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1 **Effects of caffeine on rate of force development: a meta-analysis**

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## 8 **Abstract**

9 This review aimed to conduct a meta-analysis of studies examining the effects of caffeine on  
10 rate of force development (RFD). Ten databases were searched to find relevant studies. Risk  
11 of bias (RoB) of the included studies was evaluated. Data were analyzed in a random-effects  
12 meta-analysis. Eleven studies with “some concerns” regarding RoB were included. In the  
13 main meta-analysis, there was a significant ergogenic effect of caffeine ingestion on RFD  
14 (Hedges’  $g = 0.37$ ; 95% confidence interval [CI]: 0.21, 0.52;  $p < 0.0001$ ). An ergogenic effect  
15 of caffeine was also found on RFD during resistance exercises (Hedges’  $g = 0.49$ ; 95% CI:  
16 0.30, 0.67;  $p < 0.0001$ ), but not during the countermovement jump test (Hedges’  $g = 0.18$ ;  
17 95% CI:  $-0.02, 0.39$ ;  $p = 0.08$ ), with a significant difference between the subgroups ( $p =$   
18  $0.03$ ). Small-to-moderate (3–5 mg/kg; Hedges’  $g = 0.25$ ; 95% CI: 0.09, 0.41;  $p = 0.002$ ) and  
19 moderate-to-high caffeine doses (6–10 mg/kg) enhanced RFD (Hedges’  $g = 0.57$ ; 95% CI:  
20 0.30, 0.85;  $p < 0.0001$ ), even though the effects were larger with higher caffeine doses ( $p =$   
21  $0.04$ ). Overall, caffeine ingestion increases RFD, which is relevant given that RFD is  
22 commonly associated with sport-specific tasks. From a practical perspective: (1) individuals  
23 interested in the acute enhancement of RFD in resistance exercise may consider  
24 supplementing with caffeine; and (2) given that evaluation of RFD is most commonly used  
25 for testing purposes, caffeine ingestion (3–10 mg/kg 60 min before exercise) should be  
26 standardized before RFD assessments.

27 **Key words:** ergogenic aids; supplements; data synthesis; exercise performance

## 28 1. Introduction

29 As its name suggests, rate of force development (RFD) denotes the: “rate of rise in contractile  
30 force at the onset of contraction”.<sup>1</sup> RFD has become increasingly popular for evaluating  
31 “explosive” strength of athletes and older adults.<sup>2</sup> RFD is an interesting metric for athletes as  
32 it is commonly associated with different sport-specific tasks.<sup>2,3</sup> For example, in a study  
33 among rugby union players, RFD was correlated with jump height and sprint performance ( $r$   
34 = 0.54–0.61).<sup>3</sup> Furthermore, several other sports movements, such as changes of direction,  
35 throws, and kicks, are related to RFD as they commonly include contraction times shorter  
36 than 250 ms.<sup>4</sup> This muscular quality is also of relevance in older adults, given that RFD may  
37 be important for balance control, reducing the incidence of falls, and performance of various  
38 daily activities (e.g., stair walking, rising from a chair).<sup>2,5</sup> While outcomes such as maximal  
39 force production are also relevant, these findings highlight the importance of RFD in sport  
40 and activities of daily living.

41

42 Caffeine is a highly popular supplement with well-established performance-enhancing  
43 effects.<sup>6</sup> Estimates suggest that caffeine is consumed by 75% of athletes competing at the  
44 Olympic Games, likely due to its ergogenic potential.<sup>7</sup> Meta-analyses have reported that  
45 caffeine ingestion enhances muscular strength (i.e., maximum force production), albeit these  
46 effects tend to be trivial (Hedges’  $g$ : 0.16–0.20).<sup>8-12</sup> While caffeine is ergogenic for muscular  
47 strength, its effects on RFD are less clear. Several studies have explored the effects of caffeine  
48 on RFD, with equivocal findings.<sup>13-15</sup> For example, Behrens et al.<sup>13</sup> reported that caffeine  
49 ingestion (8 mg/kg) increased RFD during knee extensions by 18%. A more recent study  
50 explored the effects of caffeine ingestion (4 mg/kg) on RFD in a cohort of 15 resistance-  
51 trained females.<sup>14</sup> Here, there was no significant difference between caffeine and placebo.  
52 Still, when examining the data, it can be observed that the effects favored the caffeine  
53 condition by 15%. This might suggest that some studies on this topic might have been  
54 statistically underpowered to find a significant difference, leading to a type II error.

55

56 One way to overcome the limitation of underpowered trials is to pool the data from different  
57 studies in a meta-analysis. In their consensus statement on dietary supplements, the  
58 International Olympic Committee placed meta-analysis at the top of the evidence base  
59 pyramid, highlighting its relevance in this field of research.<sup>16</sup> Still, as of date, no meta-

60 analyses explored the effects of caffeine ingestion on RFD. Such an analysis would be  
61 important to perform given: (i) the importance of RFD for different populations, including  
62 athletes; (ii) the high prevalence of caffeine supplementation in athletes; and (iii) the  
63 equivocal findings previously reported on caffeine's effects on RFD. Therefore, this review  
64 aimed to conduct a meta-analysis of studies exploring the effects of caffeine on RFD.

65

## 66 **2. Methods**

### 67 **2.1 Search strategy**

68 To find studies that explored the effects of caffeine on RFD, a search through ten different  
69 databases was performed, including: Academic Search Elite, Cochrane Library, CINAHL,  
70 ERIC, Networked Digital Library of Theses and Dissertations, OpenDissertations,  
71 PubMed/MEDLINE, Scopus, SPORTDiscus, and Web of Science. In all of these databases,  
72 the following search syntax (or equivalent) was used: ("caffeine" OR "coffee") AND ("rate of  
73 force development" OR "rate of torque development" OR "RFD" OR "RTD"). For example,  
74 in PubMed/MEDLINE, the search syntax was as follows: ("caffeine"[Mesh] OR  
75 "coffee"[Mesh]) AND ("rate of force development"[tw] OR "rate of torque development"[tw]  
76 OR "RFD"[tw] OR "RTD"[tw]). The search through the databases was performed on  
77 September 24<sup>th</sup>, 2021. After completing the search through the databases, secondary searches  
78 were performed. Secondary searches included screening the references list of all included  
79 studies (i.e., backward citation tracking) and examining the studies that cited the included  
80 studies (i.e., forward citation tracking) through Google Scholar.

81

### 82 **2.2 Inclusion criteria**

83 For this review, studies that satisfied the following criteria were included: (1) examined the  
84 effects of caffeine ingestion on RFD; (2) used a crossover and placebo-controlled study  
85 design; and (3) included humans as study participants. All of the studies that did not satisfy  
86 these criteria were excluded from this review.

87

### 88 **2.3 Data extraction**

89 From all included studies, the following data were extracted: (1) lead author name and year of  
90 publication; (2) participants characteristics; (3) protocol of caffeine ingestion (e.g., dose, the  
91 timing of ingestion); (4) RFD test; and (5) mean  $\pm$  standard deviation RFD values following  
92 placebo and caffeine ingestion. Several studies presented mean  $\pm$  standard deviation data in  
93 figures. For these studies,<sup>13, 17, 18, 19</sup> the Web Plot Digitizer software was used to extract the  
94 necessary data. Standard errors presented in two studies<sup>18, 19</sup> were converted to standard  
95 deviation.

96

## 97 **2.4 Risk of bias and quality of evidence**

98 The risk of bias (RoB) of the included studies was evaluated using the RoB 2 tool with  
99 additional considerations for crossover trials.<sup>20</sup> This tool evaluates RoB in six different  
100 domains, including: domain 1—bias arising from the randomization process; domain S—bias  
101 arising from period and carryover effects; domain 2—bias due to deviations from intended  
102 intervention; domain 3—bias due to missing outcome data; domain 4—bias in measurement  
103 of the outcome; domain 5—bias in selection of the reported result. Per recommendations,  
104 each domain and the overall evaluation of RoB for a given study was classified as “low risk”,  
105 “some concerns” or “high risk”.<sup>20</sup> The quality of evidence was evaluated on the meta-analysis  
106 level, using the Grading of Recommendations Assessment, Development and Evaluation  
107 (GRADE) principles. The following GRADE aspects were evaluated: (1) RoB; (2)  
108 inconsistency; (3) indirectness; (4) imprecision; and (5) publication bias.<sup>6, 21</sup> Based on these  
109 criteria, the meta-analytical evidence was classified as high, moderate, low, or very low. All  
110 stages of the review (i.e., search process, data extraction, and quality assessment) were  
111 performed independently by the two authors of the review to minimize potential bias.

112

## 113 **2.5 Statistical analysis**

114 Meta-analyses were performed using Hedges' *g* effect sizes (ES). ES values and their 95%  
115 confidence intervals [CI] were calculated using the RFD performance mean  $\pm$  standard  
116 deviation data from the placebo and caffeine trials (i.e., difference in means divided by the  
117 pooled standard deviation), total sample size, and inter-trial correlation. Given that correlation  
118 values were not reported in the included studies, we requested these data from the  
119 corresponding authors. We obtained correlations from five studies, ranging from 0.49–0.82

120 (median  $r = 0.66$ ). The median correlation was used for studies without correlations. In the  
121 main meta-analysis, the data from all available studies were pooled. One study<sup>19</sup> used two  
122 caffeine doses, 5 mg/kg and 10 mg/kg. For this study, the RFD values following the ingestion  
123 of 5 mg/kg were used in the main meta-analysis, as this is more closely related to currently  
124 recommended doses of caffeine (i.e., 2–6 mg/kg).<sup>12, 22</sup> Still, a sensitivity analysis was also  
125 performed, in which the RFD values following the ingestion of 10 mg/kg were used. An  
126 additional sensitivity analysis was performed by excluding one study<sup>18</sup> that included older  
127 adults as participants, given that all other studies were performed among young adults. In  
128 addition to the main meta-analysis, subgroup analyses were performed. One subgroup  
129 analysis explored the effects of caffeine on RFD during resistance exercises (i.e., mid-thigh  
130 pull, knee extension, or elbow flexion) vs. RFD during the countermovement jump test  
131 (CMJ). To explore the influence of caffeine dose, subgroup analyses examined the effects of  
132 caffeine consumed in low-to-moderate doses (3–5 mg/kg) vs. moderate-to-high doses (6–10  
133 mg/kg). ESs were interpreted using the following thresholds: trivial ( $<0.20$ ), small (0.20–  
134 0.49), medium (0.50–0.79), and large ( $\geq 0.80$ ). All meta-analyses were performed using the  
135 random-effects model. Heterogeneity was explored using the  $I^2$  statistic—interpreted as low  
136 ( $<50\%$ ), moderate (50–75%), and high heterogeneity ( $>75\%$ ). Publication bias was performed  
137 by examining the asymmetry of the funnel plot, even though this was performed only in the  
138 main meta-analysis, given that all other analyses included less than ten studies.<sup>23</sup> The  
139 statistical significance threshold was set at  $p < 0.05$ . All analyses were performed using the  
140 Comprehensive Meta-Analysis software, version 2 (Biostat Inc., Englewood, NJ, USA)

141

### 142 **3. Results**

#### 143 **3.1 Search results**

144 In the primary search, there was a total of 178 results. From this pool of references, 16 full-  
145 text papers were read and 10 studies were included. In the backward citation tracking, there  
146 were 447 search results, but this search did not result in the inclusion of any additional  
147 studies. In the forward citation tracking, there were 197 search results and one additional  
148 study<sup>15</sup> that satisfied the inclusion criteria. Therefore, a total of 11 studies<sup>13-15, 17-19, 24-28</sup> were  
149 included in this review (Figure 1).

150

### 151 3.2 Summary of studies

152 The number of included participants per study ranged from 10–25 (median: 13 participants).  
153 The pooled number of participants across all included studies was 154 (94 male and 60  
154 female participants). Most studies included young adults as participants, that were resistance-  
155 trained or athletes competing in sports such as Jiu-Jitsu and volleyball. One study<sup>18</sup> was  
156 performed in a cohort of 12 older adults (age:  $72 \pm 4$  years). Studies used different caffeine  
157 doses, including 3 mg/kg (2 studies), 4 mg/kg (1 study), 5 mg/kg (4 studies), 6 mg/kg (2  
158 studies), 7 mg/kg (1 study), and 8 mg/kg (1 study) and (10 mg/kg 1 study). Most studies  
159 provided caffeine supplementation 60 min before exercise, with two studies using 45 min  
160 before exercise. Eight studies evaluated RFD during different resistance exercises (e.g.,  
161 isometric mid-thigh pull, isokinetic knee extension), while three studies assessed RFD during  
162 CMJ. Ten studies used a double-blind design and one study used a single-blind design (Table  
163 1).

164

### 165 3.3 RoB

166 Studies scored “low risk” in domains S, 2, 3, and 4. However, in domains 1 and 5 the  
167 classification for all included studies was “some concerns”. Therefore, the overall RoB of the  
168 included studies was classified as having “some concerns” (Table 2).

169

### 170 3.4 Meta-analysis and quality of evidence

171 In the main meta-analysis, there was a significant ergogenic effect of caffeine ingestion on  
172 RFD (ES = 0.37; 95% CI: 0.21, 0.52;  $p < 0.0001$ ;  $I^2 = 18\%$ ; Figure 2). There was no evidence  
173 of publication bias. The sensitivity analyses did not influence the pooled results. The quality  
174 of evidence was classified as moderate.

175

176 In the subgroup meta-analysis that explored the effects of caffeine on RFD during resistance  
177 exercises, there was a significant ergogenic effect of caffeine ingestion (ES = 0.49; 95% CI:  
178 0.30, 0.67;  $p < 0.0001$ ;  $I^2 = 0\%$ ; Figure 3). The quality of evidence was classified as low. In  
179 the subgroup meta-analysis that explored the effects of caffeine on RFD during CMJ tests,  
180 there was no significant difference between caffeine and placebo (ES = 0.18; 95% CI: -0.02,

181 0.39;  $p = 0.08$ ;  $I^2 = 0\%$ ; Figure 4). The quality of evidence was classified as low. A significant  
182 difference was found between the subgroups ( $p = 0.03$ ).

183

184 In the subgroup meta-analysis that explored the effects of small-to-moderate doses of caffeine  
185 on RFD, there was a significant ergogenic effect of caffeine ingestion (ES = 0.25; 95% CI:  
186 0.09, 0.41;  $p = 0.002$ ;  $I^2 = 0\%$ ). The quality of evidence was classified as very low. In the  
187 subgroup meta-analysis that explored the effects of moderate-to-high doses of caffeine on  
188 RFD, there was a significant ergogenic effect of caffeine ingestion (ES = 0.57; 95% CI: 0.30,  
189 0.85;  $p < 0.0001$ ;  $I^2 = 0\%$ ). The quality of evidence was classified as low. A significant  
190 difference was found between the subgroups ( $p = 0.04$ ).

191

#### 192 **4. Discussion**

193 The main finding of this meta-analysis is that caffeine ingestion has a significant ergogenic  
194 effect on RFD. Subgroup meta-analyses found that this ergogenic effect was also present  
195 when considering studies that evaluated RFD during resistance exercises. However, there was  
196 no significant difference between caffeine and placebo for RFD recorded during CMJ.

197 Additionally, an ergogenic effect of caffeine was found in subgroup analysis that included  
198 studies providing small-to-moderate (3–5 mg/kg) and moderate-to-high doses of caffeine (6–  
199 10 mg/kg), even though the effects were higher with larger doses of caffeine. The quality of  
200 evidence ranged from moderate to very low. From a practical perspective, there are two main  
201 conclusions from the presented data. Individuals interested in the acute enhancement of RFD  
202 in resistance exercise may consider supplementing with caffeine. Additionally, given that  
203 evaluation of RFD is most commonly used for testing purposes, caffeine ingestion in doses  
204 from 3–10 mg/kg 60 min before exercise should be standardized before RFD assessments.

205

206 The findings that caffeine ingestion enhances RFD may be of substantial practical importance  
207 as RFD is associated with several aspects of athletic performance.<sup>1-3</sup> Accordingly, the increase  
208 in RFD following caffeine ingestion might partially explain some of the positive results  
209 shown for the effect of caffeine supplementation on jump height, sprint, and agility  
210 activities.<sup>9, 29, 30</sup> However, differential effects of caffeine were observed for RFD recorded  
211 during resistance exercises vs. RFD recorded during CMJ. Still, the pooled data for caffeine's

212 effects on RFD during CMJ should be interpreted with caution as only three studies ( $n = 51$ )  
213 were included. One of these three studies actually reported an increase in RFD during CMJ,  
214 suggesting that a possible effect still might exist in the population.<sup>25</sup> The variation in effects  
215 reported among the included studies might be due to the test-retest reliability of RFD. Several  
216 studies explored the test-retest reliability of RFD during CMJ and reported that RFD is much  
217 less reliable than outcomes such as jump height, as its coefficient of variation (CV) ranged  
218 from 13–24%.<sup>31, 32</sup> The high CV might have contributed to increased type II error rates, which  
219 could also explain the lack of significant effects in this analysis.<sup>33</sup> Overall, it can be concluded  
220 that caffeine ingestion increases RFD and that future studies should directly explore caffeine's  
221 influence on RFD during different jumping, isometric, and isokinetic tests to establish if these  
222 effects are indeed task-dependent.

223

224 In subgroup analyses for caffeine dose, an ergogenic effect was found when consuming small-  
225 to-moderate and moderate-to-high doses. However, we also found a significant difference  
226 between the subgroups, as the ES was larger when consuming moderate-to-high doses.  
227 Previous studies that examined the dose-response effects of caffeine on movements with short  
228 contraction times (e.g., mean velocity in resistance exercise) also reported that higher doses of  
229 caffeine (i.e., 9 mg/kg) are needed for an ergogenic effect.<sup>34</sup> However, one important  
230 limitation needs to be considered before making conclusions about the dose-response effects  
231 of caffeine from the findings presented herein. All three studies<sup>25, 27, 28</sup> that evaluated the  
232 effects of caffeine on RFD during CMJ used doses from 3–5 mg/kg and were included in the  
233 small-to-moderate dose subgroup analysis. This is important, as there was no significant  
234 difference between caffeine and placebo for RFD in CMJ. Subsequently, their inclusion might  
235 have confounded the analysis for the effects of small-to-moderate caffeine doses on RFD.  
236 However, the direction of this effect is not yet clear, as it might be that caffeine did not  
237 influence RFD in CMJ because of the smaller doses consumed in these studies. Ultimately,  
238 future dose-response studies are needed to provide further insights into the effects of caffeine  
239 dose on RFD in CMJ and resistance exercise.

240

241 One of the likely determinants of RFD is motor unit recruitment.<sup>1, 35</sup> This is relevant to  
242 consider, given that caffeine ingestion has been reported to increase motor unit recruitment.<sup>36</sup>  
243 For example, in one study, motor unit recruitment of the knee extensors during maximal

244 contractions increased following the ingestion of 5 mg/kg of caffeine.<sup>36</sup> Therefore, this  
245 caffeine-induced increase in motor unit recruitment may explain its ergogenic effects on  
246 RFD.<sup>36</sup> Interestingly, the increase in motor unit recruitment appears to be more pronounced in  
247 larger (e.g., knee extensors) vs. smaller (e.g., elbow flexors) muscle groups.<sup>8, 36</sup> Indeed, one of  
248 the included studies<sup>19</sup> evaluated RFD of the elbow flexors and did not report an ergogenic  
249 effect of caffeine. In contrast, such an effect was generally observed in studies<sup>13, 15</sup> that  
250 focused on the knee extensors. Similar data have been previously observed for caffeine's  
251 effects on muscular strength.<sup>8, 10</sup> However, given that the included studies evaluated RFD of  
252 only one muscle group, future studies should directly compare the effects of caffeine on RFD  
253 of different muscle groups.

254

255 Besides motor unit recruitment, it seems likely that the cross-bridge cycling rate influences  
256 RFD.<sup>37</sup> Cross-bridge cycling rate is calcium ion ( $\text{Ca}^{2+}$ ) dependent.<sup>38</sup> There is a plethora of data  
257 suggesting that caffeine application influences  $\text{Ca}^{2+}$  release (for a detailed review, see the  
258 work by Tallis and colleagues).<sup>39</sup> For example, one study<sup>40</sup> applied caffeine to isolated single  
259 fibers of mouse skeletal muscle and reported that  $\text{Ca}^{2+}$  release increased in the presence of  
260 caffeine both in the resting muscle and during tetanic stimulation. Collectively, it appears that  
261 caffeine consumption influences  $\text{Ca}^{2+}$  release, which might impact the cross-bridge cycling  
262 rate and hence, RFD.<sup>37-40</sup> However, it should also be mentioned that the caffeine's effects on  
263  $\text{Ca}^{2+}$  release are currently only observed in studies using animal models and supra-  
264 physiological doses of caffeine.<sup>41</sup> Thus, the generalization of these findings to the effects of  
265 caffeine observed in humans is speculative. Future studies are needed to explore the  
266 mechanisms underpinning the caffeine-induced increase in RFD.

267

268 There are several limitations of the present review that need to be mentioned. One is related to  
269 the limitations among the included studies, as they were classified as having "some concerns"  
270 regarding RoB. Specifically, none of the included studies provided details on the allocation  
271 concealment. Additionally, the study protocol and the planned analyses were also not pre-  
272 registered. These aspects, therefore, should be considered in future studies on the topic.  
273 Asymmetry of the funnel plot was only explored in the main meta-analysis, given that only  
274 this analysis included ten or more studies.<sup>24</sup> Therefore, the extent of possible publication bias  
275 in all other analyses remains unclear. Still, it should be considered that this review performed

276 a search through databases indexing published and unpublished documents. Due to the file  
277 drawer effect, studies that report larger and significant effects tend to be published more  
278 often. However, seven<sup>14, 20, 25, 26, 27, 28, 29</sup> out of the 11 included studies did not report an  
279 ergogenic effect of caffeine on RFD, even though all of them were published. Collectively, it  
280 does not seem that the results of this review are affected by publication bias, even though this  
281 cannot be fully excluded.

282

283 An additional limitation of this review is related to inherent difficulties in evaluating RFD. As  
284 mentioned previously, several studies explored the reliability of RFD during CMJ and they  
285 reported a high CV.<sup>31, 32</sup> It seems that the CV is higher for shorter contraction times, as one  
286 study reported CV values of 12.8%, 5.3%, and 4.5% for RFD recorded during 0–50 ms, 0–  
287 100 ms, and 0–150 ms, respectively.<sup>42</sup> Among the included studies, some evaluated RFD  
288 during 0–200 ms, while others used 0–100 ms.<sup>18, 26</sup> Due to these differences, the random-  
289 effects model was used in the meta-analysis, which accounts for the inherent variation in the  
290 methodological approaches between studies that could influence the treatment effect.<sup>43</sup>  
291 Nevertheless, future studies are needed to explore the effects of caffeine on RFD across  
292 different contraction times. While several methodological aspects may improve reliability  
293 (e.g., a familiarization session, instructions provided to the participants, collecting data from  
294 multiple contractions), more work is needed to establish a highly reliable protocol for  
295 assessing RFD.<sup>2</sup>

296

## 297 **5. Perspectives**

298 The present meta-analysis found that caffeine ingestion enhances RFD. An ergogenic effect of  
299 caffeine on RFD was found in resistance exercise but not in the CMJ test. Additionally,  
300 ingesting higher doses of caffeine appear to produce greater ergogenic effects. Even though it  
301 is generally believed that the effects of caffeine are the greatest in prolonged duration,  
302 endurance-based activities, the results presented herein demonstrate an ergogenic effect of  
303 caffeine on RFD, which involves very short contraction times.<sup>2, 6, 22</sup> As RFD is commonly  
304 associated with different sport-specific tasks, the caffeine-induced increase in RFD may also  
305 explain some of the previous findings on the ergogenic effects of this supplement on sprint,  
306 agility, and ballistic exercise performance.<sup>9, 29, 30, 44</sup> The improvement in RFD following  
307 caffeine supplementation is likely to be practically relevant, given the recent findings that

308 resistance training performed for 6–8 weeks (on average) increases isometric RFD by a  
 309 similar magnitude (ES = 0.35–0.58) as caffeine supplementation (ES = 0.37–0.57).<sup>45</sup>

310

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