. 1.5 mL) as
167 compared to 2 L of the initial feed volume; thus, *N*-nitrosamine concentration in the RO feed
168 was expected to be constant throughout the experiment. In addition, a previous study (Fujioka
169 et al., 2012b) has confirmed that changes in *N*-nitrosamine concentrations from 250 to 1,500
170 ng/L had no impact on the rejection of *N*-nitrosamines. Overall, the experimental condition of
171 this study allowed for an accurate evaluation of *N*-nitrosamine rejections without any
172 interference from changes in their concentrations in the RO feed.

173 2.4. Analytical techniques

174 2.4.1. HPLC-photochemical reaction-chemiluminescence detection (HPLC-PR-CL)

175 *N*-nitrosamine concentrations were determined by HPLC-PR-CL. This method is based on the
176 chemiluminescence reaction between peroxyxynitrite with luminol. Peroxyxynitrite is formed by
177 the photochemical reaction of *N*-nitrosamines with UV irradiation at 254 nm after HPLC
178 separation. The HPLC separation was performed with an InertSustain AQ-C18 (5 μ m, 4.6 \times
179 250 mm) (GL Sciences, Tokyo, Japan) with an eluent of 5 mM phosphate buffer and methanol
180 (95:5 v/v). Further details of this method are provided elsewhere (Fujioka et al., 2016;
181 Kodamatani et al., 2016). A sample HPLC-PR-CL chromatogram of the separation of NDMA,
182 NMOR, NMEA and NPYR is shown in **Fig. S2**. Each sample from the RO feed was pre-filtered
183 with a 0.45 μ m hydrophilic PTFE syringe filter (Filtstar, Starlab Scientific, China). The sample
184 injection volume was from 20 to 200 μ L.

185 2.4.2. Fluorescence spectroscopy

186 Excitation emission matrix (EEM) fluorescence spectra (Aqualog, Horiba, Kyoto, Japan) of
187 the samples were obtained using a 1-cm quartz cuvette. The EEM spectra (EEMs) were
188 acquired with scanning emission spectra every 8 pixels from 245.21 to 827.61 nm by changing
189 the excitation wavelength from 220 to 800 nm at 1 nm step with a 4.60 nm CCD bin increment
190 at low gain and 1 s integration. All EEMs were corrected through blank subtraction (ultrapure
191 water – 18.2 M Ω cm with 1 g/L methanol and humic acid) to reduce scatter from the water
192 Raman peak for instrument/spectral biases according to the emission and excitation correction
193 factors provided by the manufacturer.

194 2.4.3. Size exclusion chromatography

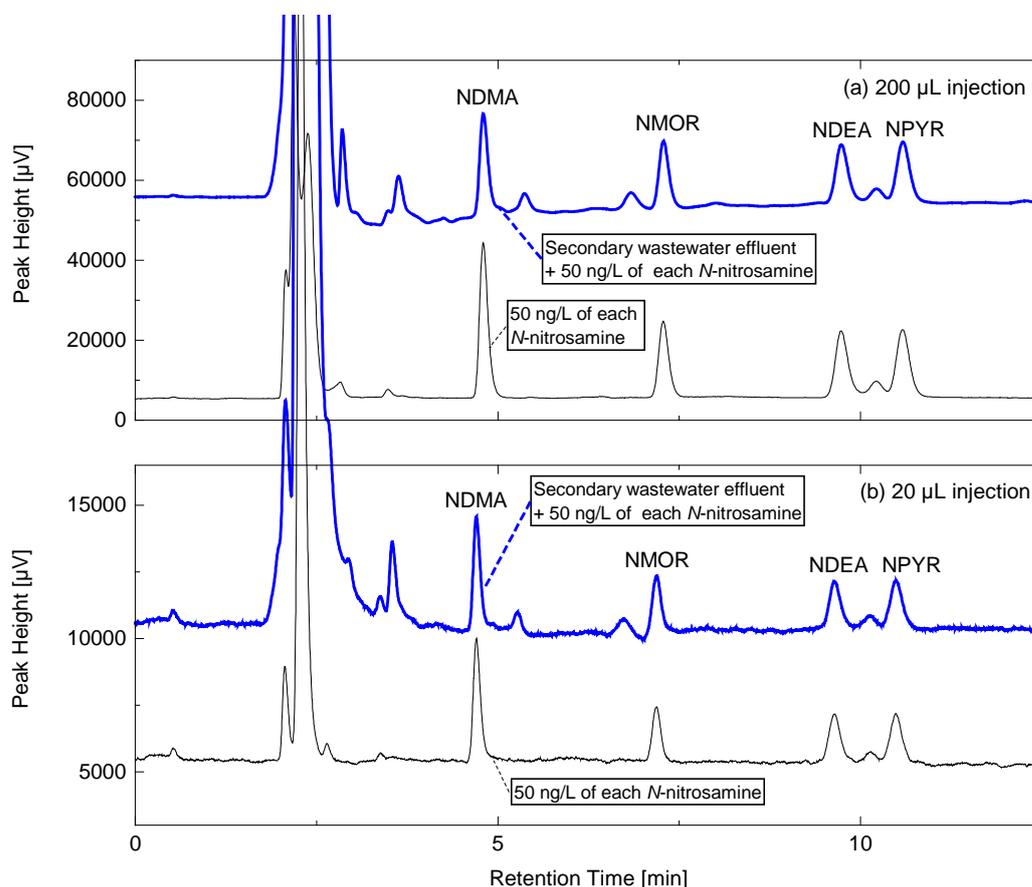
195 Organic carbon content in the water samples were characterised by a liquid chromatography-
196 organic carbon detection (LC-OCD) system (DOC-LABOR, Karlsruhe, Germany). Details of
197 the analysis can be found in previous published studies (Henderson et al., 2011; Huber et al.,
198 2011). The analysis was performed at 1.1 mL/min flow rate with a mobile phase of phosphate
199 buffer, 2.5 g/L KH_2PO_4 and 1.2g/L Na_2HPO_4 . Samples was diluted 1:10 in Milli-Q water and
200 a volume of 2.0 mL of the sample was injected into the LC-OCD system.

201 3. Results and discussion

202 3.1. Analysis in a secondary wastewater effluent

203 The analysis of *N*-nitrosamines in the secondary wastewater effluent using HPLC-PR-CL was
204 validated through spike testing. Each *N*-nitrosamine was spiked into the secondary wastewater
205 effluent at a concentration of 50 ng/L for analyte recovery evaluation. Recovery was calculated
206 based with the ratio of the peak height of *N*-nitrosamine in the secondary wastewater effluent
207 to the peak height of *N*-nitrosamine in the pure water matrix. With the injected sample volume
208 of 200 μL , the peak height of NDMA at the retention time (*rt*) of 6.1 min (**Fig. 1a**) revealed
209 66% recovery relative to the pure water matrix. Recovery in the range of 87 and 90% was
210 observed for all other *N*-nitrosamines (**Table S3**). Impurities in the secondary effluent could
211 interfere with photochemical and/or chemiluminescence reaction, leading to the low recovery
212 observed here when a large injection volume was used. The observed decreasing peak heights
213 of *N*-nitrosamines were attributed to the reduction of baseline chemiluminescence after 3 min
214 as compared to the initial baseline chemiluminescence. The impact was particularly strong
215 around the NDMA peak (*rt* = 6.1 min) and gradually recovered to the original baseline as
216 shown in **Fig. 1a**. Because the baseline chemiluminescence is generated from the reaction of

217 the eluent, the reduction of baseline chemiluminescence after the sample injection substances
218 in the secondary wastewater effluent could have interfered with the photochemical reaction
219 and/or chemiluminescence reaction. Accordingly, the peak heights of *N*-nitrosamines may also
220 have reduced by the interference.



221
222 **Fig. 1** – Analysis of *N*-nitrosamine concentrations in the secondary wastewater effluent using
223 the HPLC-PR-CL analysis with sample injection volume of (a) 200 μL and (b) 20 μL .

224 To reduce the presence of interfering substances, the sample injection volume was reduced
225 from 200 to 20 μL , which was successfully validated for NDMA in ultrafiltration-treated
226 wastewater in a previous study (Fujioka et al., 2016). With the smaller injection volume, the
227 chemiluminescence around the four *N*-nitrosamine peaks dropped to an intensity near the initial
228 baseline ($rt = 0\text{--}2$ min) (**Fig. 1b**). As a result, recovery of NDMA improved from 66%
229 (injection volume = 200 μL) to 96% (injection volume = 20 μL). Similarly, the other *N*-
230 nitrosamines generally revealed improved recoveries (96–106%) (**Table S3**). The method

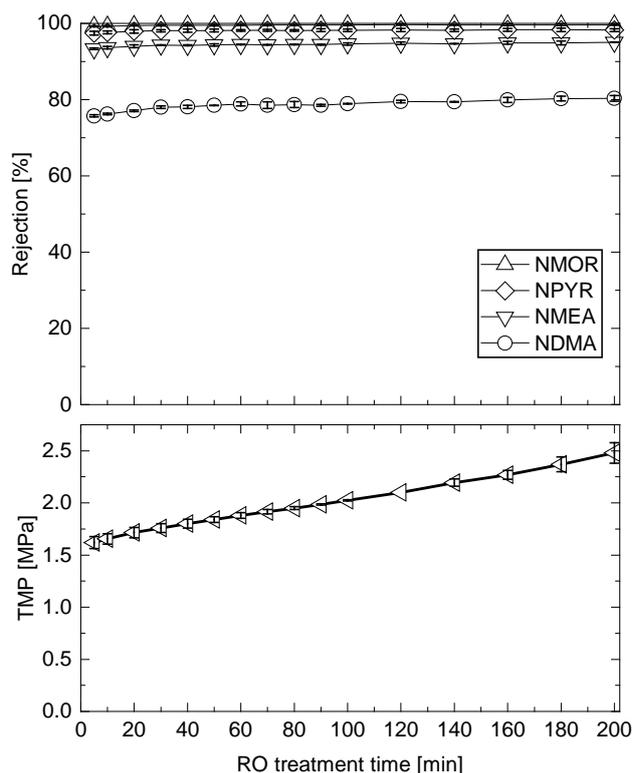
231 detection limits (MDLs) for NDMA, NMEA, NPYR and NMOR in the secondary wastewater
232 effluent were 1.8, 3.7, 3.3 and 2.3 ng/L, respectively.

233 3.2. *N*-nitrosamine rejection associated with a secondary wastewater effluent

234 The fouling propensity of the ESPA2 RO membrane was identified for the secondary
235 wastewater effluent. Fouling development using the ESPA2 RO membrane with the secondary
236 wastewater effluent led to an increase in the rejection of all four *N*-nitrosamines investigated
237 (**Fig. 2**). In particular, NDMA rejection increased from 75.7 ($t = 5$ min) to 80.0% ($t = 200$ min)
238 with an increase in TMP from 1.6 to 2.5 MPa (approximately 30% increase in TMP). Similar
239 observations could be made with the other *N*-nitrosamines, although the increase in their
240 rejection was less significant compared to NDMA (**Fig. 2**). In response to the fouling
241 development from 5 to 200 min, the rejections of NMEA, NPYR and NMOR also increased
242 from 93.3 to 95.1%, from 97.5 to 98.2% and from 99.2 to 99.6%, respectively.

243 The results suggest that membrane fouling at full-scale applications can lead to a gradual
244 decrease in the permeation of NDMA, meaning that the prolonged operation could result in an
245 increase in NDMA rejection. It should be noted that the accelerated membrane fouling protocol
246 applied here could only show the behaviour of NDMA rejection during fouling development
247 and the rejection values do not directly simulate the actual impact of fouling in full scale.

248 Treated wastewater contains a diverse range of organics. It is essential to identify individual
249 organic fractions most responsible for the variation in *N*-nitrosamine rejection. Thus, further
250 investigation was performed by characterising the secondary wastewater effluent and
251 conducting RO studies using model foulants.



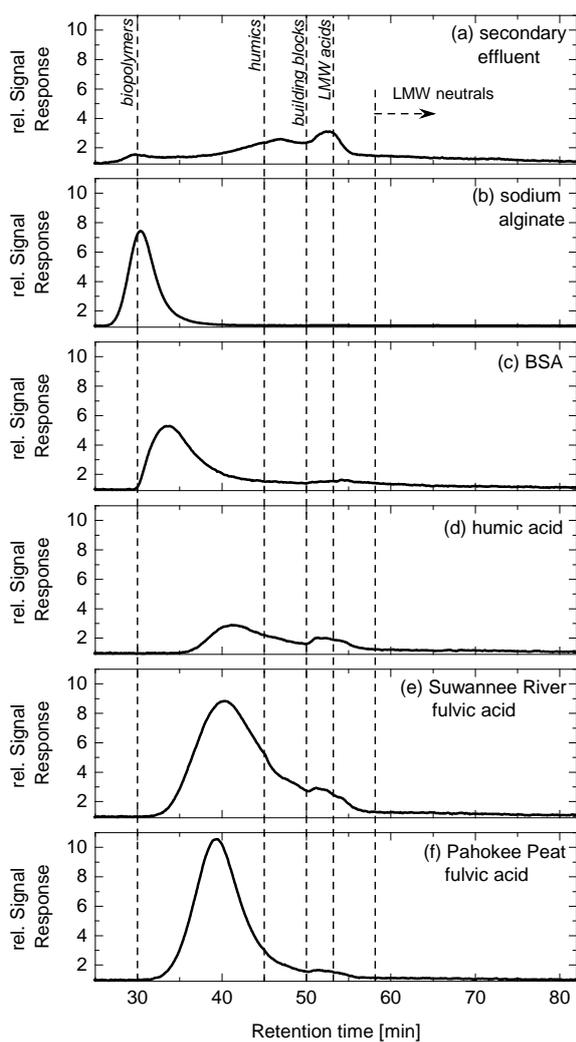
252
 253 **Fig. 2** – Changes in *N*-nitrosamine rejection and TMP during RO treatment of the secondary
 254 wastewater effluent with ESPA2 membrane (permeate flux = 80 L/m²h, feed solution
 255 temperature = 20 °C, pH = 8). Values here are the average and range of duplicate results.

256 3.3. Characterisation of organics in the RO feed

257 3.3.1. LC-OCD

258 Organic constituents in the secondary wastewater effluent were characterised by LC-OCD and
 259 were separated into four main fractions – biopolymers (>20,000 Da), humics (approximately
 260 1,000 Da), building blocks (300–500 Da) and low molecular weight (LMW) acids and neutrals
 261 (<350 Da) (Henderson et al., 2010; Huber et al., 2011) (**Table S4**). The fraction identified as
 262 biopolymers can be polysaccharides and proteins, and the fraction of building blocks includes
 263 breakdown products during the degradation of humic substances (Huber et al., 2011). The
 264 secondary wastewater effluent contained a wide distribution of organic fractions (**Fig. 3a**). The
 265 distribution of dissolved organic matter was biopolymers (8%), humic substances (43%),

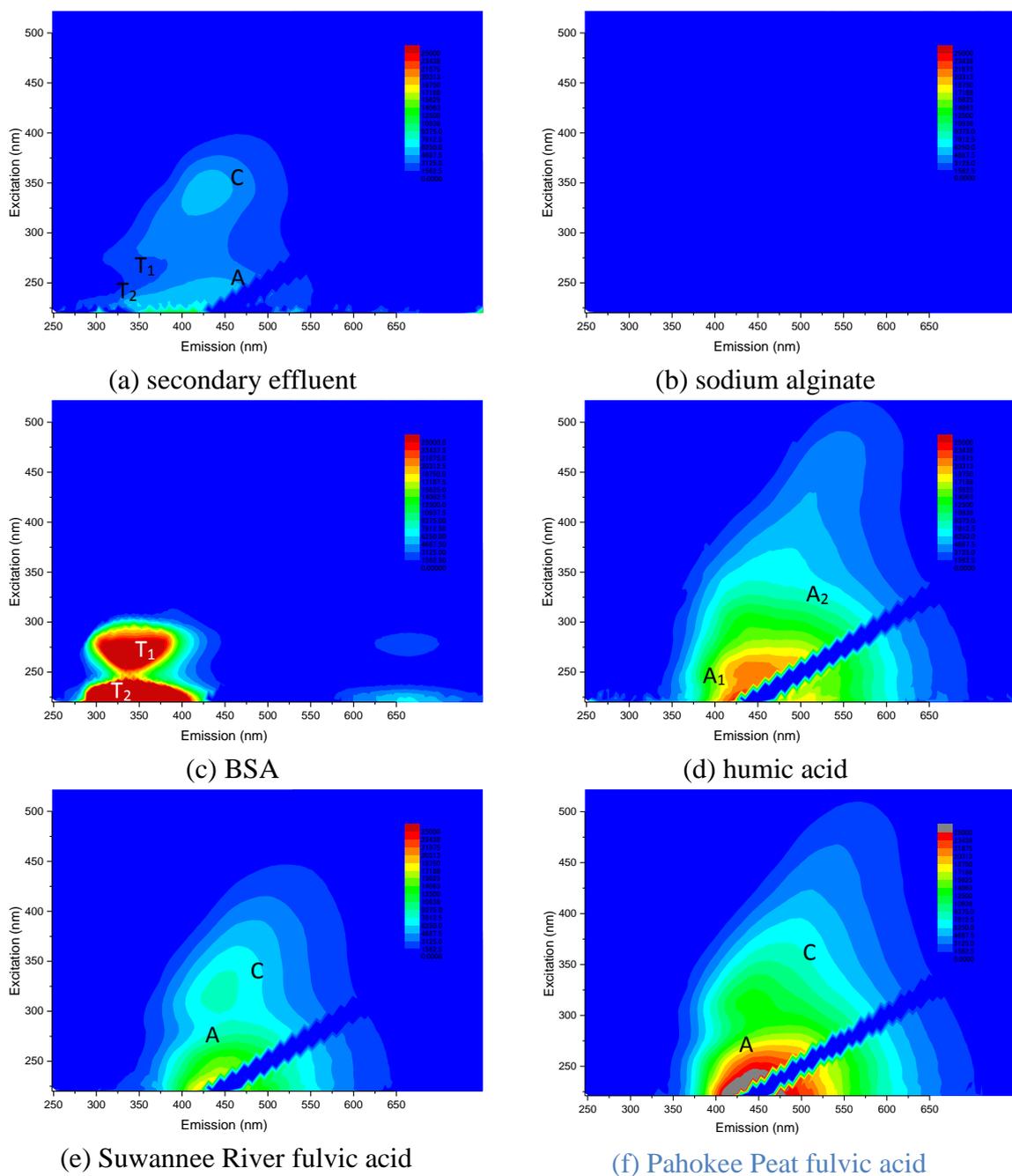
266 building blocks (14%) and LMW neutrals (21%). Biopolymers can be represented by model
267 organic foulants including sodium alginate (i.e. polysaccharide) and BSA (i.e. protein) (**Fig.**
268 **3b and 3c**), and humic substances can be represented by humic acids (**Fig. 3d**) and fulvic acids
269 (**Fig. 3e and 3f**), thus, these model foulants were selected for further investigation of this study.
270 In contrast, there were no model foulants that were readily available for the other small organics
271 including building blocks and LMW neutrals.



272
273 **Fig. 3** – LC-OCD chromatogram of the (a) secondary wastewater effluent and solutions
274 containing (b) sodium alginate, (c) BSA, (d) humic acid, (e) Suwannee River fulvic acid and
275 (f) Pahokee Peat fulvic acid.

276 3.3.2. EEM spectroscopy

277 The organics in the secondary wastewater effluent were also characterised by EEM
278 fluorescence spectroscopy. EEM peaks can be classified as protein-like, fulvic-like and humic-
279 like fluorophores. A strong peak in the EEM spectrum of the secondary wastewater effluent
280 was observed at the excitation/emission (Ex/Em) wavelengths of 350/425 nm which was
281 designated as C (Liu et al., 2011) in **Fig. 4a** and indicates a humic acid-like fluorophore as
282 suggested in the literature (Chen et al., 2003; Coble, 1996; Nam and Amy, 2008). Another peak
283 at the Ex/Em of 220/416-427 nm was designated as A in **Fig. 4a** indicating the presence of
284 fulvic acid-like fluorophore (Chen et al., 2003). It is noted that humic and fulvic acid-like
285 fluorophore could coexist in these EEM regions (i.e., A and C) and their presence cannot be
286 distinguished from each other (Rosario-Ortiz and Korak, 2017). Two other small peaks at the
287 Ex/Em of 220/325-334 nm (aromatic amino acid) and 270/310-320 nm (tryptophan, amino
288 acid) which were designated as T₁ and T₂ in **Fig. 4a**, respectively. The EEM spectroscopy
289 results (**Fig. 4a**) imply the presence of proteins and humic organics, which is consistent with
290 the findings attained through the LC-OCD chromatography (**Fig. 3a**).



291 **Fig. 4** – EEM fluorescence spectrum of (a) secondary effluent, solutions containing (b) sodium
 292 alginate, (c) BSA, (d) humic acid, (e) Suwannee River fulvic acid and (f) Pahokee Peat fulvic
 293 acid.

294 Solutions of individual model foulants were also characterised using fluorescence spectroscopy
 295 to compare to the organics in the secondary wastewater effluent. The EEM of the sodium
 296 alginate solution revealed negligible peaks in the spectrum (**Fig. 4b**), which was expected since
 297 polysaccharide-like substances do not contain molecular structure sensitive to photon

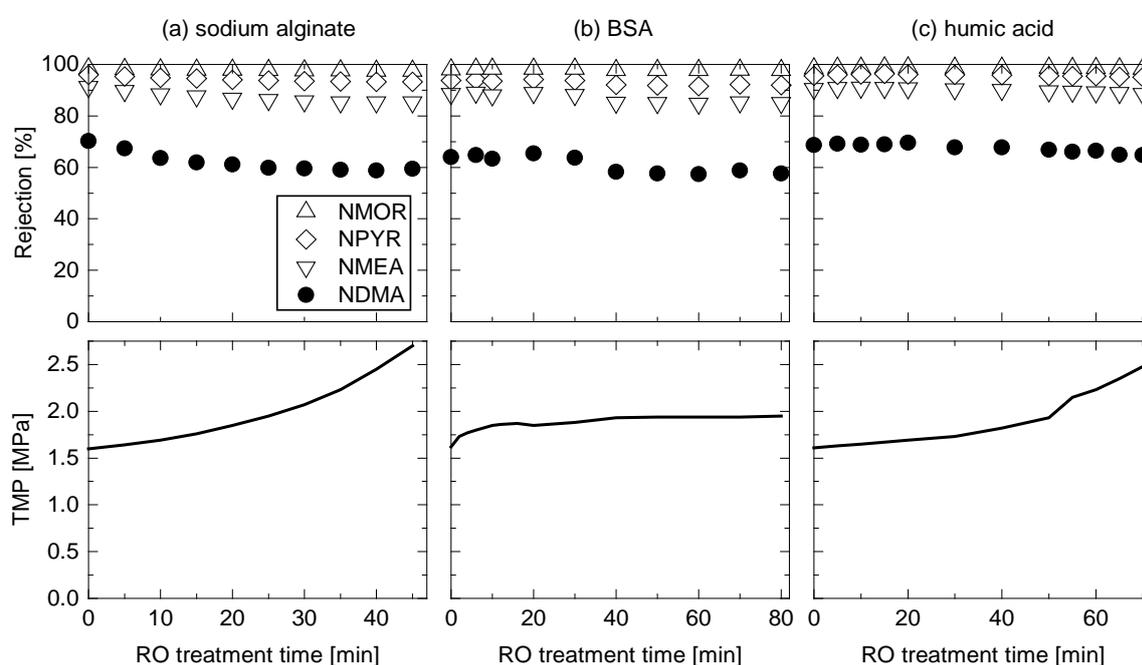
298 excitation. A peak of protein-like substance was identified with the BSA solution at the Ex/Em
299 of 265/325-350 nm and 223/334-348 nm which are designated as T₁ and T₂, respectively (**Fig.**
300 **4c**). These peaks were also identified in the secondary wastewater effluent. The EEM spectrum
301 of the humic acid solution (**Fig. 4d**) revealed a peak at the Ex/Em of 225/415-435 nm (A₁) and
302 250/435-449 nm (A₂), and they were also identified at the secondary wastewater effluent (**Fig.**
303 **4a**). The EEM spectrum of the fulvic acid solution (**Fig. 4e and 4f**) showed two peaks – a
304 strong peak at the Ex/Em of 250/430-460 nm (A) and a weak peak at the Ex/Em of 350/425
305 nm (C). This is consistent with a previous study (Chen et al., 2003) where the same source of
306 Suwannee River fulvic acid was examined. These two peaks (A and C) observed in the fulvic
307 acid solution were also identified in the secondary wastewater effluent. The characterisation
308 performed above indicate that the secondary wastewater effluent contains humic acid- and
309 fulvic acid-like substances as major sources of fluorophores.

310 *3.4. N-nitrosamine rejection by model foulants*

311 Further examination using model foulants (i.e., sodium alginate, BSA, humic acid and two
312 fulvic acids) was conducted to identify fouling substances in the secondary effluent that govern
313 the variation in the permeation of *N*-nitrosamines. Overall, initial NDMA rejections with the
314 solutions containing one of the five model foulants (63–70%) were lower than the initial
315 NDMA rejection with the secondary effluent (76%). This indicates that the difference in
316 organic and inorganic constituents in the feed solution could affect the permeation of NDMA
317 through RO.

318 Membrane fouling with three model foulant (sodium alginate, BSA and humic acid) resulted
319 in negligible impact on the permeation of *N*-nitrosamines through the RO membrane (**Fig. 5**
320 **and S5**). Membrane fouling with sodium alginate decreased NDMA rejection from 70.3 to
321 59.5% despite the considerable increase in TMP from 1.6 ($t = 0$ min) to 2.7 MPa ($t = 45$ min)

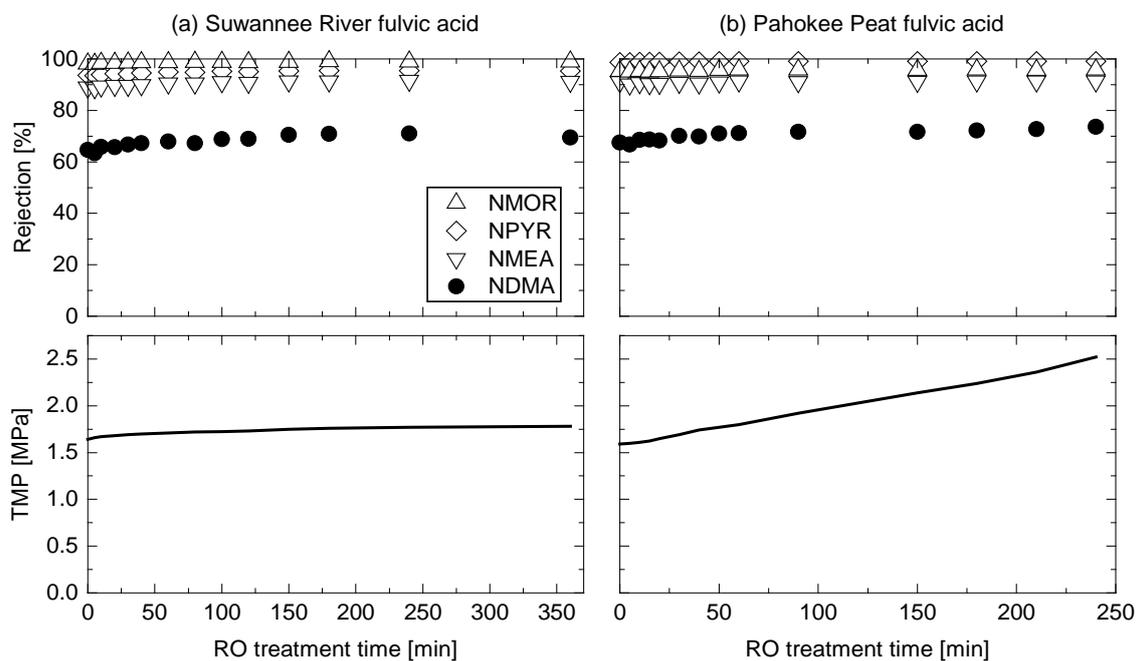
322 (Fig. 5a). Likewise, sodium alginate fouling caused decreased rejections of NMEA, NPYR and
 323 NMOR from 91.3 to 85.3%, from 95.9 to 93.3% and from 98.4 to 97.5%, respectively. Similar
 324 observations were identified for membrane fouling with BSA and humic acid solutions.
 325 Membrane fouling with BSA lead to a reduction in NDMA rejection from 64.0 (TMP = 1.6
 326 MPa, $t = 0$ min) to 57.7% (TMP = 2.0 MPa, $t = 80$ min) (Fig. 5b). Membrane fouling with
 327 humic acid caused a minor reduction of NDMA rejection from 62.9 (TMP = 1.7 MPa, $t = 0$
 328 min) to 59.7% (TMP = 2.6 MPa, $t = 70$ min) (Fig. 5c).



329
 330 **Fig. 5** – Changes in *N*-nitrosamine rejection and TMP during RO treatment of solutions
 331 containing 50 mg/L of (a) sodium alginate, (b) BSA and (c) humic acid with ESPA2 membrane
 332 (20 mM NaCl, 1 mM NaHCO₃, 1 mM CaCl₂, feed temperature = 20.0 ± 0.1 °C, permeate flux
 333 = 80 L/m²h).

334 In contrast, membrane fouling with fulvic acid solutions caused a slight increase in *N*-
 335 nitrosamine rejection (Fig. 6 and S6). When the TMP increased from 1.64 ($t = 0$ min) to 1.78
 336 MPa ($t = 360$ min) by the fouling development with Suwannee River fulvic acid solution,
 337 NDMA rejection increased from 64.7 to 69.4% (Fig. 6a). In response to the fouling
 338 development, the rejections of NMEA, NPYR and NMOR also increased from 88.9 to 91.2%,

339 from 93.6 to 95.3% and from 98.2 to 98.9%, respectively. Another fouling test with Pahokee
 340 Peat fulvic acid solution also revealed the trend of increasing *N*-nitrosamine rejection; NDMA
 341 rejection increased from 67.5 to 73.6% when the TMP increased from 1.6 ($t = 0$ min) to 2.5
 342 MPa ($t = 240$ min) (**Fig. 6b**). In conjunction with fulvic acid fouling development, NMEA,
 343 NPYR and NMOR revealed increased rejection from 91.0 to 91.3%, from 95.4 to 95.8% and
 344 from 98.7 to 99.1%, respectively.



345 **Fig. 6** – Changes in *N*-nitrosamine rejection and TMP during RO treatment of solutions
 346 containing 30 mg/L of (a) Suwannee River fulvic acid and (b) Pahokee Peat fulvic acid with
 347 ESPA2 membrane (20 mM NaCl, 1 mM NaHCO₃, 1 mM CaCl₂, feed temperature = 20.0 ± 0.1
 348 °C, permeate flux = 80 L/m²h).
 349

350 The trend of reducing the permeation of *N*-nitrosamines with a fouling layer of small molecular
 351 weight foulants (i.e. fulvic acids) was also observed with the ESNA1-LF NF membrane (**Fig.**
 352 **S7**). Membrane fouling with Pahokee Peat fulvic acid solution caused an increase in *N*-
 353 nitrosamine rejection only after reaching as high TMP as those used for the ESPA2 RO
 354 membrane. For example, NDMA remained almost zero for the increase in TMP from 0.13 ($t =$

355 0 min) to 0.57 MPa ($t = 90$ min) but thereafter increased from 0.9 (TMP = 0.75 MPa, $t = 120$
356 min) to 5.8% (TMP = 1.85 MPa, $t = 155$ min) (**Fig. S7a**). The rejection of the other *N*-
357 nitrosamines also increased from 4 to 10–11% for the TMP increase from 0.75 to 1.85 MPa. In
358 contrast, only negligible increase in NDMA rejection by up to 2% occurred with membrane
359 fouling caused by a solution containing a larger model foulant – humic acid – even after
360 reaching the high TMP (i.e. >1.5 MPa) at 40 min (**Fig. S8**). Considering that the ESNA1-LF
361 membrane itself has almost no *N*-nitrosamine rejection capacity, the mechanism behind the
362 increased rejection with fulvic acid can be hypothesized that the fouling layer of the small
363 molecular weight fulvic acid foulants can function as an additional barrier of *N*-nitrosamine
364 transport to the membrane. Another plausible mechanism is the restriction of permeation
365 pathway of *N*-nitrosamine in the membrane structure by these small foulants (Steinle-Darling
366 et al., 2010), resulting in less permeation through the RO membrane.

367 3.5. Proposed mechanisms

368 The compounds with uncharged and hydrophilic properties including *N*-nitrosamines are
369 essentially rejected by size exclusion as previously suggested in the literature (Bellona et al.,
370 2004; Fujioka et al., 2012b). Size exclusion in RO treatment is based on the relationship
371 between compound size and the size of pathway within the RO membrane (e.g. free-volume
372 holes) (Fujioka et al., 2013b). As a result, the main focus of the impact of fouling substances
373 on the permeation of *N*-nitrosamines is on the size of pathway inside the fouling layer formed
374 on the RO membrane surface and the size of the internal pathway of the RO membrane.

375 The formation of the fouling layer with large molecular weight model foulants (sodium alginate,
376 BSA and humic acid) resulted in a negligible decrease in *N*-nitrosamines rejection (**Fig. 6a-c**).
377 Considering that fouling of the RO membranes progresses with cake layer formation, the
378 fouling layer is sufficiently porous such that *N*-nitrosamines can readily permeate from the bulk

379 solution through the fouling layer and to the membrane surface, which could explain the
380 negligible impact on the permeation of *N*-nitrosamines.

381 In contrast to the effects of high molecular weight model foulants, membrane fouling with the
382 secondary wastewater effluent (containing a diverse range of molecular weight organics, **Fig.**
383 **3**) led to decreased permeation of *N*-nitrosamines (**Fig. 2**). It is important to note that similar
384 observations were also identified with the low molecular weight model foulants (i.e. fulvic
385 acids) in this study (**Fig. 6**). The secondary wastewater effluent and fulvic acid solutions both
386 contain fractions of low molecular weight organics (**Fig. 3**). Thus, these organics can form a
387 densely packed cake layer that functions as an additional sieving barrier (Ang et al., 2011) or
388 can obstruct the pathway of solutes (Steinle-Darling et al., 2010). Thus, it can be suggested that
389 low molecular weight organics in the secondary effluent allow less solutes to permeate through
390 RO membranes, leading to the enhanced rejection of *N*-nitrosamines. The results also suggest
391 that the identification of fractions of low molecular weight organics using LC-OCD technique
392 could allow for changes in the permeation of *N*-nitrosamines during long-term plant operation.

393 **4. Conclusions**

394 A high throughput HPLC-PR-CL analytical technique was used to examine the correlation
395 between the type of foulant and *N*-nitrosamine rejection by an RO membrane. Membrane
396 fouling with a secondary wastewater effluent led to a decrease in the permeation of NDMA
397 and the other *N*-nitrosamines (i.e. NMEA, NPYR and NMOR), although the membrane fouling
398 (accelerated at a high permeate flux) only provided a trend of *N*-nitrosamine rejection during
399 fouling development. Examination by LC-OCD chromatography revealed that the major
400 constituents in the secondary wastewater effluent were biopolymers (e.g. polysaccharides and
401 proteins) and humic substances (e.g. humic acid and fulvic acid). Further investigation with
402 fluorescence spectrometry also identified humic acid-like organics, fulvic acid-like organics

403 and proteins. Thus, the effects of membrane fouling on *N*-nitrosamine rejection were also
404 evaluated using solutions of these compounds as model foulants. Membrane fouling with these
405 model foulant solutions with the exception of fulvic acids generally resulted in a negligible
406 impact on the permeation of *N*-nitrosamines. In contrast, membrane fouling with fulvic acids
407 led to a notable decrease in the permeation of *N*-nitrosamines, which was similar to that
408 observed with the secondary wastewater effluent. Secondary wastewater effluent and fulvic
409 acid solutions contain low molecular weight organics, thus, can form a densely packed fouling
410 layer formed on the RO membrane surface or can obstruct the pathway of solutes in the RO
411 membrane structure. They can reduce the permeation of *N*-nitrosamines through RO
412 membranes. The results indicate that specific foulants in reclaimed wastewater (e.g. fulvic acid-
413 like substances) could play an important role in the variation of *N*-nitrosamine rejection over
414 long-term RO system operation. Future work is necessary to isolate individual organic fractions
415 from reclaimed wastewater to identify substances influencing *N*-nitrosamine rejection.

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