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This is the Published version of the following publication

Niazi, FK, Umer, Malik Adeel, Ahmed, Ashfaq, Hafeez, MA, Khan, Z, Butt, MS, Razzaq, Abdul, Luo, X and Park, Young-Kwon (2021) Nanoporous alumina membranes for sugar industry: An investigation of sintering parameters influence on ultrafiltration performance. *Sustainability*, 13 (14). ISSN 2071-1050

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## Article

# Nanoporous Alumina Membranes for Sugar Industry: An Investigation of Sintering Parameters Influence on Ultrafiltration Performance

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**Citation:** Niazi, F.K.; Umer, M.A.; Ahmed, A.; Hafeez, M.A.; Khan, Z.; Butt, M.S.; Razzaq, A.; Luo, X.; Park, Y.-K. Nanoporous Alumina Membranes for Sugar Industry: An Investigation of Sintering Parameters Influence on Ultrafiltration Performance. *Sustainability* **2021**, *13*, 7593. <https://doi.org/10.3390/su13147593>

Academic Editors: Changhyun Roh, Attila Gere and Marc A. Rosen

Received: 5 June 2021  
Accepted: 3 July 2021  
Published: 7 July 2021

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**Abstract:** Ultrafiltration membranes offer a progressive and efficient means to filter out various process fluids. The prime factor influencing ultrafiltration to a great extent is the porosity of the membranes employed. Regarding membrane development, alumina membranes are extensively studied due to their uniform porosity and mechanical strength. The present research work is specifically aimed towards the investigation of nanoporous alumina membranes, as a function of sintering parameters, on ultrafiltration performance. Alumina membranes are fabricated by sintering at various temperatures ranging from 1200–1300 °C for different holding times between 5–15 h. The morphological analysis, conducted using Scanning electron microscopy (SEM), revealed a homogeneous distribution of pores throughout the surface and cross-section of the membranes developed. It was observed that an increase in the sintering temperature and time resulted in a gradual decrease in the average pore size. A sample with an optimal pore size of 73.65 nm achieved after sintering at 1250 °C for 15 h, was used for the evaluation of ultrafiltration performance. However, the best mechanical strength and highest stress-bearing ability were exhibited by the sample sintered at 1300 °C for 5 h, whereas the sample sintered at 1250 °C for 5 h displayed the highest strain in terms of compression. The selected alumina membrane sample demonstrated excellent performance in the ultrafiltration of sugarcane juice, compared to the other process liquids.

**Keywords:** Ultrafiltration; nanoporous membrane; sintering; time-motion study; sugar industry

## 1. Introduction

Porous materials, such as porous silica [1], TiO<sub>2</sub> nanotubes [2–4], and porous alumina [5], have attracted significant interest due to their superior properties. These materials have been extensively explored for use in various applications, including catalysis, healthcare, gas separation, optics, energy, drug delivery, and filtration membranes [6–10]. A membrane is a selective barrier that permits particular molecules/ions to pass through by a combination of diffusion and/or sieving mechanisms [11]. Membranes can be polymeric or ceramics and can be permeable, semi-permeable, and impermeable [12]. Currently, polymers are the leading candidate materials for membranes, but they possess a variety of limitations, such as low fluxes, a low mechanical strength, a proclivity to bio-fouling, and a restricted chemical and thermal stability [13]. In all applications, where the use of

polymeric membranes is unsuitable and inapplicable, ceramics membranes are used due to their higher mechanical strength, superior thermal, chemical stability [14,15], corrosion resistance [16], and high-pressure resistance [17].

Ceramic membranes have reportedly been fabricated from inorganic materials, including oxides, such as titania ( $\text{TiO}_2$ ) [18], zirconia ( $\text{ZrO}_2$ ) [19], and alumina ( $\text{Al}_2\text{O}_3$ ) [20], and non-oxide materials, such as silicon nitride and silicon carbide [21]. Among these, alumina membranes are widely preferred due to their lower cost, easier fabrication, reverse cleaning ability, high trans-membrane pressures, and high mechanical strength [22]. Due to the lower sensitivity of re-hydroxylation, the covalent bonding of the membranes permits the utilization of strong chemicals as reported in the literature [23,24]. Alumina membranes also possess hydrophilic properties due to the presence of hydroxyl (OH) groups, which support water adsorption and prevent the growth of microorganisms on the membrane surface [25]. These properties make alumina a choice of material for microfiltration and ultrafiltration applications, including wastewater treatment [16], biotechnology, increasing the concentration of bio-macromolecules, protein separation [26], hydrogen separation [27], and food processing [28]. Alumina membranes can be fabricated by extrusion, slip casting, and powder pressing [29]. The sugar industry faces several problems in the filtration of various liquids due to the use of conventional filtration processes [30]. During conventional filtration, an inadequate removal of substances, such as gum, silica, ash, among others, during clarification leads to a compromise in the quality and colour of the final product. Additionally, a greater number of steps are required to refine the sugar completely, thus consuming higher amounts of energy. Ultrafiltration membranes find a use in different areas in the sugar industry. Some of these areas include the ultrafiltration treatment of juice after the addition of milk of lime, ultrafiltration treatment after evaporation, and ultrafiltration treatment of raw sugarcane juice [31–33]. The ultrafiltration of sugarcane juice through ceramic membranes, after liming, is considered a relatively new concept in sugar industries, belonging to developing countries. This process offers several advantages in terms of the clarification and concentration of the turbid and multi-component sugarcane juice solution [34–36]. These advantages include complete purification by the removal of substances with high molecular weights (fat, starch waxes, and bacteria), better filtration before evaporation, and a significant increment in the quality of the product. Lee et. al. [28] reported a 53% increase in the discoloration of sugar syrup using ceramic ultrafiltration in comparison to the conventional refining process. The higher temperature and chemical stability, low maintenance requirements, and fouling resistance of ceramic membranes allow for longer life cycle costs associated with infiltration systems. A lot of useful literature can be found that reports the effects of different materials and dopants on the mechanical, filtration, and anti-fouling properties of ceramic membranes, and fundamental studies that focus on the effects of sintering parameters on the mechanical and filtration performance of alumina membranes are hard to find.

Therefore, this work aims to investigate the effects of processing parameters on the ultrafiltration performance of nanoporous alumina membranes for the sugar industry. Alumina membranes were fabricated through pressureless sintering at various temperatures for different soaking times. The results of scanning electron microscopy (SEM) were used to evaluate the microstructure of the fabricated membranes. Compression and micro-vickers hardness tests were performed to analyse the mechanical properties. A time-motion study and flow rate testing were also carried out by passing sugarcane, distilled water, tap water, and muddy water through the fabricated membranes.

## 2. Materials and Methods

### 2.1. Material and Its Characterization

The alumina nano-powder was obtained from Chengdu Best New Materials Co. Ltd. Chengdu, China. The morphology and particle size of the obtained alumina nano-powder were analyzed by SEM (*Tescan Vega3, France*). To validate the particle size, particle size analysis (PSA) was performed using a laser scattering particle size analyzer (Horiba Laser scattering particle size distribution analyzer LA-920, Japan). XRD analysis was also performed to validate the purity of the alumina nano-powder through an X-ray diffractometer (STOE Theta/Theta, Germany), equipped with  $K_{\beta}$  radiation and fluorescence filters, using  $CuK_{\alpha}$  radiations of wavelength 1.5418 Å. A step scan mode, with a step size of 0.020 and a scan rate of 0.1 s, was used to obtain the diffraction patterns in the  $2\theta$  range of  $20^{\circ}$ – $70^{\circ}$ . The XRD spectrum was interpreted by the *Xpert High Score Plus* software.

### 2.2. Fabrication of Aluminamembranes

Alumina nano-powder with a mean size of 50 nm was used to fabricate alumina membranes. Green compacts of alumina powder were prepared by pressing 1.5 g of alumina nano-powder in a mild steel die with low tolerances under a uniaxial pressure of 150 MPa for 1 min through a hydraulic press (Viv Tek, CIP T20-E, USA) into dimensions of 25.4 mm diameter and 2 mm thickness. The green compacts were then sintered in a muffle furnace (Nobertherm GmbH, Germany) at various temperatures and soaking times, as shown in Table 1. After sintering, all samples were cooled to room temperature and prepared for subsequent characterization.

**Table 1.** Compressive properties of alumina membranes, fabricated by sintering.

Sample ID	Max Stress (MPa)	Max Strain (%)
1200-5 h	0.206	2.404
1250-5 h	0.141	3.694
1250-15 h	0.373	2.195
1300-5 h	0.4881	2.272

### 2.3. Scanning Electron Microscopy

To analyze their morphology using SEM, all the fabricated alumina samples were prepared by polishing on diamond paste coated (1 and 0.25  $\mu$ m) nylon and velvet cloths using an electric polisher (Ecomet 250 Grinder/Polisher USA). Before examining the surface morphologies, the samples were gold sputtered (purity 99.99%, thickness 15 nm) to obtain high-quality micrographs. The gold-sputtered samples were then analyzed (*Tescan Vega 3, France*), and micrographs were captured from both the surface and cross-section at  $5000\times$  magnification. The pore sizes at both the surface and cross-section of the alumina membranes were also measured using software (*Digital micrograph*).

### 2.4. Compression Testing

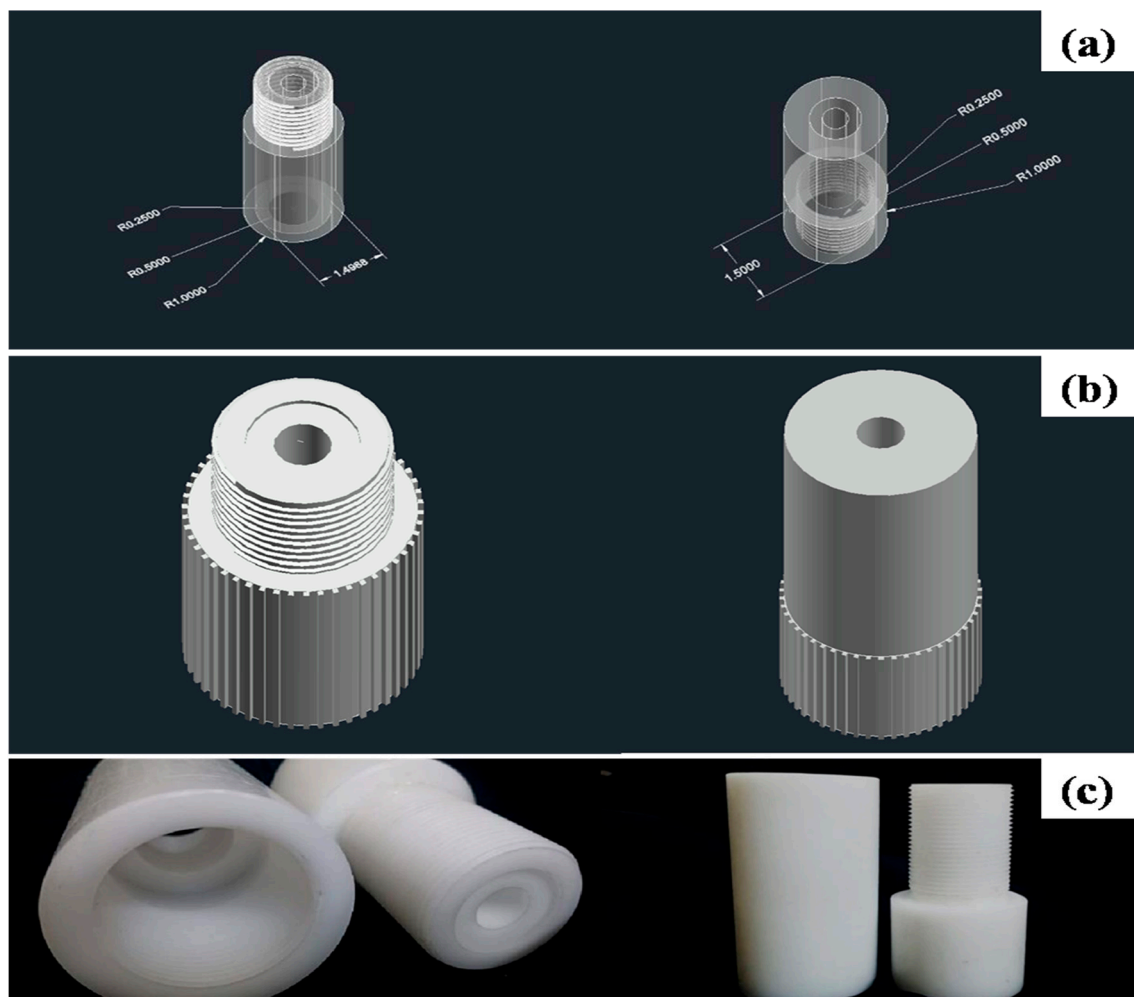
To evaluate the effect of applied pressure during ultrafiltration, compression testing was performed on all the fabricated samples. Compression testing was carried out on samples with dimensions that adhere to the ASTM B-925 standard using a universal testing machine (Tinius Olsen, Super 602L, UK), equipped with a 300 KN load cell and extensometer, at a strain rate of 0.005 mm/min.

### 2.5. Micro Vickers Hardness Testing

Vickers hardness testing was performed on all samples, ground, and polished by the aforementioned procedure. The tests were carried out on a micro Vickers hardness tester (Tinius Olsen, FH-006, UK), equipped with a diamond indenter, under a load of 1 kg (ASTM E 92), applied for 10 sec. Five readings were taken and averaged to obtain the final value for each sample.

### 2.6. Lab-Scale Ultrafiltration Analysis Setup

To analyze the ultrafiltration performance, the ultrafiltration analysis setup was developed at a lab scale. Conceptual drawing, as well as an image of the Teflon fabricated membrane holding device, are illustrated in Figure 1, whereas the schematics of the piping and instrumentation of the setup are illustrated in Figures 2 and 3, respectively. Alumina membranes of pore sizes up to 100 nm have been reported to provide an excellent ultrafiltration performance [35]. Therefore, a membrane sample of 25.4 mm diameter, 2 mm thickness, and lowest pore size of 73.65 nm, fabricated by sintering at 1250 °C for 15 h, was selected as a filter. To provide safe accommodation to the filter (alumina membrane) and ensure airtightness and leak-proof operation at high pressures, rubber O-rings were applied where necessary.



**Figure 1.** (a) AutoCad conceptual drawing (top and bottom), (b) finalized membrane holding device design, and (c) Teflon fabricated membrane holding device.

High vacuum silicone grease was used for the airtight fixation of the filter and to ensure that the unfiltered solution only flows directly through the alumina filter and not through the crevices between the alumina filter and the holder at the point of fixation. Hose clamps were used for the installation of rubber pipes and valves, including valve 1, valve 2, and rotating valve, in the setup. For sealing the joints in the steel fittings, Teflon tape was used. The lab-scale ultrafiltration setup was designed for a pressure of 4–8 bars. To provide this pressure, an air compressor of 12 V and 10 A, equipped with a digital pressure controller and a separate pressure gauge, was used.

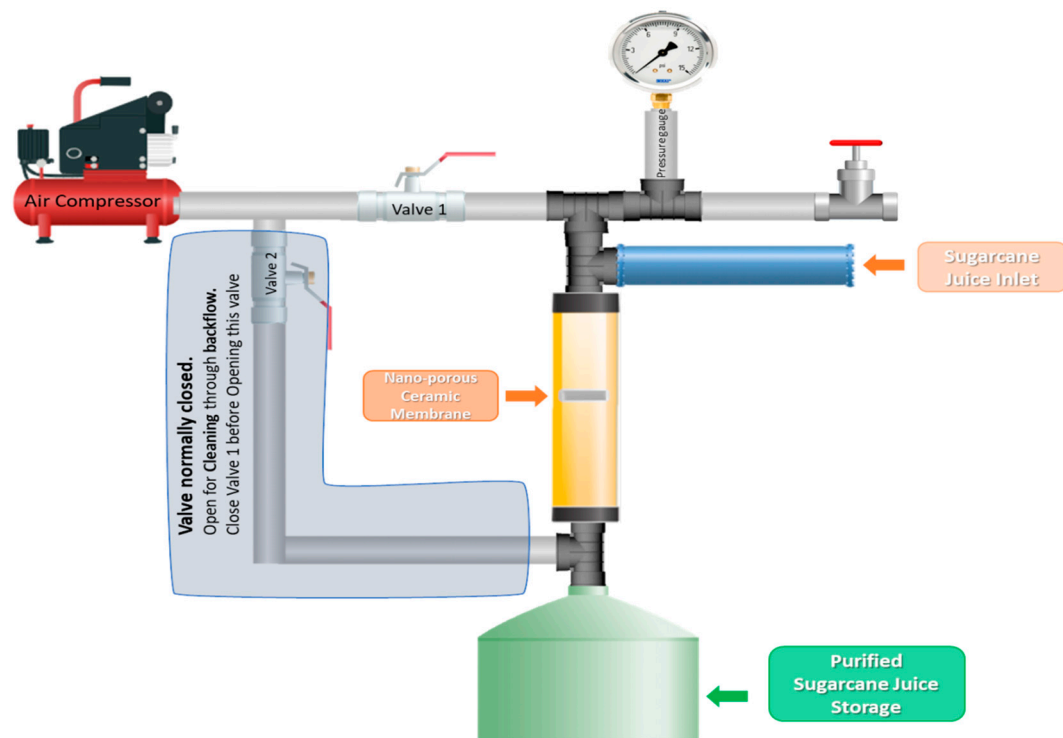


Figure 2. Schematic diagram of the lab-scale ultrafiltration analysis setup.

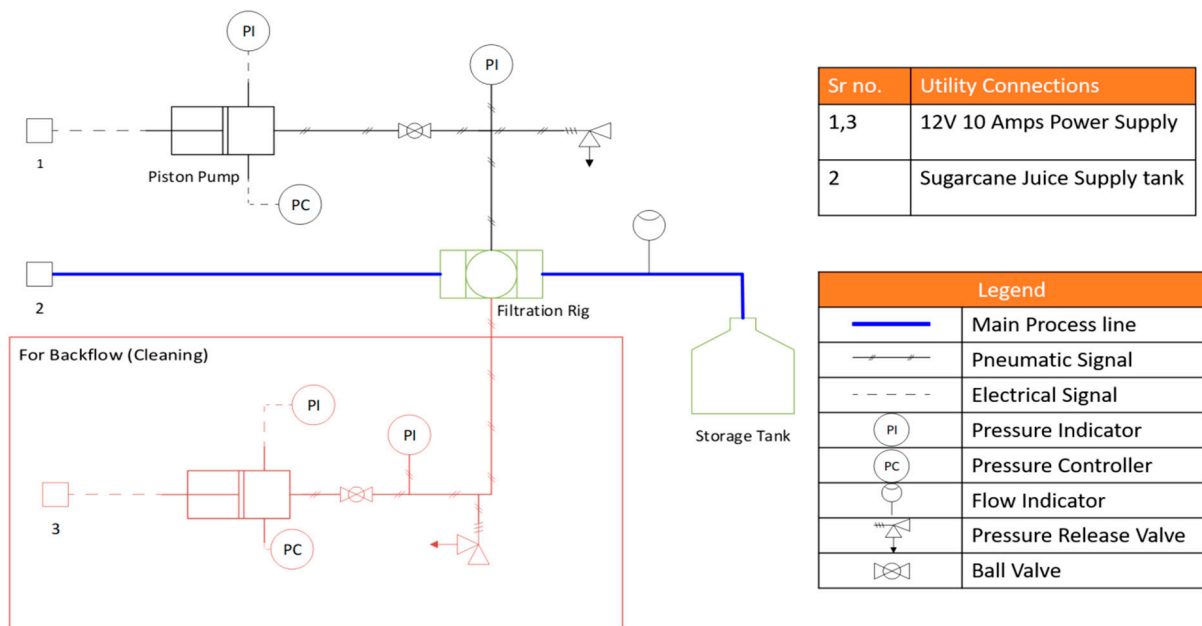


Figure 3. Piping and instrumentation (P&ID) diagram of the lab-scale ultrafiltration analysis setup.

### 2.7. Ultrafiltration Performance Testing

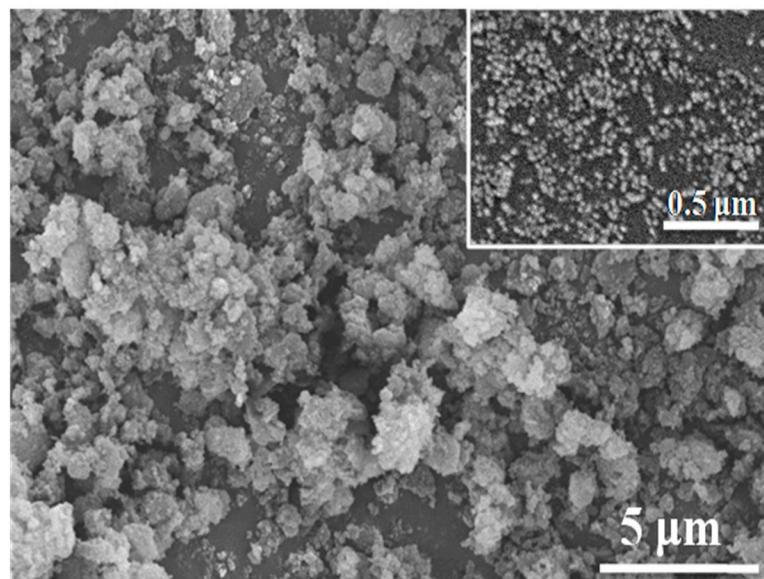
Ultrafiltration performance tests were carried out on the lab-scale setup by the forward-flow of various liquids, including sugarcane, distilled water, tap water, and muddy water, through the filter and reverse flow for cleaning the filter. During the forward-flow operation, the unfiltered solutions were pumped through the inlet pipe, with the other end of the pipe connected to a storage container (measuring cylinder). Pressure was then applied using the air compressor with the forward valve (valve 1) open and the backward valve (valve 2) closed. With time, the debris of the residue started filling the pores of the filter. To prevent

an undesired drop in the flow rate, a backwards-flow operation was also performed. For this purpose, the air compressor was connected to a pipe leading to the forward valve, but it split before the valve towards a backwards-flow loop. Referring to the process flow diagram (Figure 2), the backwards-flow loop is highlighted in red. To perform a backwards-flow operation, the air compressor, along with the rest of the operation, was shut down. The forward valve, the joint between the main piping and the storage container, and the solution inlet were kept closed, and the backward valve was kept open. Through this setup, a time-motion study was carried out, and the average flow rates of all liquids were determined.

### 3. Results and Discussion

#### 3.1. Morphology and Crystallinity of Standard Alumina Nano-Powder

The SEM micrograph of the alumina nano-powder is illustrated in Figure 4, whereas the corresponding XRD spectrum of the powder is presented in Figure 5. The SEM micrographs showed that the alumina nano-powder possessed spherical-shaped particles of a size ranging from roughly 27.49–50 nm. Spherical and uniform particle sizes are essential for obtaining pores of a uniform size through partial sintering. Membranes with a uniform porosity can lead to better filtration results. The XRD spectrum revealed that the powder consisted of alpha phase and validated the purity by demonstrating various intensity peaks for alumina and no intensity peak for any other element (Figure 5).



**Figure 4.** SEM micrograph of alumina nano-powder taken at 5000× magnification (inset: SEM micrograph of the same powder taken at 30,000× magnification).

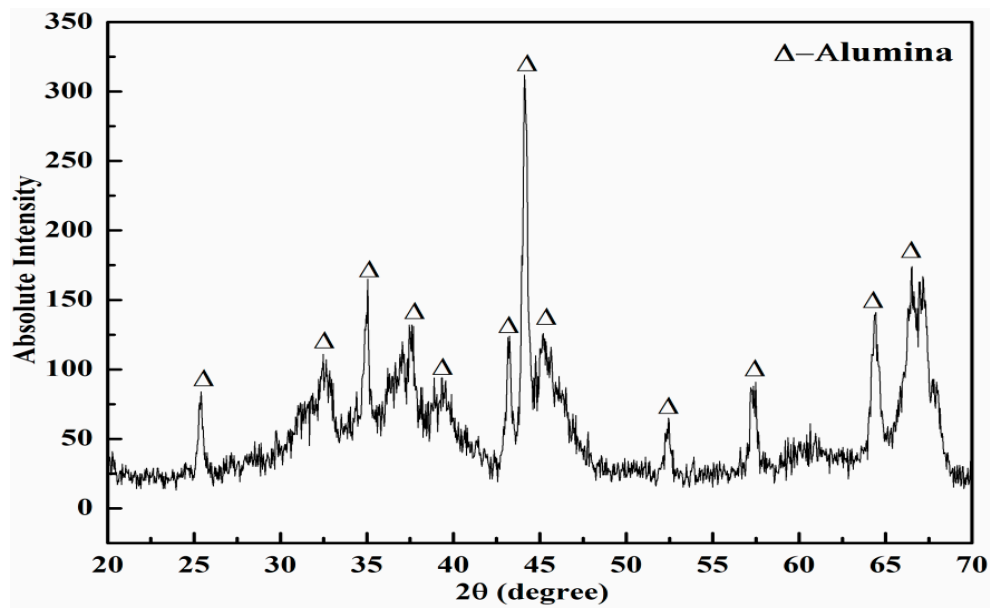


Figure 5. XRD spectrum of the alumina nano-powder.

### 3.2. Morphology of Alumina Membranes

The morphologies of all the alumina membranes, captured from both the cross-section and surface, fabricated by compaction and sintering at various temperatures, ranging from 1200–1300 °C for different times ranging from 5–15 h, are illustrated in Figures 6 and 7, whereas the corresponding average pore sizes, measured by SEM, are plotted in Figure 8.

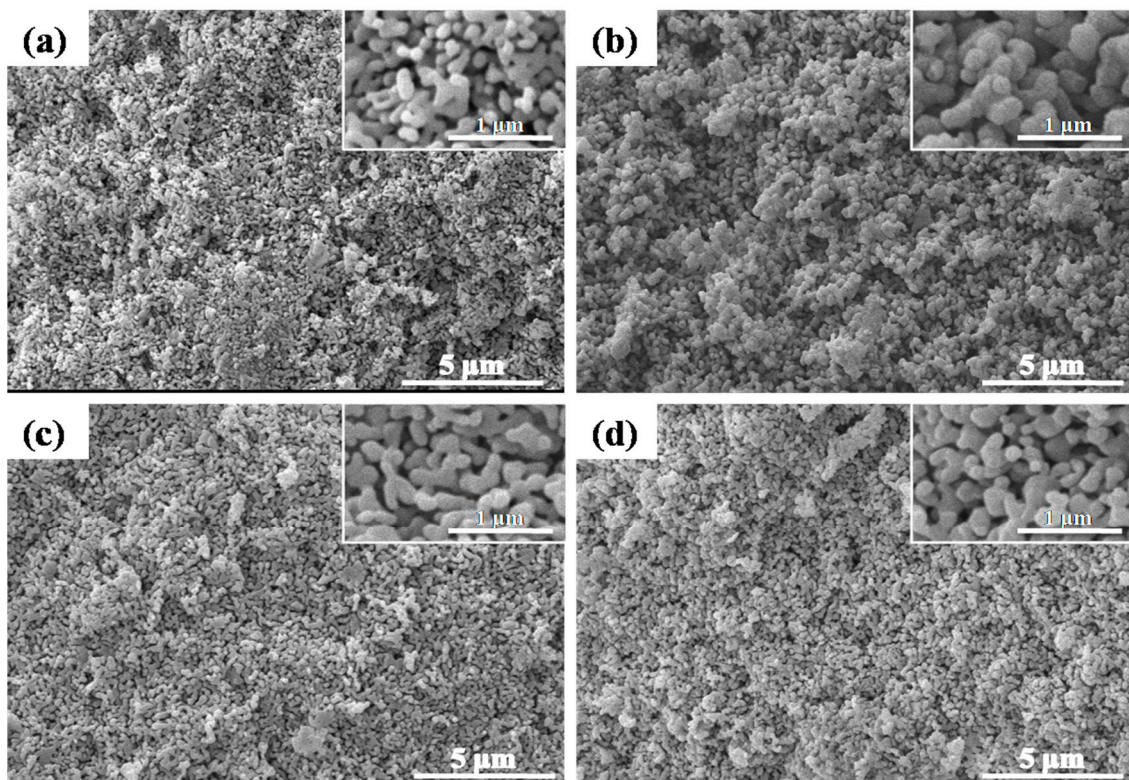
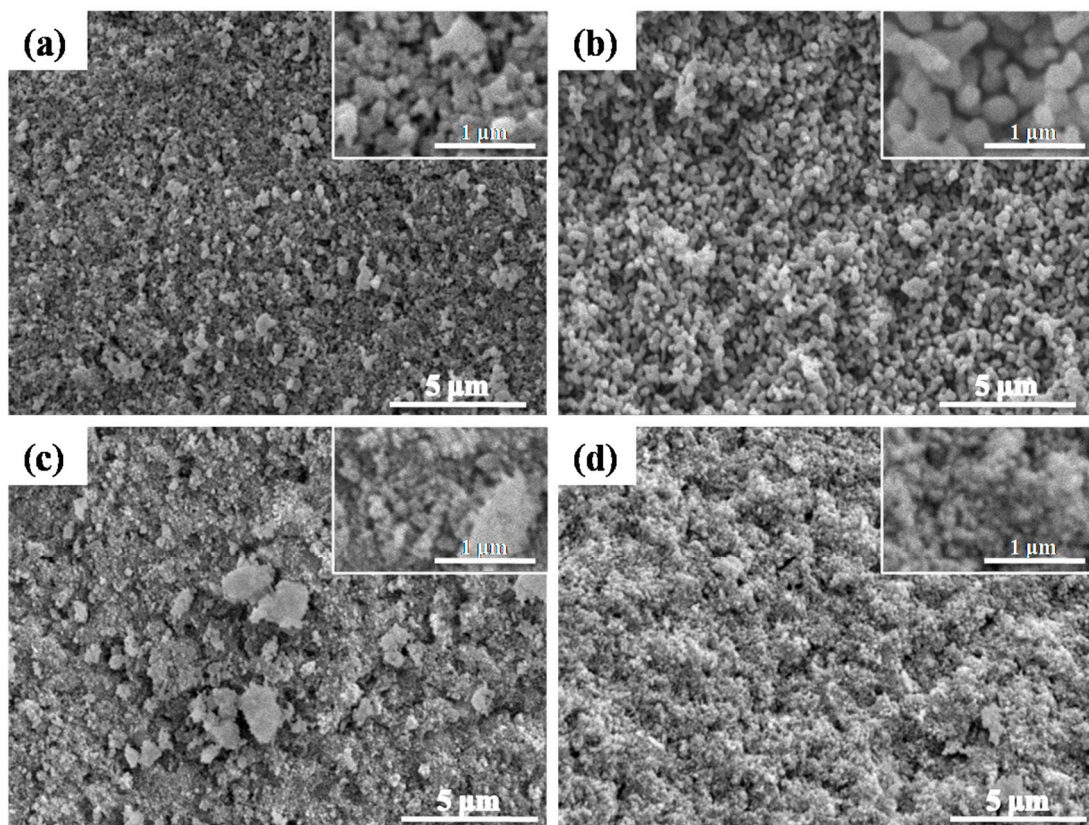
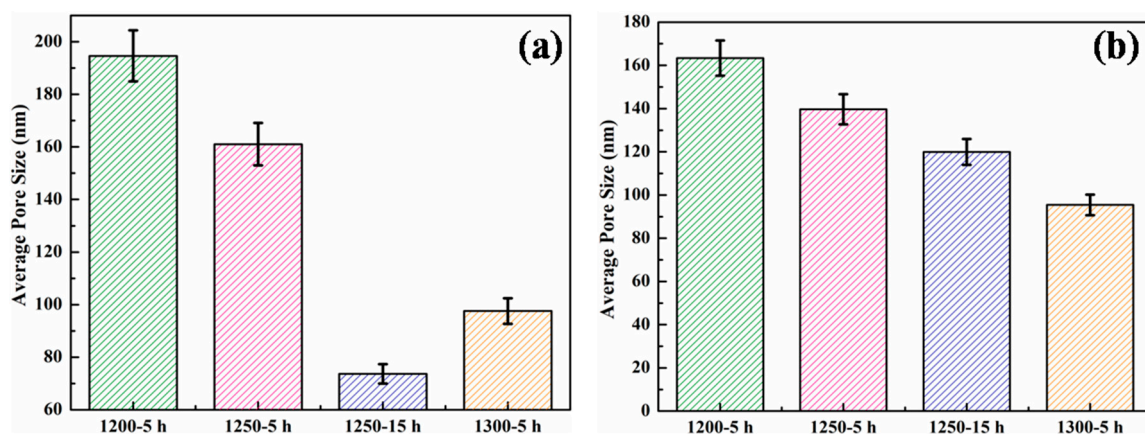


Figure 6. SEM micrographs of alumina membranes, fabricated by compaction and sintering at 1200 °C for 5 h. (a) Membrane surface and (b) membrane cross-section at 1250 °C for 5 h; (c) membrane surface and (d) membrane cross-section.





**Figure 7.** SEM micrographs of alumina membranes, fabricated by compaction and sintering at 1250 °C for 15 h; (a) Membrane surface and (b) membrane cross-section and at 1300 °C for 5 h; (c) membrane surface and (d) membrane cross-section.



**Figure 8.** Average pore size as a function of the sintering temperature/time measured from (a) the membrane surface and (b) membrane cross-section.

SEM micrographs demonstrated a clear inter-connectivity between the pores and an open network of porosity in all the fabricated samples. All the membranes showed a consistent gradual increase in pore size from the surface towards the center of the membrane, with the necessary open porosity for filtration. This is explained by the fact that sintering proceeds from outside when performed in Joule heating.  $\text{Al}_2\text{O}_3$ , inherently an insulator, is expected to undergo slight thermal variations when subjected to relatively faster sintering routes for shorter times. A slight differential heating of the samples can lead to differences in sintering rates and densification from the surface to the bulk. In the figures shown below, this effect is highlighted in terms of a difference in the average pore size of the samples.

A gradual decrease in average pore sizes was observed with the increase in sintering temperature, as well as time. The sample sintered at 1200 °C for 5 h exhibited the highest average pore sizes from both the surface (194.65 nm) and cross-section (163.38 nm). The sample sintered at 1250 °C for 5 h demonstrated a 17% reduction in pore size at the surface and an overall 15% reduction from the cross-section, compared to the sample sintered at 1200 °C for 5 h. Working with a smaller initial particle size leads to a higher thermodynamic tendency for particles to coalesce. Additionally, the higher sphericity of very fine particles increases the neck curvature. A higher neck curvature further accelerates the initial sintering kinetics. Keeping the time and particle size constant, higher sintering temperatures lead to higher values of potential energy and atomic fluxes, resulting in a higher densification of the samples. This trend is evident from the microstructures of the samples, which indicate an overall reduction in the average pore size with increasing temperature. A significant (62%) reduction in pore size was observed at the surface of the membranes sintered at 1250 °C for 15 h, whereas a 27% reduction in pore size was observed at the cross-section of this membranes, compared to the membrane sintered at 1200 °C for 5 h.

Similarly, the alumina membrane sintered at 1300 °C for 5 h also demonstrated a considerable 50% reduction in pore size from the surface and a 42% reduction from the cross-section. The optimum results for the average pore size were obtained in the alumina membrane sintered at 1250 °C for 15 h. Therefore, this membrane was selected to evaluate the ultrafiltration performance.

The sintering process proceeds from outside to inside in this mode of heating. The surface gets heated more, as compared to the bulk, due to the lower thermal conductivity of alumina. Therefore, the bulk will be comparatively less sintered, as compared to the surface. A differential in the degree of sintering results in a difference in pore sizes.

### 3.3. Compressive Properties

Compression testing of all the alumina membranes was carried out to determine the membrane with the optimum strength under high-pressure working conditions. The stress-strain curves obtained after compression testing are plotted in Figure 9, whereas the obtained values of maximum stress and maximum strain are tabulated in Table 1. Modest values of maximum stress (0.206 MPa), maximum strain (2.404%), and modulus were exhibited by the alumina membrane sintered at 1200 °C for 5 h. This is attributed to the higher pore size and lower densification, which allowed the membrane to bear the moderate stress and exhibit a moderate strain [36].

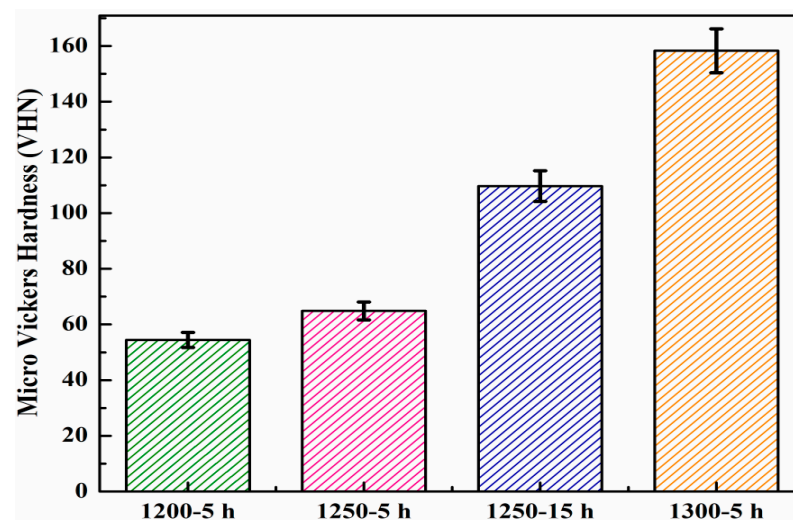


Figure 9. Micro Vickers hardness values of nanoporous alumina membranes.

On the other hand, the alumina membrane sintered at 1250 °C for 5 h exhibited the lowest value of maximum stress of 0.141 MPa, as well as modulus, and demonstrated the highest value of maximum strain of 3.694% among all the membranes. The initial drop in their values at a strain of around 1% highlights that the fracture has already taken place. Because they are so loosely bound, they still keep on compressing after an initial drop in the stress value. Here, the initial drop is more important and signifies that a fracture of the membrane has already taken place. Similarly, the membranes sintered at 1250 °C for 15 h and 1300 °C for 5 h exhibited relatively higher values of maximum stress (0.373 MPa, 0.488 MPa) and modulus, and lower values of maximum strain (2.195%, 2.272%), compared to membranes sintered at 1200 °C and 1250 °C for 5 h. This is because increasing the sintering temperature/time increased the densification, which gradually reduced the pore size and eliminated the porosity. Due to a reduction in the average pore size, the membranes bear relatively higher stress and exhibit lower strain values [37].

#### 3.4. Micro Vickers Hardness

Variations in the micro Vickers hardness values of the alumina membranes, fabricated by sintering at various temperatures ranging from 1200–1300 °C for different times ranging from 5–15 h, are plotted in Figure 9. The alumina membrane sintered at 1200 °C for 5 h exhibited the lowest 54.46 VHN micro Vickers hardness value, associated with a higher pore size and lower densification of this membrane among all the tested membranes [36]. With the increase of the sintering temperature to 1250 °C, the micro Vickers hardness value was observed to increase by 19%, attributed to the decrease in pore size and increase in densification [36]. Similarly, with the increase in the sintering time to 15 h by maintaining the same sintering temperature (1250 °C), a 100% increased Vickers hardness was achieved, compared to the membrane sintered at 1200 °C for 5 h. On the other hand, the alumina membrane sintered at 1300 °C for 5 h demonstrated the highest (158.3 VHN) micro Vickers hardness among all the membranes, which was associated with the lowest pore size and highest densification [37].

#### 3.5. Ultrafiltration Performance

To evaluate the ultrafiltration performance, the alumina membrane with the lowest pore size of 73.65 nm, obtained after sintering at 1250 °C for 15 h, was selected as the filter. A time motion study was carried out, and the average flow rates were measured by passing various liquids, including sugarcane juice, distilled water, tap water, and muddy water, at a pressure of 8–9 bars through the selected alumina membrane. The time-motion study graph and average flow rates, as a function of the volume of liquids, are presented in Figures 10 and 11, respectively. The time motion study demonstrated that the selected alumina membrane performed very well in the ultrafiltration of sugarcane juice, compared to the other liquids. The maximum volume of sugarcane was passed, whereas relatively very low volumes of other liquids were passed through this membrane, as illustrated in Figure 10.

On the contrary, sugarcane juice demonstrated the lowest average flow rate, and distilled water showed the highest average flow rate among all liquids, as illustrated in Figure 11. This might be due to the density of the sugar cane juice, which has the highest amount of impurities, including albumen, phosphates, and u complex organic substances, compared to the other fluids.

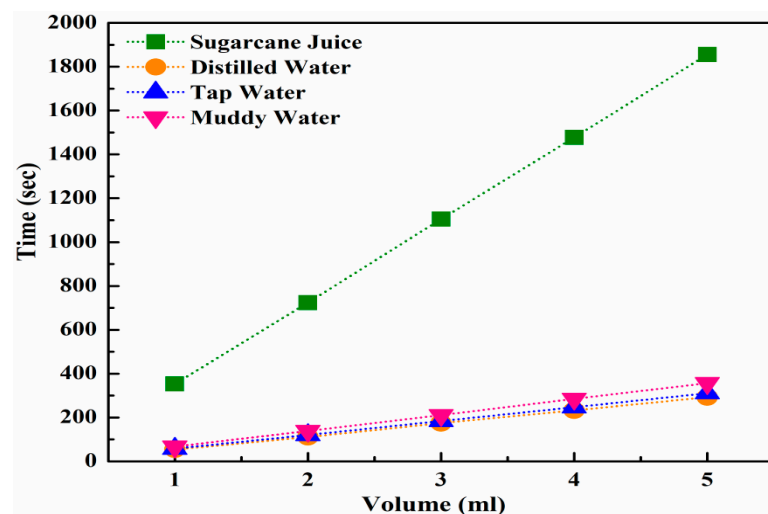


Figure 10. Time-motion study of various sugar industry liquids through a nanoporous alumina membrane.

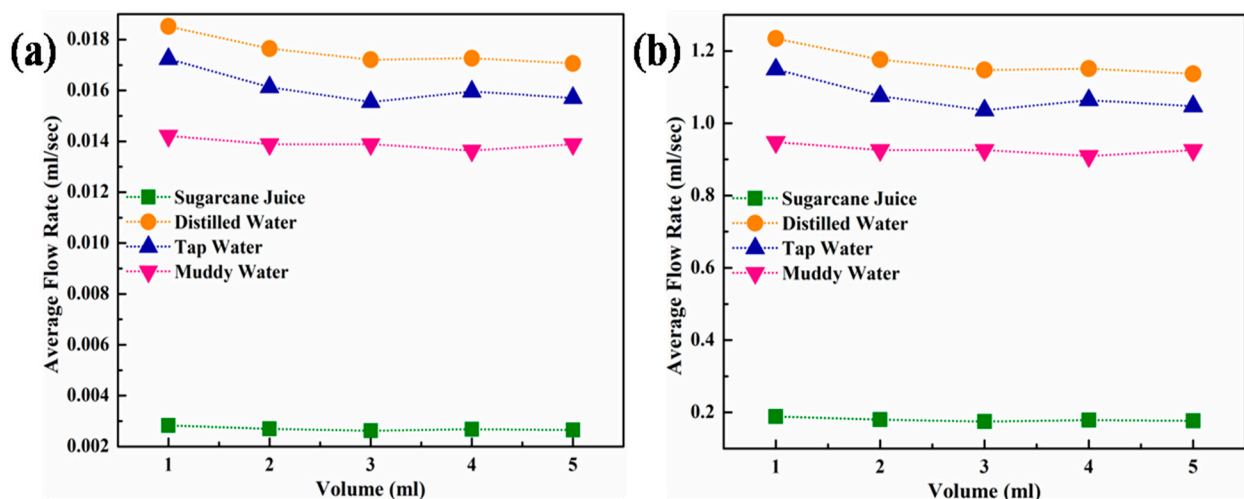
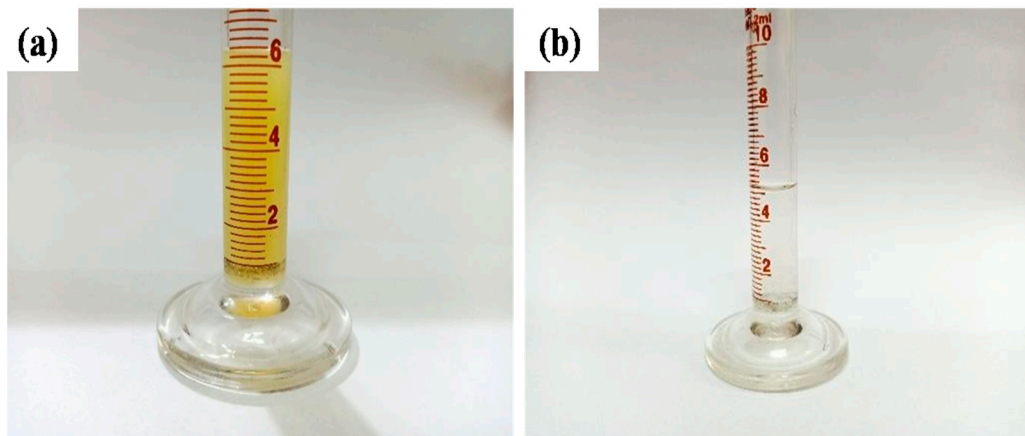


Figure 11. (a) Average flow rate as a function of the volume of various sugar industry liquids passed through a nanoporous alumina membrane and (b) an extrapolated graph of “a” for an industrial alumina membrane with a length of 1000 mm and diameter of 30 mm.

Sugarcane juice, before and after ultrafiltration through the selected alumina membrane, is illustrated in Figure 12. It was observed that before ultrafiltration, the colour of sugarcane juice was yellowish. This yellowish colour was due to the presence of various natural compounds that are present in the sugarcane juice. The presence of these compounds, which include chlorophylls, carotenoids (carotenes and xanthophylls), flavonoids, phenolic compounds (basic phenolics, hydroxybenzoic acids, phenylacetic acids, hydroxycinnamic acids), polyphenolic compounds (gallic acid, ellagic acid, and ellagitannin), nitrogenous compounds (amino acids and proteins), melanins, melanoidins, aroma compounds, and caramels, gives rise to the color of the sugarcane [38]. The ultrafiltration process caused a discoloration of the sugarcane juice by filtering the above-stated chemicals. This process presents the filtration capability of the membranes fabricated.



**Figure 12.** Sugarcane juice (a) before and (b) after ultrafiltration through a nanoporous alumina membrane.

#### 4. Conclusions

The ultrafiltration performance of nanoporous alumina membranes produced by sintering for the sugar industry was evaluated. The following conclusions can be drawn from this study:

- The morphologies of all the alumina membranes revealed interconnected pores and an open network of porosity.
- An increase in the sintering temperature/time caused a gradual decrease in the average pore sizes. The highest average pore size was achieved after sintering at 1200 °C for 5 h, whereas sintering at 1250 °C for 15 h produced an alumina membrane with the optimum and lowest pore size.
- The alumina membrane sintered at 1300 °C for 5 h demonstrated the highest value of maximum stress, whereas the membrane sintered at 1250 °C for 5 h exhibited the highest value of maximum strain among all the membranes. Similarly, the alumina membrane sintered at 1200 °C for 5 h exhibited the lowest micro Vickers hardness, whereas the alumina membrane sintered at 1300 °C for 5 h demonstrated the highest micro Vickers hardness.
- The alumina membrane with the lowest pore size of 73.65 nm, sintered at 1250 °C for 15 h, was selected for the evaluation of ultrafiltration performance.

**Author Contributions:** F.K.N., M.A.U., and A.A. developed the conceptualization and methodology of the study. M.A.H. and Y.-K.P. managed the resources and provided supervision and valuable research insights for the study. Z.K. and M.S.B. provided the literature resources and helped with the analysis. A.R. and X.L. contributed to the writing and provided valuable research insights. All authors have read and agreed to the published version of the manuscript.

**Funding:** We gratefully acknowledge the support from the Higher Education Commission of Pakistan, under project number HEC-TDF 02-209, and the National Research Foundation of Korea, under the projects, NRF-2020R1I1A1A01072793 and NRF- 2020M1A2A2079801, to publish this work.

**Institutional Review Board Statement:** Not applicable.

**Informed Consent Statement:** Not applicable.

**Data Availability Statement:** The data are provided within the article.

**Acknowledgments:** We acknowledge the support and assistance provided by Hassan Ali Khan and Asfandyar Malook, affiliated with the School of Chemical and Materials Engineering (SCME), National University of Sciences and Technology.

**Conflicts of Interest:** The authors declare no conflict of interest.

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