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Is nephrogenesis affected by preterm birth? Studies in a non-human primate model

This is the Published version of the following publication

Gubhaju, Lina, Sutherland, M, Yoder, B, Zulli, Anthony, Bertram, John and Black, M. Jane (2009) Is nephrogenesis affected by preterm birth? Studies in a non-human primate model. *American Journal of Physiology : Renal Physiology*, 297 (6). F1668-F1677.

The publisher's official version can be found at
<http://ajprenal.physiology.org/content/297/6/F1668/F1.expansion>
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1 **Title:** Is nephrogenesis affected by preterm birth? Studies in a non-human primate
2 model

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13 **Running Head:** Is nephrogenesis affected by preterm birth?

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32 **ABSTRACT**

33 Nephrogenesis occurs predominantly in late gestation at a time when preterm infants are
34 already delivered. The aims of this study were to assess the effect of preterm birth and
35 the effect of antenatal glucocorticoid treatment on nephrogenesis. Preterm baboons,
36 which were delivered at 125 days gestation and ventilated for up to 21 days postnatally,
37 were compared to gestational controls. A cohort of preterm baboons that had been
38 exposed to antenatal glucocorticoids were compared to unexposed preterm baboons. The
39 number of glomerular generations was estimated using a medullary ray glomerular
40 counting method and glomerular number estimated using unbiased stereology. CD31 and
41 WT-1 localisation was examined using immunohistochemistry and VEGF was localised
42 using *in situ* hybridisation. The number of glomerular generations was not affected by
43 preterm birth and total glomerular numbers were within the normal range. Kidneys were
44 significantly enlarged in preterm baboons with a significant decrease in glomerular
45 density (number of glomeruli per gram of kidney) in the preterm kidney as compared to
46 gestational controls. Neonates exposed to antenatal steroids had an increased kidney-to-
47 body weight ratio and also more developed glomeruli compared to unexposed controls.
48 Abnormal glomeruli, with a cystic Bowman's space and shrunken glomerular tuft, were
49 often present in the superficial renal cortex of both the steroid exposed and unexposed
50 preterm kidneys; steroid exposure had no significant effect on the proportion of abnormal
51 glomeruli. The proportion of abnormal glomeruli in the preterm kidneys ranged from
52 0.2% - 18%. In conclusion, although nephrogenesis is on-going in the extrauterine
53 environment our findings demonstrate that preterm birth, independent of steroid-
54 exposure, is associated with a high proportion of abnormal glomeruli in some, but not all

55 neonatal kidneys. Whether final nephron endowment is affected in those kidneys
56 exhibiting a high proportion of abnormal glomeruli is yet to be confirmed.

57 **Keywords:** Preterm birth, Baboon, Kidney, Nephrogenesis, Glomerulogenesis

58

59 **INTRODUCTION**

60

61

62 The incidence of preterm birth is currently high, with 13% of all babies born preterm in
63 the United States (17) and 8% in Australia (25). In addition, the survival of preterm
64 infants, including extremely preterm infants has improved substantially over recent years
65 such that infants born as early as 26 weeks of gestation now have a 60-80% chance of
66 survival (28, 34).

67 In the human kidney, nephrogenesis commences at around the 5th week of gestation and
68 is complete by 36 weeks gestation (32). After this point, no more new nephrons are
69 formed for the life of the individual. Nephrogenesis predominantly occurs in late
70 gestation at a time when preterm infants are already delivered (20). It is important to
71 gain an understanding of the effects of preterm birth on nephrogenesis since there is
72 accumulating epidemiological data linking premature birth with an increased incidence of
73 hypertension (8, 22) and adverse renal function (23) later in life; this may be linked to a
74 reduced nephron endowment after birth (4).

75 To our knowledge there has only been one previously published study which has
76 investigated the effects of preterm birth on nephrogenesis. In the autopsy study,
77 conducted by Rodriguez *et al.* (31), a reduced number of glomerular generations,
78 potentially indicative of a nephron deficit, was reported in kidneys of infants that were
79 born preterm. It is important to note, however, that the cohort of preterm infants in the
80 human autopsy study included a number of infants that were not only preterm but also
81 intrauterine growth restricted (IUGR). Since it is well known that IUGR adversely
82 impacts on nephrogenesis (19, 40) it is difficult to clearly differentiate the effects of

83 preterm birth and IUGR on nephrogenesis in the previous study. In addition, any
84 abnormal effects observed in the human autopsied kidneys may have been a direct result
85 of a failure of the baby to thrive after birth rather than preterm birth *per se*. Hence in this
86 study we have examined the effects of preterm birth, in the absence of IUGR, in a non-
87 human primate model where the neonates were in relatively good health after birth.

88 The improved survival of preterm neonates, such as those born as early as 27 weeks
89 gestation, can be largely attributed to the use of antenatal glucocorticoids. These have
90 been shown to accelerate lung maturation, thus reducing neonatal morbidity and mortality
91 (11). Previous experimental studies have demonstrated a link between glucocorticoid
92 exposure early *in utero* and a reduction in nephron endowment (5, 30, 38) . There is no
93 evidence to date, however, as to the effects of clinical doses of antenatal glucocorticoids
94 on nephrogenesis.

95 Hence, the aims of the current study were to firstly assess the effects of preterm birth on
96 nephrogenesis and secondly, to determine the effects of prenatal glucocorticoid treatment
97 on nephrogenesis. To address these aims we have used a baboon (non-human primate)
98 model, where the ontogeny of the kidney closely resembles that of the human (18) and
99 the preterm neonates are cared for in a neonatal intensive care unit after birth in a similar
100 manner as human infants (6). In our model, the baboons are delivered at 125 days of
101 gestation (0.67 of total length of gestation), a time point at which nephrogenesis is still
102 on-going in the baboon (18) and is approximately equivalent to 27 weeks of gestation in
103 the human (27).

104
105
106
107

108 **METHODS**

109

110 **INDUCTION OF PRETERM DELIVERY AND POSTNATAL CARE**

111 All animal experiments were undertaken at the Southwest Foundation for Biomedical
112 Research, San Antonio, Texas. All animal handling procedures were approved to
113 conform to the American Association for Accreditation of Laboratory Animal Care
114 guidelines. Fetal baboons were delivered prematurely by caesarean section at 125 days
115 gestation (Term=185 days). After birth, all preterm neonates were intubated,
116 administered 100 mg/kg surfactant (Survanta; donated by Ross Products, Columbus, OH,
117 USA) and were ventilated with pressure-limited infant ventilators (InfantStar; donated by
118 Infrasonics, San Diego, CA, U.S.A). All preterm neonates were also treated with
119 ampicillin and gentamycin for the first 7-10 days of life. Further doses of antibiotics
120 were only administered in cases of clinically suspected infection; two preterm animals
121 were administered additional doses of vancomycin and a cephalosporin antibiotic, Fortaz
122 (day 10-13 of life in one preterm neonate and day 10-17 of life in the other preterm
123 neonate).

124 A detailed description of the postnatal clinical and nutritional management of the preterm
125 baboons has been previously published (39). Briefly, during the first 24 hours of life, all
126 animals received heparinised normal saline and 5% dextrose/water and supplemental
127 calcium infusion. Sufficient fluids were administered in order to maintain electrolyte
128 homeostasis, a minimal urine output of 1-2 ml/kg/h and blood pressure within the normal
129 range. Parenteral nutrition was initiated at 24 hours of life with amino acids, electrolytes,
130 vitamins and trace elements. If clinically stable, enteral nutrition was initiated on day 7
131 of life; 10 ml/kg/day of donated human breast milk was administered by intermittent

132 gastric infusion and once 100 ml/kg/day was tolerated, feeds were changed to Primilac
133 (Bio-Serv, Frenchtown, NJ, U.S.A). Serum electrolytes, glucose and hematocrit were
134 maintained within the normal range for the extremely low birth weight infant.

135 None of the animals had, or required, Foley catheters or any other form of urinary
136 drainage device. There were also no animals that had identifiable urinary tract anomalies
137 or obstructions at the time of necropsy.

138 **THE EFFECT OF PRETERM BIRTH ON NEPHROGENESIS IN THE** 139 **CONTEXT OF ANTENATAL STEROIDS**

140 Fetal baboons were delivered prematurely by caesarean section at 125 days gestation
141 (term=185). Neonates were euthanized at delivery (125 days gestation; n=4; 2 males and
142 2 females) or maintained in intensive care for 6 days (n=2; all males), 14 days (n=2; all
143 males), or 21 days (Preterm + 21 days; n=4; all males) before being euthanized.
144 Gestational-age-matched controls for the Preterm + 21 days group were delivered and
145 euthanized at 146 days gestation (n=4; 3 males and 1 female). All animals in the Preterm
146 + 21 days group and the 125 and 146 day gestational control groups were exposed to
147 antenatal maternal steroids *in utero*. In those animals, pregnant baboons weighing
148 approximately 15 kg received 6 mg betamethasone (Celestone Soluspan; Schering
149 Pharmaceuticals, Kenilworth, NJ, USA) by intramuscular injection at 123 and 124 days
150 of gestation (a dose of approximately 0.4 mg/kg/day) (24, 33). Those same animals were
151 compared to non-betamethasone exposed controls in the subsequent study described
152 below. It is to be noted that only individual data is shown for the baboons euthanized at
153 6 and 14 days and they were only included in the linear regression analyses; these
154 baboons were not exposed to antenatal steroids. Kidneys from time points late in

155 gestation (175 and 185 days; n=5; 4 males and 1 female) were used for comparison when
156 looking at the number of glomerular generations formed within the kidney. Previously,
157 we have shown that nephrogenesis in the baboon is on-going at 125 days gestation and
158 complete by 175 days gestation (18), so the kidneys from the 175 and 185 day gestational
159 control groups were combined.

160 **THE EFFECT OF PRENATAL MATERNAL GLUCOCORTICOIDS ON** 161 **NEPHROGENESIS**

162 The four betamethasone-exposed baboons euthanized at 125 days gestation (utilized in
163 the study described above) were compared to non-betamethasone exposed controls (125
164 days gestation; n=6; 3 males and 3 females). The four betamethasone-exposed preterm
165 neonates maintained for 21 days postnatally (utilized in the study described above) were
166 compared to preterm controls (not exposed to antenatal betamethasone) at postnatal day
167 21 (Preterm + 21 days; n=4; 2 males and 2 females). At postnatal day 21, the baboons
168 were euthanized.

169 **TISSUE PROCESSING, EMBEDDING AND SECTIONING**

170 Kidneys were immersion-fixed at necropsy, cut into halves, sliced into 2 mm slices and
171 sampled using a smooth fractionator approach (29). The sampled slices (8-15 slices per
172 kidney) were embedded in glycolmethacrylate to be used for the estimation of the
173 number of glomeruli, the number of glomerular generations, kidney volume, mean renal
174 corpuscle volume and the proportion of abnormal glomeruli. Complete slices containing
175 both cortex and medulla were randomly selected from the remaining slices, embedded in
176 paraffin and sectioned at five μm for the immunohistochemical analyses.

177 **QUALITATIVE AND QUANTITATIVE ASSESSMENT OF NEPHROGENESIS**

178

179 ***Morphological assessment of nephrogenesis***

180 The presence of undifferentiated metanephric mesenchyme, the branching ureteric bud,
181 and developing glomerular structures in the form of Comma- and S-shaped bodies in the
182 outer cortex indicated that nephrogenesis was on-going. Developed glomeruli exhibited a
183 well defined glomerular tuft surrounded by a distinct Bowman's space and capsule.

184 ***Measurement of nephrogenic zone thickness***

185 The width of the nephrogenic zone was measured using image analysis software (Image
186 Pro Plus v. 6.0 for Windows, Media Cybernetics; Silver Spring, MD, USA). This method
187 was based on a previous method used to measure nephrogenic zone thickness to assess
188 renal maturity in human neonatal kidneys (9, 12). From the serially sectioned
189 glycolmethacrylate sections, one complete intact section from each sampled kidney slice
190 (8-15 complete sections per kidney) was used to estimate the width of the nephrogenic
191 zone. Each section was viewed at 200X magnification and the width of the nephrogenic
192 zone was measured in 3 separate regions of each kidney section. The nephrogenic zone
193 was defined as the area in the outer renal cortex exhibiting developing glomerular
194 structures in the form of Comma and S-shaped bodies. An average nephrogenic zone
195 width was determined for each kidney.

196 ***Estimation of glomerular generation number***

197 One complete intact section from each glycolmethacrylate block (8-15 blocks per kidney)
198 was examined. In each sampled section five clearly distinguishable medullary rays from
199 separate regions were identified. The number of developed glomeruli (inclusive of
200 normal and abnormal glomeruli) along one side of each medullary ray were counted, and
201 an average number for each kidney was then determined (36).

202 *Estimation of the number of developed glomeruli, kidney volume and mean renal*
203 *corpuscle volume*

204 Glycolmethacrylate blocks (8-15 per kidney) were serially sectioned at 20 µms with
205 every 10th and 11th sections collected and stained with H&E. Kidney volume was then
206 estimated using the Cavalieri principle (29). One pair of complete intact sections from
207 each block was used for the estimation of glomerular number. Using an unbiased
208 physical disector/fractionator technique, renal corpuscle volume and the number of
209 glomeruli (and thereby nephrons) in the kidneys were stereologically estimated (3, 18).
210 In the counting of glomeruli, only developed glomeruli (inclusive of normal and
211 abnormal glomeruli) exhibiting a well defined Bowman's space and capsule were
212 included; developing glomerular structures such as Comma-shaped and S-shaped bodies
213 were not counted.

214

215 **CHARACTERISATION OF ABNORMAL GLOMERULI**

216 *Quantitative assessment of abnormal glomeruli*

217 Whilst undertaking the stereological estimation of glomerular number, in each field of
218 view the number of normal and abnormal glomeruli (exhibiting a shrunken glomerular
219 tuft and dilated Bowman's space) was recorded and the percentage of abnormal glomeruli
220 within the whole kidney was determined.

221 *Immunohistochemical analysis with the endothelial cell marker, CD31 and podocyte*
222 *marker, Wilms-tumour suppressor gene-1 (WT-1)*

223 Five µm paraffin sections were de-paraffinized, re-hydrated and rinsed in water and 10
224 mM Tris hydrochloride. For WT-1 staining, heat-induced antigen retrieval (3x5min in

225 microwave) was undertaken in Tris-EDTA buffer (10mM Tris Base, 1mM EDTA, 0.05%
226 Tween 20; pH 9.0). Endogenous peroxidase activity was blocked by placing slides in an
227 endogenous enzyme block solution (Dako, CA, U.S.A) for 15 minutes. Sections were
228 then incubated with 1% goat serum for 20-30 minutes. Subsequently, sections were
229 incubated with the primary antibody, either a mouse anti-human CD31 monoclonal
230 antibody (1:15 dilution) (JC70A; Dako, California) or a mouse anti-human WT-1
231 monoclonal antibody (1:100 dilution) (M3561; Dako, CA, U.S.A) overnight. The
232 negative control consisted of a mouse IgG antibody raised against bacterial glucose
233 oxidase (Dako, CA, U.S.A). The sections were then incubated for 2 hours with the
234 'Envision' molecule (Dako, CA, U.S.A), and 3'3'-diaminobenzidine tetrachloride (DAB)
235 was used to detect antibody binding. All sections were counterstained with hematoxylin.

236 *In situ hybridization of vascular endothelial growth factor (VEGF) mRNA*

237 For the synthesis of riboprobes, a cDNA fragment of human VEGF₁₂₁ (gift of Steven
238 Stacker, Ludwig Institute, Melbourne, Australia) was cloned into BSKS plasmid
239 (Stratagene, La Jolla, CA, U.S.A) and linearized with HindIII. An anti-sense riboprobe
240 was generated from the template incorporating DIG-UTP (Roche Applied Science,
241 Mannheim, Germany) into run off transcripts using T7 RNA polymerase. A sense
242 riboprobe was also generated. *In-situ* hybridization was undertaken in 4µm paraffin
243 sections as described by Sutherland *et al.* (36).

244

245 **STATISTICAL ANALYSIS**

246 Statistical analyses were performed using GraphPad Prism Version 4.0 for Windows
247 (GraphPad Software, San Diego, CA, U.S.A.). A one-way analysis of variance was

248 utilized to compare data between the 125 day and 146 day gestational control groups, and
249 the Preterm +21 days group. This was followed by a Tukey's post-hoc analysis.

250 Data between steroid exposed and unexposed neonates were analysed using a Student's t-
251 test. In order to compare data between steroid exposed and unexposed neonates from
252 different post-conceptual time points, a two-way analysis of variance was utilized.

253 Physiological data was analysed using a repeated measures two-way analysis of variance
254 followed by a Bonferroni's post-hoc analysis.

255 Linear regression analyses were performed to determine if there were significant
256 correlations between glomerular number and post-conceptual age, birth weight, kidney
257 weight, kidney volume and renal corpuscle volume. Included in these analyses was data
258 from steroid exposed and unexposed animals and animals from the Preterm + 6 days and
259 Preterm + 14 days groups. An analysis of covariance was used to determine any
260 differences in the linear regressions between the preterm group and the gestational
261 controls. Statistical significance was accepted as $p < 0.05$.

262 **RESULTS**

263 **THE EFFECT OF PRETERM BIRTH ON NEPHROGENESIS IN THE**
264 **CONTEXT OF ANTENATAL STEROIDS**

265 *Postnatal fetal physiology*

266 Arterial blood gases (pH, PaCO₂, PaO₂), fluid intake, urine output and mean arterial
267 blood pressure of preterm neonates from birth until postnatal day 21 were all within
268 the accepted clinical range.

269 *Body weights, kidney weights and kidney volumes*

270 All fetal baboons had birth weights above the 10% reference range for premature
271 baboons delivered at this gestational time point. There was no significant difference
272 in birth weights between the 125 day gestational control group and the Preterm + 21
273 days group (Table 1). Necropsy weights of the Preterm + 21 days group were
274 significantly less (P=0.002) compared to the 146 day gestational-age-matched
275 controls. Although all preterm baboons lost weight after birth, relative kidney
276 weights and volumes were significantly increased compared to the 125 and 146 day
277 gestational controls.

278 *Assessment of nephrogenesis*

279 In the preterm kidneys at postnatal day 6, 14 and 21 and in the 125 and 146 day
280 gestational controls, there was morphological evidence of on-going nephrogenesis
281 (Figure 1A). In the preterm kidneys at postnatal day 21, nephrogenic zone thickness
282 was significantly less compared to the 125 day gestational control group but not
283 significantly different to the 146 day gestational control group (Figure 1B).

284 The number of glomerular generations increased significantly from 125 days gestation
285 to 175/185 days gestation (Figure 1C). The number of glomerular generations in the
286 Preterm + 21 days group was not significantly different to the number of generations

287 in the 146 day gestational-age-matched controls, and was significantly higher than the
288 125 days group.

289 In accordance with the glomerular generation data, the number of developed
290 glomeruli in the Preterm + 21 days group was significantly greater compared to the
291 125 day gestational controls, but was not significantly different to the 146 day
292 gestational age-matched controls (Table 1).

293 Statistically significant correlations were found between glomerular number and post-
294 conceptional age ($r^2=0.781$, $P=0.0001$) and between glomerular generations and post-
295 conceptional age ($r^2=0.613$, $P=0.003$) when all preterm animals were combined
296 (Figure 1D-E). In the two kidneys from the Preterm + 6 days group, there were
297 184,234 and 202,316 developed glomeruli and in the kidneys from postnatal day 14
298 ($n=2$) there were 140,185 and 178,661 developed glomeruli.

299 Birth weight correlated significantly with glomerular number in both the preterm
300 neonates ($r^2=0.438$, $P=0.02$) and the gestational controls ($r^2=0.680$, $P=0.01$). In the
301 preterm neonates, there was no significant correlation between necropsy weight and
302 glomerular number.

303 Importantly, there was a very strong correlation between kidney weight and
304 glomerular number in both the preterm neonates ($r^2=0.703$, $P=0.0007$) and gestational
305 controls ($r^2=0.664$, $P=0.01$); however, there was a significant difference in the slopes
306 of the regression lines ($P=0.048$) such that in the preterm kidneys there were 83,840
307 glomeruli/gram, compared to 193,400 glomeruli/gram in the gestational controls
308 (Figure 2).

309 There was no significant difference in the mean renal corpuscle volume between the
310 Preterm + 21 days groups and the 146 day gestational-age-matched control group

311 (Table 1). There was no significant correlation between renal corpuscle volume and
312 glomerular number.

313 **THE EFFECT OF PRENATAL MATERNAL GLUCOCORTICOIDS ON** 314 **NEPHROGENESIS**

315 *Postnatal fetal physiology*

316 Exposure to antenatal maternal glucocorticoids at 123 and 124 days gestation did not
317 significantly affect arterial blood gas levels (pH, PO₂, PCO₂) following preterm
318 delivery at 125 days gestation (Table 2). There was no significant difference in fluid
319 intake or urine output between the two groups (Figure 3A-B). At 72 hours of life,
320 however, mean arterial blood pressure was significantly elevated in the steroid
321 exposed group (P<0.05) (Figure 3C). There was no significant difference in mean
322 arterial blood pressure between the two groups at postnatal day 21.

323 *Body weights, kidney weights and kidney volumes*

324 Antenatal exposure to steroids (123 and 124 days gestation) did not affect fetal birth
325 weight at 125 days gestation, necropsy weight at postnatal day 21, or absolute kidney
326 weights or kidney volumes (Table 3). Kidney weight-to-body weight ratio, however,
327 was significantly greater in the animals exposed to antenatal steroids (P=0.02).

328 *Assessment of nephrogenesis*

329 There were no apparent morphological differences in kidney structure between the
330 steroid exposed and unexposed preterm groups at postnatal day 21. Kidneys from
331 both the Preterm + 21 days group and the Preterm + 21 days + steroids group
332 exhibited a clearly visible nephrogenic zone and there was no significant difference in
333 the width of the nephrogenic zones (94.2±6.5 µm versus 100.5±10.6 µm,
334 respectively).

335

336 There was no difference in the number of glomerular generations formed within the
337 kidney between the steroid exposed and unexposed groups (Table 3).

338 There was a significant increase in the number of developed glomeruli in the steroid
339 exposed kidneys (Table 3). The number of developed glomeruli was 9% higher in the
340 steroid exposed kidneys compared to unexposed controls at 125 days gestation, and
341 27% higher at postnatal day 21.

342 The response of renal corpuscle volume in relation to maternal steroid treatment was
343 different in kidneys at 125 days gestation compared to preterm kidneys at postnatal
344 day 21 (Table 3). At 125 days gestation there was a significant increase in renal
345 corpuscle volume whereas on postnatal day 21 there was a significant decrease.

346

347 **CHARACTERISATION OF ABNORMAL GLOMERULI**

348 We observed in many of the preterm kidneys (both steroid exposed and unexposed),
349 at all postnatal time points, the presence of abnormal glomeruli; these were grossly
350 enlarged and exhibited a cystic Bowman's space and shrunken glomerular tuft. The
351 abnormal glomeruli were only located in the outer renal cortex and exhibited an
352 immature morphology; the clearly recognizable glomerular anlage was surrounded by
353 a cup-shaped layer of epithelial cells.

354 *Quantitative assessment of abnormal glomeruli*

355 There was a wide variation in the proportion of abnormal glomeruli within the
356 preterm kidneys (Table 4 and 5); steroid exposure did not affect the proportion of
357 abnormal glomeruli in the kidney. The proportion of abnormal glomeruli in the
358 preterm kidneys ranged from 0.2% to 18.3%. Of the twelve preterm kidneys analyzed
359 (inclusive of steroid exposed and unexposed), 50% had more than 4% of their
360 glomeruli appearing abnormal. In three preterm kidneys the proportion of abnormal

361 glomeruli was greater than 10%. In one of the preterm baboons the morphology of
362 the kidney was grossly abnormal with 18% of the glomeruli abnormal. The
363 proportion of abnormal glomeruli in the kidneys of the gestational controls was
364 considered negligible (Table 4).

365 ***Immunohistochemical localization of the endothelial cell marker, CD31 (Figure***
366 ***4A)***

367 In kidney sections at 146 days gestation, the well developed glomeruli adjacent to the
368 nephrogenic zone demonstrated profuse positive staining for CD31. In the preterm
369 kidneys, the abnormal glomeruli exhibited little CD31 immunostaining compared to
370 well developed glomeruli observed in the same section.

371 ***Immunohistochemical localisation of the podocyte marker, WT-1 (Figure 4B)***

372 Profuse WT-1 staining was observed in the glomerular tuft of well developed, normal
373 glomeruli from preterm kidneys. In the abnormal glomeruli from the preterm kidneys,
374 however, WT-1 positive immunostaining was localised to the layer of epithelial cells
375 surrounding the spherical mass of cells of the glomerular tuft. Positive
376 immunostaining was also localised to the epithelial cells of the Bowman's capsule in
377 the abnormal glomeruli.

378 ***In situ localisation of vascular endothelial growth factor, VEGF mRNA (Figure***
379 ***4C)***

380 VEGF mRNA was localised to the glomerular podocytes in both the preterm kidneys
381 and gestational controls including the abnormal glomeruli.

382

383 **DISCUSSION**

384 The findings of this study clearly demonstrate that nephrogenesis continues after
385 preterm birth in the steroid exposed and unexposed primate kidney. There was an
386 increase in the number of glomerular generations and total glomeruli in the
387 extrauterine environment, with no differences found between the preterm kidneys and
388 their gestational age matched controls, in the context of antenatal steroids.
389 Interestingly, exposure to antenatal glucocorticoids prior to preterm birth led to renal
390 hypertrophy and an increase in the number of developed glomeruli in the kidney
391 compared to unexposed kidneys. Many of the glomeruli located in the outer renal
392 cortex of the preterm kidney, in both the steroid exposed and unexposed baboon
393 neonates, often appeared abnormal. Immunohistochemical analyses of these
394 abnormal glomeruli showed that they were in a relatively immature state of
395 development, poorly vascularized and are therefore likely to be non-functional.

396

397 Although all preterm baboons lost weight after birth, there appeared to be substantial
398 postnatal kidney growth, with the relative kidney weights and kidney volumes
399 significantly higher in the preterm animals compared to the gestational controls.
400 Similar findings have been previously reported in preterm babies (21). The renal
401 hypertrophy observed in the preterm kidneys did not appear to be attributed to
402 differences in the thickness of the nephrogenic zone or in the size of glomeruli thus
403 implying tubular hypertrophy.

404

405 Our results demonstrate that nephrogenesis unequivocally occurs postnatally in both
406 steroid exposed and unexposed preterm neonates; morphologically there was evidence
407 of a nephrogenic zone and when assessed quantitatively the number of glomerular

408 generations and the total number of developed glomeruli increased with postnatal age.
409 The average number of developed glomeruli in the preterm kidneys (inclusive of
410 steroid exposed and unexposed preterm kidneys) was approximately 245,673, ranging
411 from 138,078 to 304,186, which appears to be within the normal range for term
412 baboon kidneys, albeit at the lower end (18). Total glomerular number is also
413 expected to increase further since nephrogenesis, although nearing completion was
414 not finished by postnatal day 21.

415

416 In the context of antenatal steroids, there was no significant difference in the number
417 of glomerular generations formed in the kidney between the Preterm + 21 day kidneys
418 and their 146 day gestational-age-matched controls. These findings are not consistent
419 with those of Rodriguez *et al.* (31) where they reported fewer glomerular generations
420 within the kidneys of autopsied preterm infants. However, the discrepancy in findings
421 is likely explained by a number of the preterm human neonates being intrauterine
422 growth restricted in the study of Rodriguez *et al.* (31), which is known to influence
423 nephron endowment (19, 40).

424

425 We have previously reported a very strong correlation between renal size and
426 glomerular number (18) and this association appears to be maintained after premature
427 delivery since kidney weight significantly correlated with glomerular number in the
428 preterm baboons. However, in the present study our linear regression analyses
429 indicate that glomerular density (the number of glomeruli per gram of kidney) is
430 substantially less in the preterm kidneys (83,840 glomeruli/gram) compared to
431 gestational controls (193,400 glomeruli/gram); this is likely to be due to the relative
432 increase in kidney size after preterm birth and not a change in the absolute number of

433 glomeruli formed. Further studies would be necessary in order to investigate whether
434 this difference in glomerular density reflects a change in renal tubular mass.

435

436 Our findings have demonstrated that antenatal exposure to glucocorticoids prior to
437 preterm birth increases the number of developed glomeruli within the preterm baboon
438 kidney. Certainly, this indicates that glucocorticoid administration has accelerated
439 glomerular maturation, which is in accordance with previous studies demonstrating
440 that glucocorticoids induce organ maturation (13). Our findings also support the
441 improvement in renal function demonstrated to occur in preterm infants exposed to
442 steroids (1). Exposure to steroids also resulted in a greater increase in kidney weight-
443 to-body weight ratio, suggesting that glucocorticoid treatment may be leading to renal
444 hypertrophy. Previous studies in the preterm lamb, baboon and human neonate have
445 shown that glucocorticoid treatment increases mean arterial pressure, renal blood flow
446 and glomerular filtration rate, implicative of renal functional maturation, (1, 10, 35)
447 which may be contributing to the renal hypertrophy observed in the current study.
448 Indeed, mean arterial blood pressure was observed to be significantly elevated at 72
449 hours of life in the preterm baboons exposed to antenatal steroids. Similar findings
450 have also been reported in human infants, in which the effects of prenatal
451 glucocorticoids appear to be limited to the early postnatal period (1, 37).

452

453 In accordance with previous studies (31, 36) abnormal glomeruli exhibiting a dilated
454 Bowman's space surrounding an underdeveloped glomerular tuft were observed in the
455 outer renal cortex of both steroid exposed and unexposed preterm kidneys, suggesting
456 glomeruli formed in the extra-uterine environment are 'at risk'; developed glomeruli
457 deep in the cortex were not affected. The glomerular abnormalities appear to be a

458 direct consequence of premature birth and/or treatments in the postnatal care of the
459 preterm neonate, since the number of abnormal glomeruli in the gestational control
460 kidneys was negligible. Immunostaining with the endothelial cell marker, CD31
461 showed that the abnormal glomeruli in the preterm kidneys were poorly vascularised,
462 even though VEGF was expressed. The abnormal glomeruli appeared to be in a
463 relatively immature state of development with a layer of WT-1 positive epithelial cells
464 (indicative of podocytes) surrounding a spherical mass of relatively undifferentiated
465 cells. Positive WT-1 immunostaining was also localised to the epithelial cells of the
466 Bowman's capsule (parietal podocytes), which has been demonstrated previously in
467 the human kidney (2). Interestingly, Bariety *et al.* (2) noted that capsules without a
468 glomerular tuft, or a retracted tuft, contained a greater number of parietal podocytes
469 compared to normal glomeruli, lining the entirety of the Bowman's capsule.
470 Furthermore, Gibson *et al.* (14), have also shown that in atubular cystic glomeruli in
471 human kidneys, the Bowman's capsule is always lined by parietal podocytes. It is
472 therefore conceivable that the cystic abnormal glomeruli observed in the preterm
473 kidneys may be atubular, and as such would never be functional.

474

475 Not all kidneys from the premature baboons contained the same proportion of
476 abnormal glomeruli, ranging from 0.2% to as high as 18%. Hence, preterm birth may
477 not always adversely impact on kidney development, or alternatively there may be a
478 difference in the rates of resorption of dysfunctional glomeruli (26). Another likely
479 explanation is that factors in the postnatal care of the neonate (which varies between
480 neonates) adversely impact on nephrogenesis. In particular, pharmacological agents
481 administered to the neonate in the postnatal period, such as aminoglycoside
482 antibiotics, are known to be nephrotoxic (7, 15, 16). In the present study, since all

483 preterm neonates were exposed to antibiotics after birth, it is possible that the
484 glomerular abnormalities in the kidneys have been caused by exposure to nephrotoxic
485 antibiotics. However, if this is the case, it is difficult to explain why there was such a
486 variation in the proportion of abnormal glomeruli within the preterm kidneys given
487 that all neonates received the same regime of antibiotics, except for two animals that
488 were administered additional doses; these animals were not the neonates with the high
489 proportion of abnormal glomeruli. Further studies are required to elucidate whether
490 exposure to antibiotics is nephrotoxic to the preterm infant and/or whether other
491 medications, or factors in the postnatal care of the preterm infants lead to the adverse
492 renal effects that we observe. If definitive associations are found, this may lead to
493 potential interventions to improve the renal health of preterm babies.

494

495 In conclusion, using a non-human primate model the current study has clearly
496 demonstrated on-going nephrogenesis after preterm birth. The rate of glomerular
497 formation remains similar following preterm birth; however, glomerular density
498 (number of glomeruli per gram of kidney) was significantly reduced in the preterm
499 kidney suggesting that the non-glomerular compartments are growing at a faster rate.
500 Of concern, many preterm neonates exhibited abnormal glomeruli in the outer renal
501 cortex suggesting that extra-uterine nephrogenesis leads to an increased risk of
502 abnormal glomerular development. Whether this will impact on final nephron
503 endowment is yet to be determined, since nephrogenesis was still on-going.

504 .

505 **Acknowledgements**

506 We would like to thank the Southwest Foundation for Biomedical Research (San
507 Antonio, Texas) for provision of the baboon kidneys, in particular Jacqueline Coalson
508 and Vicki Winter from the University of Texas Health Science Center for their
509 assistance in obtaining the kidneys. The authors would also like to thank Ms Alison
510 Cox and Associate Professor Darren Kelly from the Department of Medicine at the
511 University of Melbourne for assistance with the VEGF *in situ* hybridization.

512

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514

515 **Grants**

516 This work was supported by NIH HL52636 BPD Resource Center grant,
517 P51RR13986 for facility support and the National Health and Medical Research
518 Council of Australia.

519 Ms Lina Gubhaju and Ms Megan Sutherland were recipients of an Australian
520 Postgraduate Award whilst undertaking this work.

521

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524 **Disclosures**

525 The authors have no conflict of interest to disclose.

526

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653 **Figure Legends**

654 **Figure 1:** (A) Representative photomicrographs of kidney sections from the 125 (top
655 panel) and 146 (middle panel) day gestational control groups and the Preterm + 21
656 days group (bottom panel) all demonstrating evidence of on-going nephrogenesis in
657 the outer renal cortex (NZ=nephrogenic zone, C=Comma-shaped body, UB=ureteric
658 bud). (B) Nephrogenic zone thickness of gestational controls (125 days gestation and
659 146 days gestation) compared to the Preterm + 21 days group. Data was analysed
660 using a one-way analysis of variance followed by a Tukey's Post-Hoc Analysis.
661 Nephrogenic zone thickness in the 125 day gestational controls were significantly
662 greater (*P<0.05) compared to the 146 day gestational controls and the Preterm + 21
663 days group (C) The number of glomerular generations in the gestational controls (125
664 days gestation, 146 days gestation, 175/185 days gestation) compared to the Preterm +
665 21 days group. Data was analysed using a one-way analysis of variance followed by
666 a Tukey's Post-Hoc Test. The number of glomerular generations was significantly
667 greater in the 125 day gestational controls compared to the 146 day and 175/185 day
668 gestational controls and the Preterm + 21 days group (*P<0.0001) (D) Linear
669 regression analysis of glomerular number versus post-conceptual age in preterm
670 kidneys showing a significant linear relationship between post-conceptual age and
671 glomerular number. (E) Linear regression analysis of glomerular generations versus
672 post-conceptual age in preterm kidneys showing a significant linear relationship
673 between post-conceptual age and the number of glomerular generations.

674

675 **Figure 2:** Linear regression analyses of glomerular number versus kidney weight in
676 preterm neonates (circles) and gestational controls (triangles). There was a
677 significant linear relationship between kidney weight and glomerular number in both

678 the preterm neonates and gestational controls ($P < 0.05$). An analysis of covariance
679 demonstrated a significant difference in the slopes of the two regression lines
680 ($P = 0.048$).

681

682 **Figure 3:** (A) Fluid intake, (B) urine output and (C) mean arterial blood pressure of
683 the Preterm + 21 days group; $n = 4$ compared to the Preterm + 21 days + steroids
684 group; $n = 4$. Steroid exposed neonates are represented in squares and unexposed
685 controls are in circles. Data was analysed using a repeated measures two-way
686 analysis of variance followed by a Bonferroni's Post-Hoc analysis. At 72 hours of
687 life, mean arterial blood pressure was significantly higher in the steroid exposed
688 animals compared to unexposed controls ($*P < 0.05$).

689

690 **Figure 4:** Representative photomicrographs of kidney sections from the 146 day
691 gestational control group and the Preterm + 21 days group immunostained with (A)
692 an endothelial cell marker (CD31), (B) the Wilm's tumour suppressor gene-1 (WT-1),
693 and (C) *in situ* hybridization for vascular endothelial growth factor (VEGF). The
694 glomeruli from the 146 day gestational control group show profuse positive brown
695 staining for CD31 (A). The abnormal glomeruli in the Preterm + 21 days group are
696 poorly vascularized as shown by the scant positive brown staining for CD31 (A).
697 WT-1 positive staining is localized to podocytes within the glomerular tuft of
698 developed, normal glomeruli (B). In abnormal glomeruli, WT-1 staining is localized
699 to podocytes surrounding the immature glomerular anlage (B). WT-1 positive
700 immunostaining can also be observed in the parietal epithelial cells of the Bowman's
701 capsule (arrows). VEGF positive immunostaining (dark purple staining) was
702 localized to the podocytes in the glomerular tuft (arrows) (C). In an abnormal

703 glomerulus from a preterm kidney, VEGF positive podocytes stained dark purple
704 were also observed (arrows) (C).

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716 **Table 1:** Birth weights, necropsy weights, kidney weights, kidney volumes, kidney weight to body weight ratios, kidney volume to kidney
 717 weight ratios, glomerular number and mean renal corpuscle volume of gestational controls at 125 days gestation and 146 days gestation and
 718 preterm neonates at postnatal day 21 (analyzed using a one-way analysis of variance followed by a Tukey's Post-Hoc analysis).
 719

	Gestational Controls		Preterm
	125 days (n=4)	146 days (n=4)	Preterm + 21 days (n=4)
Birth weight (g)	353 ± 12 [#] (329 – 375)	597 ± 25 [*] (532 – 654)	419 ± 22 [#] (354 – 460)
Necropsy weight (g)	353 ± 12 [#] (329 – 375)	597 ± 25 [*] (532 – 654)	400 ± 30 [#] (320 - 466)
Kidney weight (g)	1.37 ± 0.16 (1.01 – 1.77)	1.82 ± 0.18 (1.31 – 2.16)	2.73 ± 0.41 [*] (1.90 – 3.87)
Kidney volume (mm³)	903 ± 113 (636 – 1170)	1348 ± 196 (819 – 1768)	1719 ± 270 (1391 – 2523)
Kidney weight-to-body weight ratio (g/kg)	3.9 ± 0.5 (2.7 – 5.4)	3.1 ± 0.4 (2.0 – 3.7)	6.7 ± 0.5 ^{*#} (5.9 – 8.3)
Kidney volume-to-body weight ratio (mm³/g)	2.6 ± 0.4 (1.7 – 3.6)	2.3 ± 0.4 (1.2 – 3.0)	4.3 ± 0.4 ^{*#} (3.5 – 5.4)
Glomerular number	117,235 ± 8,766 (101,439 – 137,765)	270,486 ± 33,631 [*] (202,266 – 352,621)	283,535 ± 12,358 [*] (249,772 – 304,186)
Average renal corpuscle volume x 10⁻⁴ (mm³)	5.87 ± 0.52 (5.03 – 7.38)	3.97 ± 0.35 (2.37 – 4.29)	3.65 ± 0.43 (3.14 – 4.72)

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All animals were exposed to antenatal glucocorticoids
 Data presented as mean ± SEM with data range in parentheses.
 (*P<0.05 versus 125 days gestation, #P<0.05 versus 146 days gestation)

724 **Table 2:** Arterial blood gases (pH, PaCO₂, PaO₂) of the Preterm + 21 days group compared to the
725 Preterm + 21 days + steroids group, at postnatal day 21. Data was analysed using a student's t-test.

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727

	Preterm + 21 days (n=4)	Preterm + 21 days + steroids (n=4)
pH	7.32 ± 0.03	7.29 ± 0.03
PaCO₂ (mmHg)	47.7 ± 4.5	53.2 ± 1.9
PaO₂ (mmHg)	79.7 ± 0.3	67.0 ± 6.4

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Data presented as mean ± SEM

730 **Table 3:** Birth weights, necropsy weights, kidney weights, kidney volumes, kidney weight to body weight ratios, glomerular generation
 731 number, glomerular number and mean renal corpuscle volume of preterm neonates at 125 days gestation and at postnatal day 21. Neonates at
 732 each time-point were exposed to maternal betamethasone treatment at 123 and 124 days gestation (+ steroids; data as shown in Table 2) or were
 733 unexposed (- steroids). Data was analysed using a two-way analysis of variance.

	125 days gestation - steroids (n=6)	125 days gestation + steroids (n=4)	Preterm + 21 days - steroids (n=4)	Preterm + 21 days + steroids (n=4)	P-values		
					Post- conceptional age	Steroid treatment	Post- conceptional age x Steroid treatment
Birth weight (g)	375 ± 25 (299 - 448)	353 ± 12 (329 - 375)	414 ± 28 (373 - 496)	419 ± 22 (354 - 460)	P = 0.05	P = 0.733	P = 0.589
Necropsy weight (g)	375 ± 25 (299 - 448)	353 ± 12 (329 - 375)	395 ± 15.4 (363 - 436)	400 ± 30 (320 - 466)	P > 0.05	P > 0.05	P > 0.05
Kidney weight (g)	0.917 ± 0.10 (0.559 - 1.21)	1.37 ± 0.16 (1.01 - 1.77)	2.31 ± 0.17 (1.89 - 2.64)	2.73 ± 0.41 (1.90 - 3.87)	P < 0.0001	P > 0.05	P > 0.05
Kidney volume (mm³)	548 ± 48 (391 - 660)	903 ± 113 (636 - 1170)	1690 ± 200 (1352 - 2164)	1718 ± 270 (1391 - 2523)	P < 0.0001	P > 0.05	P > 0.05
Kidney weight-to-body weight ratio (g/kg)	2.5 ± 0.3 (1.5 - 3.6)	3.9 ± 0.5 (2.7 - 5.4)	5.9 ± 0.3 (5.2 - 6.5)	6.7 ± 0.5 (5.9 - 8.3)	P < 0.0001	P = 0.02	P > 0.05
Glomerular Generations	7 ± 0.2 (6 - 7)	7 ± 0.1 (7 - 8)	10 ± 0.2 (10 - 11)	10 ± 0.6 (8 - 11)	P < 0.0001	P = 0.6	P > 0.05
Glomerular number	105,632 ± 10173 (63,385 - 126,697)	117,235 ± 8,766 (101,439 - 137,765)	207,810 ± 35,384 (138,078 - 272,085)	283,535 ± 12,358 (249,772 - 304,186)	P < 0.0001	P = 0.03	P = 0.1
Average Renal Corpuscle Volume x 10⁻⁴ (mm³)	3.79 ± 0.45 (2.49 - 5.59)	5.87 ± 0.52 (5.03 - 7.38)	5.32 ± 0.43 (4.24 - 6.22)	3.65 ± 0.43 (3.14 - 4.72)	P = 0.7	P = 0.5	P = 0.001

734 Data presented as mean ± SEM with data range in parentheses.

735

Table 4. The proportion of abnormal glomeruli in kidneys of gestational controls and preterm baboon neonates.

	Gestational Controls			Preterm		
	125 days	146 days	175/185 days	Preterm + 6 days	Preterm + 14 days	Pre-term + 21 days
Proportion of abnormal glomeruli (%)	1.3	0.2	0.0	4.3	10.7	18.3
	1.2	0.0	0.0	6.5	6.1	1.4
	0.3	0.0	0.0			2.0
	2.4	0.0	0.0			2.6
			0.0			
Average	1.3 ± 0.4%	0.05 ± 0.05%	0.0 ± 0.0%	5.4 ± 1.1%	8.4 ± 2.3%	6.1 ± 4.1%

Animals from the 125 days gestation, 146 days gestation and Preterm + 21 days groups were all exposed to antenatal glucocorticoids.

Data presented as mean ± SEM

752 **Table 5.** The proportion of abnormal glomeruli in steroid exposed baboons (data as shown in Table 5) compared to unexposed controls.

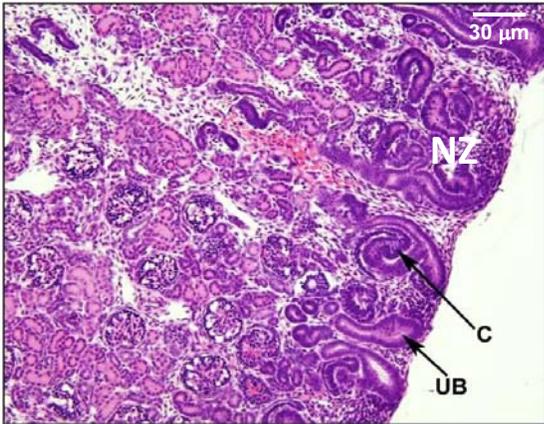
	125 days gestation -steroids	125 days gestation + steroids	Preterm + 21 days - steroids	Preterm + 21 days + steroids
Proportion of abnormal glomeruli (%)	0.2	1.3	0.2	18.3
	2.9	1.2	12.8	1.4
	0.6	0.3	0.2	2.0
	0.2	2.4	4.7	2.6
	0.5			
	0.0			
Average	0.7 ± 0.4 %	1.3 ± 0.4%	4.5 ± 3.0%	6.1 ± 4.1%

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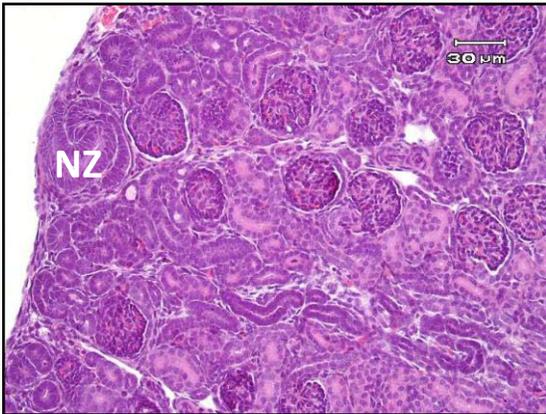
754 Data presented as mean ± SEM

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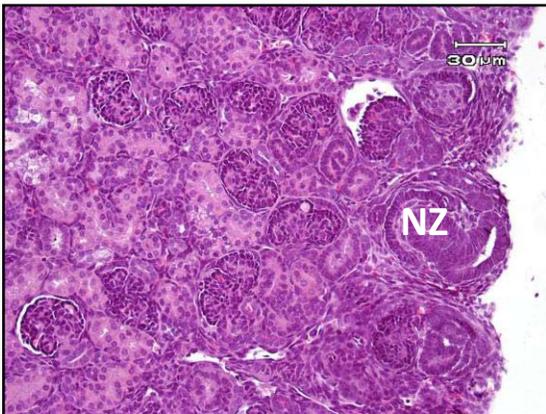
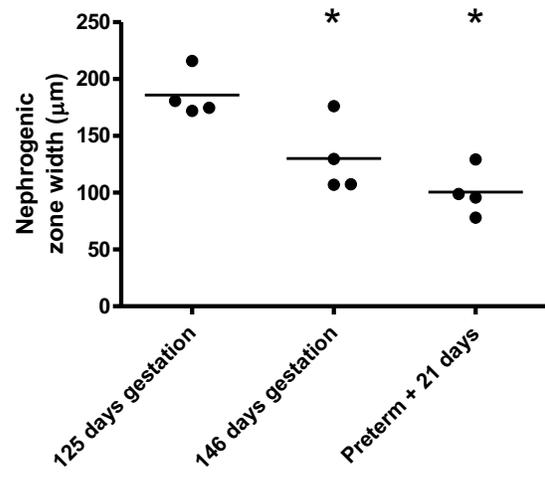
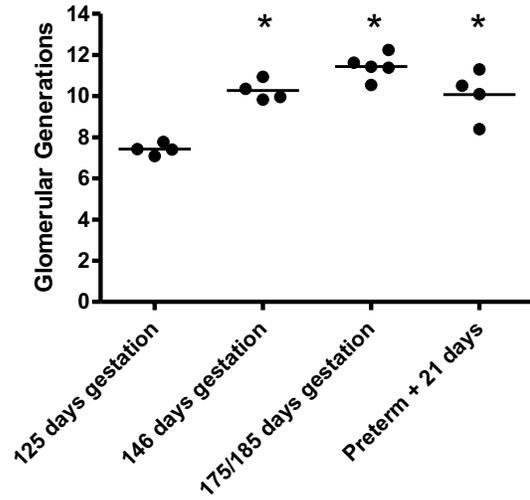
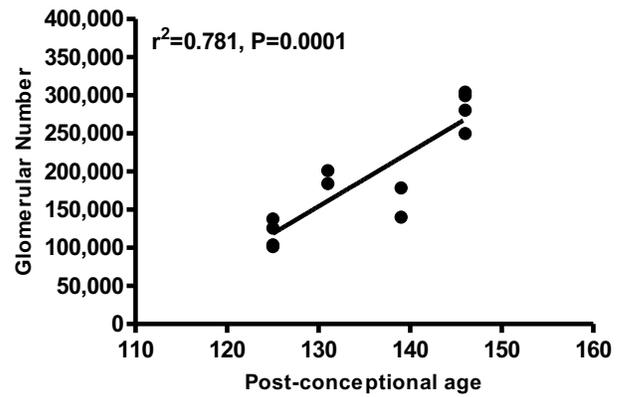
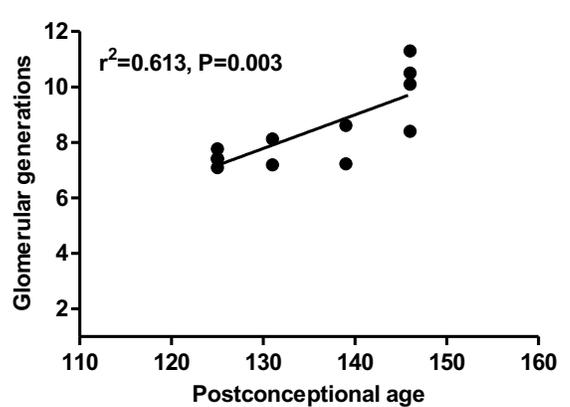
125 days gestation

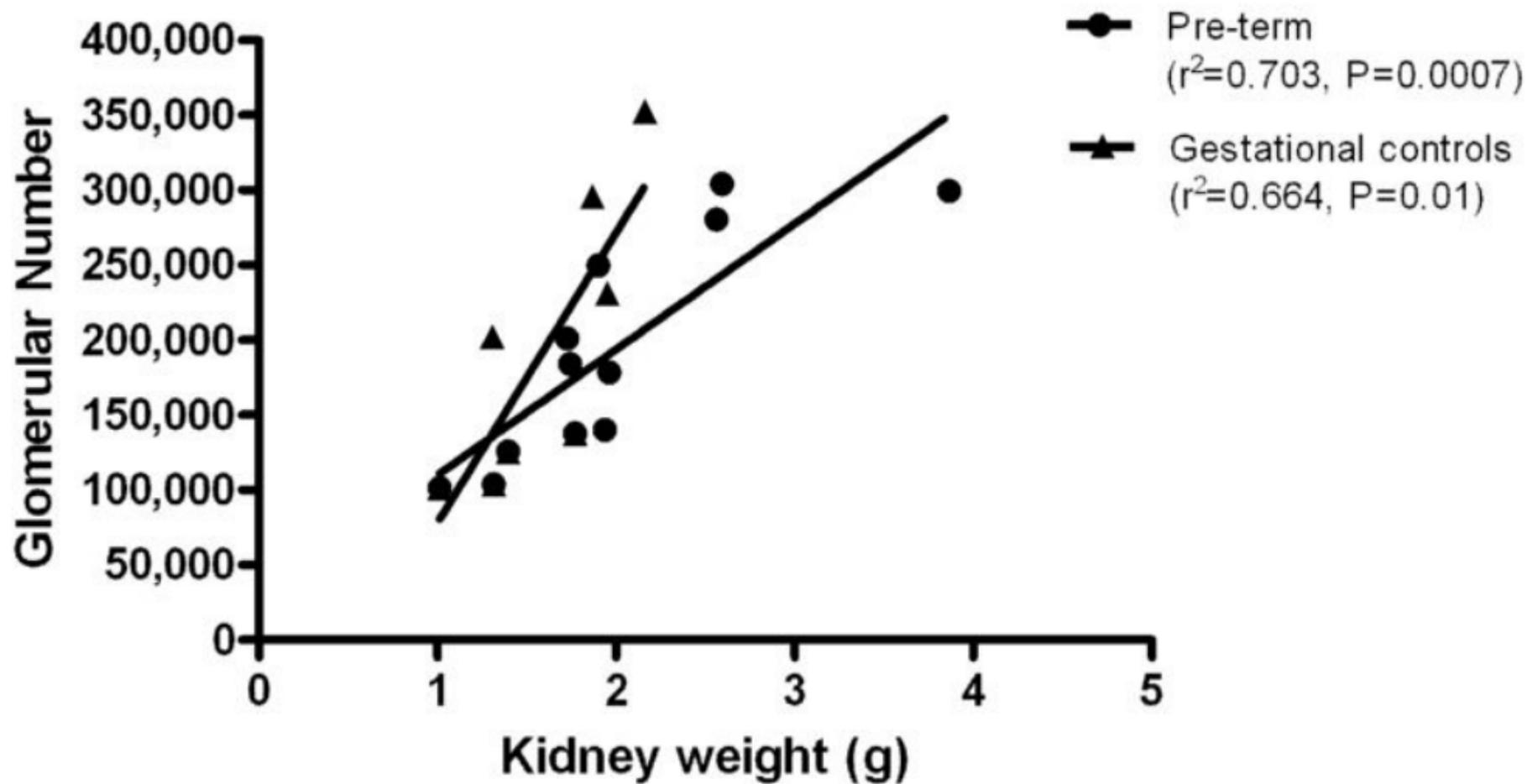


146 days gestation

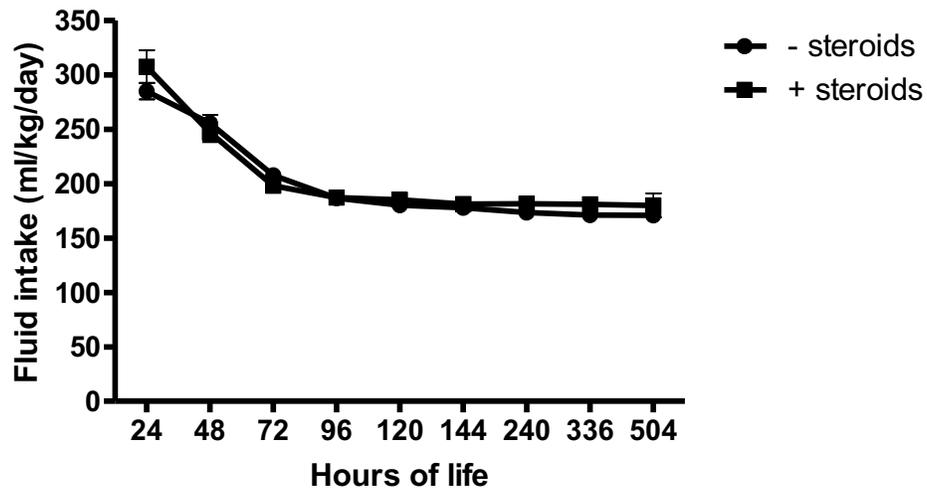


Pre-term + 21 days

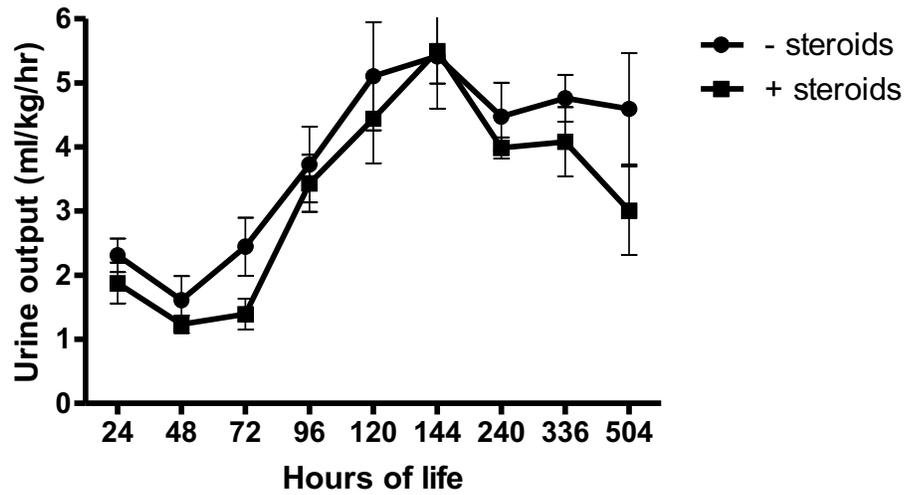
**B****C****D****E**



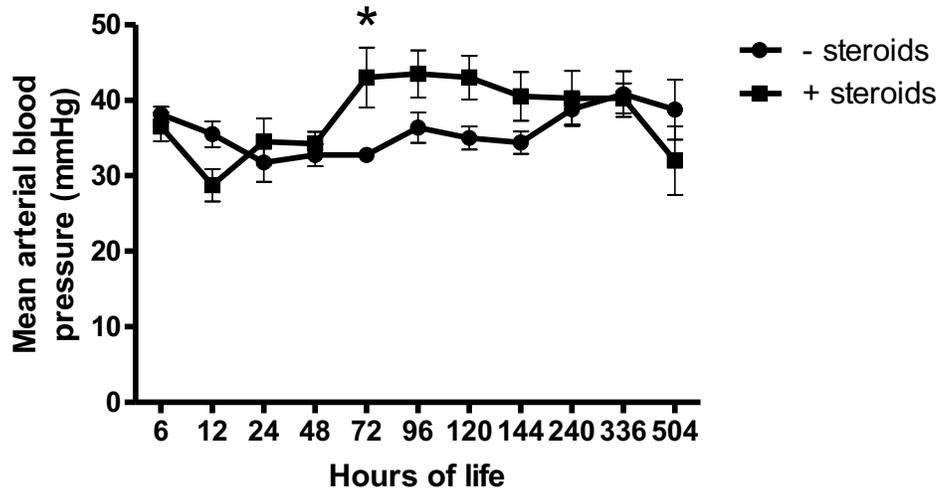
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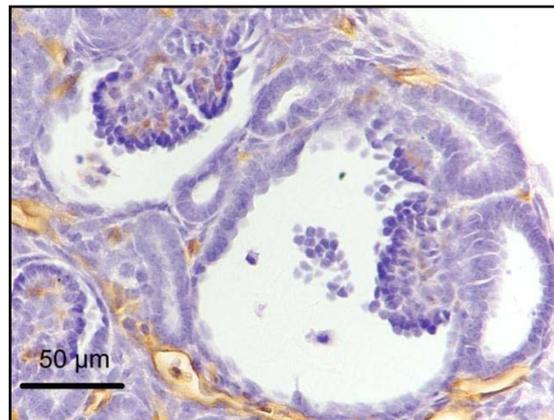
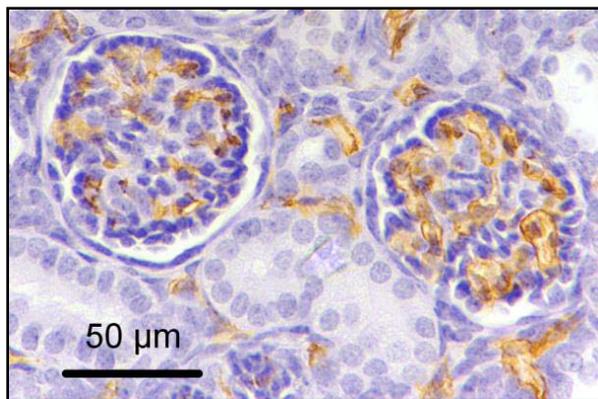
C



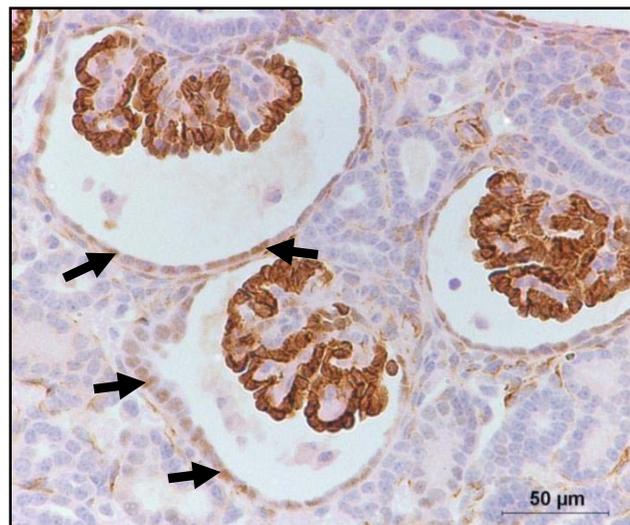
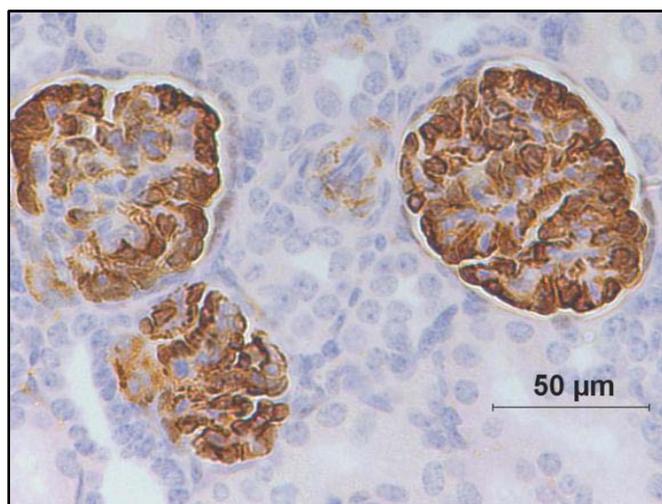
146 DAY GESTATIONAL
CONTROL

PRETERM + 21 DAYS

A



B



C

