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*CYP1A2 genotype and acute ergogenic effects of caffeine intake on exercise performance: a systematic review*

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1 ***CYP1A2* genotype and acute ergogenic effects of caffeine intake on exercise**  
2 **performance: a systematic review**

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20 **CYP1A2 genotype and acute ergogenic effects of caffeine intake on exercise**  
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24 genotype and acute ergogenic effects of caffeine intake on exercise performance: a systematic  
25 review. *European Journal of Nutrition*. 60(3), 1181-1195.

26 **Abstract**

27 **Purpose:** To systematically review studies that examined the influence of the *CYP1A2*  
28 -163C>A polymorphism on the ergogenic effects of caffeine and to discuss some of the  
29 reasons for the discrepancies in findings between the studies.

30 **Methods:** This review was performed in accordance with the PRISMA guidelines. The search  
31 for studies was performed through nine databases.

32 **Results:** Seventeen studies were included in the review. Based on the included studies, it  
33 seems that individuals with the AA or AC/CC genotype may experience an increase in  
34 performance following caffeine ingestion. Significant differences between genotypes were  
35 found in four studies, and all four reported a more favorable response in the AA vs. AC/CC  
36 genotype. These results suggest that if there is an actual genotype-related effect of acute  
37 caffeine supplementation, it might be in that direction. In the studies that reported such data  
38 for aerobic endurance, the findings are specific to male participants performing cycling time  
39 trials (distances of  $\geq 10$  km) and ingesting caffeine 60 minutes before exercise. For high-  
40 intensity exercise, two studies reported that genotype variations determined the response to  
41 caffeine ingestion, even though the differences were either small (~1 additional repetition in  
42 high-load resistance exercise set performed to muscular failure) or inconsistent (i.e., observed  
43 only in one out of eight performance tests).

44 **Conclusions:** *CYP1A2* genotype variations may modulate caffeine's ergogenic effects, but the  
45 differences between genotypes were small, inconsistent, or limited to specific exercise  
46 scenarios. Future studies with larger sample sizes are needed to fully elucidate this research  
47 area.

48 **Keywords:** supplements; ergogenic aid; genetics; responses

## 49 **Introduction**

50 Caffeine is one of the most consumed psychoactive drugs in the world [1]. Besides the  
51 general population, caffeine is also widely used by athletes because of its ergogenic effects on  
52 exercise performance [2]. Based on the available evidence, caffeine ingestion may be  
53 ergogenic for different components of exercise performance, such as aerobic and muscular  
54 endurance, muscle strength, power, and speed [3]. Such effects are well-established and well-  
55 replicated in the scientific literature [3]. However, the response to caffeine ingestion does not  
56 seem to be uniform across individuals, with some experiencing increases in performance  
57 following acute caffeine ingestion, while others show no performance-related changes or even  
58 decrease following caffeine consumption [4].

59

60 The gene *CYP1A2* encodes cytochrome P450 1A2, an enzyme responsible for ~95% of  
61 caffeine metabolism [5]. An A to C substitution at position 163 (-163C>A; rs762551) in the  
62 *CYP1A2* gene impacts the speed of caffeine metabolism [6]. Individuals who possess the AA  
63 genotype are considered “fast metabolizers” of caffeine, given that this genotype codes for the  
64 highly inducible form of the CYP1A2 enzyme [5-8]. Individuals with AC or CC genotype  
65 (i.e., C allele carriers) tend to have slower caffeine metabolism and are considered as “slow  
66 metabolizers” of caffeine [5-8].

67

68 Several studies explored the effects of caffeine supplementation on exercise performance  
69 while considering *CYP1A2* -163C>A polymorphism [9-13]. The results of these studies,  
70 however, are equivocal. Some studies found genotype differences in caffeine’s ergogenic  
71 effects, as individuals possessing the AA genotype experienced improvements in performance  
72 following caffeine ingestion, while those with the AC or CC genotype were not positively

73 impacted by caffeine ingestion [12]. In contrast to these findings, others have suggested that  
74 individuals with the AC genotype experience greater improvements in performance following  
75 caffeine ingestion than those who possess the AA genotype [13]. Finally, some studies did not  
76 show significant differences in responses to caffeine supplementation between genotypes [9-  
77 11].

78

79 Given the equivocal evidence presented in the literature, we aimed to: (a) systematically  
80 review the available studies that have examined the influence of the *CYP1A2* -163C>A  
81 polymorphism on the ergogenic effects of caffeine; and, (b) discuss some of the reasons for  
82 the discrepancies between the studies. A systematic review of the evidence might be of high  
83 practical importance as it may help to identify why some individuals have minimal ergogenic  
84 or even ergolytic effects after acute caffeine intake. The presented findings might also be of  
85 relevance if we consider that the number of companies that offer direct-to-consumer genetic  
86 testing aimed to detect individual responses to caffeine and the subsequent popularity of such  
87 testing has experienced a substantial increase in recent years [14].

88

## 89 **Methods**

### 90 *Search strategy*

91 This review was performed while following the Preferred Reporting Items for Systematic  
92 Reviews and Meta-Analyses (PRISMA) guidelines [15]. The protocol was not registered. For  
93 the purpose of this review, we performed a comprehensive search of the following databases:  
94 CINAHL, ERIC, Open Dissertations, Networked Digital Library of Theses and Dissertations,  
95 Open Access Theses and Dissertations, PubMed/MEDLINE, Scopus, SPORTDiscus, and  
96 Web of Science. In all of these databases, we used the following syntax: (CYP1A2 OR

97 genotype OR genetics OR polymorphism) AND (caffeine) AND (exercise OR training OR  
98 ergogenic OR performance). Secondary searches were performed by examining the reference  
99 lists of all included studies and by performing forward citation tracking through Google  
100 Scholar and Scopus. The search for studies concluded on August 28<sup>th</sup>, 2020 and was  
101 performed independently by two authors (JG and CP) of the review to minimize bias in study  
102 selection.

103

#### 104 ***Inclusion criteria and data extraction***

105 We included studies that satisfied the following criteria: (a) written in English as a peer-  
106 reviewed paper, a thesis, or a dissertation; (b) explored the influence of any of the *CYP1A2*  
107 –163C>A genotypes on the ergogenic responses to acute caffeine ingestion; (c) included  
108 humans as study participants. We extracted the following data from the included studies: (a)  
109 author(s) and publication status (i.e., published or unpublished); (b) sample size, *CYP1A2*  
110 genotype distribution, and participants' characteristics (sex, age, body mass, habitual caffeine  
111 intake, and training status); (c) caffeine supplementation protocol and exercise task(s); and (d)  
112 main study findings (i.e., caffeine main effects and caffeine × genotype interaction, where  
113 applicable).

114

#### 115 ***Calculation of effect sizes***

116 Where available, Cohen's *d* effect sizes were calculated as the caffeine-placebo mean change  
117 divided by the pooled SD, separately for each genotype. Effect sizes were interpreted as:  
118 “trivial” ( $\leq 0.20$ ), “small” (0.21–0.50), “medium” (0.51–0.80), and “large” ( $> 0.80$ ).

119

## 120 *Methodological quality*

121 The 11-point PEDro scale was used to assess the methodological quality of the included  
122 studies [16]. In line with the recommendations, item 1 on the PEDro scale was not included in  
123 the total score as it concerns external validity. Besides external validity, items on the checklist  
124 refer to randomization, concealed allocation, blinding, attrition, and data reporting. Each item  
125 is scored with a 1 (criterion satisfied) or with a 0 (criterion not satisfied or unclear). The  
126 maximal score on the PEDro checklist was 10. We classified studies as “excellent” quality (9–  
127 10 points), “good” quality (6–8 points), “fair” quality (4–5 points), or “poor” methodological  
128 quality ( $\leq 3$  points) [17]. Two authors (JG and PM) independently performed the  
129 methodological quality assessment; any observed differences in the initial scoring were  
130 resolved via discussion.

131

## 132 **Results**

### 133 *Study selection*

134 In the primary search, there was a total of 1621 potentially relevant references. Of the 1621  
135 screened references, 1593 were excluded based on title or abstract; 28 full-text papers were  
136 read, and 14 studies were included in the review. Secondary searches resulted in another 1684  
137 search results, and with the inclusion of three additional studies (Figure 1). Therefore, the  
138 final number of included studies was 17 [9-13, 18-29]. Fourteen studies were published in  
139 peer-reviewed journals; two were theses [20, 25], and one was a dissertation [21].

140

### 141 *Aerobic endurance*

142 Eleven studies explored the influence of *CYP1A2* -163C>A polymorphism on the responses  
143 to caffeine ingestion during aerobic exercise (Table 2). Of these studies, eight combined the  
144 AC and CC genotype in one group and compared it to the AA genotype groups; two studies  
145 compared the effects across all three genotypes (Table 1). Additionally, in one study, only a  
146 main effect of caffeine was explored in a sample consisting exclusively of 14 participants  
147 with the AC genotype [25]. Sample sizes in individual studies ranged from 11 to 101  
148 participants (pooled number of participants: 396). All studies included either a mixed-sex  
149 sample or included only men. A significant main effect of caffeine was observed in all  
150 studies, except in the study by Algrain et al. [9], where there were no significant differences  
151 between caffeine and placebo. A significant caffeine  $\times$  genotype interaction was found in two  
152 studies [12, 26]. In one, a greater ergogenic effect was found in the AA genotype as compared  
153 to AC/CC genotype [26]. In another, an ergogenic effect was found in the AA genotype with  
154 both used caffeine doses (2 and 4 mg/kg); no increases in performance in the AC genotype  
155 occurred with any of the used caffeine doses, and decreases in performance in the CC  
156 genotype with the consumption of 4 mg/kg of caffeine, but not 2 mg/kg of caffeine [12].  
157 Across the individual studies, effect sizes of caffeine on performance for the AA genotype  
158 ranged from 0.16 to 0.67 (Table 2). For the AC/CC genotype, effect sizes ranged from 0.07 to  
159 0.36. In the two studies that presented data for the CC genotype, the effect size amounted to –  
160 1.35 (favoring of placebo) in one study [12], and 0.12 (favoring of caffeine) in another [29].

161

### 162 *High-intensity exercise*

163 Eight studies explored the influence of *CYP1A2* -163C>A polymorphism on the responses to  
164 caffeine ingestion during high-intensity exercise (Table 2). The performance tests in these  
165 studies included muscle endurance tasks in resistance exercise, isometric handgrip strength  
166 tests, jumping (countermovement jump, spike jump, and squat jump), sprinting (Wingate test,

167 sprint velocity test), agility tests, and sport-specific (tennis and handball) skill tests. Of these  
168 studies, six conducted a comparison of effects between the AA and AC/CC genotype, one  
169 compared the effects across all three genotypes, and in one study [25], only a main effect of  
170 caffeine was explored in a sample of participants with the AC genotype (Table 1). Across the  
171 studies, sample sizes ranged from 14 to 100 participants (pooled number of participants: 253).  
172 Four studies included a mixed-sex sample, and four included only men (Table 1). Significant  
173 main effects of caffeine were observed in all studies, but not necessarily across all exercise  
174 tasks, as some studies [22, 28, 29] did not find significant differences between caffeine and  
175 placebo for agility tests, isometric handgrip strength, or ball velocity throw tests. A significant  
176 caffeine  $\times$  genotype interaction was found in two studies [23, 28]. In one study, resistance  
177 exercise performance was enhanced following caffeine ingestion in the AA genotype, while  
178 no ergogenic effects were observed in the AC/CC genotype [23]. In another study, a  
179 significant caffeine  $\times$  genotype interaction was found in one out of eight performance tests  
180 (ball throw from 7-m), with ergogenic effects observed for the AA, but not AC/CC genotype  
181 [28]. Effect sizes of caffeine on performance for the AA genotype ranged from 0.0 to 1.87  
182 (Table 2). For the AC/CC genotype, effect sizes ranged from  $-0.23$  to  $1.27$ . In the only study  
183 that presented data separately for the CC genotype, the effect sizes ranged from  $-0.37$  to  $0.36$ .

184

### 185 *Methodological quality*

186 The average score on the PEDro checklist was 8.6 points (range: 7 to 9 points). Thirteen  
187 studies were classified as “excellent” methodological quality, and four as “good”  
188 methodological quality. Individual scores are presented in Table 3.

189

## 190 **Discussion**

191 Based on the results presented in the current literature, it generally seems that individuals with  
192 the *CYP1A2* AA or AC/CC genotype may experience an increase in performance following  
193 caffeine ingestion. Four included studies found significant differences between AA and AC or  
194 CC genotype, and in all of these studies, the effects of caffeine favored the AA genotype.  
195 These results suggest that if there is a true genotype effect in the population, it might be in  
196 that direction. Still, several important factors that might be responsible for the discrepancies in  
197 findings and the practical relevance of the results need to be considered when interpreting  
198 these findings.

199

#### 200 *Aerobic endurance*

201 Of the studies that examined the effects of caffeine on measures of aerobic endurance, only  
202 two reported significant caffeine  $\times$  genotype interaction, whereby individuals with the AA  
203 genotype experienced greater improvements in exercise performance than the participants  
204 with the AC/CC genotype [12, 26]. These studies used either 10-km or 40-km cycling time  
205 trials. Some studies that reported no significant caffeine  $\times$  genotype interaction used shorter  
206 duration time trials (e.g., 3-km in two studies; [11, 13]). In the study by Pataky et al. [13], the  
207 increases in performance even favored the AC genotype, even though the difference was not  
208 statistically significant ( $p = 0.12$ ). Therefore, significant between-genotype differences in  
209 response to caffeine supplementation may be only present in longer duration aerobic events.  
210 This hypothesis seems plausible, given that the effects of caffeine may increase with the  
211 increase in the duration of the aerobic task [30]. However, one study explored the effects of  
212 caffeine using Olympic-distance triathlons as the exercise task and did not find caffeine  $\times$   
213 genotype interaction (even though a main effect of caffeine was observed), suggesting that the  
214 duration of the task might not be of such large importance [21].

215

216 In the two studies that reported significant differences between the genotypes, the samples  
217 consisted exclusively of men [12, 26]. All studies that included a mixed-sex sample did not  
218 report significant differences in response to caffeine ingestion between genotypes (Table 2).  
219 As men and women seem to experience a similar response to caffeine ingestion during  
220 aerobic exercise, it does not seem that the inclusion of a mixed-sex sample should be  
221 considered as a limitation of these studies [31, 32]. Albeit speculative, it is conceivable that  
222 genotype differences impact the individual variation in response to caffeine ingestion in men,  
223 but not in women. In support of this hypothesis, there is evidence that CYP1A2 activity is  
224 lower in women than men, which might explain these inconsistent findings [33]. Instead of  
225 excluding females, future research should consider including both males and females and plot  
226 the data separately to see if there indeed is a difference between sexes.

227

228 One potentially confounding issue is that studies generally did not report if the participants  
229 were current smokers. This might be important given that smoking may affect CYP1A2  
230 activity. A recent meta-analysis reported that only smokers demonstrated differences in  
231 CYP1A2 activity between the AA vs. CC and AC vs. CC genotype [34]. In a subgroup of  
232 studies that included non-smokers, no differences were found in CYP1A2 activity between  
233 genotypes. In non-smokers, only in heavy coffee consumers (more than 3 cups per day), the  
234 AA genotype had higher CYP1A2 activity than in C allele carriers [35]. In the two studies  
235 that specifically noted that the participants were non-smokers, the authors did not find  
236 significant caffeine  $\times$  genotype interaction [9, 20]. Future studies on the topic should specify  
237 the information on the smoking status of the participants to allow for a more informed  
238 comparison of results between the studies. Other factors, such as vegetable intake [36], phase  
239 of the menstrual cycle [37], and oral contraceptive use [38], may also affect caffeine  
240 metabolism, and they should be considered in future studies. While potentially relevant, some

241 of these factors may not impact caffeine's ergogenic effects, as recent studies observed  
242 similar improvements in exercise performance following caffeine ingestion in the early  
243 follicular, pre-ovulatory, and mid-luteal phases of the menstrual cycle [39, 40].

244  
245 Future research is needed to explore the influence of caffeine ingestion timing, as some have  
246 hypothesized that different effects may be observed when using longer waiting times from  
247 caffeine ingestion to the start of the exercise session [41]. Specifically, given that C allele  
248 carriers are considered slow caffeine metabolizers, they might need to ingest caffeine 90 or  
249 120 minutes before exercise to experience ergogenic effects [41]. There might be some  
250 credence to this hypothesis if we consider the finding by McGrath [20]. In this study, the main  
251 performance task consisted of a 30-minute cycling time trial performed 175 minutes  
252 following caffeine ingestion. The participants ingested caffeine 60-minutes before performing  
253 115-minutes of steady-state cycling. Only after steady-state cycling, the participants  
254 performed the main performance trial. A main effect of caffeine was observed, but no caffeine  
255 × genotype interaction, suggesting that similar responses to caffeine supplementation between  
256 genotypes occurred, possibly because of the timing of caffeine supplementation. A limitation  
257 of the study is that the participants first performed steady-state cycling. This aspect of the  
258 study design is important to mention given that exercise may impact CYP1A2 activity [42].  
259 Furthermore, the study by McGrath [20] had a small sample of 11 participants, and this  
260 limitation should be considered when interpreting these findings.

261  
262 Overall, there is some evidence that *CYP1A2* -163C>A polymorphism may impact the  
263 ergogenic effects of caffeine on aerobic endurance. While individuals that possess the AC/CC  
264 genotype still may experience improvements in performance, there is some evidence  
265 indicating that AA homozygotes obtain a higher ergogenic effect from acute caffeine intake

266 than C allele carriers. However, to date, such findings are observed only in: (a) male  
267 participants; (b) cycling time trials that included a  $\geq 10$  km distance; and (c) protocols that  
268 included caffeine ingestion 60 minutes before exercise.

269

### 270 *High-intensity exercise*

271 Of the eight studies that used high-intensity exercise tasks, two reported a significant caffeine  
272  $\times$  genotype interaction [23, 28]. In one study [28] conducted among 31 professional handball  
273 players, significant genotype differences were observed in ball throw velocity from 7-m. This  
274 study found improvements in individuals with the AA genotype following caffeine ingestion,  
275 whereas participants with the AC/CC genotype did not benefit from caffeine ingestion on this  
276 specific test. However, these results were inconclusive given that no significant genotype  
277 differences were observed for other similar outcomes, such as ball throw velocity from 9-m,  
278 and ball throw velocity from 7 and 9-m with a goalkeeper. In another study, individuals who  
279 possessed the AA genotype experienced improvements in resistance exercise performance  
280 following the ingestion of 6 mg/kg of caffeine [23]. Exercise performance did not improve  
281 following caffeine ingestion in those with the AC/CC genotype. It should be noted, however,  
282 that the difference in exercise performance was small. Specifically, following caffeine  
283 ingestion, the AA genotype group completed an average of one repetition more (range: 0.3 to  
284 1.1 repetitions) in a set with 85% of one-repetition maximum (1RM) performed to momentary  
285 muscular failure. In the AC/CC group, the number of performed repetitions was the same  
286 following placebo and caffeine ingestion. A subsequent study performed by Grgic et al. [18]  
287 did not find a caffeine  $\times$  genotype interaction using the same exercise task as Rahimi [23],  
288 only a lower dose of caffeine (i.e., 3 mg/kg).

289

290 Besides assessing the number of repetitions, Grgic et al. [18] also assessed the velocity and  
291 power output of each repetition. For the analysis, these authors also matched the number of  
292 performed repetitions between caffeine and placebo conditions and observed that caffeine  
293 ingestion substantially affected the “quality” of performed repetitions in both genotypes. In  
294 the Rahimi [23] study, the only assessed outcome was the quantity of performed repetitions,  
295 but not its overall quality. From a practical perspective, the quality of repetitions may be of  
296 greater relevance. As shown by studies that used velocity-based training, training at a lower  
297 velocity loss often produces similar or superior training adaptations as training at a higher  
298 velocity loss, despite the higher number of repetitions performed when training at a higher  
299 velocity loss [43, 44]. Future studies should assess both the quantity and quality of performed  
300 repetition to reconcile these equivocal findings.

301

302 Besides resistance exercise, studies also utilized other high-intensity tasks, such as jumping  
303 and Wingate test performance [18, 22, 24]. None of these studies found a significant caffeine  
304 × genotype interaction in the analyzed outcomes, even though most reported a significant  
305 main effect of caffeine. In line with these observations, the study by Southward [25]—that  
306 included only 14 participants with the AC genotype—also reported improvements in  
307 resistance exercise and jumping performance following caffeine ingestion. The effect size in  
308 this study was similar to the effects of caffeine previously reported among samples with the  
309 AA genotype and among those that were not genotype-specific [18, 22, 45, 46].

310

311 A limitation of the majority of studies conducted on the topic is pooling the AC and CC  
312 genotype into a single group, which is relevant as the response may not be uniform across  
313 these two genotypes [12]. Out of the studies that utilized high-intensity exercise tasks, only

314 one large sample size ( $n = 100$ ) study examined the effects across all three genotypes [29].  
315 This study did not find significant genotype differences, even though caffeine ingestion  
316 enhanced muscular endurance (but not isometric strength, agility, and jump height). Still, this  
317 study is also unique by the inclusion of adolescents as study participants, given that all other  
318 studies included young adults. Overall, based on the current body of evidence, *CYP1A2*  
319 genotype variations might impact the ergogenic effect of caffeine supplementation on high-  
320 intensity exercise performance. However, the differences between genotypes were either  
321 small or inconsistent, highlighting the need for future research.

322

### 323 ***Methodological considerations***

324 Some of the discrepancies in findings between studies might also be related to the source and  
325 dose of caffeine. Guest et al. [12] demonstrated ergolytic effects of caffeine in the CC  
326 genotype with the consumption of 4 mg/kg of caffeine, but not 2 mg/kg of caffeine. Two  
327 additional studies [23, 26] that observed genotype differences also used a higher dose of  
328 caffeine (i.e., 6 mg/kg). These results suggest that the dose might influence *CYP1A2* genotype  
329 responses to acute caffeine ingestion. Still, it should be noted that other studies [13, 29] also  
330 used higher doses of caffeine and did not find genotype differences, suggesting that dose  
331 alone is not likely the sole explanation for the differences in findings.

332

333 There is growing evidence that consuming alternate sources of caffeine such as chewing gums  
334 and caffeine gels may enhance exercise performance [47]. One included study [9] used  
335 chewing gums and did not observe general ergogenic effects of caffeine. The lack of an  
336 ergogenic might be because an absolute dose of 255 mg was used, which might have created  
337 differences in responses due to variation in body mass among participants. In contrast,  
338 caffeine's ergogenic effect is most commonly observed when providing relative doses ranging

339 from 3 to 6 mg/kg [48]. To avoid confounding factors such as the absence of an ergogenic  
340 effect (due to administration of absolute caffeine doses), future studies that aim to explore the  
341 influence of genotype on the response to caffeine ingestion should strive to employ optimal  
342 protocols of caffeine supplementation that include providing dose relative to body mass.

343  
344 Factors such as participants' training status and their habitual caffeine intake should also be  
345 considered when interpreting the evidence [49-51]. In all four studies [12, 23, 26, 28] that  
346 reported significant between-genotype differences, the participants were either athletes or  
347 resistance-trained individuals. This might suggest that caffeine's effects, according to the  
348 *CYP1A2* genotype, might be related to training status. However, other studies [18, 29] also  
349 included trained individuals but did not observe genotype differences, highlighting the  
350 equivocal nature of the evidence. Future studies on the topic may consider including trained  
351 and untrained individuals to establish a relationship between caffeine's ergogenic effects,  
352 training status, and *CYP1A2* genotype. Most studies included participants that were "low"  
353 habitual caffeine intake users (Table 2). Therefore, from this standpoint, the included studies  
354 were reasonably uniform. Still, some studies [26, 27] included "low", "moderate", and "high"  
355 habitual users as study participants, which might be a limitation as there is evidence indicating  
356 that habitual caffeine intake may influence the ergogenic effects of acute caffeine ingestion  
357 [50, 51]. Therefore, when conducting studies on this topic, it would be important to include a  
358 sample with different *CYP1A2* genotypes but with homogeneous habitual caffeine intake.

359  
360 Another important methodological aspect of the included studies is their sample size. Studies  
361 that found significant genotype differences included sample sizes ranging from 30 to 101  
362 participants. In contrast, most studies that did not find significant genotype differences  
363 involved smaller sample sizes, with one study conducted among a cohort of 11 participants

364 [20]. Because of the small sample size, some of the included studies might have been  
365 statistically underpowered to detect significant differences. While this might be the case, it  
366 should also be considered that the study by Spineli et al. [29] included 100 participants and  
367 did not find significant genotype differences, suggesting that the differences in sample sizes  
368 alone cannot be the explanation for the divergent findings.

369

### 370 *Methodological quality of the included studies*

371 We included studies published in peer-reviewed journals as well as theses and dissertations in  
372 this systematic review. This may be considered as a limitation given that studies published in  
373 journals might be of higher methodological quality, as the peer-review process is considered  
374 to present a form of quality control. Based on the PEDro checklist, however, all included  
375 studies were of good or excellent methodological quality, regardless of their publication  
376 status. Therefore, we believe that the inclusion of unpublished documents could be considered  
377 as a strength of the review due to “publication bias,” which dictates that studies reporting  
378 larger and statistically significant effect sizes tend to be more often published than studies  
379 reporting non-statistically significant data [52]. Therefore, basing the conclusions of a review  
380 only on the published literature may introduce a source of bias. Indeed, of the three  
381 unpublished documents included in the review, two did not report significant caffeine ×  
382 genotype interaction, while one study was limited by the inclusion of only AC genotype in the  
383 review (i.e., no between-genotype comparison could be performed) [20, 21, 25].

384

### 385 *Practical application*

386 Based on the current body of research, it is questionable if the knowledge of the *CYP1A2*  
387 genotype represents a worthwhile means of informing caffeine-use strategies in sport. An

388 individual's response to caffeine, and optimal caffeine-use strategy to increase performance,  
389 is likely complex, with aspects such as habitual caffeine use, method of caffeine intake, and  
390 situational feelings of stress and anxiety potentially influencing the response to a given dose  
391 of caffeine [7]. While there might be a genetic influence on the performance response to  
392 caffeine in sport, *CYP1A2* represents only one such gene that has been demonstrated to  
393 potentially play a role, with others, such as *ADORA2A* tentatively identified [27, 28, 53, 54].  
394 Future research, on a wider panel of genetic variants, should help to provide greater clarity  
395 here. For now, we suggest that athletes, coaches and support staff should take an evidence-  
396 guided, experiential approach to caffeine, using current research-based guidelines as a starting  
397 point, and then utilizing self-experimentation to settle on a caffeine dose optimized for their  
398 unique make-up and circumstances. Finally, while the popularity of genetic testing in sport  
399 has increased in recent years [14], for those interested in caffeine supplementation, it currently  
400 seems that individual *CYP1A2* genotype identification might not provide a definitive answer  
401 to the individual response to acute caffeine intake.

402

### 403 **Conclusion**

404 Based on the results of the studies included in the review, it seems that individuals with the  
405 *CYP1A2* AA or AC/CC genotype may experience an increase in performance following  
406 caffeine ingestion. Even though significant differences between genotypes were found only in  
407 four studies, all four reported a more favorable response in the AA genotype. These results  
408 suggest that if there is an actual genotype-related effect of acute caffeine supplementation in  
409 the population, it is likely in that direction. In the studies that reported such data for aerobic  
410 endurance, the findings are specific to male participants performing cycling time trials  
411 (distances of  $\geq 10$  km) and ingesting caffeine 60 minutes before exercise. For high-intensity  
412 exercise, two studies reported that genotype variations determined the response to caffeine

413 ingestion, even though the differences were either small (~1 additional repetition in high-load  
414 resistance exercise set performed to failure) or inconsistent (i.e., observed only in one out of  
415 eight performance tests). In summary, *CYP1A2* genotype variations may modulate caffeine's  
416 ergogenic effects, but the differences between genotypes were small, inconsistent, or limited  
417 to specific exercise scenarios.

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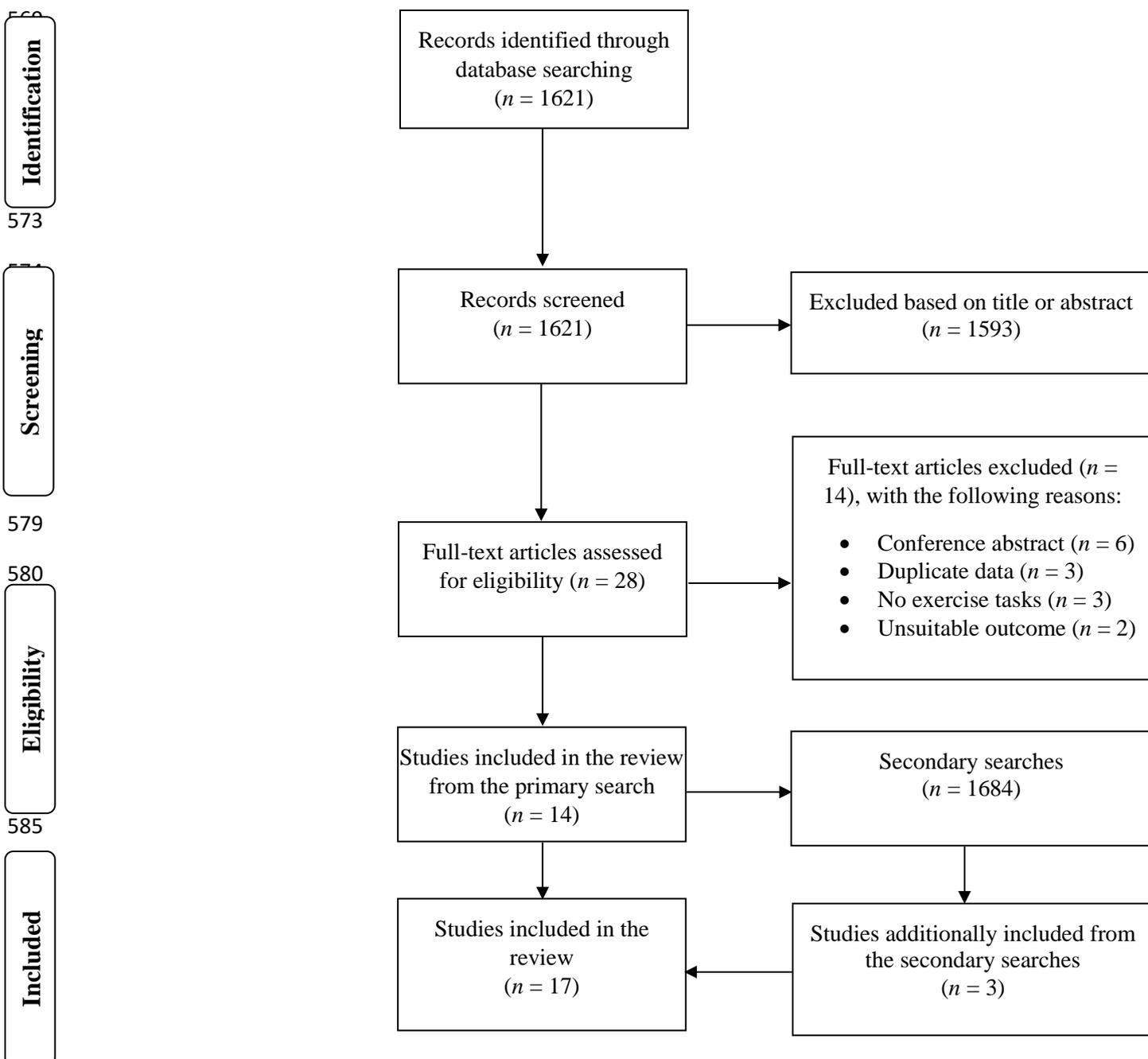
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568 **Figure 1.** Flow diagram of the search process



**Table 1.** Characteristics of the participants included in the studies

Study	Study sample	Habitual caffeine intake	Genotype distribution
Algrain et al. (2015)	Recreationally active men and women ( $n = 20$ )	<300mg/day	AA genotype, $n = 11$ (age: $24 \pm 2$ years; mass: $76 \pm 5$ kg)
			AC/CC genotype, $n = 9$ (age: $26 \pm 1$ years; mass: $77 \pm 6$ kg)
Carswell et al. (2020)	Healthy active men and women ( $n = 18$ )	13 participants were “low” users (0–150 mg/day), 2 participants were “moderate” users (151–300 mg/day), and 3 participants were “high” users (>300 mg/day)	AA genotype, $n = 10$ (age: $23 \pm 3$ years; mass: $68 \pm 11$ kg)
			AC/CC genotype, $n = 8$ (age: $25 \pm 5$ years; mass: $74 \pm 8$ kg)
Davenport et al. (2020)	Well-trained male and female cyclists ( $n = 13$ )	$\geq 50$ mg/day	AA genotype, $n = 7$ (age: $28 \pm 2$ years; mass: $71 \pm 2$ kg)
			AC genotype, $n = 6$ (age: $28 \pm 2$ years; mass: $71 \pm 2$ kg)
Giersch et al. (2018)	Recreationally-trained male cyclists ( $n = 20$ )	$93 \pm 111$ mg/day (AA genotype); $92 \pm 137$ mg/day (AC/CC genotype)	AA genotype, $n = 8$ (age: $24 \pm 8$ years; mass: $72 \pm 9$ kg) AC/CC genotype, $n = 12$ (age: $25 \pm 7$ years; mass: $75 \pm 12$ kg)
Grgic et al. (2020)	Resistance-trained men ( $n = 22$ )	$133 \pm 123$ mg/day (AA genotype), $117 \pm 68$ mg/day (AC/CC genotype)	AA genotype, $n = 13$ (age: $27 \pm 6$ years; mass: $78 \pm 7$ kg)
			AC/CC genotype, $n = 9$ (age: $30 \pm 4$ years; mass: $81 \pm 15$ kg)
Guest et al. (2018)	Male athletes from endurance, power, or mixed-sports ( $n = 101$ )	<i>For sport</i> $61 \pm 13$ mg/day (AA genotype), $89 \pm 17$ mg/day (AC genotype), $80 \pm 74$ mg/day (CC genotype) <i>Dietary</i> $87 \pm 18$ mg/day (AA genotype), $80 \pm 20$ mg/day (AC genotype), $38 \pm 24$ mg/day (CC genotype)	AA genotype, $n = 49$ (age: $24 \pm 4$ years; mass: $80 \pm 12$ kg)
			AC genotype, $n = 44$ (age: $25 \pm 5$ years; mass: $80 \pm 10$ kg)
			CC genotype, $n = 8$ (age: $25 \pm 5$ years; mass: $93 \pm 25$ kg)
Klein et al. (2012)	Collegiate male and female tennis players ( $n = 16$ )	$104 \pm 34$ mg/day (AA genotype), $92 \pm 64$ mg/day (AC/CC genotype)	AA genotype, $n = 7$ (age: $21 \pm 2$ years; mass: $71 \pm 13$ kg)
			AC/CC genotype, $n = 9$ (age: $21 \pm 2$ years; mass: $71 \pm 13$ kg)
McGrath (2015)	Well trained male endurance athletes ( $n = 11$ )	27% “low” users, 45% “moderate” users, and 27% “high” habitual caffeine users	AA genotype, $n = 6$ (age: $31 \pm 3$ years; mass: $77 \pm 4$ kg)
			AC/CC genotype, $n = 5$ (age: $31 \pm 3$ years; mass: $77 \pm 4$ kg)

Muñoz et al. (2020)	Professional male and female handball players ( $n = 31$ )	$60 \pm 25$ mg/day	AA genotype, $n = 14$ (age: $24 \pm 3$ years; mass: $79 \pm 16$ kg) AC/CC genotype, $n = 17$ (age: $24 \pm 3$ years; mass: $79 \pm 16$ kg)
Pataký et al. (2016)	Recreationally-trained male and female cyclists ( $n = 38$ )	Average of 70 mg/day	AA genotype, $n = 21$ (age: $20 \pm 1$ years; mass: $68 \pm 13$ kg) AC genotype, $n = 17$ (age: $21 \pm 1$ years; mass: $74 \pm 8$ kg)
Potgieter (2013)	Male and female triathletes ( $n = 26$ )	$413 \pm 505$ mg/day	AA genotype, $n = 16$ (age: $38 \pm 11$ years; mass: $69 \pm 11$ kg) AC/CC genotype, $n = 10$ (age: $38 \pm 11$ years; mass: $69 \pm 11$ kg)
Puente et al. (2018)	Male and female elite basketball players ( $n = 19$ )	<100 mg per day	AA genotype, $n = 10$ (age: $27 \pm 4$ years; mass: $84 \pm 19$ kg) AC/CC genotype, $n = 9$ (age: $29 \pm 6$ years; mass: $78 \pm 15$ kg)
Rahimi (2018)	Resistance-trained men ( $n = 30$ )	“Light caffeine consumers” (<70 mg/day)	AA genotype, $n = 14$ (age: $21 \pm 2$ years; mass: $79 \pm 19$ kg) AC/CC genotype, $n = 16$ (age: $22 \pm 5$ years; mass: $77 \pm 11$ kg)
Salinero et al. (2017)	Recreationally active men and women ( $n = 21$ )	<60 mg per day	AA genotype, $n = 5$ (age: $29 \pm 7$ years; mass: $69 \pm 10$ kg) AC/CC genotype, $n = 16$ (age: $29 \pm 7$ years; mass: $69 \pm 10$ kg)
Southward (2016)	Recreationally trained male athletes ( $n = 14$ )	“All participants were regular users of caffeine”	AC genotype, $n = 14$ (age: $27 \pm 8$ years; mass: $77 \pm 9$ kg)
Spineli et al. (2020)	Male adolescents engaged in competitive sports ( $n = 100$ )	$42 \pm 39$ mg/day (AA genotype), $59 \pm 45$ mg/day (AC genotype), $33$ mg/day (CC genotype)	AA genotype, $n = 49$ (age: $15 \pm 2$ years; mass: $58 \pm 10$ kg) AC genotype, $n = 42$ (age: $16 \pm 2$ years; mass: $58 \pm 13$ kg) CC genotype, $n = 9$ (age: 16 years; mass: 68 kg) <sup>a</sup>
Womack et al. (2012)	Male competitive cyclists ( $n = 35$ )	$86 \pm 107$ mg/day (AA genotype), $87 \pm 145$ mg/day (AC/CC genotype)	AA genotype, $n = 16$ (age: $24 \pm 7$ years; mass: $74 \pm 13$ kg) AC/CC genotype, $n = 19$ (age: $26 \pm 8$ years; mass: $74 \pm 12$ kg)
All studies were randomized double-blinded; <sup>a</sup> no standard deviation reported			

**Table 2.** Summary of the caffeine intake protocols, exercise task(s), and main findings from the studies included in the review

<b>Study</b>	<b>Caffeine supplementation protocol</b>	<b>Exercise task(s)</b>	<b>Main findings</b>	<b>Effect sizes</b>
Algrain et al. (2015)	255 mg of caffeine consumed in a chewing gum 15-minutes before starting the exercise session	15-min of cycling at 75% $\text{VO}_{2\text{max}}$ , 10 min of rest, and 15-min cycling time trial	No main effect of caffeine, and no caffeine $\times$ genotype interaction	AA genotype: 0.16 AC/CC genotype: 0.29
Carswell et al. (2020)	3 mg/kg of caffeine consumed in capsules 70-minutes before starting the exercise session	15-min cycling time trial	A main effect of caffeine, but no caffeine $\times$ genotype interaction	Data not presented
Davenport et al. (2020)	200 mg of caffeine consumed in a drink either 35-minutes before exercise, before 30-minutes of steady-state cycling, or immediately before a 15-minute cycling time trial <sup>a</sup>	30 min of steady-state cycling followed by and a 15-minute cycling time trial	A main effect of caffeine when caffeine was ingested 35-minutes before the start of the exercise session, but no caffeine $\times$ genotype interaction	<i>35-minutes before exercise</i> Whole sample: 0.35 <i>Before 30-minutes of steady-state cycling</i> Whole sample: 0.17 <i>Before a 15-minute cycling time trial</i> Whole sample: 0.06
Giersch et al. (2018)	6 mg/kg of caffeine consumed in capsules 60-minutes before starting the exercise session	3-km cycling time trial	A main effect of caffeine, but no caffeine $\times$ genotype interaction	AA genotype: 0.37 AC/CC genotype: 0.25
Grgic et al. (2020)	3 mg/kg of caffeine consumed in capsules 60-minutes before starting the exercise session	Movement velocity and power in the bench press with different loads, one set of bench press with 85% 1RM performed to muscle failure, CMJ, and 30-second Wingate	A main effect of caffeine in all exercise tests, but no caffeine $\times$ genotype interaction	<i>Movement velocity and power in the bench press</i> AA genotype: 0.14–0.69 AC/CC genotype: 0.23–0.85 <i>Muscle endurance and velocity</i> AA genotype: 0.23–0.66 AC/CC genotype: 0.33–1.27 <i>CMJ</i> AA genotype: 0.19 AC/CC genotype: 0.15 <i>Power output in the Wingate</i>

				AA genotype: 0.31–0.57 AC/CC genotype: 0.34–0.43
Guest et al. (2018)	2 or 4 mg/kg of caffeine consumed in capsules 60-minutes before starting the exercise session	10-km cycling time trial	A main effect of caffeine and caffeine × genotype interaction, whereby participants with the AA genotype improved performance following caffeine ingestion (both 2 and 4 mg/kg), those with the AC genotype did not improve performance with any of the caffeine doses, and performance of those with the CC genotype was worse with the ingestion of 4 mg/kg but not 2 mg/kg of caffeine	2 mg/kg AA genotype: 0.33 AC genotype: 0.07 CC genotype: (data not presented) 4 mg/kg AA genotype: 0.49 AC genotype: 0.20 CC genotype: –1.35
Klein et al. (2012)	6 mg/kg of caffeine consumed in capsules 60-minutes before starting the exercise session	45-minutes of intermittent treadmill exercise followed by a tennis skill test	A main effect of caffeine, but no caffeine × genotype interaction	AA genotype: 0.48 AC/CC genotype: 0.62
McGrath (2015)	5 mg/kg of caffeine consumed in capsules 60-minutes before starting the exercise session	115-minutes of steady-state cycling followed by a 30-minute time trial	A main effect of caffeine, but no caffeine × genotype interaction	Whole sample: 0.59
Muñoz et al. (2020)	3 mg/kg of caffeine consumed in capsules 60-minutes before starting the exercise session	CMJ, sprint velocity test, modified agility t-test, isometric handgrip strength, ball throw from 7-m, ball throw from 7-m with a goalkeeper, ball throw from 9-m, and ball throw from 9-m with a goalkeeper	A main effect of caffeine for CMJ height, time in the sprint velocity test, and ball throw velocity from 9-m, but no caffeine × genotype interaction. No main effect of caffeine for time to complete the modified agility t-test, isometric handgrip strength, ball throw velocity from 7-m with a goalkeeper, ball throw velocity	CMJ AA genotype: 0.28 AC/CC genotype: 0.15 Sprint velocity test AA genotype: 0.84 AC/CC genotype: 0.15 Modified agility t-test AA genotype: 0.03 AC/CC genotype: –0.05 Isometric handgrip strength

			from 9-m with a goalkeeper, and no caffeine $\times$ genotype interaction. No main effect of caffeine for ball throw velocity from 7-m, but a caffeine $\times$ genotype interaction whereby participants with the AA genotype improved performance following caffeine ingestion while those with the AC/CC genotype did not	AA genotype: 0.00 AC/CC genotype: 0.23 <i>Ball throw from 7-m</i> AA genotype: 0.34 AC/CC genotype: -0.02 <i>Ball throw from 7-m with a goalkeeper</i> AA genotype: 0.39 AC/CC genotype: -0.23 <i>Ball throw from 9-m</i> AA genotype: 0.40 AC/CC genotype: 0.22 <i>Ball throw from 9-m with a goalkeeper</i> AA genotype: 0.47 AC/CC genotype: 0.05
Pataky et al. (2016)	6 mg/kg of caffeine consumed in capsules 60-minutes before starting the exercise session, with or without additional caffeine mouth rinsing	3-km cycling time trial	A main effect of caffeine when caffeine ingestion was combined with mouth rinsing; using MBI, the effects favored the AC genotype, but the effect was not statistically significant ( $p = 0.12$ )	Data not presented
Potgieter (2013)	6 mg/kg of caffeine consumed in capsules 60-minutes before starting the exercise session	Olympic-distance triathlons	A main effect of caffeine, but no caffeine $\times$ genotype interaction	Whole sample: 0.10
Puente et al. (2018)	3 mg/kg of caffeine consumed in capsules 60-minutes before starting the exercise session	Abalakov jump test and the “Change-of-Direction and Acceleration Test” with and without the ball	A main effect of caffeine for Abalakov jump height, but no caffeine $\times$ genotype interaction; no main effect of caffeine for sprint time in the “Change-of-Direction and Acceleration Test” with or	<i>Abalakov jump</i> AA genotype: 0.15 AC/CC genotype: 0.14 <i>“Change-of-Direction and Acceleration Test” without the ball</i> AA genotype: 0.12

			without the ball and no caffeine × genotype interaction	AC/CC genotype: -0.06 “Change-of-Direction and Acceleration Test” with the ball AA genotype: 0.44 AC/CC genotype: 0.0
Rahimi (2018)	6 mg/kg of caffeine consumed in capsules 60-minutes before starting the exercise session	3 sets performed to muscle failure with 85% 1RM in the bench press, leg press, seated row, and shoulder press	A main effect of caffeine and caffeine × genotype interaction in all exercises, whereby participants with the AA genotype improved performance following caffeine ingestion while those with the AC/CC genotype did not	<i>Bench press</i> AA genotype: 0.88–1.87 AC/CC genotype: -0.05 to 0.09 <i>Leg press</i> AA genotype: 0.48–1.22 AC/CC genotype: -0.12 to 0.44 <i>Seated row</i> AA genotype: 0.87–1.30 AC/CC genotype: 0.17–0.27 <i>Shoulder press</i> AA genotype: 0.57–1.86 AC/CC genotype: 0.12–0.48
Salinero et al. (2017)	3 mg/kg of caffeine consumed in capsules 60-minutes before starting the exercise session	30-second Wingate	A main effect of caffeine for peak and mean power, but no caffeine × genotype interaction	<i>Peak power</i> AA genotype: 0.04 AC/CC genotype: 0.15 <i>Mean power</i> AA genotype: 0.07 AC/CC genotype: 0.10
Southward (2016)	6 mg/kg of caffeine consumed in capsules 60-minutes before starting the exercise session	10-km running time trial, isokinetic knee extension, SJ and CMJ	A main effect of caffeine for eccentric knee extensor torque and SJ height; no significant difference for the 10-km time trial, concentric knee extensor torque and CMJ height	<i>10-km running time trial</i> AC genotype: 0.34 <i>Concentric knee extensor torque</i> AC genotype: 0.25 <i>Eccentric knee extensor torque</i> AC genotype: 0.44 <i>SJ height</i> AC genotype: 0.33

				<i>CMJ height</i> AC genotype: 0.17
Spineli et al. (2020)	6 mg/kg of caffeine consumed in capsules 60-minutes before starting the exercise session	CMJ, spike jump, agility test, isometric handgrip strength, push-up, sit-up, and Yo-Yo IR1	A main effect of caffeine for push-up and sit-up repetitions and distance covered in the Yo-Yo IR1, but no caffeine × genotype interaction. No main effect and no caffeine × genotype interaction for CMJ height, spike jump height, and time in the agility test	<i>CMJ</i> AA genotype: 0.11 AC genotype: 0.13 CC genotype: 0.04 <i>Spike jump</i> AA genotype: 0.14 AC genotype: 0.05 CC genotype: 0.01 <i>Agility test</i> AA genotype: 0.10 AC genotype: 0.07 CC genotype: -0.37 <i>Isometric handgrip strength</i> AA genotype: 0.17 AC genotype: 0.07 CC genotype: 0.06 <i>Push-up</i> AA genotype: 0.09 AC genotype: 0.24 CC genotype: 0.36 <i>Sit-up</i> AA genotype: 0.24 AC genotype: 0.32 CC genotype: 0.28 <i>Yo-Yo IR1</i> AA genotype: 0.31 AC genotype: 0.36 CC genotype: 0.12

Womack et al. (2012)	6 mg/kg of caffeine consumed in capsules 60-minutes before starting the exercise session	40-km cycling time trial	A main effect of caffeine and caffeine × genotype interaction, whereby caffeine ingestion improved performance by a greater magnitude in the AA genotype in comparison with the AC/CC genotype	AA genotype: 0.67 AC/CC genotype: 0.34
<p>SJ: squat jump; CMJ: countermovement jump; 1RM: one-repetition maximum; MBI: magnitude-based inferences; IR1: intermittent recovery test level 1; VO<sub>2max</sub>: maximum rate of oxygen consumption; <sup>a</sup> the drink contained other substances such as beta-alanine and quercetin, which are not considered ergogenic when ingested acutely;</p>				

**Table 3.** Results of the methodological quality assessment using the Physiotherapy Evidence-Based Database (PEDro) scale.

Reference	Item 1	Item 2	Item 3	Item 4	Item 5	Item 6	Item 7	Item 8	Item 9	Item 10	Item 11	Score
Algrain et al. (2015)	Yes	Unclear	Unclear	Yes	Yes	8						
Carswell et al. (2020)	Yes	Yes	Unclear	Yes	Yes	9						
Davenport et al. (2020)	Yes	Yes	Unclear	Yes	Yes	9						
Giersch et al. (2018)	No	Yes	Unclear	Yes	Yes	9						
Grgic et al. (2020a)	Yes	Yes	Unclear	Yes	Yes	9						
Guest et al. (2018)	Yes	Yes	Unclear	Yes	Yes	9						
Klein et al. (2012)	Yes	Unclear	Unclear	Yes	Yes	Yes	Yes	No	Yes	Yes	Yes	7
McGrath (2015)	Yes	Yes	Unclear	Yes	No	8						
Muñoz et al. (2020)	Yes	Yes	Unclear	Yes	Yes	9						
Pataky et al. (2016)	Yes	Yes	Unclear	Yes	Yes	9						
Potgieter (2013)	Yes	Yes	Unclear	Yes	Yes	Yes	Yes	No	Yes	Yes	No	7
Puente et al. (2018)	Yes	Yes	Unclear	Yes	Yes	9						
Rahimi (2018)	Yes	Yes	Unclear	Yes	Yes	9						
Salinero et al. (2017)	Yes	Yes	Unclear	Yes	Yes	9						
Southward (2016)	Yes	Yes	Unclear	Yes	Yes	9						
Spineli et al. (2020)	No	Yes	Unclear	Yes	Yes	9						
Womack et al. (2012)	Yes	Yes	Unclear	Yes	Yes	9						
Yes: criterion is satisfied; No: criterion is not satisfied; Unclear: unable to rate												