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1 **Enhanced desalination performance of poly (vinyl alcohol) / carbon nanotube**
2 **composite pervaporation membranes via interfacial engineering**

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11 **Abstract**

12 The dispersion and interfacial interactions between nanofillers and the polymer are two crucial factors
13 affecting the performance of mixed matrix membranes (MMMs). In this study, poly (vinyl alcohol)
14 (PVA) based mixed matrix membranes containing multiwalled carbon nanotubes (MWCNTs) or
15 carboxylic multiwalled carbon nanotubes (C-MWCNTs) were produced via interfacial adhesion,
16 hydrogen bonding or covalent bonding. The desalination performances of the synthesised membranes
17 were compared by the pervaporation (PV) process. A PVA membrane crosslinked with maleic acid
18 (MA) was also prepared for comparison. SEM and TEM images indicated that the C-MWCNTs were
19 disentangled and dispersed uniformly in the PVA matrix whereas MWCNTs without functional groups
20 readily aggregated. The incorporation of CNTs endowed the PVA/CNT membranes with improved
21 thermal stability, which was confirmed using TGA and DSC measurements. In particular, the altered
22 properties of the PVA/CNT composites enhanced the separation performance compared with the PVA
23 membrane without CNTs. The performance tests showed that the PVA/CNT composite membranes

24 featured remarkably larger water fluxes than control PVA/MA membrane (38.8% to 154.1% increase)
25 while maintaining high salt rejection. ~~Thereinto, the overall best performance of 99.91% of salt~~
26 ~~rejection and 6.96 kg/m²h of water flux at room temperature (22 °C) was realized~~ obtained by the
27 PVA/C-MWCNT/MA membrane (19 ± 1 μm thickness) when the feed was synthetic NaCl solution of
28 35,000 ppm. Kinetic desorption method was applied to compare salt transport properties of the
29 resultant PVA/CNT composite membranes. The salt transport through the PVA/C-MWCNT and
30 PVA/C-MWCNT/MA membranes was significantly restricted with CNT mass fraction. High retention
31 of water flux and salt rejection rates were also obtained by the PVA/C-MWCNT/MA membrane over
32 a 30-hour durability test that processed seawater collected from Brighton Beach (Melbourne, VIC,
33 Australia), while the salt rejection for the PVA/C-MWCNT membrane declined with extended
34 operating time indicating that cross-linking of the PVA was necessary for stable MMM performance.

35 **Keywords:** Pervaporation; carbon nanotube; poly vinyl alcohol; desalination; interfacial interaction.

36 1. Introduction

37 Desalination using membrane technology which allows an effective separation of water
38 molecules and ions by processes such as pressure driven [1, 2], electrically driven [3], thermally driven
39 [4-6], etc., has been attractive to researchers for combating water scarcity since 1970s [1].
40 Pervaporation (PV), as one of the current membrane technologies, utilizes vapor pressure difference
41 as the driving force. It is considered to be promising for water desalination [7] because it may use
42 waste heat to drive the process, has high salt rejection irrespective of concentration and is fouling
43 resistant [8].

44 Transport through PV membranes is usually explained by the solution-diffusion model, which
45 involves dissolving of a solute or solvent in a dense membrane, permeation through the membrane and
46 evaporation on the permeate side [9, 10]. The solute or solvent exhibits a phase change across the
47 pervaporation membrane and is quite different to nanofiltration (NF) [2] and reverse osmosis processes
48 (RO) [11] where pressure provides the driving force for water transportation. The PV process is similar
49 to vacuum membrane distillation (MD) except that the membrane also plays a role in the separation of
50 feed components, whereas for MD the separation is based solely on the vapour-liquid equilibrium
51 relationship [4].

52 As the membrane has an active role in the separation process, the performance is strongly related
53 to membrane characteristics including the morphology and chemistry. Over the past several years,
54 increased effort has been made to fabricate novel PV desalination membranes such as inorganic
55 membranes [12], polymeric membranes [13] and mixed matrix membranes (MMMs) [14]. In particular,
56 MMMs, which refer to composite membranes containing a dispersed phase of inorganic entities
57 embedded in a continuous host polymer matrix, are dominated owing to their easy fabrication, a great
58 variety of potential fillers and the altered physicochemical properties [15]. The synergy between the
59 polymer and filler mixtures often provides a combined separation property with enhanced perm-
60 selectivity [16, 17], which has aroused great interest in the PV desalination process. Proper selection
61 of the dispersed inorganic phase and the continuous matrix phase based on their intrinsic transport
62 properties; ~~thereby, is therefore important.~~ When considering which polymer would be suitable for use
63 as the matrix, the required characteristics are: good chemical stability, easy to process, high
64 hydrophilicity and fouling resistance [18] [19]. Poly (vinyl alcohol) (PVA) has these characteristics
65 but is water soluble. Therefore, it should be modified to avoid dissolution in water during the PV

66 process [20].

67 Recently, a range of fillers, such as zeolites[21], silica [14], TiO₂ [22], carbon molecular sieves
68 [23], metal-organic framework (MOF) [24], graphene oxide [25, 26] and carbon nanotubes (CNTs)
69 [27] have been added to polymer matrices, which enable MMMs to exhibit high separation
70 performance with improved mechanical and thermal properties. Among those various fillers, CNTs
71 attract a great deal of attention from researchers [28]. As a one-dimensional nanomaterial, CNTs are
72 hollow cylindrical structures with internal diameters in the nanometre range with high aspect ratio [29].
73 More importantly, due to their hydrophobic nature and smooth surface, CNTs lower mass transfer
74 resistance, thus enabling fast fluid transport [30-32]. The incorporation of CNTs or functionalized
75 CNTs into PES ultrafiltration (UF) membrane, polyamide RO membrane and polyvinylidene fluoride
76 (PVDF) MD membrane has made obvious improvements in the permeation flux [33-35]. For example,
77 Chan et al. [36] showed that zwitterionic functionalized CNTs in polyamide matrix produced a high
78 water flux while rejecting essentially all ions. Furthermore, CNTs, alone, also exhibit desalination
79 ability when they are functionalised. Corry [37] studied desalination using different functional groups
80 at the mouth of CNTs, such as -COO⁻, -NH₃⁺ and -OH, and concluded that steric hinderance and
81 electrostatic repulsion are the main reasons for preventing the passage of ions. Up to date, to the best
82 of knowledge of the authors, there are no reports of MMMs containing CNTs that have been applied
83 in desalination by PV. Most importantly, the interfacial interactions between the PVA and CNTs
84 eventually determine the chemistry and performance of membrane, which requires further
85 investigation [38].

86 Herein, the characteristics and performance of PVA/CNT MMMs with different interfacial
87 interactions for PV desalination are reported. Three types of PVA/CNT membranes were developed

88 and compared, i.e. PVA/multiwalled carbon nanotube (MWCNT), PVA/ carboxylic MWCNT (C-
89 MWCNT) and crosslinked PVA/C-MWCNT/maleic acid (MA) membranes. A PVA membrane
90 crosslinked with only MA was also prepared for comparison. In general, CNTs are oxidatively
91 modified by attaching functional groups such as -COOH and -OH on the sidewalls to enhance
92 hydrophilicity and compatibility with the polymer. However, turning the CNTs from hydrophobic to
93 hydrophilic means shortening the aspect ratio and the predominant part of the pristine graphitic
94 structure is damaged by defects, resulting in a rough and disordered carbon structure [33]. That
95 phenomenon may impede and trap water molecules. Therefore, there is a trade-off between the
96 functionalization and applicability of CNTs with polymer. The functionalized CNTs in this research
97 were commercial C-MWCNTs, which were not highly oxidized and were amphiphilic with a
98 significant proportion of graphitic structure remaining. The changes to the polymer phase following
99 incorporation of nanofillers were investigated and detailed characterisation of the membranes was
100 undertaken to identify a potential structure-property relationship. Salt transport properties through
101 PVA/CNT membranes were also compared using the kinetic desorption method.

102 **2. Experimental**

103 *2.1. Materials*

104 PVA (98-99% hydrolysed, average molecular weight of 160,000), MA, ethanol, p-toluene
105 sulfonic acid (98.5%, monohydrate) and sodium chloride (NaCl) were purchased from Sigma-Aldrich
106 and used without further purification. The MWCNTs and C-MWCNTs (3.86 wt% of carboxyl groups
107 with respect to MWCNT) with diameter ranging from 2-5 nm, and specific surface area > 500 m²/g

108 were obtained from XFNANO CO. Ltd. Seawater was collected from Brighton Beach, Melbourne,
109 VIC, Australia. The electrical conductivity was 51.2 mS/cm and the pH was 8. The main cations
110 contained in the seawater were Ca^{2+} , Mg^{2+} , Na^+ and K^+ and the corresponding mass concentrations
111 were 412 ppm, 1,272 ppm, 10,787 ppm and 397 ppm. Milli-Q deionised water (18.1 M Ω cm at 25 °C)
112 was used to prepare the PVA and aqueous NaCl solutions.

113 2.2. Preparation of PVA/CNT membranes

114 The PVA powder (6 g) was added to 100 mL of Milli-Q deionised water at 90°C with stirring
115 until fully dissolved. The obtained 6 wt% PVA viscous solution was then left to cool to room
116 temperature (22°C). A mass of 200 mg of MWCNTs or C-MWCNTs were added to 100 mL of ethanol
117 and then sonicated in an ice bath for 2 h. Blending of the PVA/MWCNT or PVA/C-MWCNT was
118 achieved by dropwise addition of a specified amount of MWCNT or C-MWCNT dispersion to the
119 PVA solution. For the PVA/C-MWCNT/MA membrane, 20 wt% of MA and 1.5 wt% of p-toluene
120 sulfonic acid as catalyst were added to the mixture under stirring [14] [39]. All the mass fractions of
121 CNTs, MA and p-toluene sulphonic acid are with respect to the mass of PVA. **After vigorous stirring**
122 **for 2 h, the PVA/CNT mixtures were subjected to ultrasonication for 30 min. The resultant**
123 **homogeneous mixtures of the PVA/MWCNT, the PVA/C-MWCNT and the PVA/C-MWCNT/MA**
124 **were then degassed and cast on Perspex petri dishes followed by air drying.** Once dried, the obtained
125 membranes were peeled off and annealed at 140 °C for 2 h. The thicknesses of all the membranes were
126 19 ± 1 μm measured by a Fowler electronic digital micrometre. For comparison, a PVA/MA membrane
127 with the same thickness was also prepared as a control. The synthesis of PVA/CNT membrane is
128 schematically illustrated in Fig.1.

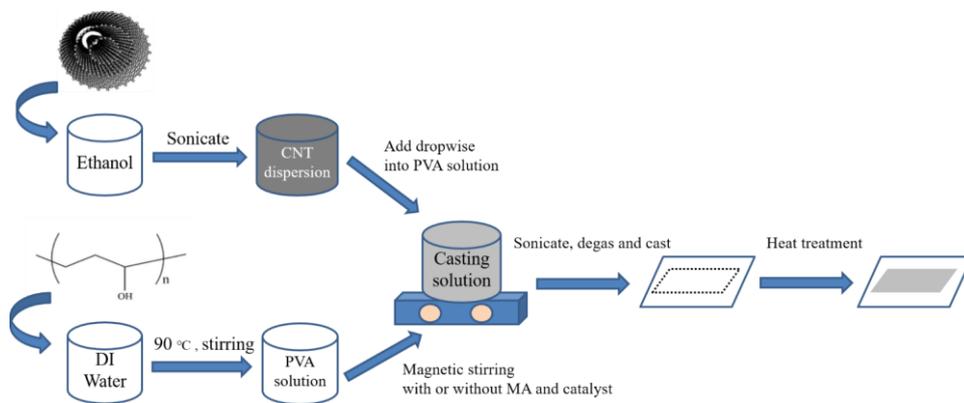


Fig. 1. Synthesis process of PVA/CNT composite membranes.

2.3. Membrane characterisation

The functional structure of fabricated membrane samples was assessed by attenuated total reflectance-Fourier transform infrared (ATR-FTIR) spectroscopy using a Perkin-Elmer Spectrum 2000 FTIR instrument (USA). The crystalline size of the membranes was studied using a Bruker D8 advanced wide-angle X-ray diffractometer (WAXD) with Cu-K radiation (40 kV, 40 mA). Both the surface and cross-section morphologies of the membrane samples were imaged by Zeiss Merlin Gemini 2 Field Emission Scanning Electron Microscopy (FESEM). The TECNAI 12 transmission electron microscope (TEM) under an accelerating voltage of 200 kV was used to observe the CNTs dispersion in the polymer matrix. Thermogravimetric analysis (TGA) and differential scanning calorimetry (DSC) were performed on a PerkinElmer Pyris instruments under nitrogen at a heating rate of 10 °C per minute. The temperature range for TGA was from 30 to 800 °C. The DSC analysis was conducted with samples of approximately 5-10 mg over the temperature range of 10 to 250 °C. Melting enthalpy (ΔH) values were calculated by numerical integration of the area under the melting peaks (ΔH_m) and the degree of crystallinity (X_c) was calculated from the ratio between melting

145 enthalpy of the samples and melting enthalpy of PVA with 100% crystallinity, ΔH_0 , as shown in Eq (1).

146 A value of 138.6 J/g was assumed for ΔH_0 in accordance with [40].

$$147 \quad X_c = \frac{\Delta H_m}{\Delta H_0} \quad (1)$$

148 Contact angle measurements were performed using a KSV contact angle meter (CAM200)
149 equipped with an image capturing system. For the swelling properties, dried films were immersed in
150 DI water at room temperature for 48 h to reach absorption equilibrium. After gentle dab drying of the
151 water drops off the surface with tissues, the mass of the film, W_s , was measured and recorded as the
152 wet weight before the sample was dried in a vacuum oven at 50 °C overnight and weighed again to
153 obtain the dry weight, W_d . The degree of swelling (S) was then calculated using Eq (2);

$$154 \quad S = \frac{w_s - w_d}{w_d} \times 100\% \quad (2)$$

155 *2.4. Pervaporation performance test*

156 Performance tests were carried out using a laboratory scale PV unit as described in previous
157 studies [14]. A membrane was placed in the middle of a PV cell with an effective transport area of 12.6
158 cm². Synthetic NaCl solution (3.5 wt%) or seawater was used as the feed solution which was preheated
159 in a water bath to the required temperature and pumped to the PV cell by a Masterflex® peristaltic
160 pump. The flow rate of the feed was maintained at 50 mL/min. A K-type thermocouple was installed
161 in the feed chamber to monitor the operating feed temperature. Absolute pressure applied on the
162 permeate side of the membrane cell was maintained at 6 Torr using a vacuum pump and the permeate
163 was collected in a dry-ice cold trap. In this study, it was observed that a minimum of 30 min was
164 required to reach membrane performance stability. The performance test using NaCl aqueous solutions

165 as feed was conducted for 3 h whereas the long-term stability test using seawater lasted for 30 h. The
 166 water flux (J) was determined from the mass (M) of permeate collected in the cold trap, the effective
 167 membrane area (A) and the experimental time (t). The salt concentration of the feed (C_f) and permeate
 168 (C_p) were derived from a pre-calibrated Oakton® Con 110 conductivity meter. The pervaporation
 169 desalination performance was evaluated by J and salt rejection (R), which were calculated by the
 170 following equations, respectively;

$$171 \quad J = \frac{M}{A \times t} \quad (3)$$

$$172 \quad R = \frac{C_f - C_p}{C_f} \times 100\% \quad (4)$$

173 It should be noted that at the end of each experiment, the permeate side of the membrane cell was
 174 flushed with a known amount of de-ionised water and the conductivity of this stream was taken into
 175 account for the overall salt rejection.

176 2.5. Salt transport properties

177 The NaCl transport properties of membranes were determined by the kinetic desorption method
 178 [41, 42]. The desorption results were fitted to the Fickian diffusion model to calculate the NaCl
 179 diffusivity, D_s in the membrane by plotting (M_t/M_∞) versus $t^{1/2}$ using Eq (5).

$$180 \quad D_s = \frac{\pi \times l_w^2}{16} \left[\frac{d \left(\frac{M_t}{M_\infty} \right)}{d \left(t^{1/2} \right)} \right]^2 \quad (5)$$

181 where M_t is the amount of NaCl in the solution at time t and M_∞ is the total amount of NaCl desorbed
 182 from the membrane into the solution, and l_w is the thickness of the hydrated membrane.

183 The NaCl solubility, K_s , is the ratio of equilibrium amount of NaCl absorbed into the membrane

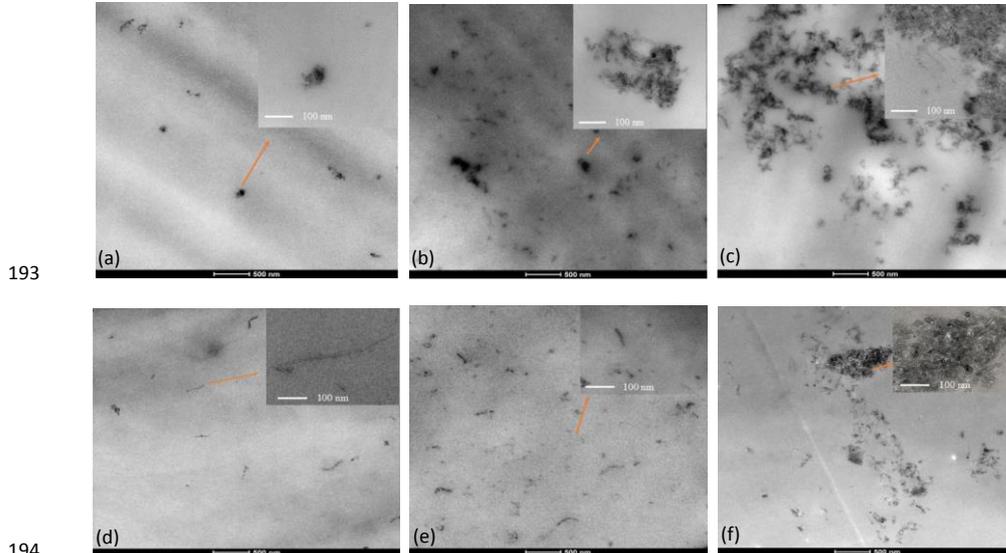
184 per unit membrane volume to the concentration of NaCl in the original solution [41]. According to the
185 solution-diffusion model, NaCl permeability, P_s , is the product of D_s and K_s .

$$186 \quad P_s = D_s \times K_s \quad (6)$$

187 3. Results and discussion

188 3.1. Dispersion of CNTs in the PVA matrix

189 A critical factor affecting the performance of MMMs is the dispersion of nanofillers in the
190 polymer matrix [28]. For CNTs, there are not only Van der Waals interactions forcing them to
191 agglomerate but also π - π interactions [43]. Fig. 2 shows the TEM images of the dispersion of
192 MWCNTs and C-MWCNTs in cross-sectioned PVA/MWCNT and PVA/C-MWCNT membranes.



195 **Fig. 2.** Cross-sectioned PVA/MWCNT and PVA/C-MWCNT composites with different CNTs loading: (a) 0.5 wt%
196 MWCNTs; (b) 1 wt% MWCNTs; (c) 2 wt% MWCNTs; (d) 0.5 wt% C-MWCNTs; (e) 1 wt% C-MWCNTs and (f) 2
197 wt% C-MWCNTs. Main scale-bar is 500 nm and inserted scale-bar is 100 nm.

198 As shown in Fig. 2a, 2b and 2c, the dispersion states of MWCNTs varied significantly with their
199 concentrations in the PVA matrix and phase separation was clearly evident with addition of CNTs.
200 Although the PVA can wet CNTs [44], MWCNTs lack strong interfacial affinity with the PVA due to
201 the physical blending of CNTs and PVA. As a result, MWCNTs were randomly distributed in clumps
202 from 0.5 wt% to 1 wt%. The phase separation became more severe and there were large entanglements
203 when MWCNTs mass fraction reached 2 wt%. In contrast, C-MWCNTs were more compatible with
204 the PVA matrix. The images showed that C-MWCNTs were disentangled and uniformly distributed in
205 the polymer matrix. Single nanotubes were immobilized in the polymer matrix as shown in Fig. 2d
206 and 2e. However, there were still agglomerated nanotubes when the C-MWCNT loading was increased
207 to 2 wt% in Fig. 2f due to the decreased distance between each C-MWCNT.

208 The corresponding surface SEM pictures of the PVA/MA, PVA/MWCNT and PVA/C-MWCNT
209 membranes are presented in Fig. 3. The PVA/MA membrane was a dense membrane with a smooth
210 and nonporous surface. After the addition of MWCNTs, fibre-shaped protrusions and dots similar to
211 CNT tips [27] were observed on the surface of PVA/MWCNT membranes as shown in Fig. 3b and 3c.
212 Increasing the MWCNT loading resulted in a greater number of pinnacles and entangled lumps on the
213 surface, which was mainly due to the increased agglomeration of MWCNTs. These observations are
214 also consistent with the TEM results described in Fig. 2. Compared with the PVA/MWCNT membrane,
215 the morphology of PVA/C-MWCNT membrane was much more uniform. A few fibre shaped
216 protrusions, which represented the CNT tips, and interspersed bundle-like shapes only appeared when
217 2 wt% C-MWCNTs were incorporated as shown in Fig. 3e. Fig. 3f and 3g show the cross-sectioned
218 PVA/CNT membranes and unsurprisingly, for the PVA added with non-functionalised CNT,
219 agglomerates and large bundles of protruding MWCNTs sit within the matrix of PVA/MWCNTs

220 membrane. Conversely, the C-MWCNT incorporated PVA membrane has a homogeneous and smooth
221 interior structure.

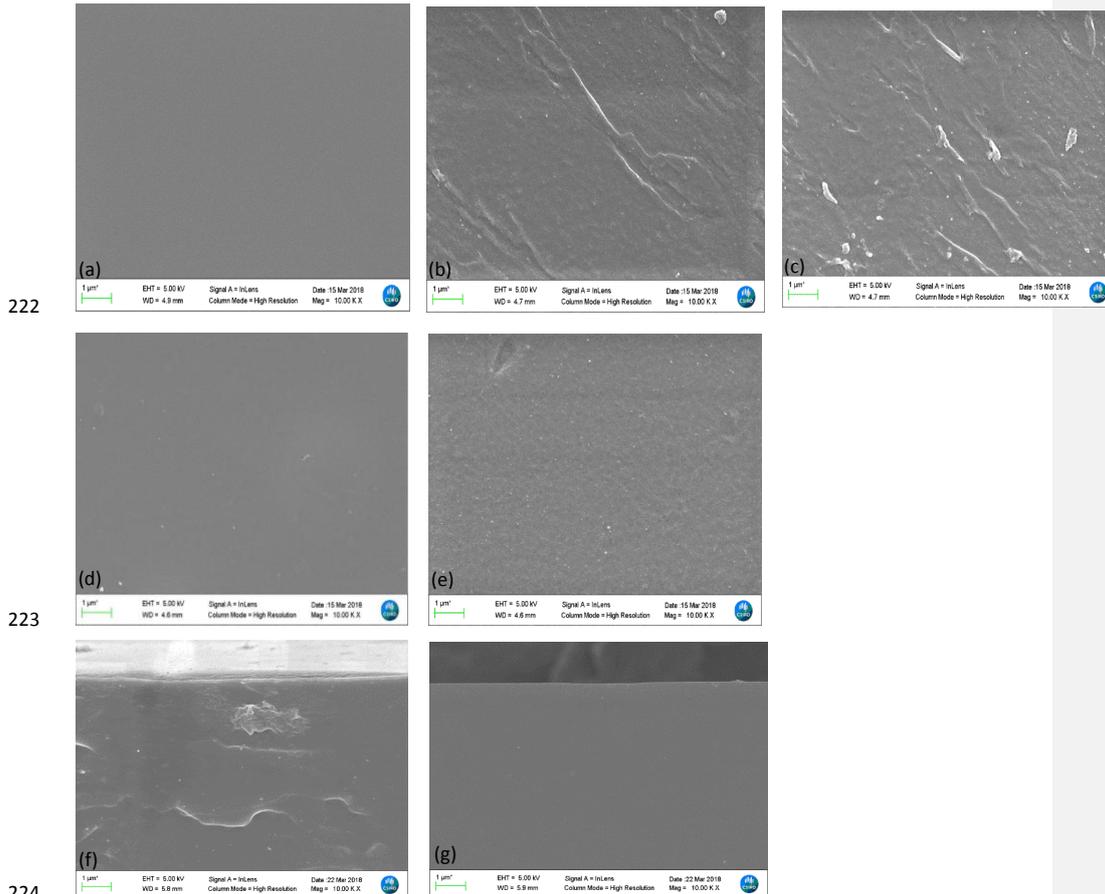


Fig. 3. SEM top surface of the synthesized membranes with different CNTs loading; (a) PVA/MA; (b) 1 wt% MWCNTs; (c) 2 wt% MWCNTs; (d) 1% C-MWCNTs and (e) 2% C-MWCNTs. Cross-sectional view of the membranes with different CNTs loading; (f) 1 wt% MWCNTs and (g) 1 wt% C-MWCNTs. Scale-bar is 1 μm.

3.2. Interactions between CNTs and PVA

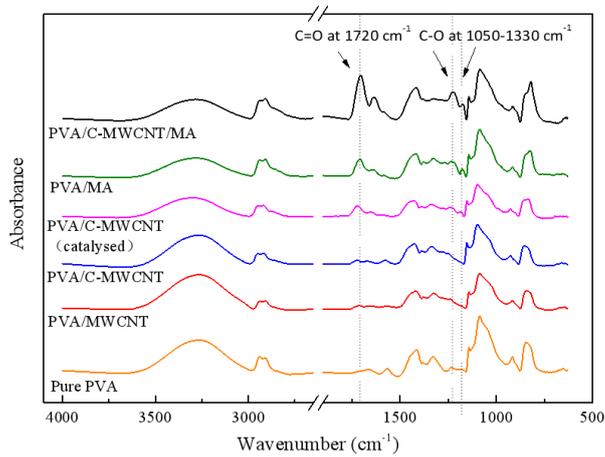
Fig. 4 shows the ATR-FTIR spectra of the different membrane samples where each PVA/CNT sample contained 1 wt% of MWCNTs or C-MWCNTs with respect to PVA. For all spectra, the peaks

231 appeared in 3000-3500 cm^{-1} region were the characteristic peaks of the hydrogen-bonded hydroxyl
232 group of the PVA polymer. Alkyl peaks, which are assigned to the stretching vibrations of C-H group
233 in the PVA backbone, were characterized at the wave numbers of 2960 cm^{-1} and 2914 cm^{-1} , and the
234 sharp C-OH stretching peaks from PVA matrix were observable at 1085 cm^{-1} .

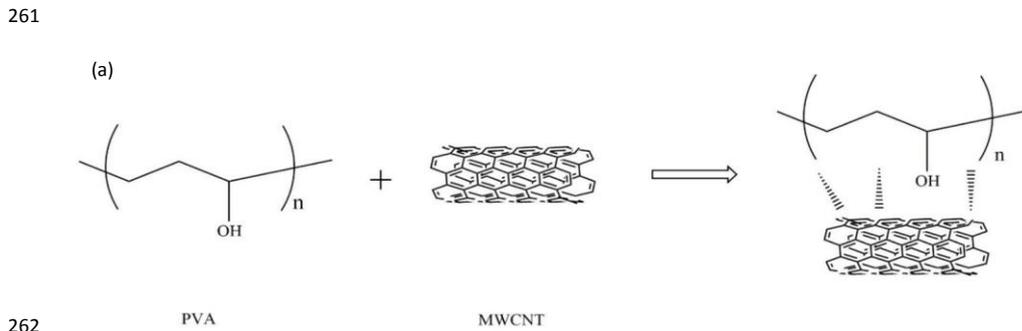
235 For the control PVA membrane, PVA/MWCNT membrane and PVA/C-MWCNT membrane, the
236 spectra were almost identical. The MWCNTs without functional groups, theoretically, should pose no
237 change of chemical bonding to the PVA matrix when incorporated into the polymer matrix. The
238 MWCNTs mainly interacted with the surrounding PVA polymer matrix via interfacial adhesion, which
239 included physical interactions and mechanical interlocking [44]. The interaction scheme of MWCNTs
240 and PVA is presented in Fig. 5a. In opposition, the -COOH entities that were covalently attached to the
241 carbon sidewalls could form hydrogen bonding with the -OH groups from the PVA [27]. Therefore,
242 the compatibility between C-MWCNTs and PVA would be enhanced due to stronger interfacial
243 interactions as illustrated in Fig. 5b.

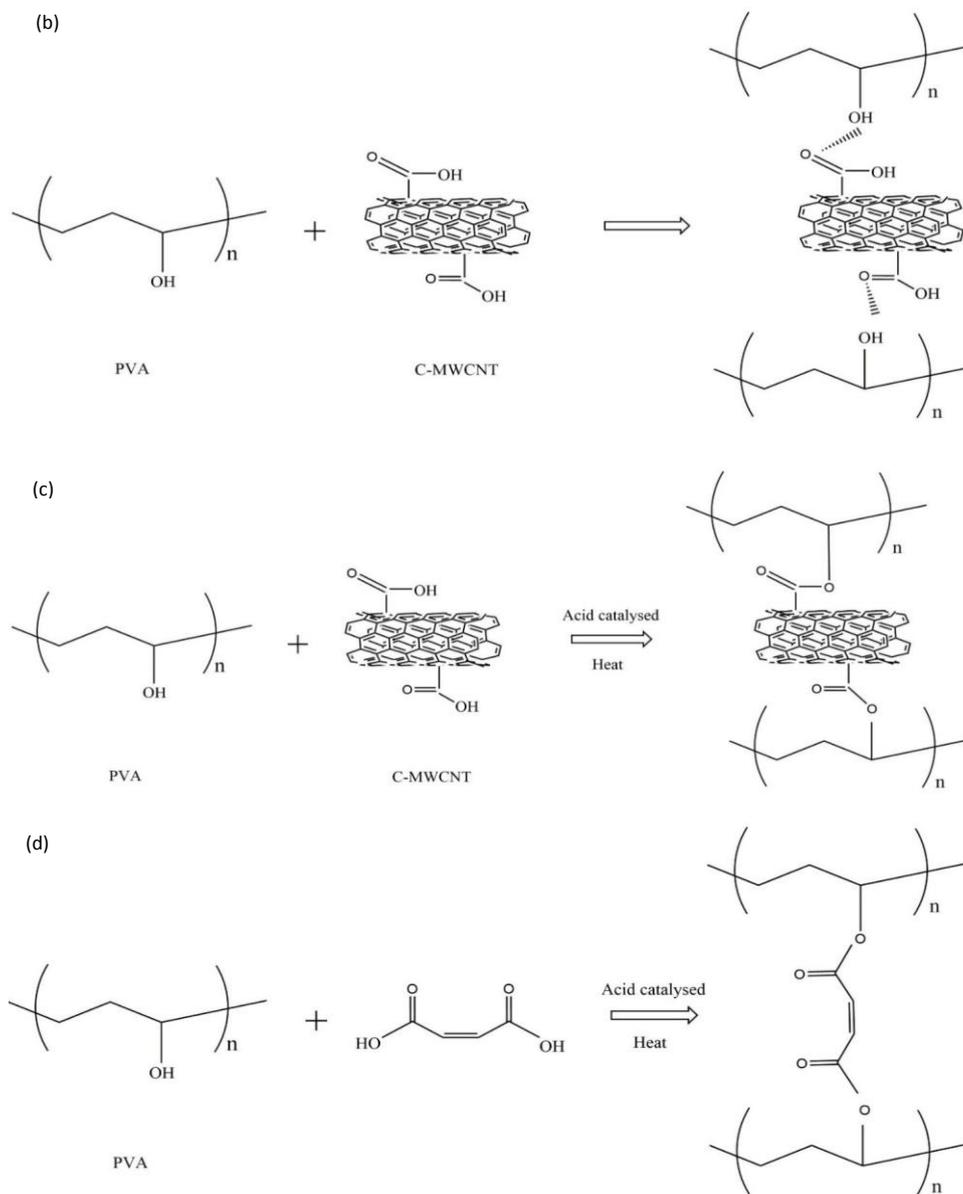
244 A PVA/C-MWCNT without MA was also prepared to investigate if there was an esterification
245 reaction between C-MWCNT and PVA. As can be seen, characteristic peaks of ester groups (i.e. C=O
246 at 1720 cm^{-1} and two C-O bands at 1330-1050 cm^{-1} with a strong peak at higher wavenumbers and a
247 weak peak at lower wavenumbers) appeared. This confirmed that esterification reaction had taken
248 place, probably during the heat treatment process. The same esterification peaks were also visible for
249 PVA/MA, which confirmed that PVA was successfully crosslinked with MA via esterification reaction
250 [14]. PVA/C-MWCNT/MA exhibited the strongest IR absorption of the ester groups, which may imply
251 that PVA was crosslinked with both C-MWCNT and MA. Additionally, the decrease of peak intensity
252 in 3000-3500 cm^{-1} region due to the consumption of the hydrogen-bonded hydroxyl group also

253 confirmed that increased esterification occurred for the PVA/C-MWCNT/MA membrane. As a
254 comparison, the blended membrane samples had stronger hydrogen-bonded hydroxyl peaks than
255 crosslinked samples, suggesting that large amount of hydroxyl groups from PVA remained. The
256 reaction schemes of crosslinked PVA membrane are shown in Fig.5c and 5d which show that PVA and
257 C-MWCNT or MA form covalent bonds between carboxylic group and hydroxy by dehydration
258 condensation, thus forming an interconnected network.



259
260 **Fig. 4.** FTIR spectra of the synthesized membranes.





266 **Fig. 5.** Reaction and interaction scheme: (a) Van der Waals force between PVA and MWCNT; (b) Hydrogen
 267 bonding between PVA and C-MWCNT; (c) and (d) Esterification reactions between PVA and C-MWCNT and MA.

268 3.3. *Effects of CNTs on the PVA matrix*

269 3.3.1. *Effects of CNTs on thermal properties*

270 The impacts of the CNTs on the thermal stability of the synthesised membranes were studied by
271 TGA and DSC. Samples with 1 wt% CNT mass fraction are shown in Fig. 6 to compare the weight
272 loss processes. The first step involved a weight loss for all samples occurring below 130°C, which was
273 attributed to the evaporation of the absorbed water in the MMMs. The next two weight loss stages in
274 the temperature ranges of 250-370 °C and 370-550 °C were attributed to the elimination of side groups
275 of PVA and the degradation of the PVA backbone [45]. The main decomposition of PVA commenced
276 at 271 °C, and that temperature increased to 277 °C and 280 °C for PVA/MWCNT and PVA/C-
277 MWCNT, respectively. Thermal stability was further improved with the emergence of covalent
278 linkages between PVA, C-MWCNT and MA. A significant increase in the amount of residual material
279 at 800°C (25 wt% for PVA/MA and 40 wt% for PVA/C-MWCNT/MA) compared with the blending
280 membranes was observed. Accordingly, the glass transition temperatures (T_g) were obtained from the
281 DSC results (Fig.7) with the pure PVA membrane exhibiting a T_g of 70.9 °C, whereas for the
282 PVA/MWCNT and PVA/C-MWCNT composites, the T_g increased to 74.6 °C and 81.6 °C, respectively.
283 The PVA/C-MWCNT/MA composite had the highest T_g of 108.4 °C. Further T_g results for all the
284 PVA/CNT samples are presented in Table 1. It has been previously reported that the incorporation of
285 nanofillers restrains the mobility of the polymer chains and prohibits “free radical transfer”, thus
286 enhancing the thermal stability [46, 47]. Both the TGA and DSC results in this study confirmed that
287 the PVA/CNT composites exhibited higher thermal stability than pure PVA. In addition, compared
288 with PVA/MWCNT samples, PVA/C-MWCNT had a better thermal stability as a result of uniform
289 dispersion and stronger interfacial interaction with PVA.

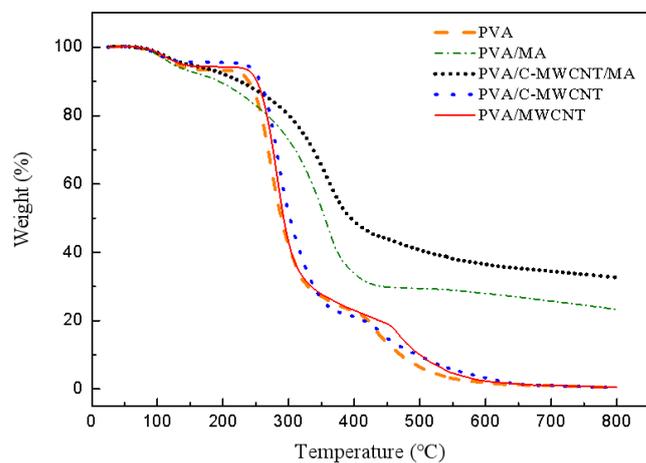


Fig. 6. TGA curves of the pure PVA and the PVA/CNT membranes.

290
291

292 **Table 1**
293 T_g, ΔH_m, X_c versus CNTs loading for PVA and its composite membranes.
294

Sample type	CNT loading (wt%)	T _g	ΔH _m (Jg ⁻¹)	χ _c (%)
Pure PVA	-	70.9 ± 1.5	45.7 ± 0.7	33.0 ± 0.5
PVA/MA	-	94.7 ± 1.2	21.2 ± 0.6	15.3 ± 0.4
PVA/MWCNT	0.5	73.5 ± 1.3	42.8 ± 0.7	30.9 ± 0.5
	1	74.6 ± 1.1	46.1 ± 0.4	33.3 ± 0.3
	2	76.6 ± 1.4	49.6 ± 0.5	35.9 ± 0.4
PVA/C-MWCNT	0.5	79.2 ± 1.1	42.6 ± 0.3	30.7 ± 0.2
	1	81.6 ± 1.3	48.5 ± 0.6	35.1 ± 0.4
	2	84.9 ± 1.5	59.4 ± 0.7	42.9 ± 0.5
PVA/C-MWCNT/MA	0.5	97.4 ± 1.2	11.6 ± 0.4	8.4 ± 0.3
	1	108.4 ± 1.4	13.5 ± 1.04	10.2 ± 0.3
	2	114.2 ± 1.5	16.5 ± 0.8	11.9 ± 0.6

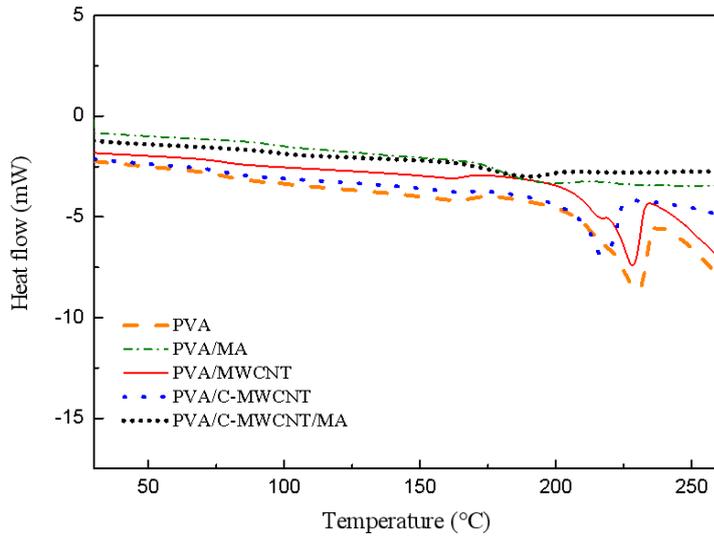


Fig. 7. DSC curves of the pure PVA and the PVA/CNT membranes.

3.3.2. Polymer matrix crystallinity

To further investigate the effect of CNT mass fraction on the degree of crystallinity, the melting enthalpy and degree of crystallinity are listed in Table 1. For the blending membranes, when the mass fraction of CNTs in PVA polymer was 0.5 %, the degree of crystallinity slightly decreased from 33% (PVA) to 30.9 % (PVA/MWCNT) and 30.8 % (PVA/C-MWCNT). Previous literature [48, 49] has reported that carbon nanotubes can promote the crystallinity of polymers by acting as nucleation sites. When the CNTs act as nucleation sites, the interactions between CNTs and PVA may interrupt the original chain packing of PVA and induce PVA molecules to orient into particular conformations around the CNT surfaces that in turn influences adjacent PVA chains [49]. When the content of CNTs was low in polymer matrix, the degree of crystallinity was lower than pure PVA because there were

308 insufficient nucleation sites. However, it can be seen in Table 1 that the degrees of crystallinity were
309 enhanced by increasing the CNTs mass fraction. Compared with PVA/MWCNT membrane, PVA/C-
310 MWCNT membrane had a higher degree of crystallinity with 1 wt% and 2 wt% CNTs loadings. This
311 was mainly because the dispersion of C-MWCNTs was much better than MWCNTs with a greater
312 available interface area for chain packing around the CNTs ($> 2.5\text{-}10\text{ m}^2/\text{g}$ of membrane assuming the
313 CNTs dispersed individually). For the crosslinked membranes (PVA/MA and PVA/C-MWCNT/MA),
314 esterification reaction may disrupt the chain packing or react with the PVA chains before they can
315 crystallise, resulting in increased amorphous regions, and thus the degree of crystallinity was
316 significantly decreased. It can still be seen that even though PVA polymer chains were covalently
317 connected, the crystallinity of PVA/C-MWCNT/MA was enhanced by increasing C-MWCNTs content.

318 Further investigation of crystallite size was explored using WAXD characterisation of 1 wt%
319 CNTs to PVA as shown in Fig. 8. Pure PVA and composite membranes all exhibited a strong peak
320 intensity for XRD at $2\theta = 19.5^\circ$. The full width at half maximum (FWHM) can be used as an indication
321 of crystallite size, with broader peak widths being indicative of a smaller crystallite size. As shown in
322 Table 2, the crystallite size of PVA/MWCNT membrane was the largest ($4.1 \pm 0.31\text{ nm}$), whereas that
323 of PVA/C-MWCNT ($3.1 \pm 0.07\text{ nm}$) was smaller. The reason was that the heat treatment at 140°C was
324 higher than the T_g for all the PVA/CNT composites allowing the PVA molecules to rearrange and form
325 a crystalline phase. The existence of $-\text{COOH}$ on C-MWCNTs may disorder the PVA chain packing
326 compared with CNTs without functional groups, and also result in lower the crystal growth velocity
327 [50]. Crystallization was further diminished by crosslinking and consequently, the average crystallite
328 size was the smallest among all the PVA/CNT composites.

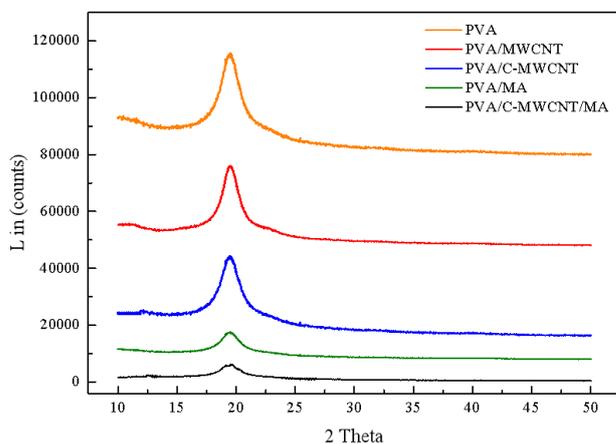


Fig. 8. XRD patterns of the pure PVA and the PVA/CNT membranes.

329
330

331 **Table 2**

332 Crystallite sizes of PVA and its composite membranes.

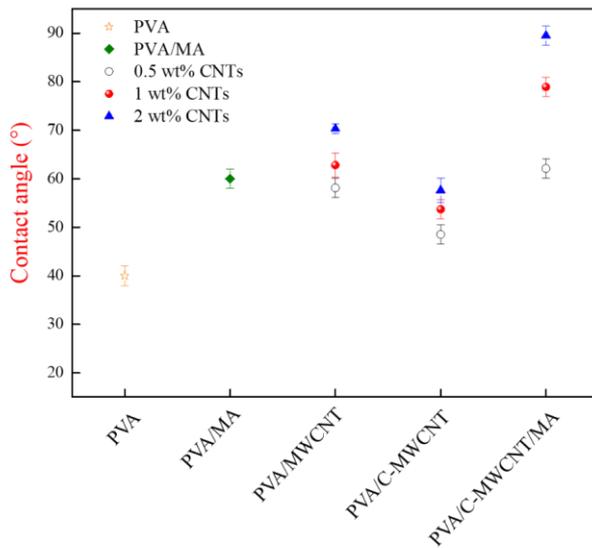
Sample type	FWHM ($^{\circ} 2\theta$)	Crystallite Size (nm)
Pure PVA	1.6 ± 0.1	3.2 ± 0.03
PVA/MA	2.1 ± 0.1	2.3 ± 0.05
PVA/MWCNT	1.4 ± 0.1	4.1 ± 0.31
PVA/C-MWCNT	1.8 ± 0.1	3.1 ± 0.07
PVA/C-MWCNT/MA	2.3 ± 0.1	2.1 ± 0.08

333

334 3.4. Surface hydrophilic properties of synthesized membranes

335 Fig. 9 shows the water contact angles for the different membranes. For the blending membranes,
 336 the water contact angles were increased by 30 % (PVA/MWCNT, 0.5 wt% of MWCNT) and 20 %
 337 (PVA/C-MWCNT, 0.5 wt% of C-MWCNT) compared with pure PVA. The water contact angles
 338 increased as the content of CNTs was increased from 0.5 wt% to 2 wt%. As MWCNTs are hydrophobic,

339 the incorporation of MWCNTs into hydrophilic PVA matrix would inevitably reduce the contact
340 between PVA and water molecules, thus increasing water contact angle values [51] [52]. For the
341 PVA/C-MWCNT membrane, the -COOH groups on MWCNTs are hydrophilic although not as
342 hydrophilic as PVA (water contact angle for C-MWCNT was $70.8 \pm 2^\circ$). Another reason was that the
343 growing crystallinity of PVA with the addition of CNTs affected the surface hydrophilicity [14]. The
344 PVA crystallites were impermeable, which will diminish the amount of water molecules adsorbed into
345 the membrane [52]. As for PVA/MA and PVA/C-MWCNT/MA membranes, the significant increase of
346 water contact angle was due to the consumption of hydrophilic groups by esterification reaction and
347 the surface hydrophilicity was further weakened by increasing the C-MWCNT mass fraction resulting
348 from higher crosslinking density.



349

350 **Fig. 9.** Contact angle results of the pure PVA and the PVA/CNT membranes with different CNTs loading.

351 3.5. *Swelling study*

352 In Fig. 10, the amount of swelling of pure PVA when immersed in water was $300 \pm 5\%$ and that
353 of PVA/MA was $63 \pm 5\%$. This suggested that the swelling behaviour of PVA was significantly
354 restrained by covalent linkages formed between MA and PVA. It is understandable that the swelling
355 would be further decreased by increasing crosslinking density with both MA and C-MWCNTs for the
356 PVA/C-MWCNT/MA membrane. There was no difference between the swelling of pure PVA and
357 PVA/MWCNT membrane when 0.5 wt% of MWCNT was added. However, the swelling of
358 PVA/MWCNT membrane decreased from $267 \pm 5\%$ to $249 \pm 5\%$ with an increase in the content of
359 MWCNT as a result of enhanced crystallinity of the PVA matrix. For the PVA/C-MWCNT membrane,
360 the trend was similar to PVA/MWCNT membrane but with a decreased level of swelling. In addition
361 to the elevation of crystallinity of PVA/C-MWCNT membrane, there was also a stronger interfacial
362 interaction, i.e. hydrogen bonding, between -COOH and -OH, resulting in suppression of polymer
363 chain mobility [53, 54]. That also contributed to restraining membrane swelling in water [27].

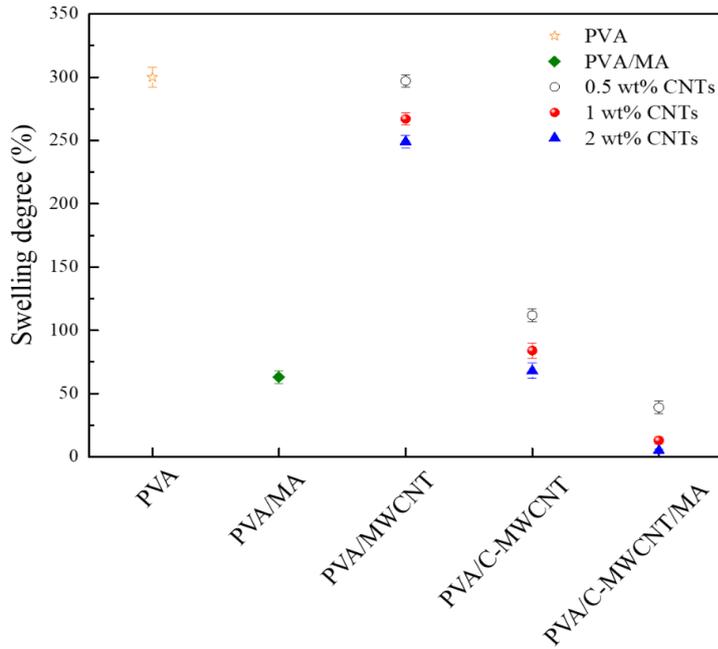


Fig. 10. Swelling of the pure PVA and the PVA/CNT membranes with different CNTs loading.

3.6. Desalination performance

3.6.1. Performance comparison of PVA/CNT membranes

Fig. 11 depicts the PV flux and salt rejection of the synthesized membranes with a feed temperature of 55 °C. The result shows that the PVA/CNT membranes all had higher water fluxes than the PVA/MA membrane (38.8% to 154.1% increase of water flux compared to the PVA/MA membrane). The PVA/C-MWCNT membrane had the highest water flux of 21.66 kg/m² h, and PVA/MWCNT and PVA/C-MWCNT/MA membranes exhibited 15.75 kg/m² h and 11.86 kg/m² h, respectively. For the PVA/CNT membranes, the water transport mechanism imparted by CNTs has

374 been altered compared with the diffusion in polymer membrane. The presence of CNTs provided large
375 area of aromatic structures composed of hexagonal carbon lattice. This unique construction of
376 morphology is hydrophobic and has been shown to have no impediment for water transport [32, 55-
377 60]. Thus, the incorporation of CNTs into the polymer matrix can lower the overall water transport
378 resistance of the MMMs [61].

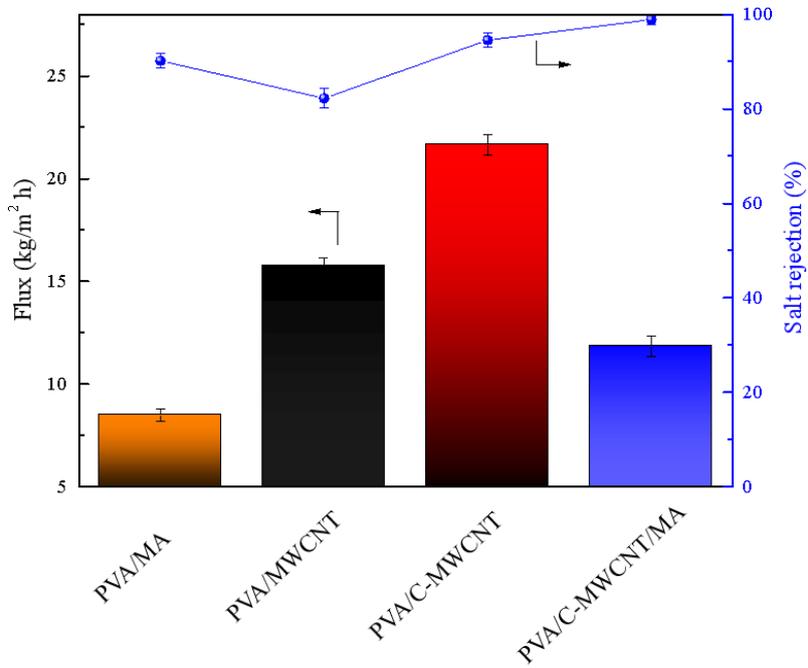
379 For PVA/MWCNT and PVA/C-MWCNT composite membranes, the water flux was significantly
380 affected by the dispersion of CNTs in the polymer. As shown previously in TEM and SEM images in
381 Fig. 2 and Fig. 3, respectively, the majority of MWCNTs formed bundles or entanglements in the PVA
382 matrix. The formation of aggregated CNTs isolated the nanofillers from the polymer matrix, leading
383 to a reduction of the interface area between the nanofiller and PVA. However, the C-MWCNTs were
384 dispersed more uniformly, and therefore there were larger interfacial areas. Another reason for the
385 higher water flux of PVA/C-MWCNT membrane was the existence of -COOH. PVA/C-MWCNT
386 membrane was more hydrophilic than PVA/MWCNT membrane, which allowed it to adsorb more
387 water during the PV process. In addition, the homogeneous distribution of charged groups within the
388 polymer matrix as fixed sites also facilitated water transport [62]. Therefore, all these factors
389 contributed to the fast water transport of PVA/C-MWCNT membrane. For the PVA/C-MWCNT/MA
390 membrane, a significant flux decline was observed which may be due to the steric hinderance from the
391 crosslinked network. Although the -COOH groups were converted to ester groups, the intact
392 frictionless surface of carbon nanotubes remained and connected to the crosslinked network. The water
393 molecules can therefore slide along the unoxidized regions of C-MWCNTs that are otherwise impeded
394 by the non-slip behaviour of the polymer membrane without CNTs [63].

395 For non-porous polymer membranes, the free volume has a major impact on water flux and salt

396 rejection [14], whereby the free volume of a swollen membrane would be occupied by water molecules.
397 Salts can be dissolved in the membrane in the shell of water molecules if there is enough space for
398 accommodation. Therefore, the kinetic desorption method was combined with water uptake to explain
399 the salt transport properties of the synthesized membranes and the results for NaCl diffusivities and
400 NaCl solubilities of the various membrane types are shown in Fig.12. For all the PVA/CNT membranes,
401 the NaCl solubility was monotonically increasing with swelling, indicating that the NaCl solubility
402 was affected by the water content in the membranes. For the NaCl diffusivity, it can be observed that
403 all the membranes at 2 wt% of CNTs loading had the highest diffusivities. According to the SEM and
404 TEM results, the reason could be attributed to the agglomeration of CNTs. The cluster of CNTs in
405 polymer matrix may result in unexpected defects such as non-selective interfacial voids. As a
406 consequence, the occurrence of such voids could disable the selectivity of membrane. Particularly, the
407 NaCl diffusivity of PVA/MWCNT membrane was proportionally increasing with MWCNT mass
408 fraction. Considering the dispersion state of MWCNTs in polymer matrix, estimation could be made
409 that increasing the loading of MWCNTs may induce more defects. On the other hand, the dispersion
410 of C-MWCNTs in PVA was homogeneous, and the NaCl diffusivity decreased when CNTs loading
411 increased from 0.5 wt% to 1 wt%.

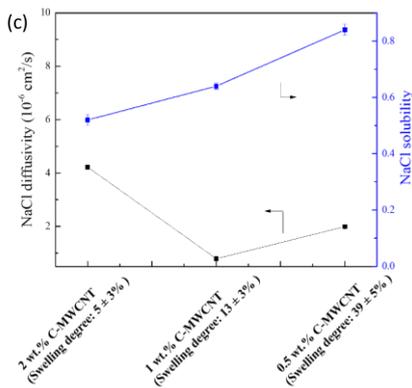
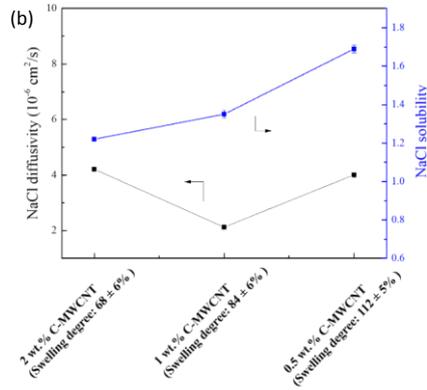
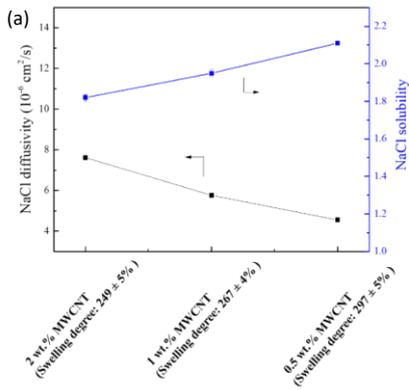
412 For the overall NaCl permeability, it increased from 9.6×10^{-6} to $13.9 \times 10^{-6} \text{ cm}^2/\text{s}$ with CNT
413 loading for the PVA/MWCNT membrane, indicating the incorporation of MWCNTs had negative
414 effect on salt rejection. For example, when the mass fraction of CNTs was 0.5 wt%, the calculated
415 NaCl permeability of PVA/MWCNT membrane was higher than those of PVA/MA ($7.7 \times 10^{-6} \text{ cm}^2/\text{s}$),
416 PVA/C-MWCNT ($6.8 \times 10^{-6} \text{ cm}^2/\text{s}$) and PVA/C-MWCNT/MA ($1.7 \times 10^{-6} \text{ cm}^2/\text{s}$). Accordingly, the
417 salt rejection of PVA/MWCNT was the lowest and the PVA/C-MWCNT/MA membrane had the

418 highest salt rejection. For the PVA/C-MWCNT and PVA/C-MWCNT/MA membranes, the NaCl
419 permeability decreased from 6.8×10^{-6} to 2.8×10^{-6} cm²/s and 1.7×10^{-6} to 0.5×10^{-6} cm²/s with CNT
420 loading (0.5 wt% to 1 wt%), respectively. However, the NaCl permeability increased to 5.1×10^{-6}
421 cm²/s for the PVA/C-MWCNT membrane and 2.2×10^{-6} cm²/s for the PVA/C-MWCNT/MA
422 membrane when CNTs loading was 2 wt%. That could be owing to the formation of aggregation of
423 CNTs as discussed above.



424
425 **Fig. 11.** PVA/CNT membrane desalination performance (0.5 wt% of CNTs loading).

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427



428

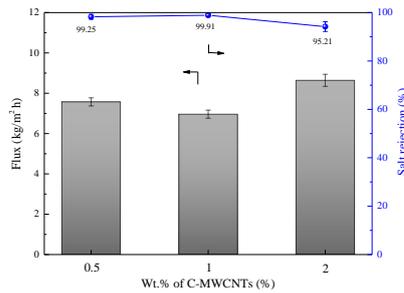
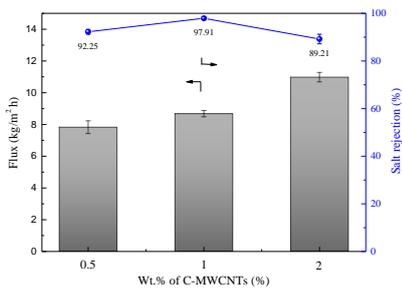
429

430 **Fig. 12.** Relationship between swelling and NaCl diffusivity and solubility of (a) PVA/MWCNT, (b) PVA/C-
 431 MWCNT and (c) PVA/C-MWCNT/MA.

432 **3.6.2. Effect of CNT loading on desalination performance**

433 To avoid consideration of defects, the following analysis is focused on the comparison between
 434 PVA/C-MWCNT and PVA/C-MWCNT/MA membranes. Fig. 13 shows the desalination performance
 435 of PVA/C-MWCNT membrane versus CNT content at room temperature. It can be seen that both the
 436 flux and salt rejection were enhanced when the mass fraction of C-MWCNT was increased from 0.5

437 wt% to 1 wt%. However, the salt rejection decreased significantly to 89.21% at the CNT loading of 2
 438 wt% and the water flux increased significantly. This was in accordance with kinetic desorption results
 439 discussed above. For PVA/C-MWCNT/MA membrane in Fig. 14, the decline of flux at 1 wt% CNT
 440 loading can be attributed to the increased interconnections or the grafting of PVA chains that resulted
 441 from the elevation of CNT mass fraction compared with PVA/C-MWCNT/MA membrane with 0.5 wt%
 442 C-MWCNT loading and the best salt rejection (99.91%) was achieved. Similar to the result of the
 443 PVA/CNT blended membranes, when 2 wt% C-MWCNT was incorporated, salt rejection decreased to
 444 95.2% and the flux increased to 8.64 kg/m²h due to the formation of agglomerated CNTs.
 445



446 **Fig. 13.** PVA/C-MWCNT membrane performance.

447 **Fig. 14.** PVA/C-MWCNT/MA membrane performance.

448 3.6.3. Membrane durability tests

449 Unprocessed seawater was collected from Brighton Beach (Melbourne, VIC, Australia) for the
 450 long-term stability tests. The temperature of seawater was maintained at room temperature for 30 h
 451 duration of the test. Fig. 15 (a) shows the results of the long-term flux and salt rejection for the PVA/C-
 452 MWCNT membrane (1 wt% C-MWCNTs loading). It is worth noting that the restrained swelling and
 453 enhanced crystallinity degree prohibited the dissolving-dissolution of PVA during the pervaporation

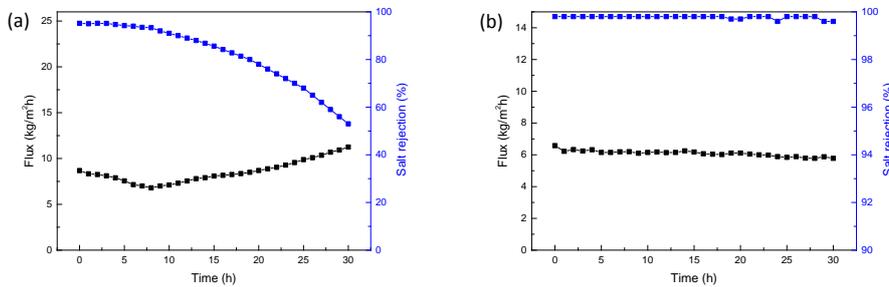
454 ~~test_t-~~ Thus, the performance is valid for the blended PVA/C-MWCNT membrane. In the first 8 h, the
455 flux decreased continuously, possibly because some fouling contaminants such as natural organic
456 matter deposited on the surface of the membrane. After 8 h, the flux started to increase while the salt
457 rejection dropped dramatically. In this case, the structure of the PVA/C-MWCNT membrane may have
458 been damaged by continuous water processing and fouling. Whereas over the whole operating duration,
459 as shown in Fig.15 (b), the PVA/C-MWCNT/MA (1 wt% C-MWCNTs loading) exhibited a more stable
460 performance with only 7.58 % flux decrease and the salt rejection remained in the range of 99.8% to
461 99.6%. It should be noted that the inner diameter of the CNTs used in this research were 2-5 nm, which
462 was far larger than the diameters of water molecular (≈ 0.29 nm) [64] and hydrated ions such as 0.66
463 nm for K^+ , 0.66 nm for Cl^- , 0.72 nm for Na^+ , 0.76 nm for SO_4^{2-} , 0.82 nm for Ca^{2+} , and 0.86 nm for
464 Mg^{2+} [65]. The reason why the high salt rejection of PVA/C-MWCNT/MA was retained may be the
465 restrained swelling of the PVA, good dispersion of C-MWCNTs and the subsequent interactions with
466 the polymer. Disentanglement of CNTs may lead to the blocking of the open ends by the polymer and
467 thus reducing the effective diameter of CNTs. Similar results were also reported by Kim et al [34].
468 Another explanation is that after covalently grafting or attaching a long functional group on the CNTs,
469 the groups tended to fold into the tube due to the flexibility and lower energy state [36]. Finally, a
470 simplified schematic illustration demonstrating water permeation through the PVA/C-MWCNT/MA
471 membrane using NaCl solution as feed is proposed in Fig.16. Covalent bonding between PVA and C-
472 MWCNT or MA, or the grafting of the PVA chains onto the C-MWCNTs results in an interconnected
473 network, which effectively controls the swelling. According to the solution-diffusion model, water
474 molecules are firstly adsorbed onto the membrane surface and then diffused through the membrane.
475 The immobilized C-MWCNTs in the matrix provide an altered transport mechanism due to its unique

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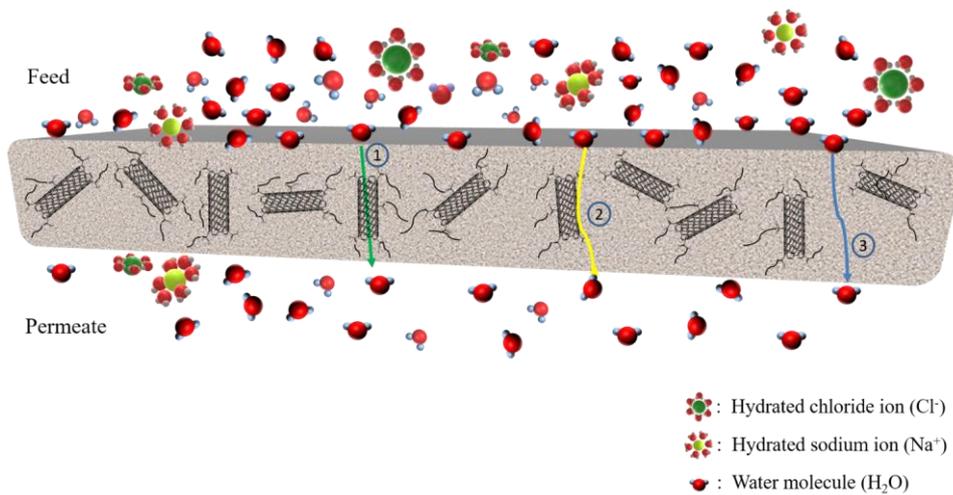
476 morphology structure and physicochemical properties. At first, water molecules could be inserted into
 477 the hollow space of C-MWCNTs by capillary force [66] and pass through with low friction, which is
 478 marked as path 1 in Fig. 16. For path 2, as C-MWCNTs have high aspect ratio and hydrophilic groups,
 479 water molecules also tend to be rapidly adsorbed and desorbed [35] [67, 68], resulting in a fast diffusion
 480 along the outside surface. Furthermore, it should be noted that the space between each rolled graphene
 481 in MWCNT is 0.34 nm [69]. That structure may potentially provide multilayered transport channels
 482 similar to those of reduced graphene oxide. For the region without C-MWCNTs, the permeation is
 483 through the free volume of the crosslinked PVA as shown in path 3. Finally, a tiny amount of salts may
 484 also permeate through the membrane as illustrated in the salt transport properties. It should also be
 485 noted that considering the real distribution of the C-MWCNTs, the transport of water molecules should
 486 be more complicated than the schematics shown here.

Commented [MC2]: graphene tube?

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487
 488 **Fig. 15.** Long term tests of (a) PVA/C-MWCNT membrane and (b) PVA/C-MWCNT/MA membrane using
 489 seawater (1 wt% of C-MWCNTs loading).



490

491 **Fig.16.** Proposed schematic illustration of water permeation through PVA/C-MWCNT/MA membrane. ①: water
 492 transport through CNT central pore; ②: water transport along outside surface of CNT; ③: water transport through
 493 PVA.

494 4. Conclusions

495 In this study, for the first time, three types of MMMs comprised of PVA and different MWCNTs;
 496 i.e. PVA/MWCNT, PVA/C-MWCNT and PVA/C-MWCNT/MA for desalination using the PV process
 497 were fabricated and compared. Different interactions between the CNTs and the PVA, i.e. interfacial
 498 adhesion, hydrogen bonding and covalent linkage resulted in enhancements in the physicochemical
 499 and structural properties, especially for the separation characteristics. The carboxylic groups improved
 500 the dispersion of C-MWCNTs and resulted in a uniform distribution in the polymer matrix whereas
 501 the MWCNTs were prone to agglomeration. The improvement of the PVA/CNT membranes was
 502 observed by increases in water flux between 38.8% to 154.1% compared with the control PVA/MA
 503 membrane while maintaining a relatively high salt rejection. Kinetic desorption of NaCl revealed that

504 the salt transport behaviour was significantly affected by the dispersion of CNTs and swelling. When
505 CNTs aggregated, the selectivity of the PVA/CNT membrane diminished. By forming an
506 interconnected network, the PVA/C-MWCNT/MA composite membrane was able to obtain a total salt
507 rejection of over 99.8 % at room temperature using synthetic NaCl solution as feed and good long-
508 term stability for processing seawater for 30 h. Overall, this work improves the understanding of the
509 structure-property relationships of MMMs and the rational design of separation orientated functional
510 membranes.

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