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*Spatially consistent annual Socio-Economic Indexes
for Areas (SEIFA) data for Australian statistical
areas, 1996- 2021*

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Spatially consistent annual Socio-Economic Indexes for Areas (SEIFA) data for Australian statistical areas, 1996 – 2021

Abstract

Purpose: National census data are frequently used as a source of area-level socioeconomic information. However, this data is less suitable for longitudinal analysis due to infrequent data collection and changes to administrative boundaries of census areas. This study aims to address these limitations by generating annual, geographically consistent Socio-Economic Indexes for Areas (SEIFA) from the Australian Bureau of Statistics (ABS) for 1996 – 2021.

Methods: Geographic Information Systems (GIS) were used to update historic spatial data to align with a set of contemporary boundaries. SEIFA estimates for non-census years were generated using linear interpolation.

Results: The final dataset includes 59,421 Statistical Area 1s (SA1) after excluding areas with no SEIFA data for any census year. The methodology resulted in annual, spatially, and temporally consistent SEIFA data from 1996 to 2021 standardised to the 2021 SA1 boundaries.

Conclusion: Creating annual SEIFA data at a small geographic scale addresses key challenges associated with tracking area-level socioeconomic factors over time. By standardising data across multiple years, this approach maintains consistency in geographic units, to overcome potential limitations of using census data in longitudinal research.

Keywords: socioeconomic indexes, neighbourhood, census, spatial analysis, small areas, longitudinal

24 **Abbreviations**

25 ABS Australian Bureau of Statistics

26 ASGC Australian Standard Geographical Classification

27 ASGS Australian Statistical Geography Standard

28 CD Census Collection District

29 GIS Geographic Information Systems

30 IEO Index of Education and Occupation

31 IER Index of Economic Resources

32 IRSAD Index of Relative Socio-Economic Advantage and Disadvantage

33 IRSD Index of Relative Socioeconomic Disadvantage

34 SA1 Statistical Area 1

35 SA2 Statistical Area 2

36 SEIFA Socio-Economic Indexes for Areas

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Background

Understanding evolving area-level sociodemographic factors is necessary to inform public policy and guide resource allocation (e.g. health services, education and housing) [1-3]. Researchers and policymakers analyse population changes, age distribution and indicators of area disadvantage to identify trends in health outcomes and spatial inequalities as well as infrastructure needs. Central to this research is spatial and temporal data, which captures both geographic location and timing [2, 4, 5].

National census data have traditionally been used as a source of population and housing information [2, 5-9]. Although some area-based socioeconomic indexes, such as the New Zealand Index of Multiple Deprivation, incorporate administrative or survey data [10], in many countries, these indexes are often derived solely from census variables and produced for varying administrative boundaries such as census tracts or postcode areas [1, 6, 9, 11]. Examples include the Socio-Economic Indexes for Areas (SEIFA) in Australia [12], the NZDep Index in New Zealand [13], and the Canadian Index of Multiple Deprivation [14]. These indexes are created by aggregating various socioeconomic indicators, such as unemployment rates, household income, and education levels, into a single measure or score that reflects the overall socioeconomic conditions of an area [6].

Census data provide a valuable snapshot at one time point; however, they are less suitable for examining changes over time for two key reasons: first, census data are typically collected every 5 to 10 years [7, 9]. The infrequent data collection may result in failure to capture rapid changes in socioeconomic conditions [15]. Without timely data, policymakers may overlook critical developments, such as changes in the prevalence of health conditions, leaving them unable to respond effectively [7]. Second, the administrative boundaries of census areas are often revised with each new census and are subject to the modifiable areal unit problem [2, 16-18]. The modifiable areal unit problem is where the data patterns vary based on the scale or

boundaries of spatial units used [19]. These boundary changes may mean data from one census are not directly comparable to those from another census. Shifting boundaries create a challenge when conducting longitudinal analysis as it is difficult to determine whether observed changes are genuine or simply due to the changing boundary [16, 17, 20, 21]. While data custodians provide tools such as geographic conversion tables to assist with this challenge [22], this does not solve the problem of only having snapshots of data 5 to 10 years apart.

Australian Context

In Australia, the Australian Bureau of Statistics (ABS) SEIFA are widely used to examine area-level socioeconomic characteristics [12]. Derived from census data collected every five years, SEIFA includes four indexes: The Index of Relative Socio-Economic Disadvantage (IRSD), The Index of Relative Socio-Economic Advantage and Disadvantage (IRSAD), The Index of Education and Occupation (IEO) and The Index of Economic Resources (IER). These indexes use a weighted combination of selected variables related to advantage and disadvantage to produce an area-based aggregate measure [12]. The indexes are derived using principal components analysis, a method that reduces a large set of highly correlated variables into a smaller number of principal components that capture the most important information in the data. The loading for each variable is determined by the strength of its contribution to the component. To generate the scores, the selected variables are weighted according to their loading and combined to produce a raw score. This is then rescaled to a mean of 1000 and standard deviation of 100 to create the index SEIFA scores. Each index summarises a different aspect of socioeconomic conditions, constructed from variables related to income, education, employment, occupation and housing [12]. For example, the 2021 IRSD is constructed from the percentage of: people living in low-income households; families with children under 15 years of age living with jobless parents; individuals aged 15 years and over whose highest level of education is Year 11 or lower, or who have no formal educational attainment; dwellings paying low rent; dwellings with no car;

94 dwellings requiring extra bedrooms; one-parent families with dependent children; unemployed
95 people; employed people classified as labourers, machinery operators and drivers and low-skill
96 community and personal service workers; people aged under 70 needing assistance with core
97 activities due to long-term health condition, disability or old age; those who are separated or
98 divorced; and individuals who do not speak English well. A full list of variables used to construct
99 the other three indexes is available from the ABS technical paper [12].

100 Although SEIFA are widely used to determine socioeconomic conditions at an area level, they
101 do have some general limitations in addition to the five-yearly release and changing boundaries.
102 First, the indexes do not capture within-area variation and may lead to ecological fallacy. While
103 a relatively disadvantaged area typically includes a greater concentration of disadvantaged
104 individuals and households, it may also include residents who are relatively advantaged [12].
105 Second, the indexes are constructed from census data only and therefore, do not include other
106 factors that may influence the socioeconomic conditions of an area, such as crime [23].

107 Australian census data are available for a range of nested area-level boundaries (i.e. smaller
108 geographic units fit within larger ones) through the Australian Standard Geographic
109 Classification (ASGC) and the Australian Statistical Geography Standard (ASGS). From 1986 to
110 2006, the ASGC was used. Under the ASGC, Census Collection Districts (CD) were the smallest
111 geographic areas used to derive SEIFA, with an average of 220 dwellings in urban areas. (Mesh
112 Blocks, introduced from 2004, are smaller units used to provide a broad classification of land
113 use, such as residential or commercial) [24]. CD boundaries were primarily designed to support
114 data collection, traditionally defining an area manageable by a single census collector [25]. To
115 accommodate population changes the boundaries of the CDs were not necessarily the same
116 each census. For example, approximately 20% of CDs were adjusted from 1996 to 2001 [26].
117 In 2011, the ABS introduced the ASGS, a substantial change from the ASGC, with minimal
118 overlap in spatial units [27]. CDs were replaced with Statistical Area 1 (SA1) as the smallest

geographic areas used to derive SEIFA (limited census data is available for Mesh Blocks). Rather than being defined by number of dwellings, SA1s contain between 200 and 800 people, with an average of approximately 400, and therefore have greater consistency in population size [27]. Although the ASGS boundaries are more stable than the previous geography standard (ASGC), they are still subject to changes with each census to reflect shifts in population, especially in rapidly growing areas. Figure 1 illustrates boundary changes across each census year from 1996 to 2021 at a single location. The number of CDs and SA1s for each year are presented in Table 1.

Figure 1: CD and SA1 boundary changes 1996 to 2021.

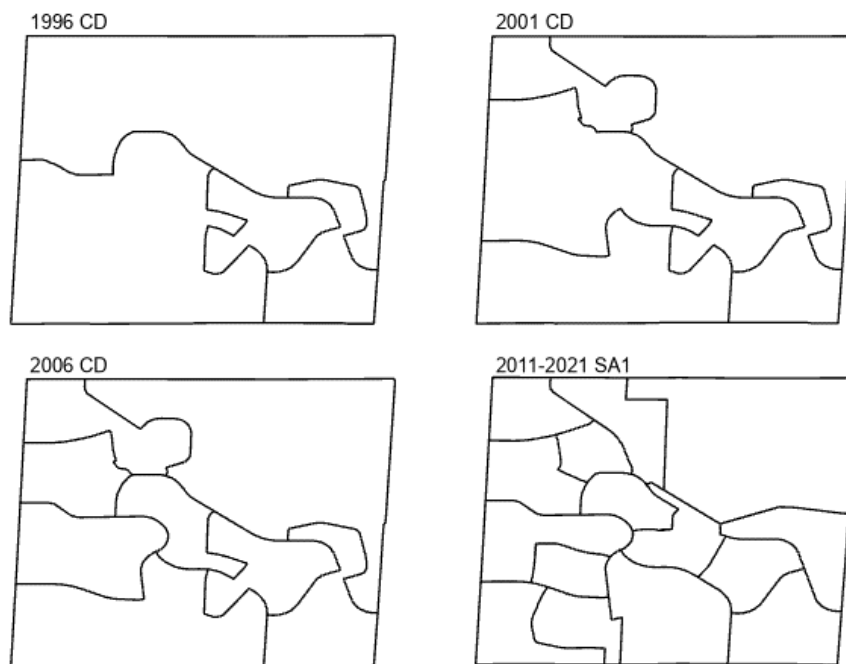


Table 1: Number of CDs or SA1s at each census 1996 to 2021.

Year	Number of CD/SA1
Census Collection Districts (Australia Standard Geographical Classification)	
1996	34,500
2001	37,209
2006	38,704
Statistical Area Level 1 (Australia Statistical Geographical Standard)	
2011	54,805
2016	57,523
2021	61,845

[24, 25, 27-30].

Due to the introduction of the new geographic standard and ongoing boundary changes, as well as potential changes to the variables used to construct the indexes, the ABS do not recommend using SEIFA for longitudinal analysis. These changes may affect an area's index score or ranking, reducing the comparability across time. If SEIFA are used for longitudinal analysis, the ABS advises using deciles instead of ranks or scores, as this approach is less sensitive to minor changes. This approach is commonly used in Australian studies. Nevertheless, the results should be interpreted with caution as changes within deciles may still reflect socioeconomic shifts that are not fully captured by this approach [31].

Researcher responses to changing census boundaries

To overcome the limitations of varying census boundaries Blake et al. [16] proposed four approaches for generating spatially consistent data using Geographic Information Systems (GIS). They include (1) maintaining a fixed set of geographic boundaries over time and adjusting future data to fit those boundaries; (2) updating historic spatial data to align with a set of contemporary boundaries; (3) constructing custom boundaries from smaller building blocks; and (4) geo-referencing household data to assign precise geographic coordinates to individual addresses then aggregating on custom boundaries [16].

The potential strengths and limitations of these approaches have been discussed in several studies. For example, the first approach to maintaining a fixed set of geographic boundaries over time can provide consistency, however, it can become increasingly outdated as time progresses [16, 17].

Wilson et al. [32], following the second approach, aligned Australian historical population and census data to align with the 2011 ABS geography. By updating data from 1986, 1991, and 1996 to the contemporary boundaries, the study ensured temporal consistency, enabling comparisons over time on a single, consistent set of boundaries. Similarly, a recent study in New Zealand, analysing changes in deprivation, addressed the historical gap in area-level socioeconomic data by converting older geographic data to match more recent boundaries [33]. The researchers developed a time-series deprivation index for 1981, 1986 and 1991 by aligning census data from the earlier years to the 1991 census boundaries using a population-weighted conversion method. This method accounted for population variability within intersection zones between geographic boundaries and enhanced the accuracy and comparability of the data over time. Norman et al. [34] aligned the 2001 census data to the 2011 Statistical Area 2 (SA2) boundaries, to examine the link between small area population changes and deprivation. By using the most current geography, the data is more relevant for planning, policymaking, and resource allocation. However, while this approach has demonstrated a good level of accuracy, it still has the potential for error [16, 17].

Jione and Norman [35] constructed custom boundaries (third approach) in Tonga to resolve inconsistencies such as overlapping census blocks and areas without defined boundaries. This facilitated analysis of non-communicable diseases in relation to area deprivation. While constructing new boundaries harmonised incompatible datasets there were limitations such as data quality issues and manually creating new geographies is time intensive.

179 While geo-referencing household data offers the most granular and flexible solution, the
180 substantial investment required and the challenges of maintaining data confidentiality are key
181 limitations [2, 16].

182 Despite the availability of methods to improve the use of census data in longitudinal studies,
183 researchers frequently rely on data from a single census year to represent multiple years. For
184 example, several Australian longitudinal studies examining neighbourhood socioeconomic
185 factors in relation to range of health outcomes (e.g. body mass index, brain development,
186 cardiometabolic risk) have used SEIFA data from one census year across their entire study
187 period [36-40]. These studies typically use SEIFA deciles rather than scores or ranks as
188 recommended by the ABS, however, shifts within deciles may still indicate meaningful
189 socioeconomic changes [31]. Longitudinal data is a valuable source of information in causal
190 inference epidemiology [41]. By monitoring changes in both socioeconomic exposures, such as
191 neighbourhood disadvantage, and health and behavioural outcomes, longitudinal studies
192 provide a stronger foundation for inferring causation [42]. However, simply assigning SEIFA
193 values from multiple census years to correspond with each wave in longitudinal analysis is not
194 ideal. Changes in the variables used to derive the indexes and shifts in the spatial boundaries
195 with each new census limit comparability and potentially introduce bias. Alternatively, relying
196 on data captured at a single point in time assumes that the exposure remains static over the
197 analysed period and may overlook evolving socioeconomic conditions over time. For instance,
198 substantial population changes in Australia in recent decades, particularly in major cities, have
199 led to growth in outer suburban areas and the transformation of inner and middle-city
200 neighbourhoods through processes like gentrification, altering their social and economic
201 composition [2, 43-45]. These changes may be unaccounted for if using data from a single
202 census year.

Area-level indexes constructed by summarising multiple socioeconomic variables into a single, interpretable measure are beneficial for capturing contextual influences beyond individual socioeconomic status. These indexes are particularly useful in epidemiological studies examining neighbourhood effects on health outcomes (e.g. obesity, mental health), identifying area-level inequalities and informing public health policies. However, these indexes are not without limitations. To accurately observe changes in socioeconomic factors over time, fine grained temporally and spatially consistent data are needed. The aim of this paper is to present a method that addresses the constraints of traditional census-based approaches to creating socioeconomic indexes by producing more frequent, geographically consistent data, facilitating longitudinal analysis of socioeconomic conditions at a small geographic scale. We illustrate the approach using the Australian SEIFA and share the resulting dataset of annual SEIFA data from 1996 to 2021 standardised to the 2021 SA1 boundaries available in the Figshare repository, <https://doi.org/10.6084/m9.figshare.27936471.v1>

Method

We aimed to create a time-series of annual SEIFA data from 1996 to 2021 standardised to the 2021 SA1 level. We chose to standardise to the 2021 SA1 boundaries for two reasons: it is the most recent census year, and 2021 SA1s are smaller than 1996 CDs therefore providing more refined data for analysis (61,845 SA1s in 2021 compared to 34,500 CDs in 1996) [29, 30].

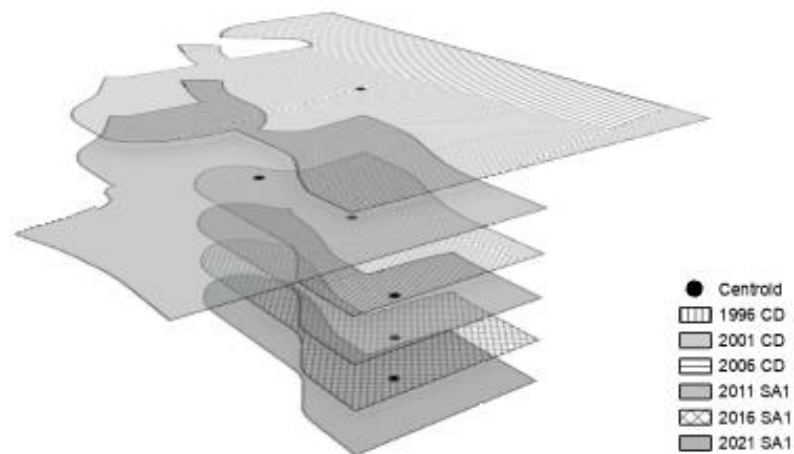
Digital boundaries and SEIFA data from census years 1996, 2001, 2006, 2011, 2016 and 2021 were downloaded from <https://data.gov.au/home> on 15 May 2024. This included CD boundaries for the years 1996, 2001 and 2006 and SA1 boundaries for the years 2011, 2016 and 2021.

The digital boundaries were imported to GIS software ArcGIS Pro 3.1 [46]. The Geocentric Datum of Australia 1994 (GDA94) coordinate reference system was used for all files to ensure that spatial data aligned accurately across different census years.

In ArcGIS we first represented each CD or SA1 polygon as a centroid. The centroid represents the geographical centre of each area, creating a reference point for subsequent spatial analysis. To do this we used the ArcGIS 'Feature to Point' tool to generate geometric centroids for every CD/SA1 polygon, selecting the 'inside' option to ensure that each centroid was located within its corresponding polygon.

Next, we identified the closest CD/SA1 from previous census years (1996, 2001, 2006, 2011, and 2016) to the corresponding 2021 SA1 using the 'Spatial Join' function and 'closest' option.

Figure 2: Example of a selected CD/SA1 with centroids from each census year, illustrating the spatial join process across multiple census years.



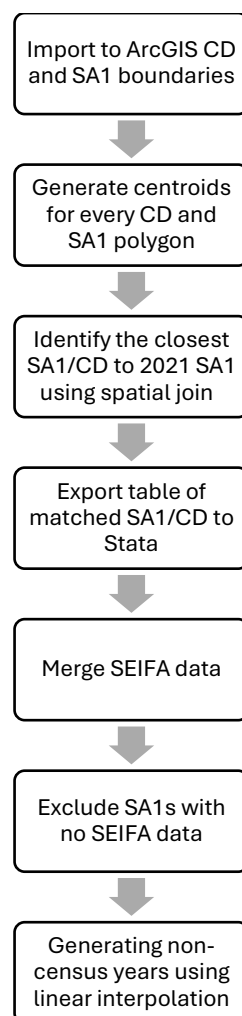
The resulting table of matched CDs/SA1s across multiple census years was exported into Stata MP version 18 [47].

SEIFA score, rank, percentile and decile for each of the four indexes (IRSAD, IRSD, IEO, IER) from 1996, 2001, 2006, 2011, 2016 and 2021 censuses were assigned to each point using the actual ABS data for each census year. These values were matched to the corresponding CD/SA1 in which it occurred using the 'Merge' function in Stata. Note that 1996 did not have an IRSAD index (it included the Urban Index of Relative Socio-Economic Advantage and Rural Index of

Relative Socio-Economic Advantage instead) so only IRSD, IEO IER values were included for 1996 [48].

Data for each index for the non-census years was generated using linear interpolation. Deciles and percentiles were rounded to the nearest whole number to maintain consistency with how SEIFA is typically reported.

Figure 3: Workflow for Deriving Spatially Consistent Annual SEIFA Data using ArcGIS and Stata.



Validation Method

To validate the matching process of SA1s and CDs across census years, manual spot checks were conducted on a total of 135 2021 SA1s (20 for NSW, VIC, QLD, SA and WA, 15 for NT and TAS and 5 for ACT) selected at random. Among these, all but four SA1s matched. In all four

locations, discrepancies arose because the matched CD in 1996 or 2001 was adjacent to the 2006 CD which then matched consistently in subsequent census years onwards. For example, in NSW, the 2001 matched CD was adjacent to the CDs matched in 1996, 2006, and all subsequent years, which were otherwise consistent, however the SEIFA scores for these adjacent CDs were similar. This indicates that although minor discrepancies may occur in earlier years, they are unlikely to result in meaningful differences in scores. To verify that the SEIFA data merged in Stata aligned with the original ABS data, the process was reversed on a sample of index scores for each census year and compared. No differences were found between the merged data and the original ABS data, confirming accuracy and consistency in the merging process.

Results

Our data includes a final sample of 59,421 SA1s with data for at least one SEIFA index. SA1s with no SEIFA data for any census year were excluded. The ABS does not generate SEIFA data for a small portion of CDs/SA1s based on 'exclusion rules' [12]. Further information on the exclusion process and the number of excluded CDs and SA1s can be found in the accompanying dataset.

The following graphs demonstrate changes in socioeconomic conditions across a sample of SA1s in each state from 1996 to 2021. These graphs display areas that have experienced stability, increases, or decreases in the four indexes over this period.

Insert figure 4

Discussion

The approach outlined in this paper provides annual, spatially, and temporally consistent SEIFA data from 1996 to 2021. To our knowledge, this is the first time SEIFA have been produced annually at a small area level for a period spanning 25 years. Following the methodology

proposed by Blake et al. [16], and used in similar studies [32-34], we have developed spatially consistent boundaries that ensure comparability over time by updating historic census boundaries to align with 2021 boundaries. To address data gaps in non-census years, we applied linear interpolation, a technique commonly used in similar studies, to estimate annual values [15]. By generating consistent annual estimates, the interpolation may mitigate the limitations of relying solely on census years, as the lack of timely data can fail to capture short-term changes within the socioeconomic conditions.

National census data has long served as a primary source for area-level research on population demographics such as neighbourhood inequality and socioeconomic disadvantage. Although the census is a valuable tool, the presented graphs highlight potential limitations when using census data for longitudinal analysis. Relying on data captured at a single point in time assumes that the exposure does not change over the analysed period. While some areas have remained stable over time, others have had substantial increases or decreases in their SEIFA deciles.

These trends are supported by literature detailing the shifts in socioeconomic conditions in Australia over the past three decades. Inner and middle city suburbs have experienced gentrification and there has been a notable shift in disadvantage to outer suburbs [43, 44, 49]. For example, Randolph and Tice [44], found in Sydney, while the number of suburbs containing at least one highly disadvantaged area slightly declined, the number of suburbs with a high concentration of disadvantaged areas (where over 80% of CDs are highly disadvantaged) saw a substantial increase [44]. Therefore, using SEIFA indexes from a single census year as the primary exposure may not accurately reflect changes in socioeconomic factors over a multi-year period.

The strengths of this approach include the extensive timeframe which allows for the analysis of trends over a long period as well as the inclusion of four indexes that provide a comprehensive

view of various socioeconomic factors, in small geographic areas. Furthermore, the method used to create the dataset follows validated methods outlined in other studies [16, 17, 32-34]. The method can be applied to generate data at various levels of ABS geography as well as census data from other countries.

However, it is important to note there may be limitations. First, although actual SEIFA data from each historical census year were used, these data were transferred to 2021 SA1 boundaries using a centroid- based spatial join. While we did not use a more complex approach (e.g. areal interpolation or weighting), spatially joining CD/SA1 centroids provides a straightforward and efficient alternative. Second, linear interpolation was used to generate annual SEIFA estimates for the years between censuses. This method assumes consistent changes over time and does not account for possible non-linear changes. However, in the absence of population or area-level data for these years to support other methods, linear interpolation provides a suitable approach for generating annual estimates. As linear interpolation was only applied across four-year intervals, it is unlikely that major socioeconomic changes occurred during these short periods. If substantial changes did occur, they would be captured in the subsequent census data and therefore incorporated into the annual estimates moving forward. Finally, using SA1s, the smallest geographic area, may reduce accuracy. A study by Weden et al. [15] found that while linear interpolation performed well at the county level, smaller geographic areas tended to produce larger errors. To account for this potential limitation, additional steps were taken to validate the matching process across census years and ensure validity and reliability (manual spot checks). Future studies could consider more advanced spatial interpolation methods or other data sources, such as satellite-derived nighttime light data [50], to further validate area-level socioeconomic estimates.

Conclusion

The method presented in this paper for creating annual SEIFA data at the 2021 SA1 level addresses key challenges associated with tracking area-level socioeconomic factors over time. By aggregating and standardising data across multiple years, this approach maintains consistency in geographic units, allowing for more accurate analysis of changing socioeconomic conditions. The proposed methodology reduces the potential limitations that often arise from changes in area-level boundaries over time. Consequently, this increases data quality in longitudinal analysis, improving the ability to monitor and understand temporal trends in socioeconomic factors and their impacts on populations.

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