

# **Integrated Testing Facilities for Digital Substation and Cyber Security based on IEC 61850 and IEC 62351 Standards**

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the degree of **Doctor of Philosophy**

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Institute for Sustainable Industries and Liveable Cities

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# Abstract

The increasing digitalization of power systems has underscored the critical need for reliable communication, security, and advanced simulation tools in modern substations. This thesis presents a comprehensive investigation into key aspects of IEC 61850-based communication, cybersecurity, and real-time simulation, with a focus on improving system efficiency, resilience, and innovation.

A thorough review and analysis of IEC 61850 communication protocols have been conducted, highlighting the significance of Generic Object-Oriented Substation Events (GOOSE), Manufacturing Messaging Specification (MMS), and Sampled Values (SV). These protocols play an essential role in ensuring reliable and time-sensitive communication between Intelligent Electronic Devices (IEDs) within digital substations, ultimately enhancing the automation and interoperability of power systems.

The research further explores the application of real-time simulators in both academia and industry, emphasizing their benefits in bridging theoretical knowledge with practical applications. Real-time simulators provide a cost-effective means to optimize engineering processes, facilitate decision-making, and accelerate technological advancements. This research specifically focuses on the development and utilization of the Victoria University Zone Substation (VUZS) simulator, a robust testing facility designed to emulate real-world power system conditions. The VUZS simulator enables in-depth testing of communication protocols and

cybersecurity measures, fostering collaborative research between Victoria University (VU) and Japan's renowned public research institution, Fukushima Renewable Energy Institute (FREA).

A key component of this research is the cross-border collaboration between VU and FREA, which enhances knowledge exchange and access to cutting-edge technologies. This partnership has significantly contributed to the advancement of digital power system research, strengthening global efforts toward more secure and efficient energy infrastructure.

Cybersecurity remains a pressing challenge in IEC 61850-based systems, necessitating the integration of IEC 62351 security standards. This research further examines the implementation of IEC 62351 measures to ensure the integrity and confidentiality of substation communication, mitigating potential threats to the grid. Additionally, real-time simulators are leveraged to simulate cyberattack scenarios, allowing for a controlled analysis of system vulnerabilities. By assessing the effectiveness of various defense strategies, this research provides valuable insights into enhancing grid resilience against emerging cyber threats.

In conclusion, this research contributes to the advancement of digital substations by addressing communication, cybersecurity, and simulation challenges. The findings offer practical solutions for academia and industry, paving the way for more secure, efficient, and resilient power systems.

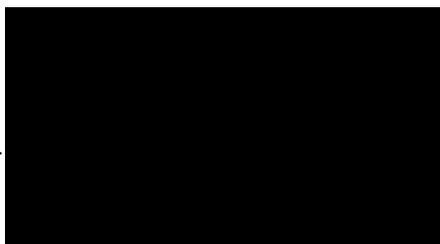
# Declaration of Authenticity

“I, JOEVIS JULIAN CLAVERIA, declare that the Doctor of Philosophy (PhD) in thesis entitled, “*Integrated Testing Facilities for Digital Substation and Cyber Security based on IEC 61850 and 62351 Standards*”, is no more than 80,000 words in length including quotes and exclusive of tables, figures, appendices, bibliography, references, and footnotes. This thesis contains no material that has been submitted previously, in whole or in part, for the award of any other academic degree or diploma. Except where otherwise indicated, this thesis is my own work”.

“I have conducted my research in alignment with the [Australian Code for the Responsible Conduct of Research](#) and [Victoria University’s Higher Degree by Research Policy and Procedures](#)”.

“This thesis has been edited for clarity of expression, punctuation and grammar using *Grammarly* tool. This use complies with VU guidelines on use of editors in HDR theses and overall, VU policy on use of AI in research.”

Signature



Date: ----- 12 August 2025 -----

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# List of Publications

## Conference Proceedings

1. **J. Claveria**, “*Exploring Real-Time Digital Simulations: Bridging the Gap between Theory and Practice*,” the 3<sup>rd</sup> International Conference on Pedagogical and Research Innovations, NVSU, Philippines, December 2024.
2. **J. Claveria** and A. Kalam, "*Communication and Information Security Assessment of Digital Substation*," Australasian Universities Power Engineering Conference, AUPEC 2020, Hobart, TAS, Australia, 29 November – 3 December 2020. The published format is available at [Communication and Information Security Assessment of a Digital Substation | IEEE Conference Publication | IEEE Xplore](#)
3. T. S. Ustun, S. Hussain, **J. Claveria** & A. Kalam, “*Integrated Testing Facilities for International Collaboration on Smart Grid Communications*,” 29<sup>th</sup> Australian Universities Power Engineering Conference (AUPEC 2019), Fiji, November 2019. The published format is available at [Integrated Testing Facilities for International Collaboration on Smart Grid Communications | IEEE Conference Publication | IEEE Xplore](#)
4. **J. Claveria** and A. Kalam, "*GOOSE Protocol: IED's Smart Solution for Victoria University Zone Substation (VUZS) Simulator Based on IEC61850 Standard*," 2018 IEEE PES Asia-Pacific Power and Energy Engineering Conference (APPEEC), Kota Kinabalu, 2018, pp. 730-735. The published format is available at [GOOSE Protocol: IED's Smart Solution for Victoria University Zone Substation \(VUZS\) Simulator Based on IEC61850 Standard | IEEE Conference Publication | IEEE Xplore](#)

## Journals

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# Awards and Recognition

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“**J. Claveria** and A. Kalam (2019): *The influence of IEC 61850 standard: implementation and development of a functional substation automation simulator*, Australian Journal of Electrical and Electronics Engineering, DOI: 10.1080/1448837X.2019.1707151”.



## BEST PAPER (3<sup>rd</sup> Place)

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# List of Acronyms

ABB	ASEA Brown Boveri
AEMO	Australian Energy Market Operator
AER	Australian Energy Regulators
AI	Artificial Intelligence
API	Australian Power Institute
AIST	Advanced Industrial Science and Technology
BESS	Battery Energy Storage System
CB	Circuit Breaker
CID	Configured IED Description
CPU	Central Processing Unit
CT	Current Transformer
DC	Direct Current
DER	Distributed Energy Resources
DER lab	European Distributed Energy Resources Laboratories
DNP3	Distributed Network Protocol 3
EPRI	Electric Power Research Institute
EV	Electric Vehicle
FREA	Fukushima Renewable Energy Institute
GE	General Electric
GOOSE	Generic Object-Oriented Substation Event
GSSE	Generic Substation State Events
GUI	Graphical User Interface
HMI	Human Machine Interface
I	Current
IC	Integrated Circuit
ICT	Information and Communication Technology
IEC	International Electrotechnical Commission
IEC60870	International Standard for SCADA and Power Systems Automation
IEC61850	International Standard for Substation Automation
IED	Intelligent Electronic Device
IEEE	Institute of Electrical and Electronics Engineers
IET600	ABB Integrated Engineering Tool
I/O	Input / Output
IP	Internet Protocol
ISO	International Standards Organization
IoT	Internet of Things
IT	Information Technology
LAN	Local Area Network
LN	Logical Node
MAC	Media Access Control
MMS	Manufacturing Message Specification

ML	Machine Learning
NEM	National Electricity Market
OSI	Open Systems Interconnection
OT	Operational Technology
PCM600	ABB Protection and Control IED Manager
PNNL	Pacific Northwest National Laboratory
PMU	Phasor Measurement Unit
R&D	Research and Development
RTU	Remote Terminal Unit
SAS	Substation Automation Systems
SCADA	Supervisory Control and Data Acquisition
SCD	System Configuration Description
SCL	Substation Configuration Language
SGIL	Smart Grid Interoperability Laboratory
SMI	Smart Metering Infrastructure
SV	Sampled Value
TCP/IP	Transmission Control Protocol / Internet Protocol
UCA	Utility Communication Architecture
USB	Universal Serial Bus
V	Voltage
V2G	Vehicle-to-Grid
VLAN	Virtual Local Area Network
VT	Voltage Transformer
WAN	Wide Area Network
VUZS	Victoria University Zone Substation
VUZSS	Victoria University Zone Substation Simulator

# List of Notations and Symbols

$\Delta C$	Cryptographic Processing Time
$\Delta N$	Network Latency
$\Delta P$	Processing Delay
$B$	Bandwidth
$O_{MAC}$	Overhead added by MAC
$S_{GOOSE}$	Size of GOOSE Message
$S_{MMS}$	Size of MMS Message
$S_{SV}$	Size of SV Message
$T_{GOOSE}$	GOOSE Transmission Time
$T_{processing}$	GOOSE Processing Time
$T_{propagation}$	GOOSE Propagation Time
$T_{MMS}$	MMS Transmission Time
$T_{(MMS)processing}$	MMS Processing Time
$T_{(MMS)propagation}$	MMS Propagation Time
$T_{(MMS) message}$	MMS Message Time
$T_{SV}$	SV Transmission Time
$T_{Sampling}$	SV Sampling Transmission Time

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# CHAPTER 1

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## Introduction and State of the Art

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*“The important thing is not to stop questioning.  
Curiosity has its own reason for existing.”*  
Albert Einstein, *Interview with Life Magazine, 1955*

*This Chapter presents an overall overview and introduction to the key themes explored throughout this thesis. It establishes the basic concepts and foundational principles of smart grid substation in modern power systems. This chapter provides an overview of the thesis, introducing key concepts of smart grids in modern power systems. It outlines the research aims and significance, scientific contributions, motivations, research gaps, limitations, and the overall structure of the thesis.*

### 1.0 Introduction

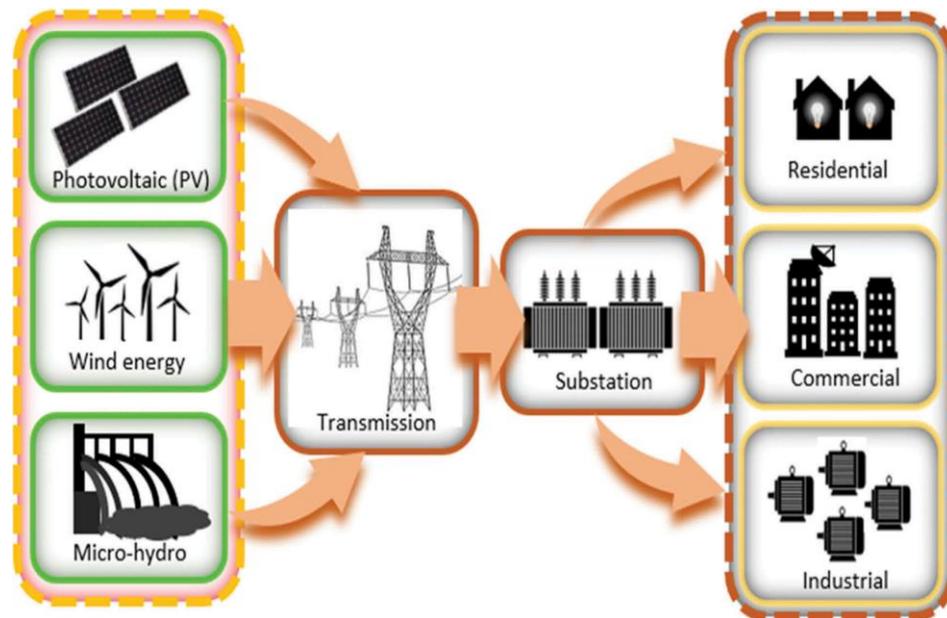
The electrical grid, initially designed as a straightforward system to meet the energy demands of consumers, has evolved significantly over the decades. Historically, power generation plants reliably transported electrical energy to utilities and industrial users, with load forecasts being met without major difficulties. However, the rapid growth of the global population has driven an unprecedented surge in energy demand, placing immense strain on the

Extracted from J. Claveria and A. Kalam (2019), “The influence of IEC 61850 standard: implementation and development of a functional substation automation simulator,” Australian Journal of Electrical and Electronics Engineering.

traditional grid infrastructure. This evolution has transformed the role of the grid from managing unidirectional energy flow to facilitating bidirectional power transfers, driven by the integration of *Distributed Energy Resources* (DERs) such as *photovoltaic* (PV) systems, wind energy, fuel cells, biomass, and batteries [1].

**Figure 1.1** illustrates the journey of electricity in a modern power system that includes DERs. The new DERs, like PV, wind energy, micro-hydro and others are changing the landscape. Once electricity is produced, it may be connected to the high-voltage transmission grid. Then to the substation hub where the substation acts as a central control and transformation point. Finally, electricity reaches the end-users categorized as residential, commercial and industrial. The power system is no longer a one-way street. With grid-connected DERs, energy flows in multiple directions-creating a more dynamic, resilient, and sustainable grid.

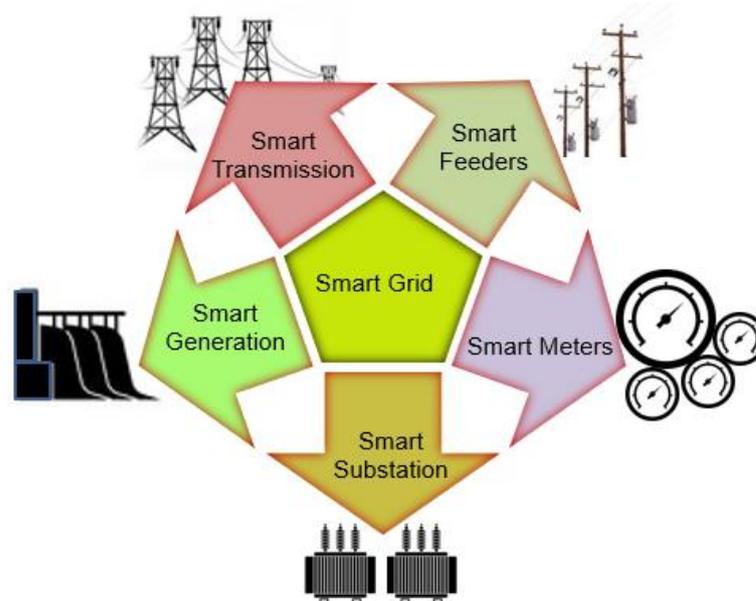
The proliferation of DERs presents both opportunities and challenges for the energy sector. While these technologies offer alternative and renewable energy solutions, their integration into the existing grid has introduced complexities in energy management and grid stability. A notable example of these challenges was the South Australia blackout in September 2016, where a combination of severe weather conditions and the growing presence of DERs led to widespread system instability [2]. This incident highlighted the vulnerabilities of the grid in handling intermittent DER generation, raising concerns about power quality and reliability, particularly in distribution systems [3].



**Figure 1.1:** *The Grid-connected Distributed Energy Resources (DER).*

To address these challenges, advanced control systems and enhanced *Information and Communication Technology* (ICT) have been developed, transforming the conventional grid into a more intelligent and adaptive system, commonly referred to as the "*smart grid*." The smart grid represents a paradigm shift, enabling the digitalization of distribution feeders, substations, transmission networks, and central power generation facilities. By leveraging ICT, the smart grid aims to optimize power delivery, improve system reliability, and enhance energy efficiency [4].

**Figure 1.2** explains the different facets of the smart grid. The smart grid works as a unified digital ecosystem. The grid is no longer a one-way delivery system – it is a multi-directional, interactive, and intelligent infrastructure that responds instantly to the needs of the energy market, environment, and users.



**Figure 1.2:** *The different facets of the smart grid.*

However, the scope of the smart grid extends far beyond metering. It encompasses the entire energy supply chain, integrating smart generation, smart transmission, smart feeders, smart meters, and smart substations.

## 1.1 The Future of Smart Grids

Each of these components contribute to the grid's enhanced functionality:

- **Smart Generation** integrates renewable energy sources such as wind, solar, and hydroelectric power, utilizing advanced technologies for real-time monitoring, efficiency optimization, and environmental impact reduction. By dynamically adjusting output based on demand forecasts, smart generation ensures energy efficiency, reliability, and sustainability [5].

- **Smart Transmission** employs advanced monitoring and control mechanisms, such as *phasor measurement units* (PMUs), to provide real-time data on grid stability and power flow. These systems enhance grid resilience through automated fault detection, self-healing capabilities, and real-time adjustments, minimizing energy loss and the likelihood of blackouts [6].
- **Smart Feeders** utilize sensors, remote-control switches, and automated reclosers to enhance distribution management. These feeders balance loads, prevent line losses, and isolate faults automatically, significantly reducing restoration times and accommodating bidirectional power flows from DERs [7].
- **Smart Meters** facilitate real-time communication between consumers and utilities, enabling dynamic pricing, demand response programs, and informed energy usage decisions. From a utility perspective, smart meters provide valuable data for demand forecasting, resource optimization, and efficiency improvement [8].
- **Smart Substations** leverage technologies such as *Intelligent Electronic Devices* (IEDs) and *Remote Terminal Units* (RTUs) for real-time monitoring, data analytics, and automated operation. These features improve operational efficiency, grid reliability, and cybersecurity, addressing emerging threats in modern grid infrastructure [9].

The smart grid operates as an interconnected system, enhancing resilience, efficiency, and sustainability in modern power systems. Through real-time data analytics and automated controls, it predicts and adapts to demand fluctuations, swiftly responds to faults, and seamlessly integrates renewable energy sources. These capabilities address key challenges such as decentralization, environmental sustainability, and cybersecurity, positioning the smart grid as a fundamental component of future energy infrastructure.

A critical function of the smart grid is ensuring the operational integrity and interoperability of electrical power systems across the energy supply chain. This requires the utility sector to adopt advanced power delivery and utilization strategies through the integration of ICT. The following sections elaborate on the research motivation, scientific contributions, limitations, and overall thesis structure.

## **1.2 Power Substation: The Backbone of Electrical Distribution**

Power substations are the backbone of modern electrical distribution systems, serving as the crucial link between power generation and end-users. Much like the human heart that pumps blood to sustain life, substations regulate, control, and distribute electrical power efficiently to ensure a stable supply across the grid. Their failure can have significant consequences on the entire power system, making their reliability and maintenance paramount.

### **1.2.1 The Role of Substation in Electrical Distribution**

A power substation plays a critical role in transforming high-voltage electricity from transmission lines into lower voltages suitable for residential, commercial, and industrial use. Unlike other components of the smart grid - such as power plants that generate electricity or distribution lines that deliver it - substations serve as a control center, managing voltage levels, load balancing, and grid stability [10].

### **1.2.2 Consequences of Substation Failure**

Failure in a substation can lead to cascading blackouts, voltage instability, and severe disruptions in power supply [11]. Critical infrastructure, such as hospitals and industrial facilities, heavily relies on stable power, and any fault within substations can result in economic and operational setbacks. Major causes of substation failures include equipment malfunction, insulation breakdown, cyber-attacks, and extreme weather conditions [12].

### **1.2.3 Preventive Measures and Fault Minimization**

To ensure the reliability of substations, preventive measures such as predictive maintenance, real-time monitoring, and redundancy planning are essential. The adoption of smart grid technology, including automated fault detection systems and self-healing networks, enhances substation resilience [13]. Regular inspection of transformers, circuit breakers, and switchgear can prevent potential failures, ensuring uninterrupted power distribution.

## **1.3 The Legacy Power Substation: The Need for Automation**

Power substations have long been a critical component of electrical transmission and distribution networks, serving as nodes that regulate voltage levels and ensure efficient power delivery. In history, these substations relied on analog equipment and extensive copper wiring for communication, protection, and control. However, as power demand increased and grid operations became more complex, these legacy systems encountered significant challenges that necessitated a shift toward automation and digital substations.

### **1.3.1 Challenges in Legacy Power Substations**

Traditional substations were heavily reliant on electromechanical relays, hardwired connections, and manual operations, leading to inefficiencies in system performance, reliability, and scalability. The major challenges included:

#### **1.3.1.1 Complex Wiring and High Maintenance Costs**

Legacy substations used point-to-point wiring, where each device required dedicated copper cables for communication and control. This led to:

- Increased installation and maintenance costs due to excessive wiring.
- Higher risk of signal degradation over long distances.

- Difficulty in troubleshooting faults due to vast cable networks.

### **1.3.1.2 Limited Remote Monitoring and Control**

Traditional substation systems often suffer from limited remote monitoring and control capabilities, restricting operators' ability to respond quickly to faults, optimize performance, or manage assets efficiently from a distance.

- Operators had to be physically present at the substation to perform switching operations and maintenance.
- There was no real-time monitoring, leading to delayed fault detection and response times.
- The absence of digital communication made it impossible to implement automated diagnostics.

### **1.3.1.3 Vulnerability to Human Error and Reliability Issues**

Despite advancements in automation, substation systems remain vulnerable to human error and reliability issues, often resulting from manual configuration, inconsistent procedures, and lack of standardized testing environments.

- Manual operations increased the risk of mis-operations, such as incorrect switching, which could lead to power outages.

- The reliance on mechanical components resulted in slower response times in fault isolation.

#### **1.3.1.4 Incompatibility with Modern Grid Demands**

Many existing substation systems struggle to keep pace with modern grid demands, lacking the flexibility, scalability, and real-time communication capabilities required for dynamic and decentralized power networks.

- Legacy substations were not scalable, making it difficult to integrate renewable energy sources, smart grids, and advanced protection schemes.
- The inability to exchange data in real time hindered grid optimization and forecasting.

### **1.4 Impact of Analog Equipment on Power System Performance**

The limitations of traditional substations have led to major power system failures in the past. One notable example is the 2003 Northeast Blackout in North America, which affected over 50 million people in the United States and Canada. The failure was attributed to a lack of real-time data sharing, slow fault detection, and ineffective relay coordination in legacy substations [14]. This incident highlighted the urgent need for modernizing substations with digital technologies to improve automation, communication, and protection.

## **1.5 The Shift Towards Digital and Automated Substations**

To address these challenges, power utilities have adopted digital substations that use [15]:

- *IEC 61850 communication protocols* for real-time data exchange.
- *Fiber optics* to replace extensive copper wiring, reducing electromagnetic interference and improving data transfer speed.
- *IEDs* to enable remote monitoring, automation, and predictive maintenance.

This incident highlighted the urgent need for modernizing substations with digital technologies to improve automation, communication, and protection.

## **1.6 Scope of the Thesis**

### **1.6.1 Motivation of the Research**

The motivation for this research stems from the urgent need to modernize conventional power systems to keep pace with the rapid evolution of today's energy landscape. Traditional grids, long dependent on centralized generation and unidirectional power flow, are increasingly inadequate in the face of rising energy demands, the widespread adoption of DERs, and the growing complexity of grid operations. As electricity networks become more dynamic and

decentralized, there is a clear necessity for more intelligent, flexible, and resilient grid infrastructure.

At the heart of this transformation is the integration of advanced communication and automation technologies within substations - critical nodes that serve as the interface between transmission, distribution, and end-use. In this context, international standards such as *IEC 61850*, which enables seamless interoperability and intelligent substation automation, and *IEC 62351*, which establishes cybersecurity frameworks, have emerged as pivotal tools in facilitating a digital and secure grid transition. However, the practical implementation of these standards presents complex challenges, particularly in managing system interoperability, real-time communication, and protection against cyber threats.

To address these challenges, this research focuses on developing and validating advanced strategies that enhance substation automation, secure grid communication, and overall system resilience. It leverages the capabilities of real-time digital simulation to model and assess the impact of cyberattacks on substation environments - an essential step in understanding vulnerabilities and reinforcing cybersecurity measures within modern grid infrastructures.

By uniting technical innovation with practical validation, this study aims to contribute to the ongoing transformation of power systems, not only the secure and efficient integration of DERs, but also the protection of critical infrastructure in an increasingly interconnected and threat-prone digital environment. Ultimately, the insights derived from this work are intended to support utilities and grid operators in building a smarter, safer, and more sustainable energy future.

### **1.6.2 Aims and Objectives of the Research**

This research is driven by the overarching aim of advancing the digital transformation of power systems by investigating, simulating, and validating key technologies, standards, and strategies that support the development of intelligent, secure, and interoperable substations. To achieve this aim, the study is structured around six interrelated objectives, each contributing to a comprehensive understanding of substation automation and cybersecurity in the context of modern grid infrastructures.

- ***To conduct a critical review and technical analysis of the IEC 61850 communication protocols, with a particular focus on GOOSE, MMS, and SV.*** These protocols form the backbone of real-time communication within digital substations, and the research seeks to understand their roles, interoperability

characteristics, latency behavior, and overall performance in enabling reliable interaction among IEDs.

- ***To evaluate the pedagogical and industrial benefits of Real-Time Digital Simulators (RTDS).*** These simulators are instrumental in bridging theoretical learning with practical application, offering dynamic environments for testing, validation, and skill development. The research explores how real-time simulation enhances engineering education, supports advanced testing procedures, and optimizes operational decision-making in both academic and industrial settings.
- ***The development and deployment of the Victoria University Zone Substation (VUZS) Simulator.*** This facility is designed to emulate real-world grid scenarios, enabling controlled experimentation with substation communication protocols and cybersecurity mechanisms. By replicating realistic power system conditions, the VUZS simulator serves as a versatile testbed for applied research and innovation.
- ***To emphasize the importance of global research cooperation, particularly through the cross-border collaboration between Victoria University (VU) and the Fukushima Renewable Energy Institute, (FREA) in Japan.*** This partnership enhances the research scope by facilitating access to state-of-the-art tools,

knowledge exchange, and joint investigations that advance the development of secure and intelligent substation frameworks.

- ***To focus on cybersecurity strategies guided by the IEC 62351 standard.*** This part of the research delves into the application of encryption, authentication, and secure data exchange protocols to safeguard the integrity, confidentiality, and availability of grid communications, particularly in systems governed by IEC 61850.
- ***Finally, to demonstrate the practical relevance of these cybersecurity strategies through the simulation of cyberattack scenarios using real-time simulators.*** This allows for a controlled assessment of system vulnerabilities, the impact of different types of cyber intrusions, and the effectiveness of defense mechanisms. By analyzing these simulated incidents, the research contributes valuable insights into the design of more resilient and secure power system architectures.

Together, these objectives form a cohesive framework for investigating and advancing the intersection of substation automation, secure communications, and simulation-based testing in the era of digital power systems.

### 1.6.3 Key Research Gaps Addressed Across the Published Works

Throughout the course of six interrelated publications, the research has successfully responded to key technical and practical gaps in the evolving field of digital substation automation, IEC 61850-based communication, and cybersecurity. Each publication contributed to closing specific knowledge or application gaps in a way that informs the broader utility and academic sectors. These addressed research gaps are outlined below to articulate the foundation on which this thesis builds its scientific contributions.

#### *1. Gap in Bridging Theoretical IEC 61850 Concepts with Practical Simulation*

Traditionally, research on IEC 61850 remained highly theoretical, with limited real-time simulation tools available to test the protocol's real-world behavior under dynamic grid conditions. The publication titled "*Exploring Real-Time Digital Simulations: Bridging the Gap between Theory and Practice*" addressed this gap by introducing a methodology that linked theoretical principles with operational simulation models. It demonstrated how digital substation environments could be accurately replicated using real-time simulators, thus enabling

performance assessment, latency analysis, and event response validation in a controlled setting.

## ***2. Gap in Functional Development and Implementation of IEC 61850 - Based Substation Simulators***

While IEC 61850 standards offer detailed guidance, practical implementation in educational and research contexts remained fragmented. The paper *"The Influence of IEC 61850 Standard: Implementation and Development of a Functional Substation Automation Simulator"* filled this void by establishing the VUZS Simulator. It provided a working framework for IED configuration, SCL file integration, and communication setup based entirely on IEC 61850 guidelines. This addressed the need for a low-cost, functional simulator that is standard-compliant and adaptable to real-world applications.

## ***3. Gap in Demonstrating GOOSE Messaging Capabilities in a Simulated Environment***

Although GOOSE messaging is well-documented in theory, its practical application within a real-time simulated environment had not been adequately demonstrated. The publication *"GOOSE Protocol: IED's Smart Solution for Victoria University Zone Substation (VUZS) Simulator Based on IEC61850"*

*Standard*" addressed this specific gap by validating the performance and reliability of GOOSE messages using actual IEDs configured within the VUZS Simulator. The study examined message latency, event triggering, and fault response mechanisms, effectively illustrating how GOOSE functions in a realistic setup.

#### ***4. Gap in International Collaboration and Interoperability Testing***

Cross-border cooperation and multi-vendor interoperability have long posed challenges due to variations in IED configurations, testing protocols, and regulatory requirements. The research titled *"Integrated Testing Facilities for International Collaboration on Smart Grid Communications"* responded to this issue by showcasing how the VU-ZSS simulator could support remote, collaborative testing among international stakeholders. It also validated interoperability between devices from different vendors, thereby addressing the pressing need for standardized, scalable testing platforms in global smart grid projects.

### ***5. Gap in Cybersecurity Risk Assessment of Digital Substation Architectures***

Substation cybersecurity had often been approached from a general IT perspective, with little attention paid to the unique vulnerabilities present in IEC 61850-based architectures. The study "*Communication and Information Security Assessment of Digital Substation*" filled this gap by identifying specific threats to GOOSE, SV and MMS communications. It proposed a tailored risk assessment framework that accounted for message spoofing, man-in-the-middle attacks, and device-level security, thereby contributing significantly to the body of knowledge on operational cybersecurity in substations.

### ***6. Gap in Applying and Evaluating IEC 62351-Based Security Enhancements***

While the IEC 62351 standard outlines measures to secure IEC 61850 communication, its practical application, especially the use of Message Authentication Codes (MAC) had not been rigorously tested in a simulated environment. The final publication, "*Enhancing Security in IEC 61850 Communication Protocols through MAC Authentication Based on IEC 62351 Standards*," addressed this gap by implementing MAC on

GOOSE messages within the VUZS Simulator platform. The study measured the trade-offs between added security and increased communication latency, offering valuable insight into the performance-security balance that utilities must consider.

Together, these six publications addressed critical and previously unresolved gaps in IEC 61850-based substation research. From theoretical modeling to simulator development, from protocol validation to cybersecurity integration, each study not only extended the knowledge frontier but also laid the groundwork for scalable, interoperable, and secure digital substation solutions. These resolved gaps now provide a firm foundation for the scientific contributions presented in this research, which aim to integrate and advance these findings into a unified, industry-ready platform.

#### **1.6.4 Original Scientific Contributions**

This research has made several original scientific contributions to the field of substation automation, IEC 61850 protocol engineering, and secure real-time digital simulation. These contributions emerged from and directly address the research gaps identified through prior peer-reviewed publications. Each contribution offers novel empirical, technical, and methodological value, advancing both theoretical

knowledge and practical application in the domains of smart grid communications and substation cybersecurity.

### ***1. Design and Implementation of the VUZS Simulator: A Modular, IEC 61850 – Compliant Substation Simulator***

A major contribution of this research is the development of the VUZS Simulator, a fully functional, modular, and standards-compliant substation simulation platform. Unlike existing systems limited to single-vendor or proprietary setups, the VUZS Simulator supports multi-vendor IED configuration, real-time GOOSE messaging, and IEC 61850-based integration, providing a scalable and open environment for both academic and industrial validation. This simulator is not only a teaching and research tool - but it also functions as a reference architecture for utilities and researchers seeking to evaluate IEC 61850 implementations in a controlled yet realistic environment.

### ***2. Empirical Analysis of GOOSE Messaging Performance Under Security Constraints***

This research analytically demonstrates and benchmarks the latency-performance trade-offs introduced by MAC authentication on GOOSE messages, based on the IEC 62351 standard. By applying real-world cryptographic techniques to

time-critical communication, this study provides concrete data on how message integrity and authenticity measures influence real-time protection functions. These results serve as critical evidence for grid operators and standards bodies weighing the trade-off between operational speed and cybersecurity robustness.

### ***3. Integration of IED Configuration, Communication, and Testing Tools into a Unified Simulation Environment***

A novel framework has been created that integrates IED engineering tools (PCM600, IET600, EnerVista), SCL configuration files, and digital simulation environments into a seamless workflow. This end-to-end pipeline fills the operational and academic void in lifecycle substation configuration validation - from design to deployment. It enables consistent testing, fault injections, and verification of IED interoperability, making it the first known implementation of a full IEC 61850 lifecycle validation system in a simulated environment.

### ***4. Implementation of International Multi-Vendor Interoperability in Smart Grid Testing***

Another significant contribution is the use of the VUZS Simulator to demonstrate international testing interoperability

across geographically distributed research groups and vendors. The study establishes repeatable procedures and a testing framework that enables remote IED integration, synchronized testing routines, and SCL file standardization across borders. This contribution provides a methodological advancement in how digital substations can be collaboratively engineered and tested globally, overcoming limitations posed by differing infrastructures and time zones.

#### ***5. Development of a Cybersecurity Risk Assessment Model for IEC 61850 – Based Substations***

This research introduces a substation-specific threat and risk model that targets the unique messaging mechanisms of IEC 61850 - including GOOSE, MMS, and SV. Unlike generic IT risk assessments, the model considers time constraints, communication redundancy, and IED trust boundaries. It allows system designers and security auditors to map specific attack vectors (e.g., spoofing, replay, MITM) to the IEC 61850 architecture and simulate their effects in the VUZS Simulator environment. This model is a cyber risk evaluation with dynamic testing under simulated substation conditions.

### ***6. A Framework for Balancing Cybersecurity Measures and Real - Time Performance in Digital Substations***

Addressing a critical and unresolved question in the domain, this research proposes a framework to balance security implementation with real-time performance, particularly for GOOSE - based protection schemes. Through detailed simulations and data analytics, the study establishes thresholds and guidelines for acceptable latency under various security configurations. This enables utilities to design substations that are both secure and responsive, an equilibrium that was previously assumed to be mutually exclusive.

These original contributions represent a significant and practical advancement in the field of digital substation automation. The research delivers a functional simulation platform, validated performance data, tailored cybersecurity models, and tested procedures for multi-vendor interoperability - all directly addressing the key research gaps identified earlier. By bringing together security, communication, and configuration within an integrated simulation framework, this work not only resolves critical technical challenges but also lays a strong foundation for future developments in secure, intelligent, and globally connected substation systems.

### **1.6.5 Limitations**

While this research addresses several critical aspects of power grid modernization, it is important to acknowledge its limitations. The focus of the research is primarily on the technical aspects of substation automation, grid communication, and cybersecurity within the context of IEC 61850 and IEC 62351 standards. Broader considerations, such as economic, regulatory, and social factors, are outside the scope of this study but are acknowledged as important areas for future exploration.

Furthermore, the simulations conducted using the VUZS Simulator and real time simulators are designed to replicate real-world conditions, but their results are inherently limited by the parameters of the simulated environment. Future work could expand the scope of these simulations to include larger grid networks and more complex attack scenarios.

## **1.7 List of Publications**

As part of this research, several publications have been produced to disseminate the findings and contribute to the academic community. The key publications associated with this thesis are as follows:

- 1. GOOSE Protocol: IED's Smart Solution for Victoria University Zone Substation Simulator (VUZS) based on IEC 61850 Standard** – Presented at Asia-Pacific Power and Energy Engineering Conference, Malaysia

(2018), this paper discusses the development of the VUZS simulator and its application in testing in substation and grid communication measures.

2. **The Influence of IEC 61850 Standard: Implementation and Development of Functional Substation Automation Simulator** – Published in Australian Journal of Electrical and Electronics Engineering (2019), this paper presents the development of a functional substation and the role of IEC 61850 communication protocols in modern substation automation systems.
3. **Integrated Testing Facilities for International Collaboration on Smart Grid Communications** – Presented at the 29<sup>th</sup> Australasian Universities Power Engineering Conference (AUPEC, 2019) Fiji, this paper discusses the possibility of international collaboration using the testing facilities of VU.
4. **Communication and Information Security of a Digital Substation** – Presented in Australian Universities Power Engineering Conference, AUPEC (2020) this work presents a detailed analysis of cybersecurity challenges in IEC 61850 systems and the application of the IEC 62351 standard.
5. **Exploring Real-Time Digital Simulations: Bridging the Gap Between Theory and Practice** – Presented in the 3<sup>rd</sup> INTERNATIONAL CONFERENCE ON PEDAGOGICAL AND RESEARCH INNOVATIONS with the theme “*Advancing Frontiers in Education, Engineering and Technology for Sustainable Future*”, Philippines (2024),

this work contributes to the discourse on how simulations can enhance educational practices and provide opportunities for more dynamic and interactive learning experiences.

## **1.8 Organization of the Thesis**

This thesis is organized as follows:

**Chapter 1: Introduction and State of the Art** – Provides an overview of the research motivation, goals, contributions, limitations, and a summary of related publications.

**Chapter 2: Foundations of Substation Automation and Communication Systems** - Discusses the evolution of SAS, the fundamentals of substation communication systems, the roles of IEDs and its basic structures.

**Chapter 3: Configuration Frameworks for IEDs in Substation Automation Systems** – Explores the VUZS simulator, configuration of IEDs in modern substations, with a focus on tools like PCM600, Ener Vista, and IET600.

**Chapter 4: Victoria University Zone Substation Simulator** – Presents the background, progressive development of the simulators, to sophisticated systems capable of supporting IEC61850 and multi-vendor interoperability developed by VU.

**Chapter 5: VUZS Simulator as Testing Facilities for International Collaboration** – Serves as a dynamic platform for testing and validating substation automation systems, fostering international collaboration through

real-time interoperability, training, and research using standardized protocols such as IEC 61850 and IEC 62351.

**Chapter 6: Securing IEC 61850 Communication Protocols with IEC 62351 Standard**

Introduces the IEC 62351 standard as a robust cybersecurity framework that enhances data integrity, confidentiality, and authentication. It also examines practical implementations, ensuring resilient and secure communication infrastructures.

**Chapter 7: Conclusions and Recommendation for Future Works –**

Summarizes the key findings of the research and outlines potential directions for future study.

This chapter serves as the foundation for the discussions that follow, providing clear context for the research and outlining its relevance to the ongoing modernization of power grids. The next chapters will delve deeper into the technical, security, and operational aspects of modern power systems.

# CHAPTER 2

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## Foundations of Substation Automation and Communication Systems

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*The greater the ignorance, the greater the dogmatism.*  
William Osler, *Science and Immortality*, 1904

*This Chapter provides an overview of Substation Automation Systems (SAS), covering their basic concepts and background. It explains the role of Intelligent Electronic Devices (IEDs) in power systems, the fundamentals of communication systems, and key SAS communication protocols. Additionally, it outlines the basic structure of IEDs.*

### 2.0 Introduction

Substation Automation Systems (SAS) have become an integral part of modern electrical power networks, facilitating the efficient monitoring, control, and protection of substations. With the continuous evolution of electrical grids towards higher levels of complexity, automation has emerged as a critical requirement. SAS incorporates time and digital technologies, such as IEDs and communication protocols, to enhance operational reliability, improve response times, and enable remote access to substations. The

Extracted from J. Claveria and A. Kalam (2018), "GOOSE Protocol: IEDs Smart Solution for Victoria University Zone Substation (VUZS) Simulator Based on IEC 61850 Standard," IEEE PES Asia-Pacific Power and Energy Conference.

integration of these systems supports smarter grid operations, energy efficiency, and real-time diagnostics.

This chapter provides a comprehensive overview of SAS, including a discussion of the fundamental communication systems, the role of IEDs, and their basic structure. This understanding forms the foundation for exploring potential areas of innovation and improvement in the field, contributing to the development of more robust, flexible, and efficient substations.

## **2.1 Overview of Substation Automation Systems (SAS)**

SAS refers to the integration of various systems that monitor, control, and automate the functions of electrical substations. The primary goal of SAS is to enhance the efficiency, reliability, and safety of power distribution by leveraging real-time data and automated control mechanisms. SAS ensures continuous and accurate monitoring of substation equipment, real-time fault detection, and rapid restoration of power following disturbances.

Key components of SAS include control systems, protection devices, monitoring equipment, and communication networks. The system architecture typically relies on a combination of hardware and software solutions to ensure seamless communication between various substation components, including transformers, circuit breakers, and busbars. By employing automation, substations can reduce human intervention, minimize the potential for human

error, and enable predictive maintenance strategies, which in turn enhance the overall stability and security of power grids.

Modern SAS relies on international standards such as IEC 61850, which provides a framework for communication and interoperability between devices within the substation. This protocol ensures seamless integration of equipment from different manufacturers, facilitating the efficient exchange of data and commands across the system.

## **2.2 Fundamentals of Substation Communication Systems (SCS)**

Communication systems are the backbone of SAS, enabling real-time data transfer between various devices within the substation. These systems allow for the transmission of critical information related to the operation and status of substation components, facilitating remote monitoring and control. The efficiency and reliability of communication systems are crucial in ensuring the rapid detection of faults and the timely execution of protective actions.

The key communication protocols used in SAS include:

- **Modbus:** A communication protocol commonly used in automation systems for data exchange between programmable logic controllers (PLCs) and other devices exchange in industrial automation, primarily facilitating interactions. Developed by Modicon (now part of Schneider Electric) and introduced in 1979, MODBUS has since become a

standard protocol for various applications, including industrial automation, transportation systems, and infrastructure management. Over time, it has also gained significant traction in substation automation, enabling efficient data transfer between IEDs [16-17].

- **DNP3 (Distributed Network Protocol):** DNP3 was originally developed in 1990 by Westronic Inc., now known as Harris Distributed Automation Products. By 1993, DNP3 was publicly released, incorporating significant advancements tailored specifically for Supervisory Control and Data Acquisition (SCADA) systems [18]. It operates on a master/slave architecture, more commonly referred to as a master/outstation system layer, facilitating efficient communication between control centers and remote devices. Initially gaining traction in North America, DNP3 has since expanded its adoption across Europe and other regions, proving its effectiveness in various industrial applications [19]. Its efficiency in handling large volumes of data while maintaining secure and reliable communication makes it a preferred choice for many industrial and utility applications [20].
- **IEC 60870 in SCADA Systems:** The IEC 60870 standard, developed by the IEC Technical Committee 57, defines protocols for the protection, control, and communication of SCADA systems within electric power networks. Primarily adopted in European countries, IEC 60870 enables secure access to object information from IEDs and

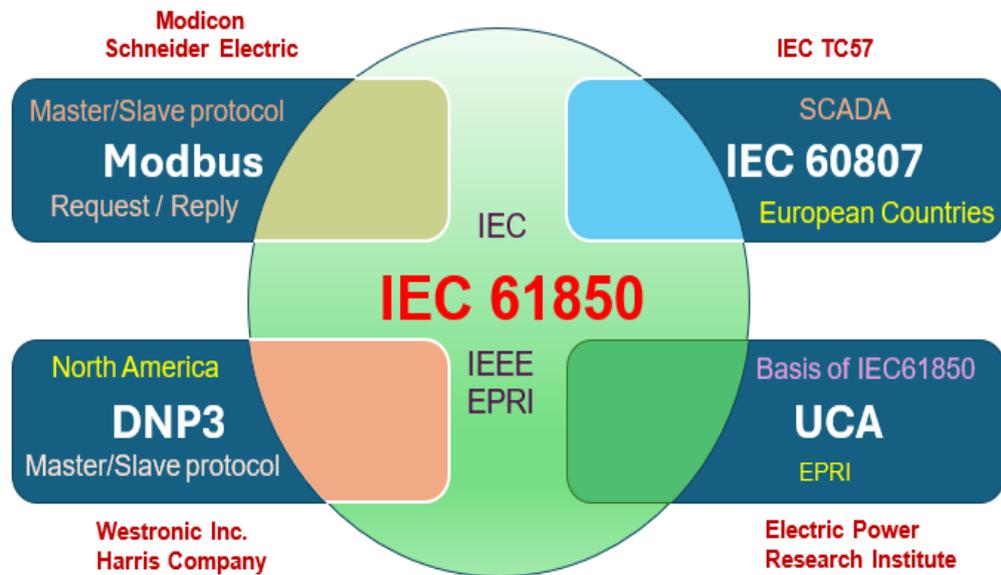
differs slightly from DNP3 in its messaging structure [21]. The initial standards emerged in 1988, with official publication in 1995, establishing protocols for binary-coded data transmission in remote monitoring and control applications [22]. With advancements in networking, IEC 60870 has integrated TCP/IP to enhance interoperability and efficiency in modern SCADA systems [23].

- **Utility Communication Architecture (UCA) in Power Systems:** The Utility Communication Architecture (UCA) was introduced by the Electric Power Research Institute (EPRI) in 1988 as a standardized protocol for the electric utility industry. UCA is built on four fundamental aspects: communication network configurations, transport protocol profile, application protocol profile, and object definition [24]. These elements define where, how, when, and what data is exchanged, ensuring seamless integration of diverse utility systems. By enhancing interoperability and data comprehension, UCA played a pivotal role in unifying communication protocols across power enterprises, ultimately forming the foundation for the IEC 61850 standard [25-26].
- **Ethernet/IP:** A communication protocol used in industrial automation that supports fast data transfer and integrates IT systems with operational technology. In SAS, Ethernet/IP plays a key role in enabling real-time data exchange between devices like sensors, controllers, and protection relays. This integration enhances monitoring, control, and safety within substations by ensuring efficient

communication across various systems [26-27]. Its high-speed capabilities and use of standardized protocols improve interoperability, reliability, and scalability in complex substation environments. Ethernet/IP also contributes to enhanced cybersecurity and easier maintenance by linking different automation components seamlessly [29].

- **IEC 61850:** IEC 61850 is a globally recognized standard designed for communication within substations, ensuring seamless interoperability among devices from various manufacturers. By defining specific communication protocols and services, it facilitates the exchange of critical information between IEDs. This standard improves the efficiency and reliability of control operations by enabling faster data transmission and reducing response times in substation automation. As a result, it supports real-time monitoring, control, and protection, contributing to enhanced system performance and reduced downtime in electrical networks. IEC 61850 plays a vital role in modernizing substations, fostering scalability, and simplifying maintenance [28-31].

The evolution of substation communication systems is clearly illustrated in **Figure 2.1**, showcasing the transition from legacy protocols such as Modbus, IEC 60870-5-101/104, DNP3, and UCA, towards the more advanced, standardized, and interoperable IEC 61850, marking a significant shift in how substations are designed, integrated, and automated.



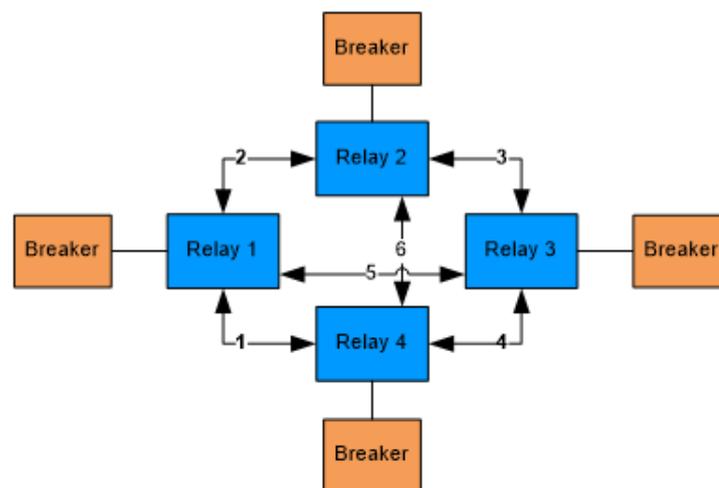
**Figure 2.1:** *The Evolution of Substation Communication Systems (SCS).*

The communication network architecture typically follows a hierarchical structure, with data being collected from field devices and transmitted to the central control system. At the field level, sensors and IEDs collect real-time data from equipment such as transformers and circuit breakers. This data is then processed and transmitted via a communication network to higher-level control systems, such as SCADA, where operators can monitor and control substation operations.

The integration of advanced communication technologies, such as fiber optics and wireless communication, has further enhanced the performance of SAS. These technologies enable faster data transmission rates, increased bandwidth, and reduced latency, which are essential for ensuring the real-time monitoring and control of substations.

### 2.3 Basic Communication Architecture

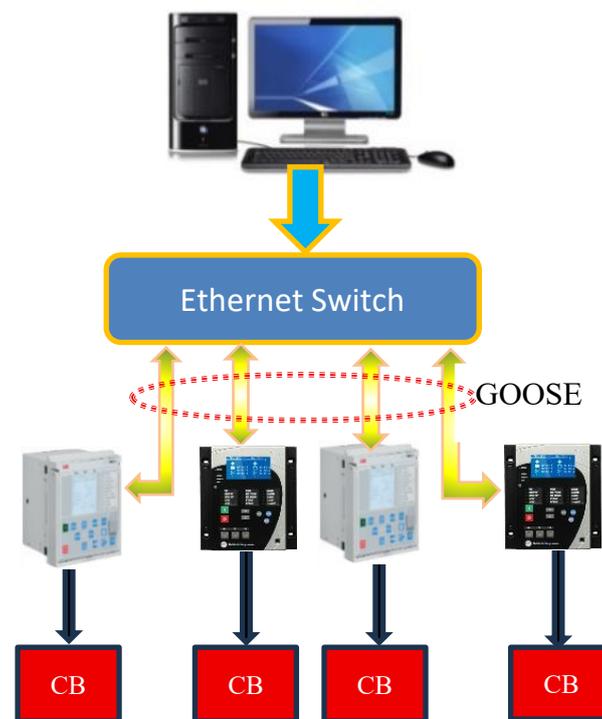
The two basic types of communication architecture are Legacy and Network architecture. As shown in **Figure 2.2**, a system with four relays requires direct physical wiring for interconnection and signal transmission. Each relay must communicate with the others, necessitating a total of six wires to establish functional links. As the number of relays increases, wiring complexity grows, leading to higher installation costs and maintenance challenges. This point-to-point wiring method is a key limitation of traditional relay systems [31].



**Figure 2.2:** Legacy Architecture.

Legacy power system architectures rely on standard analog relays with limited communication and networking capabilities. The transition to modern digital relays, which utilize advanced communication protocols, address these inefficiencies by reducing wiring requirements and improving system reliability [32].

The network architecture for substation automation standardizes the use of digital relays connected through a network hub. As shown in **Figure 2.3**, four digital relays communicate via Ethernet or serial cables, forming a shared network for seamless data exchange.



**Figure 2.3:** *The Network Architecture.*

This system primarily utilizes the GOOSE protocol, allowing a single relay message to reach multiple relays instantly. By reducing hardwired connections, this approach enhances reliability, simplifies installation, and improves operational efficiency in modern substations. The adoption of network-based communication supports automation, real-time data sharing, and scalability in power system protection and control [33-35].

## **2.4 The Intelligent Electronic Devices (IEDs)**

IEDs play a crucial role in modern SAS, integrating protection, control, and monitoring functions to enhance grid reliability and operational efficiency. These microprocessor-based devices acquire real-time data from sensors, meters, and other field instruments, process the information using advanced algorithms, and execute appropriate responses. In protection applications, IEDs analyze electrical parameters to detect faults and promptly initiate corrective actions, such as sending trip signals to circuit breakers to isolate the affected section and prevent further system disturbances.

Beyond their role in protection schemes - including distance, overcurrent, and differential protection - IEDs also facilitate control operations, such as switching devices, regulating voltage levels, and enabling remote command execution. Their ability to process large volumes of data in real-time significantly improves fault detection accuracy, enhances decision-making speed, and reduces response times.

The widespread adoption of IEDs has transformed substation automation by introducing advanced functionalities, such as self-healing mechanisms and predictive maintenance strategies. These capabilities enable the automated detection and isolation of faults, minimizing outage durations and improving power system resilience. Additionally, IEDs support condition-based monitoring, allowing utilities to optimize maintenance schedules and extend

the operational lifespan of substation assets. As a result, their deployment contributes to the overall efficiency, stability, and intelligence of modern power grids [36-38].

## **2.5 Basic Structure of Intelligent Electronic Devices (IEDs)**

The architecture of an IED typically consists of the following key components:

- **Input/Output (I/O) Modules:** I/O modules serve as critical interface components in substation automation systems, facilitating bidirectional communication between field devices and control systems. These modules acquire real-time data from various sensors and transducers, including voltage and current transformers, temperature probes, and other measurement instruments. The collected data - such as voltage levels, current magnitudes, and thermal conditions - are then transmitted to supervisory control systems for analysis and operational decision-making. Additionally, I/O modules issue control commands to actuators, such as circuit breakers and switchgear, ensuring precise execution of protection and automation functions [36,39,40].
- **Central Processing Unit (CPU):** The CPU serves as the core of IEDs within substation automation systems. It is responsible for executing complex algorithms to process real-time data, assess system conditions, and make critical operational decisions. The CPU

continuously analyzes incoming signals from sensors and other field devices, detecting abnormalities such as overcurrent, voltage fluctuations, or frequency deviations. In the event of a fault, the CPU swiftly initiates protective actions, including sending a trip command to circuit breakers to isolate the affected section and prevent further system disturbances. The efficiency and reliability of the CPU in substation automation are essential for ensuring the stability and security of the power grid [36,41,42].

- **Communication Interface:** The communication interface in an IED facilitates seamless data exchange between various substation components and higher-level control systems, such as SCADA. This interface enables real-time monitoring, control, and coordination of substation operations by supporting multiple communication protocols designed for power system automation. Among the widely used protocols are IEC 61850, which provides a standardized framework for interoperability; Modbus, known for its simplicity and reliability; and DNP3, which enhances secure communication in distributed automation systems.

By integrating these communication protocols, IEDs can efficiently transmit critical information, such as system status, fault detection, and protection commands, ensuring rapid response to electrical disturbances. This capability not only enhances grid reliability but also

supports advanced automation functions, including remote control, predictive maintenance, and data-driven decision-making in modern substations [43-45].

- **Human-Machine Interface (HMI):** The HMI plays a crucial role in substation automation by providing operators with a user-friendly platform to monitor, control, and configure IEDs. It facilitates real-time visualization of system status, enabling swift decision-making and fault diagnosis. HMIs can be implemented as local display panels, touchscreen interfaces, or web-based remote access systems, enhancing operational efficiency and safety. By integrating HMIs into the automation system, utilities can streamline data acquisition, improve situational awareness, and minimize human error [46-48]
- **Power Supply:** In substation automation, IEDs rely on dedicated power supply modules to ensure uninterrupted operation. These modules deliver stable and reliable electrical power, which is critical for maintaining the functionality of protection, control, and monitoring systems. A well-designed power supply system enhances resilience against voltage fluctuations and transient disturbances, safeguarding continuous operation and data integrity within the substation environment [49-50].

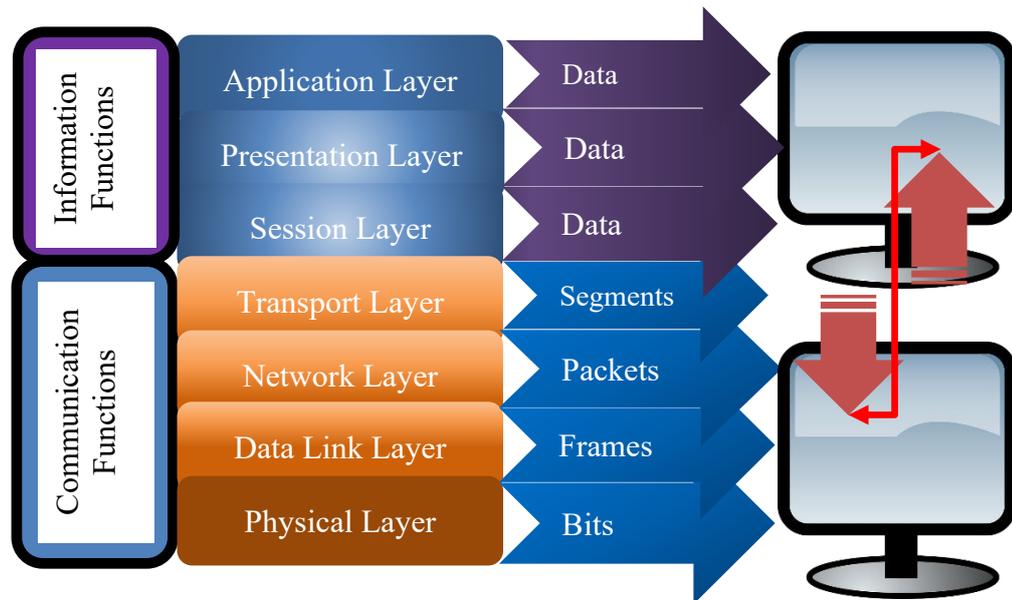
The integration of these components allows IEDs to perform a wide range of functions, including fault detection, data logging, and remote control, making them indispensable tools in modern substation automation.

## **2.6 The Open Systems Interconnection (OSI)**

The International Organization for Standardization (ISO) established a subcommittee for Open Systems Interconnection (OSI) in 1979 to develop a standardized framework for network communication. This effort led to the creation of the OSI Reference Model [51], which defines a structured, layered approach to interoperability among communication protocols [52]. Over the years, this model has been widely implemented in data processing and communication services within substation automation systems, ensuring seamless interaction between IEDs, remote terminal units (RTUs), and supervisory control and data acquisition (SCADA) systems [53].

A key purpose of the OSI model is to enable interoperability among diverse communication technologies by defining standardized protocols. The model is structured into seven hierarchical layers, categorized into two main functional groups: Data and Information Processing and Communication Functions [54].

**Figure 2.4** shows the foundational network communication systems, breaking down complex data exchange processes into seven distinct layers that ensure interoperability and standardization across diverse technologies.



**Figure 2.4:** *The OSI Network Model.*

- Application Layer: Provides data exchange interfaces and network access, enabling communication between end users [52].
- Presentation Layer: Ensures data is transformed and encoded into a standard format for interoperability across different systems [54]
- Session Layer: Establishes, maintains, and synchronizes communication sessions between local and remote applications [55].
- Transport Layer: Ensures reliable data transmission, error detection, and correction, while facilitating data exchange between users [53].
- Network Layer: Determines the most efficient routing paths and assigns logical addresses for message delivery [52].

- **Data Link Layer:** Manages data frame transmission, ensuring accuracy between source and destination within a network [54].
- **Physical Layer:** Converts data into electrical, optical, or radio signals for transmission and ensures compatibility with hardware specifications [55].

### **2.6.1 Significance of OSI in Power Systems and Substation**

The OSI model plays a fundamental role in modern power systems by ensuring standardized communication among substation automation components. It provides the foundation for protocols such as IEC 61850, which has become the global standard for substation communication, defining data models and message formats for efficient real-time data exchange [53]. By leveraging OSI-based protocols, utilities achieve:

- **Interoperability** between multi-vendor devices, reducing proprietary dependencies.
- **Enhanced cybersecurity** by structuring communication layers to mitigate threats.
- **Improved grid reliability** through faster fault detection and automated control responses.
- **Scalability** for future integration of smart grid technologies.

The adoption of the OSI framework in IEC 61850 compliant substations optimize automation, reduce operational costs, and improve efficiency in power grid management. As utilities continue to embrace digital substations and intelligent control systems, the OSI model remains a cornerstone for ensuring secure, scalable, and resilient power system networks [55].

## **2.7 Advancement in SAS Technologies**

Advancements have addressed challenges in SAS, particularly in cybersecurity and interoperability:

- **Cybersecurity:** Standards like IEC 62351 enhance security by protecting communication channels against cyber threats [56].
- **Interoperability:** Developments in IEC 61850 ensure seamless integration of diverse equipment, enabling utilities to adopt multi-vendor systems [57].
- **AI and Machine Learning:** Emerging technologies enable predictive analytics for maintenance and fault detection, further optimizing operations [58].

## **2.8 Summary**

This Chapter has presented a comprehensive overview of the foundational elements that underpin modern substation design and communication systems. It traced the evolution of traditional substation architecture - once heavily

reliant on hardwired connections and vendor-specific protocols - toward fully digital and automated environments. Emphasis was placed on the development and integration of standardized communication protocols, particularly the IEC 61850 framework.

A central focus of this discussion was the protocol, a critical feature of IEC 61850 that enables high-speed, event-driven communication between IEDs. Unlike conventional methods that required point-to-point wiring for each signal, GOOSE allows a single message to be broadcast to multiple devices in parallel, dramatically reducing wiring complexity and installation time. This approach not only enhances system reliability but also supports fault tolerance, rapid response, and simplified maintenance.

In addition, the chapter explored how network-based communication infrastructure enables real-time data exchange, interoperability, and scalable integration - key requirements for modern substation automation and grid modernization.

## **2.9 Conclusion**

In conclusion, the transition from legacy hardwired systems to advanced, communication-based architectures represent a paradigm shift in substation engineering. The implementation of IEC 61850, particularly the GOOSE protocol, has proven instrumental in enabling fast, reliable, and standardized

information exchange across protection and control systems. By minimizing physical wiring and enabling logical connections over Ethernet, the approach enhances operational efficiency, system resilience, and adaptability to future technological developments.

This foundational understanding of substation and communication systems establishes a critical platform for the next chapters, which delve deeper into the simulation, testing, and international collaboration aspects of substation automation, particularly through the application of tools like the VU-ZSS Simulator.

# CHAPTER 3

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## Configuration Frameworks for IEDs in Substation Automation Systems

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*"The sciences originate in philosophy, guided by reason, and culminate in artistry, where mastery transcends method."*

— Jacob Bronowski, *The Ascent of Man*, 1973

*This Chapter provides an in-depth discussion of the IEDs in substation automation systems. It explores its evolution, design, and configuration of IEDs in modern substations, with a focus on tools like PCM600, EnerVista, and IET600. It also shows the different communication configurations of IEDs using the communication protocols of IEC 61850.*

### **3.0 Introduction**

The evolution of modern substations has been driven largely by the development of Intelligent Electronic Devices (IEDs). These devices are critical in automating, monitoring, and protecting electrical substations, making them indispensable components in the power grid. To ensure proper functionality and seamless communication within substations, IEDs must be

appropriately configured. Proper configuration not only ensures that the devices operate optimally but also that they integrate effectively into the wider system.

This chapter explores the configuration of IEDs, focusing on tools and methodologies used by different manufacturers. Specifically, we will discuss ABB IED configuration using PCM600, GE IED configuration using EnerVista, and multi-vendor system configuration using IET600. Furthermore, we will examine the potential areas for research and innovation in IED configuration to improve efficiency and interoperability in future substation automation systems.

### **3.1 Configuration of Intelligent Electronic Devices (IEDs)**

The configuration of IEDs is a crucial process that defines the parameters, settings, and functionalities of these devices. Each IED must be precisely tailored to meet the operational needs of the specific substation, ensuring that it performs protection, control, and monitoring tasks as required. Configuration involves defining communication protocols, setting protection thresholds, and enabling automated actions like breaker trips during fault conditions.

#### Key Elements of IED Configuration:

- **Parameter Settings:** Voltage, current, and time settings for protection schemes such as overcurrent, distance, and differential protection.
- **Communication Protocols:** Ensuring IEDs can communicate effectively with other devices within the substation using protocols such as IEC 61850, Modbus, or DNP3.
- **Logic Programming:** Defining the decision-making algorithms that dictate how the IED responds to various grid conditions, including contingencies and faults.
- **Firmware Updates:** Keeping the IED's software up to date to ensure enhanced functionality, cybersecurity, and compatibility with the latest grid standards.

The configuration process is carried out using specialized software tools provided by IED manufacturers, each with unique interfaces and capabilities. These tools are essential for configuring both individual IEDs and for integrating them into larger, multi-vendor systems.

### **3.2 ABB IED Configuration Using PCM600**

ABB, a leading provider of power and automation technologies, offers the **PCM600** (Protection and Control Manager) tool for the configuration and

management of its IEDs. PCM600 is used for both local and remote configuration of ABB IEDs, providing an interface to program protection and control functions, monitor IED status, and analyze fault data [59].

Key Features of PCM600 [60]:

- **Graphical Interface:** PCM600 offers an intuitive graphical interface for configuring IEDs, enabling engineers to easily define protection settings, logic schemes, and communication protocols.
- **Parameter Management:** The tool provides a centralized platform to manage all parameters, making it simple to adjust protection settings and threshold levels as per system requirements.
- **IEC 61850 Integration:** PCM600 supports full IEC 61850 functionality, including system configuration and signal mapping for interoperability within the substation.
- **Fault Analysis:** The tool includes diagnostic capabilities, allowing for post-event analysis of protection operations, enabling engineers to refine settings to improve system reliability.

In a typical configuration workflow, engineers would use PCM600 to establish communication with the IED, upload the required settings, and test the functionality in both normal and faulty conditions. The ability to remotely configure and monitor ABB IEDs through PCM600 enhances operational

flexibility, allowing for quick adjustments in real-time without physically accessing the substation.

### **3.3 Peer-to-Peer GOOSE Communication between ABB IEDs using PCM600**

This section explains the step-by-step configuration and logical data flow involved in setting up peer-to-peer communication between two ABB REF615 IEDs using the IEC 61850 GOOSE protocol. The configuration was performed using ABB's proprietary engineering software, Protection and Control Manager 600 (PCM600). This setup allows a high-speed, deterministic exchange of control and measurement data between protection devices within a digital substation environment.

The physical layout of the system involves a workstation connected to an industrial Ethernet switch. From the switch, two Ethernet ports are extended, each terminating at the communication port of an ABB REF615 IED. One relay act as a GOOSE Publisher, and the other as a GOOSE Subscriber. The computer station is used to perform configuration, upload/download logic, and monitor live signal behavior.

- **Computer Station:** Hosts PCM600, used to configure both relays.
- **Ethernet Switch:** Facilitates multicast traffic and VLAN segregation.
- **REF615 Relay 1:** Configured as the **GOOSE Publisher**.

- **REF615 Relay 2:** Configured as the **GOOSE Subscriber**.

All devices are assigned static IP addresses within the same subnet, and the Ethernet switch must support multicast traffic and VLAN tagging to ensure reliable GOOSE message delivery.

### **3.3.1 Relay Configuration in PCM600**

#### **(a) IED Setup and Communication Parameters**

Using PCM600, each REF615 IED is first added to the project with its corresponding IED name and IP address. The communication settings for each device are configured under the IEC 61850 communication section.

- **GOOSE VLAN ID:** Typically set to 0 (untagged) or as per substation design.
- **Priority:** Set to 4 or higher to give GOOSE messages sufficient network priority.
- **Multicast MAC Address:** Automatically generated for each GOOSE control block.

**(b) Publisher Relay Configuration (REF615-PUB)**

In the publishing relay, a dedicated **dataset** is created containing the status and control information that needs to be shared with the subscriber relay.

**Steps:**

- **Create Dataset:**
- **Name:** GOOSE\_DATA\_PUB
- **Contents:** Binary status values like CSWI1.Pos.stVal, XCBR1.Pos.stVal, XCBR1.OpCnt.stVal.
  
- **Create GOOSE Control Block:**
- **Name:** .....GOOSE\_CB1
- **AppID:** .....e.g., 0x101
- **Assigned to dataset** .....GOOSE\_DATA\_PUB
- **Configured with appropriate retransmission intervals.**

- **Signal Mapping:**
- Status outputs from circuit breakers (CB status) and trip signals from protection logic are mapped to the GOOSE publisher.

This allows the REF615-PUB relay to broadcast real-time breaker position and protection trip status across the network to subscribing IEDs.

#### (c) **Subscriber Relay Configuration (REF615-SUB)**

The second relay is configured to receive and process the GOOSE messages broadcast by the publisher. It creates a subscription to the exact AppID, and dataset defined in the publisher relay.

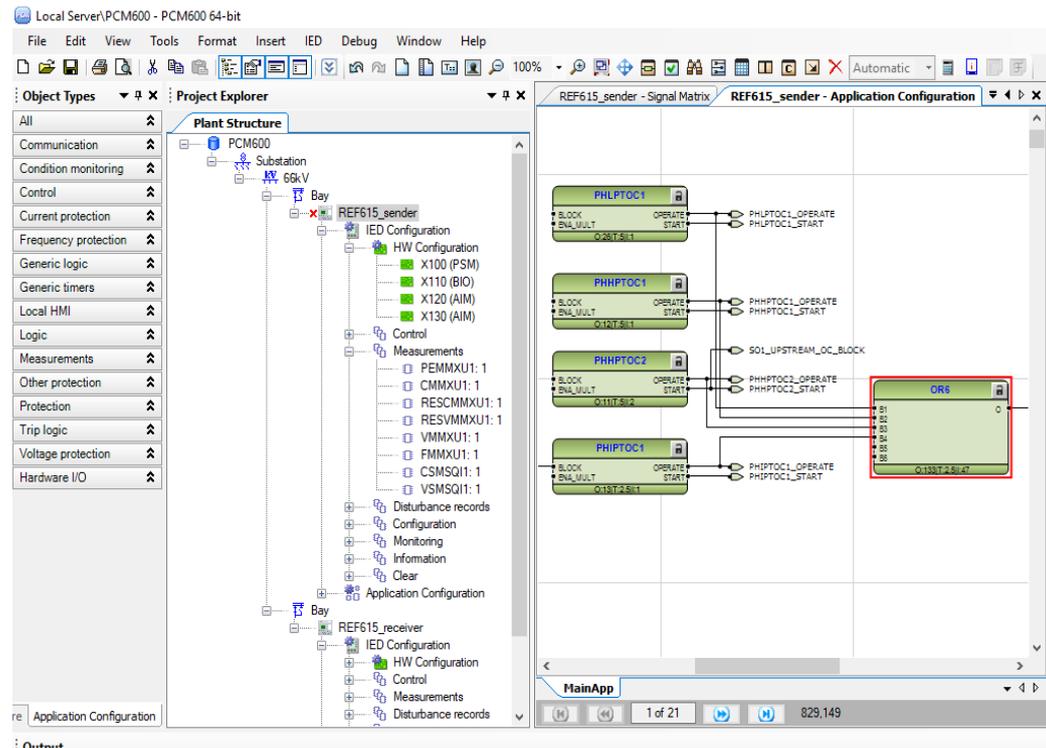
#### **Steps:**

- **Import GOOSE Message:**
- In the PCM600 project, use the “GOOSE Subscription Configuration” tool.
- Load the `.scd` file or use the local project reference to detect available GOOSE control blocks.

- **Create Matching Dataset:**
  - **Name:** GOOSE\_DATA\_SUB
  - **Map it to match** GOOSE\_DATA\_PUB.
- 
- **Connect Signals to Logic:**
  - For example, the signal `XCBR1.Pos.stVal` from REF615-PUB can be used to trigger interlocking logic or monitoring in REF615-SUB.
  - The GOOSE subscriber dataset is wired logically to internal control blocks (e.g., for blocking tripping based on remote breaker status).

**Figure 3.1** shows the settings being set up on the PCM600 software for the GOOSE configuration and control blocks of publisher and subscriber.

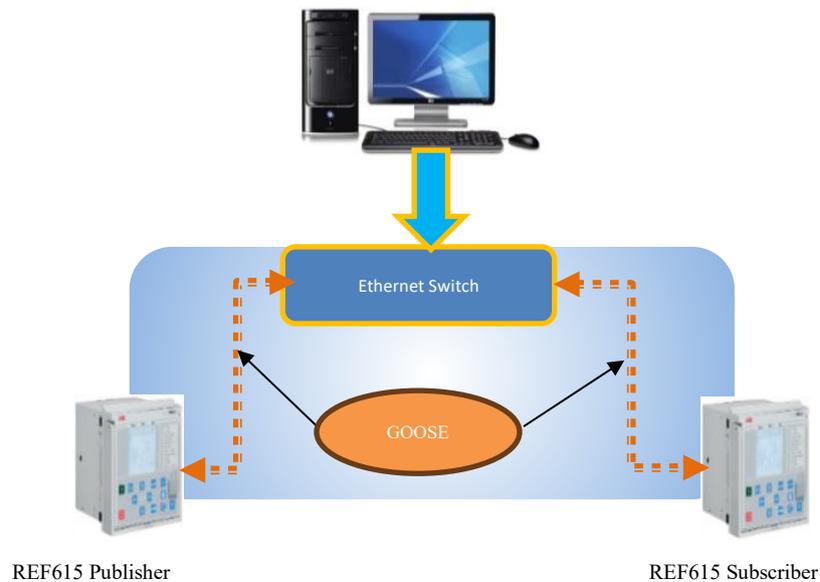
### Chapter 3: Configuration Frameworks for IEDs in Substation Automation Systems



**Figure 3.1:** GOOSE configuration using ABB PCM600.

#### 3.3.2 GOOSE Communication Flow

Once the configuration is downloaded to each IED, GOOSE messages from the publisher are transmitted using Layer 2 Ethernet multicast. These messages are not routed by IP but identified by the multicast MAC and AppID. The subscriber listens to the multicast address, matches the AppID and dataset reference, and updates its internal logic instantly - typically within 4 milliseconds ( **Figure 3.2**).



**Figure 3.2:** Peer-to-Peer GOOSE communication between Publisher and Subscriber

### 3.3.3 Control and Measurement Data Exchange

- **Publisher Transmits:**
  - ✓ Breaker open/close status
  - ✓ Protection trip command output
  - ✓ Control switch status
  - ✓ Measurement flags (optional)
- **Subscriber Receives and Acts Upon:**
  - ✓ Remote trip signals (used in inter-trip schemes)
  - ✓ Remote breaker status (for interlocking)
  - ✓ Synchronizing control logic
  - ✓ Alarms or blocking schemes

This peer-to-peer architecture eliminates the need for a central controller for fast inter-relay coordination and is highly scalable within the substation's IEC 61850 framework.

### **3.4 GE IED Configuration using EnerVista**

GE offers **EnerVista**, a comprehensive suite of software tools designed for the configuration, management, and analysis of its IEDs. EnerVista provides utilities and engineers with the tools needed to configure GE relays, monitor equipment status, and download fault data for analysis.

Key Features of EnerVista [61]:

- **Protection Settings Management:** EnerVista allows users to set and modify protection parameters for GE IEDs, including overcurrent, voltage, and distance protection. These settings can be saved, exported, and imported across multiple devices.
- **SCADA Integration:** The software supports integration with SCADA systems, enabling seamless communication and control within the wider power system.
- **Graphical Logic Designer:** The tool includes a drag-and-drop interface for creating customized logic schemes, simplifying the configuration of complex protection and control algorithms.

- **Event Recording and Analysis:** EnerVista provides event and fault recording capabilities, enabling engineers to review IED performance and optimize protection settings based on real-world grid events.

EnerVista's extensive capabilities allow utilities to effectively manage and configure their GE IEDs. It also supports remote configuration and firmware management, enabling utilities to adapt their protection systems to changing grid conditions and standards without significant downtime.

### 3.5 Peer to Peer GOOSE Communication between GE IEDs using EnerVista

In this configuration exercise, two protective relays are set up to communicate using the IEC 61850 protocol, a widely adopted standard in substation automation. One relay is assigned the role of a *publisher*, while the second operates as a *subscriber*. These roles define how they exchange critical data, such as protection status or control commands, using GOOSE messaging.

**Figure 3.3** illustrates the relay configuration of GE Relay T60 as a publisher. Relay T60\_b is configured to send data across the network, acting as the information source

Chapter 3: Configuration Frameworks for IEDs in Substation Automation Systems

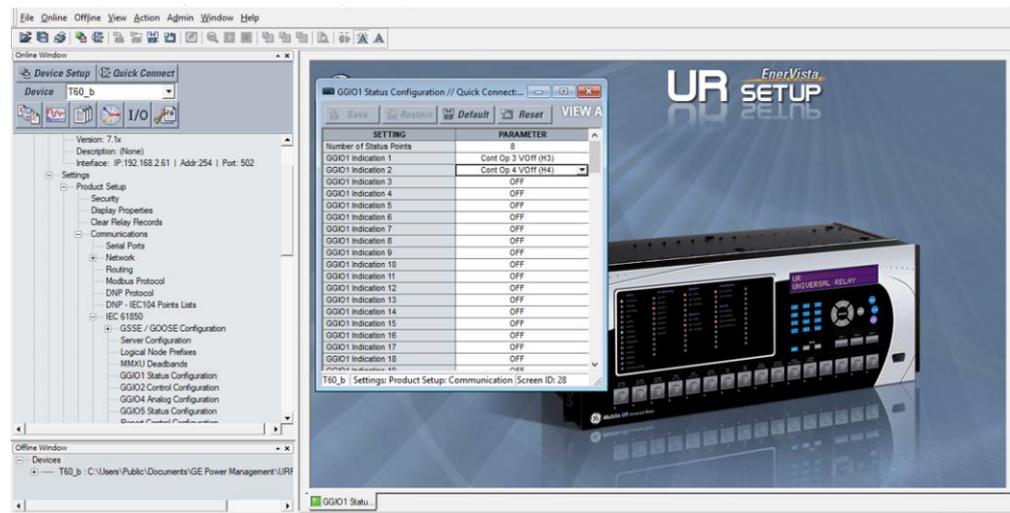


Figure 3.3: GE Relay T60\_b as a Publisher.

Through the EnerVista configuration tool provided by GE, users define the necessary input/output (I/O) parameters to ensure the relay can detect and publish events. Specifically, voltage and current sensing thresholds are adjusted to ensure that the relay operates within an expected range and responds appropriately to real-world conditions.

A crucial step in this process involves setting the signal sources in the relay's configuration. These signal sources serve as the foundation of what the relay will broadcast - commonly including circuit breaker statuses, contact positions, or protection trip signals. In this case, these sources are mapped to the GOOSE control blocks, which are responsible for managing how and when data is transmitted.

To verify that the relay is correctly configured as a publisher, users can view real-time measurements under the metering section of EnerVista. This screen

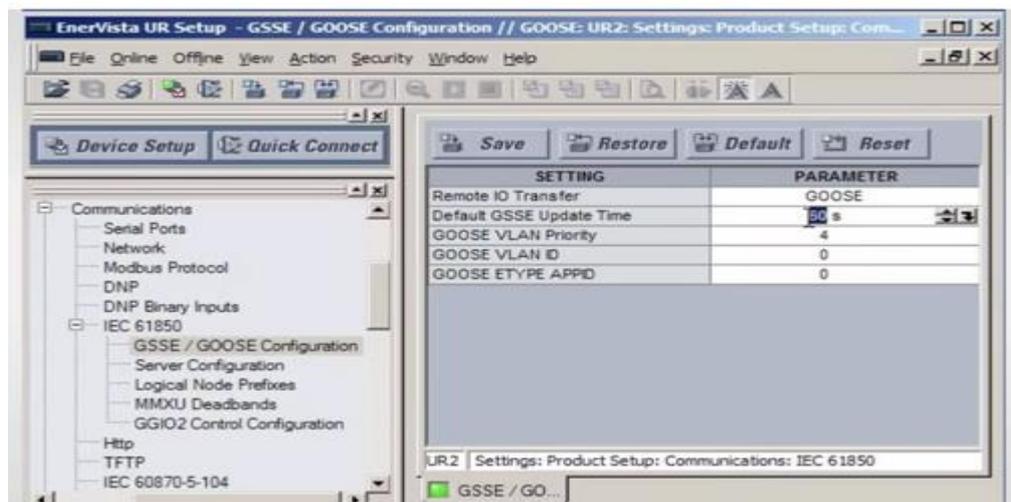
provides live updates of the current and voltage values the relay is monitoring, offering reassurance that the input data is correctly feeding into the system [62].

Another key aspect of the publisher's configuration is the digital input setup. These inputs, when triggered (such as by a status change in a breaker or contact), initiate the GOOSE message. It is at this stage where engineers must pay attention to data mapping, ensuring the correct status bit (e.g., breaker open/close) is linked to a remote output (DNA bit pair), which will later be used in the subscriber relay.

The publisher is configured to transmit data using GOOSE messages, which are more flexible and powerful than GSSE. Although both are used for similar purposes, GOOSE and GSSE cannot operate concurrently, as they may interfere with each other. **Figure 3.4** indicates that GOOSE was being chosen because it offers enhanced features, such as:

- Destination MAC address: Ensures the data is sent to the correct recipient.
- VLAN ID and priority: Allows data traffic to be prioritized in the network.
- ETYPE and APPID: Unique identifiers for each GOOSE message stream.

- Each GOOSE message must have a unique name, following naming conventions to ensure it can be easily identified and subscribed to by other devices. Proper naming is not only important for functionality but also for future maintenance and troubleshooting.



**Figure 3.4:** GOOSE configuration is being selected.

Once Relay T60\_b is fully configured and verified as a publisher, Relay T60\_a is set up to receive GOOSE messages, making it the subscriber. Within EnerVista, the relay is designated as a remote device, meaning it is looking to accept data from another unit in the network.

**Figure 3.5** demonstrates the subscriber configuration, where GOOSE messages are to be received based on the message name and structure configured in the publisher. These are known as remote inputs, which directly correspond to the DNA bit pairs transmitted by the publisher. For example, if

the publisher sends a breaker status change, that bit is mapped to a remote input in Relay 2.

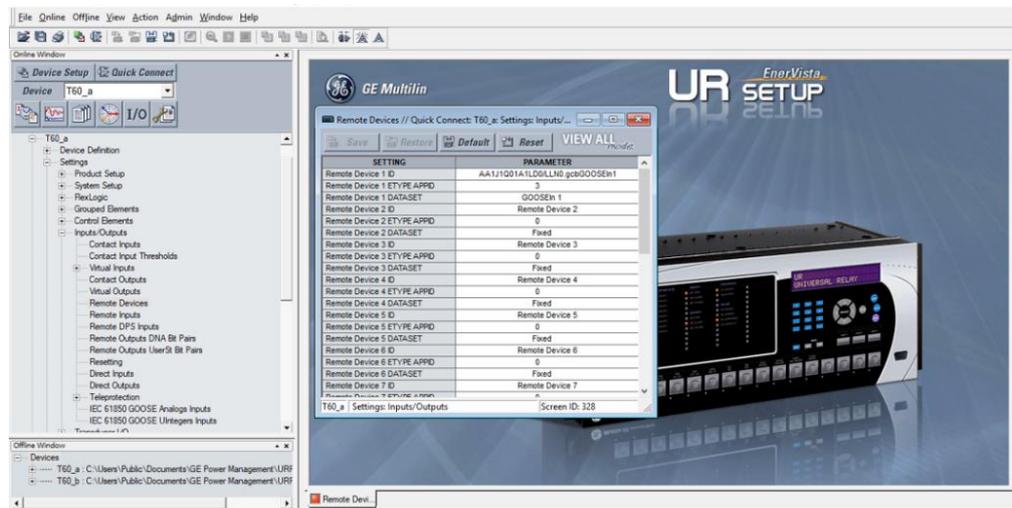


Figure 3.5: Relay T60\_a as a Subscriber.

To test the effectiveness of this communication link, the Remote Device Status page within EnerVista can be used. This monitoring tool displays whether the two relays are online and exchanging GOOSE messages. If a status input in Relay 1 changes - for instance, a breaker opens - Relay 2 should immediately reflect this change through its remote inputs, indicating a successful subscription and reception of the GOOSE message.

This setup demonstrates a fundamental yet essential principle in digital substation design: peer-to-peer communication between protection relays. By configuring one device to publish and another to subscribe, engineers can implement fast, reliable control and interlocking logic without relying on a central controller.

This type of configuration is especially valuable in time-sensitive applications like breaker failure protection or busbar interlocking, where milliseconds matter. The use of GOOSE messaging ensures minimal latency and high reliability, a cornerstone of modern substation automation systems.

### **3.6 Multi-Vendor System Configuration Using IET 600**

As modern substations often incorporate devices from multiple manufacturers, there is a need for tools that can manage and configure IEDs from different vendors in a single system. IET600 (IED Engineering Tool) is a powerful tool that facilitates multi-vendor IED configuration within substations, particularly in systems adhering to the IEC 61850 standard [63].

Key Features of IET600 [63,64]:

- ***Vendor-Agnostic Configuration:*** IET600 supports IEDs from different manufacturers, allowing engineers to configure, test, and integrate devices into a unified system. This ensures interoperability between ABB, GE, and other IED brands within a single substation.
- ***System-Level Configuration:*** The tool allows for system-wide settings, including the configuration of communication networks, signal mapping, and protection settings across multiple IEDs.
- ***IEC 61850 Engineering:*** IET600 is designed to work seamlessly with IEC 61850-based systems, facilitating the mapping of

GOOSE messages and ensuring proper communication between IEDs.

- **Visualization and Testing:** IET600 offers a graphical interface for visualizing the substation automation system, including the relationships between IEDs, sensors, and control devices. It also includes simulation and testing features to verify system functionality before deployment.

**Figures 3.6 and 3.7** represent a comprehensive view of the IET600 interface during the logical node mapping phase. It also illustrates the integration of Substation Configuration Language (SCL) within the IET600 platform.

By supporting multi-vendor environments, IET600 simplifies the process of integrating different IEDs into a cohesive automation system. This flexibility is particularly important for utilities that use a mix of equipment from different manufacturers, ensuring that all devices can work together seamlessly under a unified control and protection scheme.

Chapter 3: Configuration Frameworks for IEDs in Substation Automation Systems

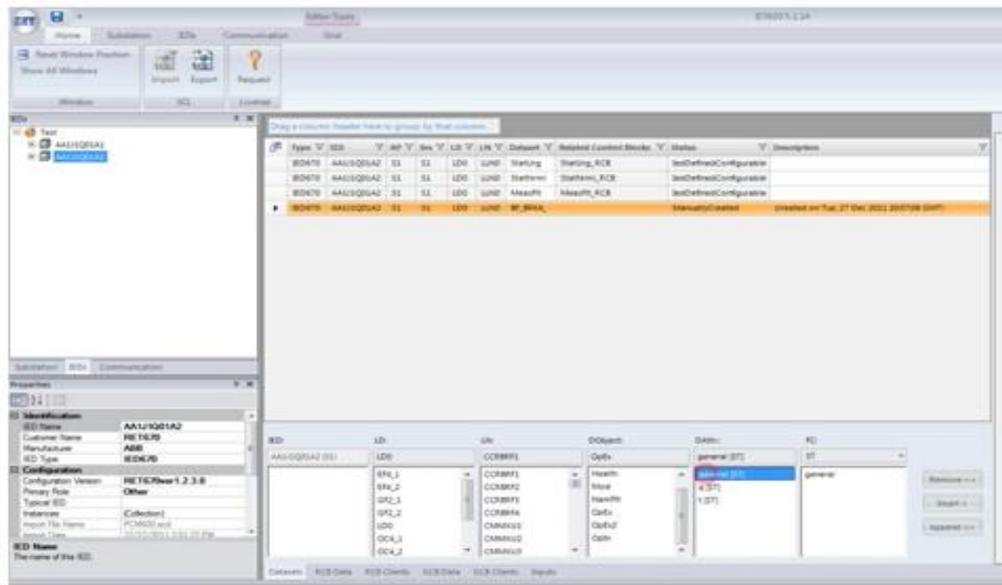


Figure 3.6: IED Logical Node Mapping in IET600.

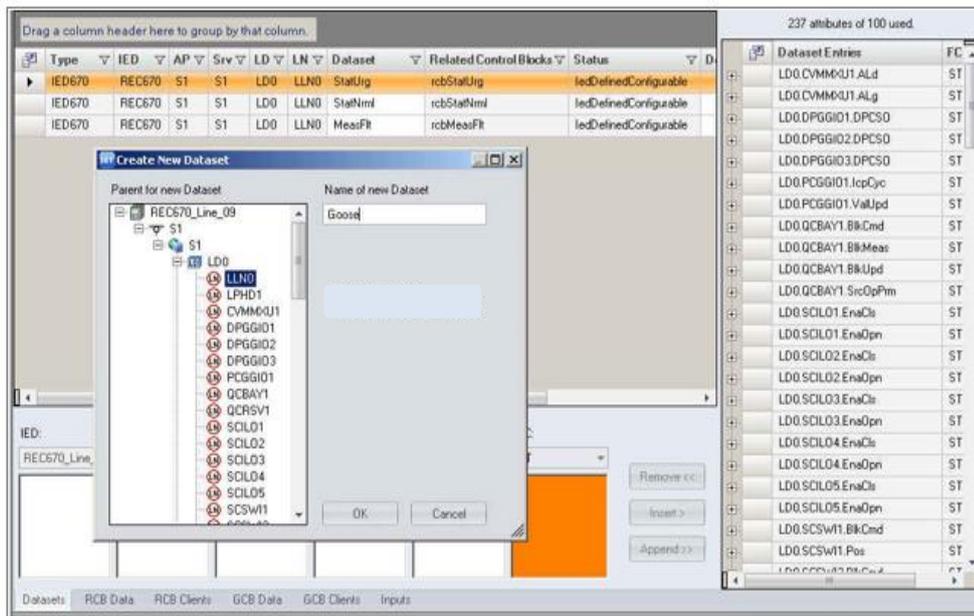
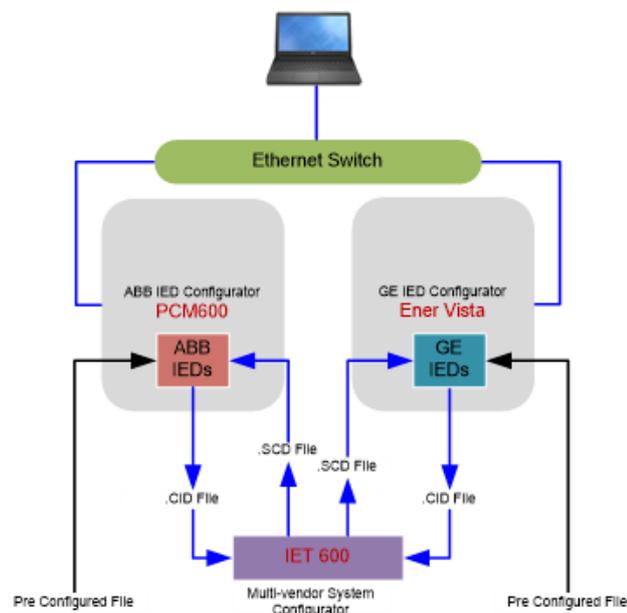


Figure 3.7: GOOSE Communication Configuration in IET600.

### 3.7 IED Configuration and Interoperability via IET600

**Figure 3.8** illustrates a typical workflow used in configuring IEDs from different manufacturers - specifically ABB and GE, using their respective proprietary configuration tools and achieving interoperability through the multi-vendor system configuration software, IET600.



**Figure 3.8:** IED Configuration and Interoperability Tools.

At the initial stage, ABB IEDs is configured using PCM600, which is ABB's proprietary IED configurator. Similarly, GE IEDs are configured using EnerVista, GE's native IED configuration platform. Each of these configuration tools is designed to define and manage parameters such as logical nodes, datasets, GOOSE control blocks, and communication settings according to the IEC 61850 standard [65].

*Chapter 3: Configuration Frameworks for IEDs in Substation Automation Systems*

Once each IED is fully configured within its own environment, the configuration is exported as a CID (Configured IED Description) file. CID files are device-specific and contain finalized settings that define the behavior and communication profile of each IED. These files are a derivative of the ICD (IED Capability Description) and are used for deployment into the actual IED hardware.

To enable multi-vendor interoperability, the CID files from both ABB and GE are imported into IET600 - a vendor-neutral system configuration tool developed by ABB that supports IEC 61850 Edition 1 and 2. IET600 allows the engineer to map, validate, and consolidate the configurations from different vendors into a unified SCD (System Configuration Description) file [66-67].

The SCD file contains a comprehensive system-wide configuration, including all IEDs, communication settings, datasets, GOOSE subscriptions, and logical node associations. Once the mappings are complete and validated in IET600, the tool generates an updated SCD file which is then exported back to each vendor's configuration environment (PCM600 and EnerVista). From there, the SCD file can be imported back into the IEDs to align their configurations with the system-wide settings [68].

This process ensures that IEDs from ABB and GE can exchange GOOSE messages, share sampled values, and interoperate seamlessly within a single

substation automation system. The use of standardized IEC 61850 file formats and a multi-vendor configurator like IET600 makes it possible to achieve vendor-neutral interoperability, allowing utilities and integrators to mix and match devices without compromising functionality or communication reliability [69-70].

**Table 3.1** outlines the summary of file exchange process and workflow highlighting the tools used at each stage and the corresponding file formats essential for seamless system integration and interoperability.

**Table 3.1** Summary of File Exchange Process

Step	Action	Tool	File Type
1	Configure ABB IEDs	PCM 600	CID File
2	Configure GE IEDs	EnerVista	CID File
3	Import both CID into system	IET600	CID – SCD Mapping
4	Generate SCD file back to tools	IET600	SCD File
5	Distribute SCD file back to tools	PCM600 / EnerVista	SCD File
6	Download final configuration into IEDs	PCM600 / EnerVista	SCD - IED

### 3.8 Summary

The configuration of IEDs, whether through PCM600, EnerVista, or IET600, is a critical aspect of modern substation automation. Proper configuration ensures the efficient operation of protection systems, enhances reliability of

power delivery and enables better grid management. However, several challenges arise in the configuration process:

- **Interoperability:** As substations often utilize IEDs from multiple vendors, ensuring seamless communication and operation between devices can be a challenge. Tools like IET600 address this by providing vendor-agnostic configuration platforms.
- **Cybersecurity:** The increasing connectivity of IEDs, especially with remote configuration options, raises concerns about cybersecurity. Securing communication protocols and ensuring proper authentication during configuration are essential to prevent unauthorized access to critical infrastructure.
- **Scalability:** As the grid evolves and the number of IEDs increases, managing and configuring these devices can become more complex. Research into automation of the configuration process, including the use of AI for parameter tuning, could streamline these tasks and improve overall efficiency.

There are several areas where research can further advance the field of IED configuration systems:

- **Artificial Intelligence for Automated Configuration:** AI could be used to automatically optimize protection settings based on real-

time grid data, improving response times and reducing manual intervention.

- **Cybersecurity Protocols:** Developing robust, secure communication protocols for IED configuration that balance flexibility, and security is a crucial area of research, especially in the context of remote and cloud-based configurations.
- **Interoperability Standards:** Further research into enhancing the interoperability between devices from different manufacturers could lead to new standards that simplify multi-vendor system integration.

### **3.9 Conclusion**

This chapter provided an in-depth examination of the configuration processes for IEDs using specialized engineering tools such as ABB's PCM600, GE's EnerVista, and Siemens' IET600. These tools serve as critical interfaces for defining, managing, and validating communication settings, logic functions, and protection schemes in both single-vendor and multi-vendor substation environments. Their role is fundamental in enabling precise and efficient operation of IEDs, ensuring alignment with IEC 61850 standards and promoting seamless data exchange across the substation network.

The analysis highlighted the significance of accurate and standard - compliant configuration, not only in achieving reliable grid protection and control but

*Chapter 3: Configuration Frameworks for IEDs in Substation Automation Systems*

also in addressing broader challenges such as interoperability among heterogeneous devices, maintaining system security, and accommodating future scalability. As digital substations become more complex and interconnected, these configuration tools must evolve to support increasingly automated workflows, improved diagnostics, and stronger cybersecurity frameworks.

Furthermore, the chapter underscored emerging research trajectories, particularly in the development of vendor-agnostic platforms, automated configuration validation, and AI-assisted system tuning. landscape configuration expected to reshape the IED configuration landscape, making it more adaptive and resilient to the dynamic demands of future power systems.

This foundational understanding of IED configuration sets the stage for the next chapter, which investigates how simulation platforms - such as the VUZS Simulator can be leveraged to test, validate, and optimize multi-vendor substation systems in a controlled environment.

# CHAPTER 4

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## Victoria University Zone Substation Simulator

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*“Nothing in life is to be feared, it is only to be understood.”*  
**Marie Curie, Piere Curie (1923)**

*This chapter outlines the evolution of simulator technologies at Victoria University, leading to the development of the advanced Victoria University Zone Substation (VUZS) Simulator. It highlights the transition from basic, single-function platforms to integrated systems capable of supporting IEC 61850 protocols. Emphasis is placed on improvements in hardware and software, enabling multi-vendor interoperability and realistic substation behavior. The chapter demonstrates how these advancements enhance learning, research, and testing within a safe and flexible digital substation environment.*

### **4.0 Introduction**

The Victoria University Zone Substation Simulator (VUZSS) represents a significant leap forward in bridging the gap between academic theory and real-world engineering practice. Developed as part of the university’s commitment to advancing smart grid education and applied electrical engineering, the

VUZSS provides students and researchers with a realistic, hands-on platform to explore the complexities of substation automation and IED communication. From its early beginnings as a basic simulation tool, the VUZSS has evolved into a sophisticated training and research environment that emulates the behavior of actual zone substations used in power systems across the globe.

This simulator plays a crucial role in preparing future engineers for the demands of the modern energy sector. With the widespread adoption of IEC 61850 communication standards and the growing emphasis on renewable energy integration, energy systems have become increasingly reliant on intelligent, interoperable infrastructure. The VUZSS is designed to reflect these real-world conditions by incorporating actual IEDs, vendor specific configuration tools, and multi-protocol communication networks. As a result, learners are exposed to industry grade equipment and processes, fostering a deeper understanding of protective relaying, automation schemes, and system diagnostics.

More than just a teaching tool, the VUZSS serves as a dynamic platform for collaborative research and industry engagement. It enables cross - border partnerships with utilities, equipment manufacturers, and energy producers who are striving for interoperability and modernization of their grids. The simulator's architecture supports multi - vendor configurations, allowing researchers to validate concepts, test algorithms, and simulate fault conditions in a controlled yet authentic environment.

By replicating the operational complexity of real substations and integrating cutting-edge technology, the VUZSS equips students and professionals alike with the knowledge and confidence required in the fast - evolving power and energy industry. It stands as a vital asset in building a skilled workforce that is ready to meet the challenges of energy transition, smart grid deployment, and global sustainability goals.

#### **4.1 Background of IEC 61850 at Victoria University**

Over the past decade, the development of simulation technology at Victoria University (VU) has progressed considerably. Initial initiatives centered around basic inverter-based models aimed at introducing students to the fundamental concepts of power electronics and switching circuits. While these early tools laid a strong educational foundation, they were constrained by limited system-level integration and lacked real-time communication features essential for modern substation automation. Recognizing the growing need for advanced training, VU became a leading institution in delivering IEC 61850 workshops and training programs through the Australian Power Institute (API). These efforts began with introductory knowledge on IEC 61850, focusing on interoperability, IED configuration using various proprietary software, and gradually expanded toward the development of more sophisticated testing units. The following sections highlight the key milestones and testing platforms that have shaped this journey.

#### 4.1.1 Multi-Vendor Portable IEC61850 Testing Unit

Figures 4.1 and 4.2 illustrate the first portable IEC 61850 testing unit developed specifically for educational and training purposes. At the time of its creation, no academic institution offered a hands-on demonstration platform that showcased IEC 61850-based multi-vendor interoperability.



Figure 4.1 Multi-Vendor Portable IEC61850 Testing Unit [71].

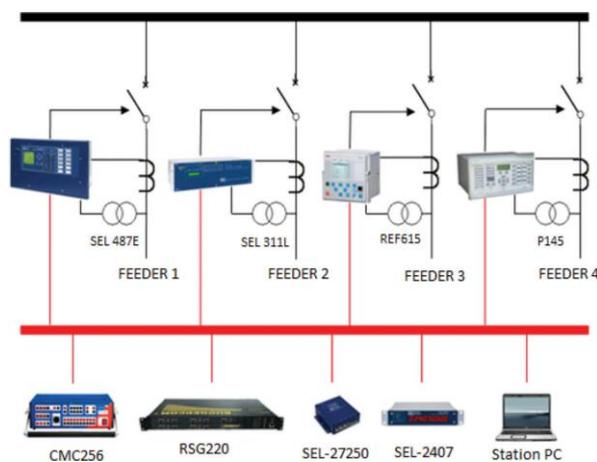


Figure 4.2 Communication Architecture of Portable IEC61850 Testing Unit [71].

This system uniquely integrated Intelligent Electronic Devices (IEDs) from various manufacturers such as ABB, Areva, and SEL, enabling real-time communication and interaction. Designed to be both robust and mobile, this unit served as a pioneering tool in advancing practical learning and system testing for students and power system professionals [71].

Following its development and successful testing, the portable simulator was used extensively in various simulation scenarios, demonstrating its effectiveness in real-world applications. It played a significant role in advancing the understanding and implementation of multi-vendor interoperability within educational settings, marking a key milestone in IEC 61850 training.

#### **4.1.2 Zone Substation Simulator**

Following the development of the initial portable testing unit, a more advanced and physically larger simulation platform referred to as the *Zone Substation Simulator* was introduced, as shown in **Figure 4.3**. This system marked a significant evolution in both design and functionality. With the integration of a HMI and SCADA, it enabled real-time monitoring of system behavior, including visualized data and time-stamped simulation events [72]. The animated substation model included key elements such as a transformer, busbar, and

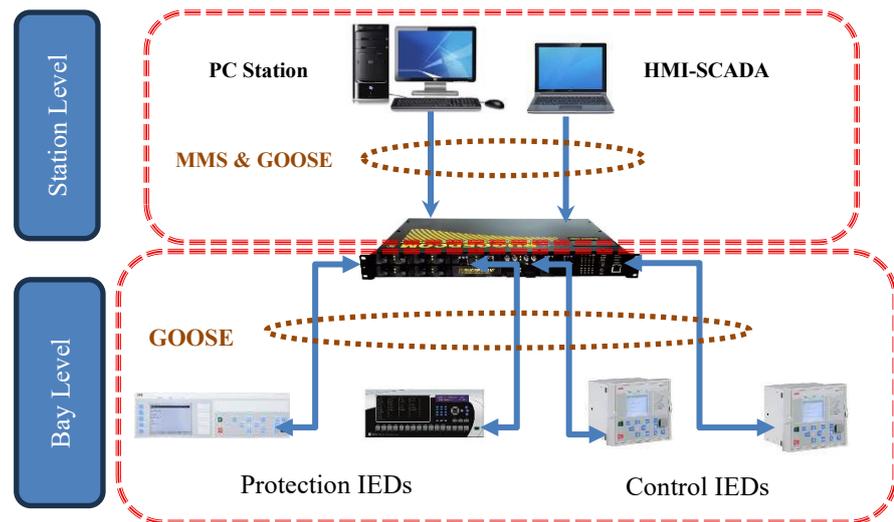
multiple feeders, providing a realistic and intuitive learning environment.



**Figure 4.3** *Zone Substation Simulator* [72].

Compared to the earlier portable unit, this simulator offered enhanced capabilities, particularly in terms of substation control, protection, and interoperability. It was specifically developed to support more complex testing scenarios, including multi-vendor IED integration and configuration, thereby contributing to a more comprehensive and practical application of the IEC 61850 standard in education and research.

**Figure 4.4** shows the communication architecture of the zone substation simulator. IEDs were placed in dedicated locations to replicate the protective schemes of an actual zone substation.



**Figure 4.4** Communication Architecture of Zone Substation Simulator [72].

### 4.1.3 Victoria University Zone Substation Simulator

The development of the VUZS Simulator was made possible through a strategic collaboration between Victoria University, Jemena, AusNet Services, and leading IED manufacturers ABB and GE [73]. The primary objective was to design and implement a functional replica of a working Australian substation, aligned with the IEC 61850 standard, to serve as an advanced educational platform for power system students. Beyond its academic value, the simulator was envisioned as a shared resource for other power utilities providing a dedicated environment for testing, research, and development in substation automation.

This collaborative effort marked a significant leap forward from the earlier simulation platforms developed at Victoria University. Unlike previous models, the VUZS simulator was built on the configuration of a real distribution substation located in Victoria, enabling an authentic and practical learning experience. As the first of its kind within a university setting, the simulator not only demonstrates substation protection and control but also showcases multi-vendor interoperability using IEDs from different manufacturers. Its comprehensive design and real-world relevance have positioned the VUZS as a pioneering innovation in engineering education and utility focused R&D, representing both a technological milestone and a major institutional investment [74].

**Figure 4.5** illustrates the physical layout and final positioning of the various components integrated into the VUZS simulator. The setup includes a diverse range of IEDs, a Remote Terminal Unit (RTU), Ethernet hubs, protocol gateways, and other essential communication and control hardware. The system was carefully designed to support a wide array of simulation scenarios, providing flexibility for both teaching and research applications.



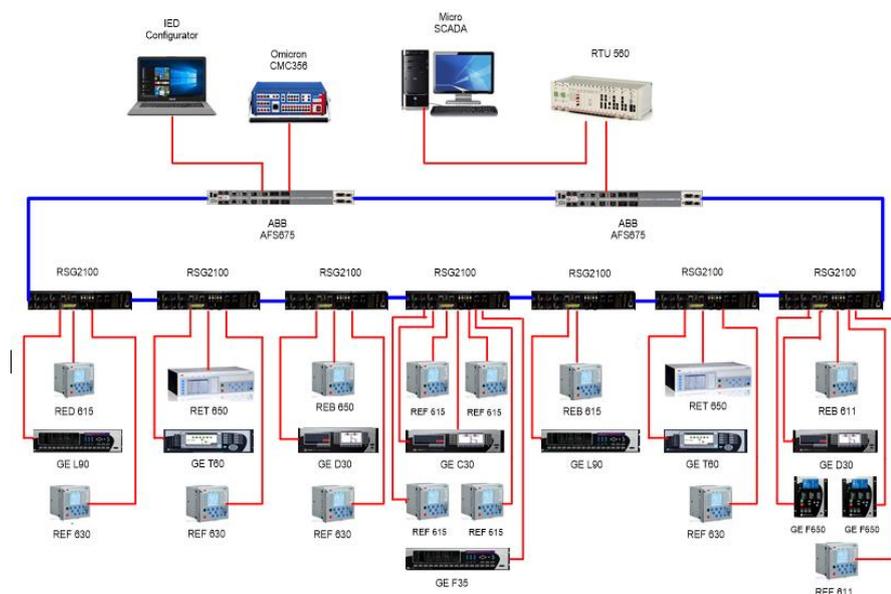
**Figure 4.5** *Victoria University Zone Substation Simulator* [74].

To facilitate hands-on testing, the simulator is equipped with multiple accessible input/output ports, including female plugs located at both the front and rear panels of the unit. These allow for quick connection and reconfiguration during different testing procedures. For communication, the VUZS employs both Ethernet and fiber optic connections, enabling the exchange of signals between devices with high speed and reliability. This hybrid communication infrastructure reflects real-world substation environments and significantly enhances the realism of training and testing.

The use of fiber optics ensures minimal latency and high-speed data transmission which is critical for simulating time-sensitive protection and control operations in compliance with the IEC 61850 standard. This comprehensive and realistic setup forms the backbone of the simulator's functionality, enabling the next

section to explore the specific testing procedures and interoperability demonstrations made possible through this advanced configuration.

**Figure 4.6** presents the communication architecture of the VUZSS, detailing the network connectivity among its various components. The system employs a combination of Ethernet and fiber optic connections to enable reliable, high-speed data exchange across IEDs, RTUs, protocol gateways, and SCADA interfaces. A hybrid topology primarily based on a ring configuration with embedded elements of a star topology was adopted to support the operational and safety requirements of substation automation based on the IEC 61850 standard [75-76].



**Figure 4.6** VUZS Simulator Communication and Network Architecture [74].

In this design, two distinct sets of IEDs are deployed: ABB IEDs are configured for protection functions, while GE IEDs are responsible for control tasks. This division of roles enhances the clarity of testing objectives and reflects typical real-world configurations found in modern substations, where interoperability between multi-vendor devices is critical [77].

Different network topologies such as star, bus, mesh, and ring offer varying levels of performance, complexity, and fault tolerance. The ring topology was selected for the VUZS because of its inherent ability to support redundancy and self-healing capabilities. When one communication path fails, data can be rerouted in the opposite direction, minimizing downtime, an essential feature in protection systems where delays can compromise system stability or safety [78].

By combining ring and star architectures, the VUZS simulator leverages the scalability and simplicity of the star network for local connections, while relying on the ring topology's robust fault-tolerance for backbone communication between core devices. This hybrid model reflects industry's best practices in digital substation design (IEC 61850-3) [79], where redundant communication paths are considered essential for critical

applications such as differential protection, breaker failure schemes, and high-speed GOOSE messaging.

The incorporation of double redundancy - both in hardware and communication links - not only ensures higher system availability but also aligns with N-1 contingency planning, a widely accepted standard in substation protection engineering [80]. Redundancy mitigates the risk of single-point failures and supports seamless failovers, which is crucial in environments that demand continuous protection and real-time responsiveness.

This communication structure exemplifies the practical integration of modern networking principles into a substation environment, ensuring that students and researchers using the VUZS simulator are exposed to realistic and industry-aligned system configurations [81].

## **4.2 Roles and Functions of IEDs in VUZS Simulator**

The VUZS simulator integrates various ABB and GE IEDs, each serving specific protection, control, and communication functions within the system.

(a) Line Differential Protection and Control Relay (**RED615**)

**Figure 4.7** is the ABB RED615. This is a phase-segregated line differential protection relay for utility and industrial systems. It supports transformers within the protection zone, enables fiber or pilot wire communication, and offers backup functions. It supports IEC 61850, 61850-9-2 LE, IEC 60870-5-103, Modbus, and DNP3 protocols for interoperability.



**Figure 4.7** Line Differential Protection and Control Relay [82].

(b) Feeder Protection and Control Relay (**REF615**)

**Figure 4.8** is the ABB REF615. It is a compact, multifunctional feeder protection relay for overhead lines and cable feeders in radial, looped, or meshed networks, with or without distributed generation. It integrates protection, control, monitoring, and supervision, and supports IEC 61850, 61850-9-2 LE, IEC 60870-5-103, Modbus, and DNP3 protocols.



**Figure 4.8** Line Differential Protection and Control Relay [83].

(c) Transformer Protection Relay (**RET650**)

**Figure 4.9** is the ABB RET650, it offers fast, selective protection and control for two-/three-winding transformers, autotransformers, and shunt reactors. It handles wide frequency variations during disturbances. Supporting IEC 61850-8-1 and DNP3 over TCP/IP, it enables full operational access, with GOOSE messaging and peer-to-peer communication exclusive to the IEC 61850-8-1 protocol.



**Figure 4.9** Transformer Protection Relay [84].

(d) Feeder Protection and Control Relay (**REF630**)

**Figure 4.10** is the ABB REF630, it is an advanced feeder management relay for protection, control, measurement, and supervision in radial, looped, or meshed distribution networks, with or without distributed generation. It is also suitable for feeder bay control and supports IEC 61850, including GOOSE messaging, as well as the DNP3 communication protocol.



**Figure 4.10** Feeder Protection and Control Relay [85].

(e) Busbar Protection Relay (**REB650**)

**Figure 4.11** is the ABB REB650, it is designed for high-impedance differential protection of single busbars, generators, autotransformers, shunt reactors, and capacitor banks. It supports up to three 3-phase protection zones with a single IED. Communication protocols include IEC 61850, IEC 60870-5, and DNP3 for seamless integration and interoperability.



**Figure 4.11** Busbar Protection Relay [86].

(f) Busbar Protection Relay (**REB611**)

**Figure 4.12** is the ABB REB61. It is designed for high-impedance protection in utility substations and industrial systems, including restricted and residual earth-fault applications for generators, motors, transformers, and reactors. It fully supports the IEC 61850 standard, including high-speed GOOSE messaging, ensuring reliable communication and interoperability in substation automation environments.



**Figure 4.12** Busbar Protection Relay [87].

(g) Feeder Protection Relay (**REF611**)

**Figure 4.13** is the ABB REF611. It is a dedicated feeder protection relay for control, measurement, and supervision in utility and industrial power systems, including radial, looped, and meshed networks with or without distributed generation. It supports simultaneous use of IEC 61850 and Modbus® protocols for seamless communication and system integration. automation environments.



**Figure 4.13** Feeder Protection Relay [88].

(h) Line Current Differential System (**L90**)

**Figure 4.14** is the GE L90, it offers high-speed current differential and distance protection for transmission lines and cables, supporting single and three-pole tripping. It uses synchronized sampling to mitigate communication issues and adaptive restraint for fault stability. It supports IEC 61850, DNP3, IEC 60870-5-10x, Modbus, and direct fiber communication.



**Figure 4.14** Line Current Differential System [89].

## (i) Transformer Protection System (T60)

**Figure 4.15** is the GE T60, it provides comprehensive protection for autotransformers, GSU transformers, regulating transformers, and reactors. It offers differential, overcurrent, voltage, frequency, over-fluxing, and breaker failure protection using multiple current and voltage inputs. It supports standard communication protocols including IEC 61850, DNP3, IEC 60870-5-10x, and Modbus for seamless integration.



**Figure 4.15** Transformer Protection System [90].

## (j) Line Distance Protection System (D30)

**Figure 4.16** is the GE D30. It is a cost-effective distance protection relay for sub-transmission lines and backup protection of HV/EHV lines, reactors, and generators. It offers five zones of phase and ground distance protection, overcurrent and voltage functions, and customizable automation schemes. Supported protocols include IEC 61850, DNP3, IEC 60870-5-10x, and Modbus.



**Figure 4.16** Line Distance Protection System [91].

(k) Feeder Protection System (**F35**)

**Figure 4.17** is the GE F35. It provides primary protection, control, and metering for up to six distribution feeders. Part of the UR family, it supports busbar voltage measurement and can operate standalone or within automated substation systems. It supports IEC 61850, DNP3, IEC 60870-5-10x, and Modbus for seamless communication and integration.



**Figure 4.17** Feeder Protection System [92].

(l) Feeder Protection and Bay Controller Relay (**F650**)

**Figure 4.18** is the GE Multilin F650. It provides high-speed protection, control, and automation for feeder and bay management applications. It features a large LCD with customizable single-line diagrams for monitoring various feeder configurations, including ring-bus and breaker-and-half. It supports IEC 61850, DNP3, IEC 60870-5-10x, and Modbus communication protocols.



**Figure 4.18** Feeder Protection and Bay Controller Relay [93].

## (m) Controller System (C30)

**Figure 4.19** is the GE C30. It is a programmable logic controller designed for substation and bay automation, I/O expansion, and Sequence of Event (SOE) recording replacement. It offers high-speed, deterministic logic execution with extensive I/O capabilities, surpassing typical protection relays. Supports IEC 61850, DNP3, IEC 60870-5-10x, and Modbus protocols.



**Figure 4.19** *The Controller System* [94].

### 4.3 The Remote Terminal Unit (RTU) in the VUZS Simulator

The RTU560 offers advanced grid automation, supporting numerous protocols for substation and SCADA communication. It connects all IED types, including serial, parallel, and IEC 61850 devices. Designed for complex systems, it ensures real-time data flow and protection. Long lifecycle and agile migration optimize your investment [95].



**Figure 4.20** *Remote Terminal Unit (RTU) 560* [95].

RTUs help detect voltage faults to keep the power system stable. Sensors constantly check the voltage levels. If the voltage goes too low or too high, the RTU sees it as a fault. It uses voltage transducers to measure the problem, store the data, shows the fault on the screen, and can send or receive commands to open or close the circuit breaker [96].

In a Battery Energy Storage System (BESS), the RTU manages time-sensitive data to ensure fast and accurate control. When the RTU sends a command, there's a short delay before the system responds and reaches a new steady state. This response time is critical. The system also measures round-trip delays the time it takes for a signal to go from the simulator to the RTU and back. These timing functions help keep the BESS operating smoothly and safely [97].

RTUs play a vital role in the operation of modern substations by serving as the central link between field equipment and control systems. It continuously monitors key electrical parameters such as voltage, current, and device status, and transmits this real-time data to a central control center for processing and decision-making. RTUs also perform critical control functions, such as operating circuit breakers during faults or abnormal conditions. Without RTUs, substations would lack the speed, accuracy, and automation needed for efficient and reliable power system management.

#### **4.4 VUZS Simulator Architecture based on IEC61850 Standard**

The IEC 61850 standard establishes a structured and layered communication architecture for modern digital substations. This architecture is divided into three hierarchical levels: the station level, bay level, and process level. Each level plays a distinct and crucial role in the efficient and reliable operation of the substation, facilitating seamless data exchange, automation, and real-time monitoring [98-101].

- ***Station Level***

The station level acts as the control center of the substation. It is responsible for high-level functions such as system-wide monitoring, data archiving, control operations, and managing various software tools. This level collects information from intelligent devices at the bay level and forwards it to central systems like SCADA for further processing, visualization, and decision-making. Devices typically found at the station level include:

- Station computer
- Human-Machine Interface (HMI)
- SCADA systems
- Remote Terminal Units (RTUs)

These components allow operators and engineers to remotely supervise the substation, issue control commands, and access system logs and real-time operational data. The station level serves as the main interface between the substation and external utility control centers.

- ***Bay Level***

The bay level functions as the intermediary between the station and process levels. It hosts several IEDs, which are responsible for monitoring, protecting, and controlling specific sections or bays within the substation (e.g., feeder bays, transformer bays).

IEDs receive sampled measurement values from the process level, perform local analysis, and can execute protection schemes such as overcurrent or differential protection. They also coordinate with other IEDs using high-speed peer-to-peer communication (such as GOOSE messaging) and send information upward to the station level SCADA system.

This layer significantly enhances substation intelligence by enabling distributed decision-making, which allows for faster fault detection, isolation, and restoration of power.

- **Process Level**

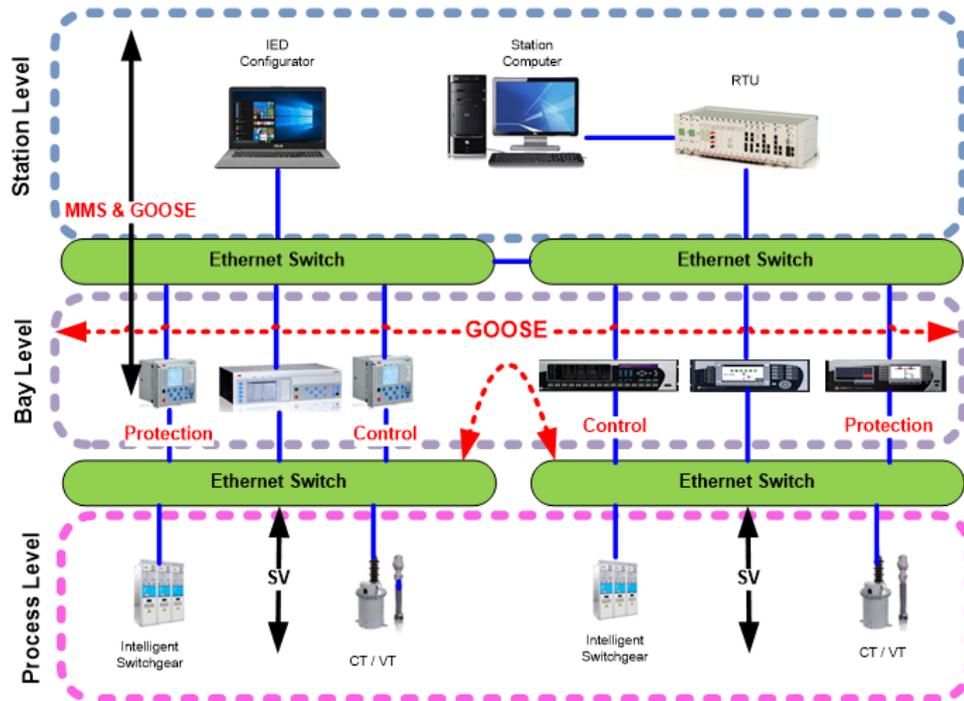
The process level includes the actual physical equipment involved in the transmission and distribution of electrical power. This includes:

- Circuit breakers
- Current and voltage transformers (CTs and VTs)
- Primary and secondary switchgear
- Actuators and sensors
- Merging Units (MUs) and data acquisition devices

These devices are responsible for detecting and measuring key electrical parameters such as voltage, current, and frequency. The introduction of modern merging units and smart sensors at this level has drastically reduced the need for traditional hardwiring by transmitting digitized data through optical fiber or Ethernet-based communication. This simplifies installation, improves safety, and enables real-time monitoring with higher precision.

**Figure 4.21** illustrates the hierarchical architecture of the VUZS simulator. To facilitate continuous communication across the station, bay, and process levels, the IEC 61850 standard employs an abstract data model combined with a systematic mapping mechanism. This mapping framework allows IEDs

within the substation to exchange information efficiently through three primary communication protocols: MMS, GOOSE, and SV [103].



**Figure 4.21** *VUZS Simulator Hierarchy Architecture* [102].

MMS and GOOSE messages are used predominantly for data exchange between the station and bay levels, supporting both vertical and bidirectional communication. Within the bay level, horizontal communication between IEDs is achieved through GOOSE messaging, enabling fast peer-to-peer information sharing for protection and control functions. In contrast, SV messaging is specifically utilized for high-speed transmission of digitized analog signals such as current and voltage measurements between devices at the process level and the bay level. This layered communication strategy ensures synchronized, real-time data flow across the substation hierarchy,

which is critical for automation, protection, and monitoring in modern digital substations.

#### **4.5 Process Level Simulators and Emulators in VUZS Simulator**

While the station and bay levels have been implemented at Victoria University, the process level was not physically constructed due to the size, cost, and safety concerns associated with real primary equipment such as CTs, VTs, and digital switchgear.

In response to these limitations, VU integrated several simulation and emulation devices to replicate the functionality of process level components. These tools enable the testing and study of substation behavior, communication, and protection mechanisms without the need for large, high-voltage equipment. The university has acquired various emulators and simulators from industry-leading vendors, each offering distinct capabilities aligned with IEC 61850 communication protocols. These devices allow for a safe, cost-effective, and scalable way to perform testing and research in a laboratory environment.

(a) *Omicron CMC 356*

The Omicron CMC 356 (**Figure 4.22**) was the first emulator introduced into the VUZSS environment. It is a portable, three-phase secondary injection test device commonly used for relay

and testing systems in substations. Operated through the Test Universe software, CMC 356 supports various test modules, including vector diagrams, impedance plane analysis, and fault simulations. It is also compliant with IEC 61850 GOOSE and Sampled Value (SV) protocols, making it a practical tool for simulating digital communications between devices [34]. This emulator allowed students and researchers to test protective relay responses without requiring real fault conditions.



**Figure 4.22** Omicron CMC 356 [104].

(b) *Doble F61560SV*

The Doble F6150SV in **Figure 4.23** is a high-precision power system simulator designed for advanced testing of protection relays, meters, and transducers. It is equipped with features such as Wi-Fi capability and high-output power, allowing for versatile use in testing both traditional and digital protection schemes. Operated through the Protection Suite software, the F6150SV supports IEC 61850 GOOSE and SV communication, enabling accurate simulations of substation data flow and event

handling. Within the VU-ZSS, the F6150SV is used primarily to simulate real-time communication and fault injection across the substation network [105].



**Figure 4.23** Doble F6150SV Power System Simulator [105].

(c) *Real Time Digital Simulator*

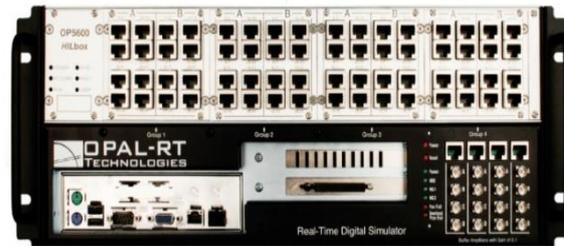
The Real-Time Digital Simulator (RTDS) is a powerful tool used for real-time simulation of power systems, originally developed for transmission networks but now widely used in distribution systems, microgrids, and relay protection studies. Its key advantage is closed-loop testing, which enables real physical devices (like IEDs) to interact with real-time simulated environments. This provides a highly accurate replication of actual operating conditions [106]. In the VUZSS setting of **Figure 4.24**, the RTDS is used alongside the Doble F6150SV to inject fault conditions into the system and evaluate the response of protection relays through GOOSE and SV messaging.



**Figure 4.24** Real Time Digital Simulator(RTDS) [106].

(d) *OPAL – RT Simulator*

The OPAL-RT simulator introduces Hardware-in-the-Loop (HIL) capabilities, allowing real-time interaction between simulation models and physical controllers. It sends real input signals to control devices and simulates the system's behavior as if it were connected to actual hardware. This makes it possible to evaluate controller responses under simulated conditions without the need for physical equipment, reducing both cost and risk. **Figure 4.25** is an OPAL-RT Simulator which uses HYPERSIM and RT-LAB software platforms. It also supports model development through MATLAB/Simulink integration. At VU, the OPAL-RT simulator is particularly useful for studying DERs and their interaction with protection systems in a microgrid context [107].



**Figure 4.25** *OPAL – RT Simulator* [107].

(e) *NovaTech Orion LX*

The NovaTech Orion LX is a modern substation automation controller capable of bridging legacy and digital systems. It features a minimal configuration setup, ease of maintenance, and a graphical configuration tool that allows users to create object models and convert communication protocols to IEC 61850. The Orion LX enhances the flexibility of the VUZS simulator by enabling seamless communication between devices with different protocols and functions [108]. This supports a wide range of educational and research activities involving protocol conversion and automation systems.



**Figure 4.26** *NovaTech Orion LX Simulator* [108].

## 4.6 Case Study: Overcurrent Protection Using GOOSE Messaging in the VUZS Simulator

This case study presents a practical demonstration of how an overcurrent protection scheme operates using IEC 61850 GOOSE messaging within the VUZS simulator. The aim is to show how a simulated IED detects a faulty condition and issues a trip command to a circuit breaker via GOOSE messaging, all in a real-time emulated environment.

### 4.6.1 Configuration and Network Set-up for GOOSE Messaging

This scenario focuses on a line-to-ground fault occurring in a feeder line. The response of the protection relay is observed from fault detection through to circuit breaker operation. The test is performed using the Omicron CMC 356 secondary injection device and monitored through the Test Universe software (Figure 4.27).

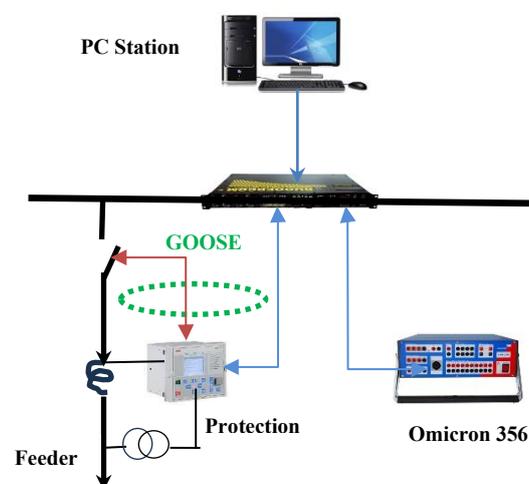


Figure 4.27 Overcurrent Protection Setup.

### 4.6.2 Simulation Parameters and Component Descriptions

The parameters and component descriptions outline the key elements and their corresponding functions that define the operational scope and behavior of the simulation environment. **Table 4.1** illustrates the simulation parameters, components and description of the simulators to be used. The IED was programmed to execute an overcurrent protection function, with a defined pickup threshold of 300 A and a fixed trip delay of 0.2 seconds. To replicate a fault condition, a current of 500 A well above the pickup setting was injected into the system using the Omicron CMC 356 secondary injection test set.

**Table 4.1** Component and Description of Simulation Parameters.

Component	Description
Test Device	Omicron CMC 356
Relay Function	Overcurrent protection (ANSI 50/51)
CT Representation	Emulated by CMC 356 input injection
CB Representation	Software-simulated output with trip logic
Protocol Used	GOOSE
Pickup Setting	300 A
Injected Current	500 A (fault condition)
Time Delay	0.2 seconds (definite trip)

Throughout the simulation, the IED continuously monitored the current input from the emulated CT. Upon detecting that the injected current exceeded the configured threshold, the IED initiated its internal trip timer. Precisely 0.2 seconds after the threshold was crossed, the IED transmitted a GOOSE message to the simulated circuit breaker, instructing it to trip.

This event was successfully captured and logged within the system, allowing for post-simulation analysis. The timing of each phase from the current injection to trip signal issuance was consistent with the expected protection behavior, confirming the IED's correct execution of logic and communication protocols. The results also verified the reliability of the GOOSE messaging mechanism, as the trip command was delivered and acted upon without delay, replicating real-world protection scheme performance in a fully simulated environment. To confirm relay operation timing, the delay time is determined using a definite time protection setting. For this test, the trip delay is set as:

***Trip Time = 0.2 seconds*** (fixed)

The current 500 A exceeds the pickup setting of 300 A, which validates that a fault condition has occurred.

### 4.6.3 Results and Observation of the Simulation

All data and message flow were visualized using the Test Universe software. The GOOSE message was transmitted successfully and received by the CB emulator. **Table 4.2** shows the measured results of the simulation. The IED correctly detected the overcurrent and issued the trip command via GOOSE messaging within the configured delay. The total time from fault injection to breaker trip was approximately 0.21 seconds.

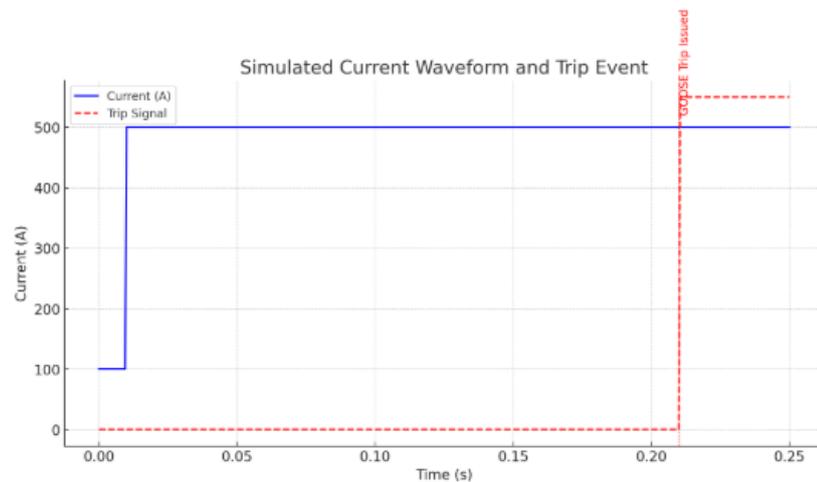
**Table 4.2** Measured results from Simulation.

Event	Time (s)
Fault current injected	0.000
Relay detects fault	0.005
Time delay starts	0.005
GOOSE message issued	0.205
Simulated CB trip	0.210

### 4.6.4 Simulation Waveform of the IED overcurrent

Based on **Figure 4.28**, the blue line represents the current. At 0.01 seconds, a fault is introduced, and the current rises from 100 A to 500 A. The red dashed line shows the trip signal

triggered by the relay. At 0.21 seconds, the IED issues a GOOSE message, and the circuit breaker is commanded to trip.



**Figure 4.28** Simulated fault current and trip event.

## 4.7 Summary and Relevance to Process Level Simulation

This chapter detailed the architectural design and practical functionality of the VUZS simulator, emphasizing its layered structure based on the IEC 61850 communication model. While the station and bay levels are physically implemented within the university's infrastructure, the process level due to its physical, safety, and spatial limitations was emulated using advanced simulators and emulators.

A range of industry-standard tools, such as the Omicron CMC 356, Doble F6150SV, RTDS, OPAL-RT, and NovaTech Orion LX, were presented as the core components used to replicate the behavior of process-level equipment. These devices collectively support the simulation of current and voltage

measurements, breaker control actions, and communication protocols within a controlled laboratory environment. Their integration has enabled Victoria University to simulate complex substation operations and analyze real-time system behavior without the need for high-voltage primary components.

This chapter also introduced a case study on overcurrent protection using GOOSE messaging as a representative example of process-level simulation. The test demonstrated how a simulated IED could detect fault conditions, process protection logic, and issue a GOOSE-based trip signal to a virtual circuit breaker. The response was observed to be timely, accurate, and consistent with the configured relay logic. This case study not only validated the functional capability of the VU-ZSS simulator but also reinforced the effectiveness of emulation tools in replicating process-level operations.

Through the success of this case study, the importance of accurate simulation at the process level becomes clear. It allows meaningful experimentation, rapid fault diagnosis, and safe validation of protection schemes, all of which are critical in modern substation automation. Furthermore, it offers a scalable and flexible learning platform for students and researchers, enabling hands-on engagement with digital substation technologies.

## **4.8 Conclusion**

The development and deployment of the VUZSS represents significant advancement in power system education and research. By adhering to the IEC 61850 standard and utilizing high-fidelity simulation tools, Victoria University has created a robust environment where digital substation operations can be replicated and studied. The integration of simulators for the process level bridges the gap between theoretical learning and real-world engineering practice.

The inclusion of a practical case study simulating overcurrent protection using GOOSE messaging has showcased the system's ability to support real-time protection schemes, verify communication protocols, and deliver measurable outcomes. This not only validates the simulator's design but also demonstrates its relevance in training future engineers and facilitating academic-industry collaboration.

In conclusion, the VUZS simulator provides an essential platform for exploring the dynamics of substation automation. Its architecture and simulation capabilities make it an invaluable tool for understanding how intelligent electronic devices operate, communicate, and respond under various system conditions. The findings of this chapter support the continued use and expansion of simulation-based training and research as the power industry evolves toward smarter, safer, and more reliable grid infrastructure.

# CHAPTER 5

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## VUZS Simulator as Testing Facilities for International Collaboration

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*“It is not the strongest of the species that survives, nor the most intelligent, but the one most responsive to change.*  
Charles Darwin (1809 – 1882)

*This Chapter explores the VUZS simulator as a testing facility for international collaboration. It highlights its role in validating IEC 61850 configurations, enabling IED interoperability, and supporting cross-border research. The simulator also provides a secure platform for cybersecurity testing, addressing emerging threats in substation automation and smart grid systems.*

### **5.0 Introduction**

In the evolving landscape of smart grid technologies and digital substations, international collaboration has become a cornerstone of progress. As utilities, research institutions, and technology vendors increasingly operate across borders, the ability to simulate and test substation automation systems in a shared and controlled environment is critical. The VUZS Simulation serves as a dynamic and practical testing facility designed to address this need. This chapter explains the role of the VUZS Simulation as a platform that fosters

global engagement through multi-vendor testing, cybersecurity simulations, and international academic industry partnerships, reinforcing the university's position as a leader in power systems innovation.

## 5.1 The Need for International Collaboration in Substation

The substation is a critical node in the power system, and its digital transformation through IEC 61850-based automation demands close alignment with international practices. Collaboration between countries and institutions is essential for the following reasons:

- **Global Standardization:** Implementing IEC 61850 across different regions requires joint efforts to harmonize practices and interpretational differences
- **Technology Interoperability:** Devices from various manufacturers must function cohesively, and interoperability testing ensures this integration
- **Shared Knowledge and Resources:** Research capacity and testing infrastructure vary globally, and platforms like VUZS Simulator offer access to advanced tools for institutions with limited facilities.
- **Cybersecurity Threats:** Power systems face rising cyber risks, many of which are transnational. A collaborative defense approach is vital.

Through such collaborations, regions can co-develop solutions to global challenges in energy security, digitalization, and grid reliability.

## 5.2 Requirements for International Collaboration

In the context of global energy systems and smart grid development, international collaboration in testing substation automation systems requires a set of well-defined technical, regulatory, and operational standards. A testing facility that aims to support cross - border research and development must fulfill a set of core requirements, which include interoperability, adherence to international standards, cybersecurity assurance, and remote accessibility and control. These criteria ensure that diverse equipment, protocols, and research teams can effectively engage in collaborative experiments without being constrained by incompatible infrastructures.

### 5.2.1 *Interoperability and Standard Compliance*

One of the primary requirements is *interoperability*, which refers to the seamless exchange of data and functions between systems developed by different manufacturers or research institutions. This is typically achieved by implementing protocols defined by the IEC 61850 standard for communication within substations. Moreover, to align with international collaboration, the testing facility must comply with standards such as:

- IEC 61850 for substation communication systems
- IEC 62351 for cybersecurity

- IEEE 1588 for time synchronization
- ISO/IEC 17025 for general requirements of testing laboratories (in some certified applications)

The VUZS Simulator has been developed with local support for IEC 61850, which allows for standardized communication between IEDs, regardless of vendor. This critical feature enables researchers from different countries, each possibly using different brands of devices, to participate in joint testing environments with minimal configuration conflicts.

### **5.2.2 Cybersecurity Framework**

International collaboration introduces increased exposure to cybersecurity threats, as systems may be accessed remotely from different geographic locations. To mitigate this, the testing facility must implement secure communication protocols and data access control aligned with IEC 62351, which specifies cybersecurity measures for power system communications. Encryption, authentication, access management, and monitoring are essential components.

VUZS Simulator supports cybersecurity mechanisms within the IEC 62351 framework and can simulate attack scenarios to test intrusion detection and protection methods, making it not just a

collaborative platform but also a research environment for secure communication in substation automation.

### **5.2.3 Remote Access and Virtual Testing Capability**

For truly collaborative testing between countries, the facility must support remote access through secure network configurations. This enables researchers to run simulations, monitor results, and upload configurations from their respective locations without needing to be physically present. Tools like VPN-secured tunnels, virtual IEDs, and cloud-integrated platforms are often used in such scenarios.

The VUZS Simulator has integrated remote accessibility features, including secure VPN access and support for cloud-based data sharing. Its virtualized environment allows international teams to participate in joint testing exercises, validate GOOSE messaging, perform interoperability tests, and even simulate faults in real-time.

To serve as a functional and secure international testing facility, a substation simulator must meet the technical requirements of interoperability, cybersecurity, and remote operability, built on

international standards such as IEC 61850 and IEC 62351.

Some of the existing global initiatives are the following:

- The *Smart Grid Interoperability Laboratory* (SGIL) in Korea has partnered with the European Union's DERlab network for international testing and validation of smart grid systems under IEC 61850 compliance [109]
- The *Pacific Northwest National Laboratory* (PNNL) in the United States has hosted international testing events with researchers from Japan and Germany, focusing on secure communication between substations [110].
- *DERlab* (European Distributed Energy Resources Laboratories) operates a network of interoperable test facilities across Europe, allowing real-time co-simulation and remote testing [111].

The VUZS Simulator not only meets but actively embodies these standards, making it a suitable and future-ready platform for global collaborative research, simulation, and substation automation field.

### **5.3 Cross Border Collaboration between VU and FREA, Japan**

The collaboration between Victoria University (VU) in Melbourne, Australia, and the Fukushima Renewable Energy Institute, AIST (FREA) in Japan marks a significant step forward in the field of international research on smart grids and substation automation. This partnership exemplifies the growing need for global synergy in developing, testing, and validating advanced energy systems under shared standards such as IEC 61850.

FREA was established in 2014 as a key initiative under Japan's recovery and innovation strategy following the 2011 Fukushima disaster, FREA operates under the National Institute of Advanced Industrial Science and Technology (AIST). It is based in Koriyama City, Fukushima Prefecture, and serves as a premier center for renewable energy research and grid integration. FREA was created not only to stimulate local innovation but to position Japan at the forefront of clean energy technology worldwide [112].

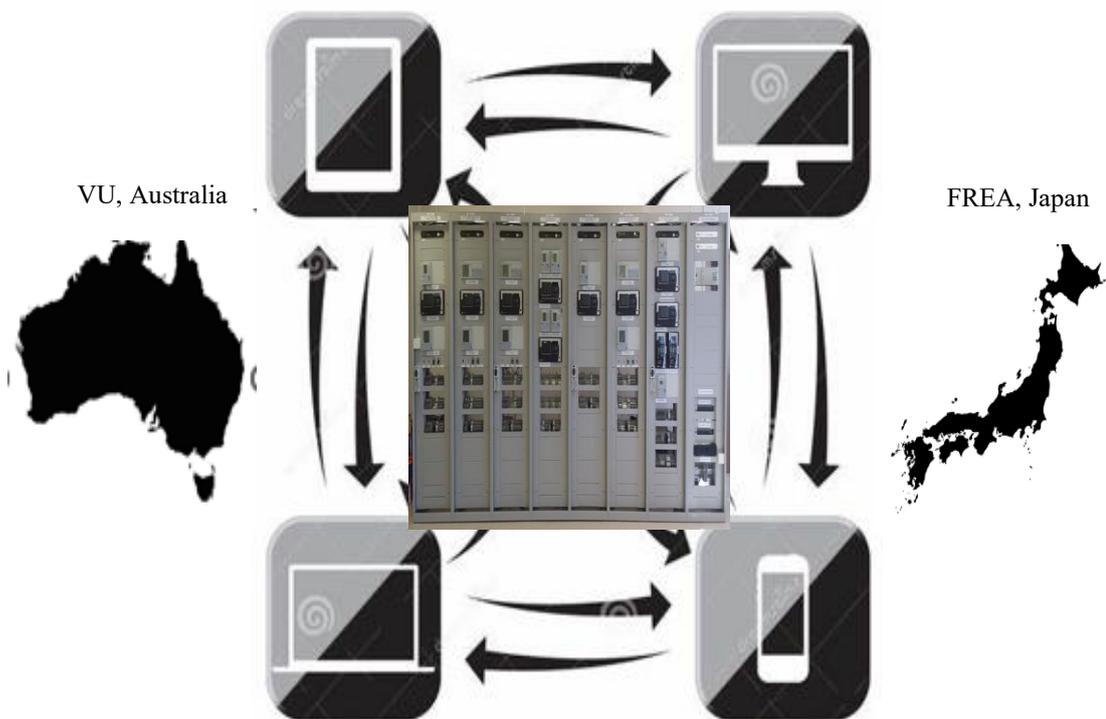
FREA's primary research domains include PV systems, hydrogen production and storage, wind power integration, advanced battery systems, smart grid, and energy management technologies.

Through this partnership, VU and FREA engaged in:

- Joint testing of IEC 61850-based IED configurations
- GOOSE messaging validation across simulation platforms

- Cybersecurity experiments using IEC 62351 frameworks
- Knowledge sharing on smart grid resilience and fault management

The simulation environment provided by VUZS Simulator supports remote participation, allowing FREA engineers and researchers to configure, monitor, and test their IED settings virtually, without the need for physical presence (**Figure 5.1**). This functionality aligns with global movements toward virtual testing laboratories and decarbonized infrastructure development through international collaboration.



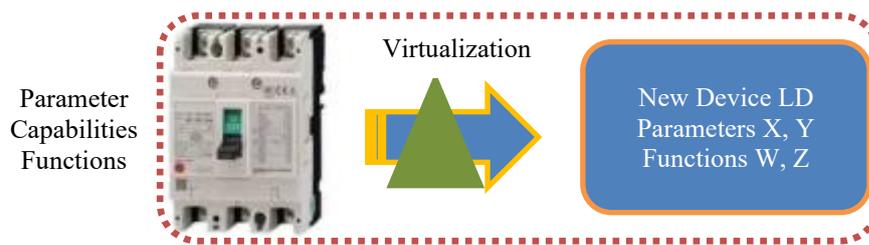
**Figure 5.1** VUZS Simulator as central platform for collaboration

Extracted from **J. Claveria**, "Exploring Real-Time Digital Simulators: Bridging the Gap Between Theory and Practice," the 3<sup>rd</sup> International Conference on Pedagogical and Research Innovations, NVSU, Philippines, December 2024

## 5.4 Advanced Model Development and Message Testing Platform

FREA has been actively involved in enhancing the IEC 61850 standard to support the integration of emerging and unconventional equipment within smart grid environments. As a globally recognized communication standard, IEC 61850 serves as a unified language that allows various smart grid components to seamlessly interact. Its goal aligns with the broader vision of achieving an *Internet of Things* (IoT) framework within power systems. Given the evolving nature of smart grids, the standard must continuously adapt - expanding to include new types of devices and innovative implementation strategies. This section highlights several examples of how IEC 61850 has been extended to accommodate these needs. Each case study demonstrates the process of *virtualization* - the method of translating physical power system equipment into corresponding communication models.

Before exploring these specific examples, it is essential to understand what virtualization entails. As illustrated in **Figure 5.2**, virtualization involves taking a real-world electrical device and representing its operational aspects in a digital communication model. Essentially, it means identifying the parts of the equipment that are relevant for information exchange and creating a communication-based representation that fits within the IEC 61850 framework.



**Figure 5.2** *Hardware to digital communication model.*

For example, a circuit breaker - a physical device equipped with contactors that mechanically open or close to interrupt or restore electrical flow. In the communication domain, however, its virtual counterpart has no moving parts. Instead, it represents only the essential attributes needed to mimic the circuit breaker's function. This includes variables that reflect its status (such as whether it is open or closed), as well as messages and commands required to operate it remotely, such as those used to initiate an opening or closing sequence.

To ensure that these virtual models can work together efficiently across different devices and systems, it is crucial to have a common language - one that transcends hardware differences, operational roles, and system scales. While many communication protocols have been developed for power systems over the years, IEC 61850 stands out. It is uniquely capable of supporting the high level of detail needed for accurate equipment modeling, while also managing the large volumes of data exchange that modern, intelligent power systems demand [113].

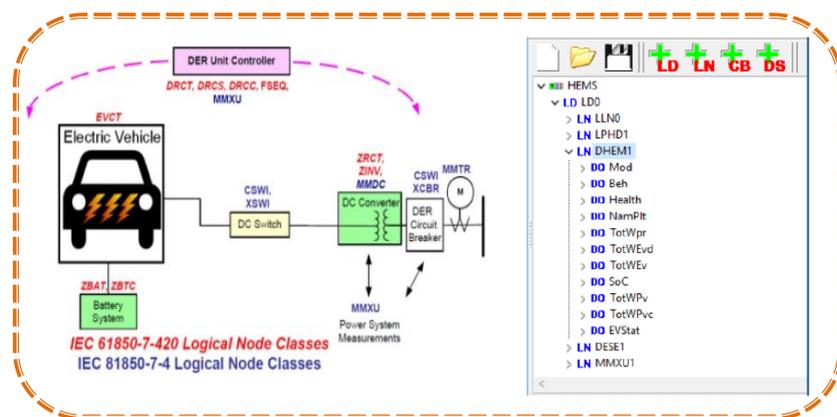
#### **5.4.1 Electric Vehicle (EV) and Vehicle-to-grid (V2G) Operation**

Electric Vehicle (EV) integration and Vehicle-to-Grid (V2G) operations represent one of the most promising areas of research and development in the future of smart energy systems. Collaborating with FREA on this topic is both timely and strategic, given their leadership in renewable energy innovation and commitment to building sustainable energy infrastructures.

FREA's mission includes advancing renewable energy technologies and facilitating their integration into power systems. As EVs become increasingly prevalent, their interaction with the grid - particularly through V2G operations - presents a complex, interdisciplinary challenge involving power systems, cybersecurity, communication standards like IEC 61850, energy storage, and real-time control. FREA's deep experience in grid modernization, smart inverters, DERs, and standardization provides a strong technical foundation for addressing these complexities.

A collaborative approach allows both institutions to leverage their respective strengths. For instance, Victoria University brings expertise in simulation-based testing (such as with the

VUZS Simulator), substation automation, and ICT/OT security. Meanwhile, FREA contributes its cutting-edge research facilities and real-world deployment experience in renewable energy and DER integration (**Figure 5.3**).



**Figure 5.3** EV Communication model integration with IEC 61850 [114].

By working together, both parties can co-develop models and frameworks for EV/V2G that are [114]:

- Technically sound and compliant with global standards (IEC 61850, IEEE 2030.5, etc.)
- Secure, scalable, and resilient to cyber threats
- Interoperable across platforms and manufacturers
- Validated through simulation and field deployment

Ultimately, EV and V2G systems are not just about energy mobility - they are distributed energy resources that, if managed properly, can support grid stability, load balancing, and peak shaving. These benefits align directly with FREA's

vision of a resilient, decentralized, and renewable-driven power grid.

#### **5.4.2 Substation Event Detection Using Artificial Intelligence (AI) and Machine Learning (ML)**

As substations evolve into more intelligent and fully digitized systems, the volume of data generated by IEDs, SCADA systems, and event recorders have increased dramatically. Manual interpretation of this data is not only time-consuming but also prone to oversight - especially in large-scale, high-frequency operational environments. This is where Artificial Intelligence (AI) and Machine Learning (ML) offer significant advantages. Their ability to analyze vast datasets in real time and identify anomalies, patterns, or potential faults makes them invaluable in the context of substation automation and event detection [115-116].

In power systems, even minor anomalies - such as irregular voltage fluctuations or unexpected switching behavior - can be early indicators of faults or malicious activity. AI/ML algorithms can be trained to recognize such subtle deviations, providing earlier and more accurate warnings than traditional threshold-based systems. By analyzing historical event logs and real-time IEC 61850 communication (including GOOSE and

MMS messages), these intelligent models can classify events, predict failures, and even recommend proactive actions to system operators [117].

However, one of the key challenges in implementing effective AI/ML models for substation event detection is the need for diverse, high-quality training data. Since power systems differ in topology, equipment, and behavior across regions, local data alone is often insufficient to build models that generalize well. This makes international collaboration critical. By sharing anonymized event logs, fault scenarios, and system responses from different countries, researchers can train more robust, adaptive, and accurate detection models.

For instance, a collaborative project could involve collecting IEC 61850 event data from substations in both Australia and Japan, encompassing various real-world fault scenarios such as breaker failures, protection mis-operations, cyber intrusion attempts, or communication delays. Using the VUZS Simulator, these events could be replicated in a virtual environment to train and test ML-based detection algorithms under standardized conditions. The simulator's ability to generate both normal and abnormal communication traffic

provides a valuable platform for validating AI-driven diagnostics in a controlled yet realistic setting [118].

This collaborative, data-driven approach does not just improve event detection accuracy; it also fosters a unified understanding of how different substation configurations behave under stress. It aligns with the global shift toward smart grids that are not only automated but also self-aware and self-healing. The integration of AI/ML into substation diagnostics represents a significant step forward in achieving that vision, with VU-ZSS acting as the enabling testbed for shared learning and innovation.

In the context of this thesis, the inclusion of AI/ML for substation event detection highlights the evolving role of the VUZS Simulator as more than just a hardware testing platform. It becomes a research gateway for next-generation grid intelligence, enabling cross-border collaboration, knowledge sharing, and the co-development of smarter, safer, and more resilient energy systems.

### **5.4.3 Digital Twin Implementation for Substation Simulation**

As the power industry accelerates toward automation and digitalization, the concept of Digital Twins has emerged as a transformative approach in substation modeling and operations. A Digital Twin is a high-fidelity virtual replica of a physical system, continuously updated with real-time data to mirror the behavior, performance, and conditions of its physical counterpart. In the context of substations, a digital twin can simulate complex behaviors such as protection coordination, fault propagation, asset aging, and communication anomalies - without interfering with actual grid operations.

This technology is particularly vital for testing and validating new configurations, cybersecurity frameworks, and interoperability protocols, especially in multi-vendor environments where errors can lead to catastrophic failure. Unlike traditional offline simulations, Digital Twins operate dynamically, enabling engineers and researchers to interact with the live model and observe system responses to a wide range of operational and fault scenarios.

The VUZS Simulator is an ideal platform for implementing and testing Digital Twins. Its support for IEC 61850 protocols,

vendor-agnostic IED integration, and secure remote access provides a realistic and standards-based environment where virtual substations can be constructed and validated. When paired with real-time data or synthetic test scenarios, VUZS Simulator allows for iterative development of digital twin models, enabling researchers from different countries to co-develop, run joint experiments, and share findings under a unified testbed.

For instance, a collaborative initiative between European and Asia-Pacific partners could involve replicating physical substations from both regions into virtual models hosted on VUZS Simulator. These digital replicas could then be used to run coordinated tests for communication latency, cyber intrusion resilience (under IEC 62351), and predictive maintenance algorithms driven by AI. Through remote VPN access and cloud integration, researchers could perform joint simulations regardless of geographic location, which limits the need for expensive, on-site equipment duplication.

The significance of this collaborative approach lies in its potential to accelerate innovation, reduce testing costs, and improve safety. According to research by Tao et al. (2022)

[119], digital twins in smart grids reduce development timelines by up to 40% and improve testing accuracy by capturing edge-case scenarios not visible in traditional models. Furthermore, the International Energy Agency (IEA) has recognized digital twin platforms as key enablers of grid flexibility and operational resilience in its Digitalization of Energy report [120].

Digital Twin implementation also aligns with the industry's movement toward self-healing and autonomous substations, where real-time data and AI-driven decision-making converge. By providing a collaborative, secure, and standards-compliant environment, the VUZS Simulator not only supports the technical demands of digital twin development but also fosters cross-border research that accelerates the future of smart substations [121].

## **5.5 Case Study : Simulation of Cross-Border IED Communication Between Japan and Australia Using Real Time Simulator Based on IEC 61850/62351 Standards**

As part of the experimental validation of this research, a comprehensive simulation was conducted to evaluate the interoperability and cybersecurity of cross-border IED communication using real time simulator. The simulation was designed to replicate real-time data exchange between two geographically

separated substations - one acting as the sender located in Japan, and the other as the receiver located in Australia. The test setup strictly adhered to the IEC 61850 communication framework, while cybersecurity mechanisms based on IEC 62351 were integrated to ensure secure and authenticated data transfer.

This simulation addressed a key research gap identified in the literature: the lack of empirical studies demonstrating practical, secure, and real-time interoperability between IEDs across international boundaries under IEC 61850 standards. While previous research has explored inter-substation communication within national grids, very limited work has been conducted in the context of cross-continental IED communication, particularly involving cyber-secure transmission protocols compliant with IEC 62351.

The simulation scenario involved the transmission of three critical datasets: voltage values, current measurements, and the binary status of a circuit breaker (**open/closed**). These parameters were encapsulated within GOOSE messages and transmitted over a virtual network environment simulating real-world latency and communication delays between Japan and Australia. VUZSS facilitated the accurate modeling of IED behavior, network topology, and data flow, allowing for comprehensive monitoring, control, and analysis of message transmission and reception.

Key observable aspects during the simulation included:

- ***Real-Time Synchronization***: The receiving IED in Australia was able to reflect the voltage and current measurements from the sender in Japan with negligible delay, demonstrating successful time synchronization and low latency data delivery.
- ***Status Integrity Monitoring***: The status of the circuit breaker is an essential digital signal - was accurately received and displayed at the destination. Any change in state (from open to closed or vice versa) was transmitted in real time, validating the reliability of binary signal transfer under GOOSE protocol.
- ***Cybersecurity Validation***: The simulation incorporated IEC 62351 security mechanisms, including authentication and data encryption, ensuring message integrity and mitigating threats such as spoofing or unauthorized access. This successfully addressed the vulnerability concerns identified in earlier stages of the research.
- ***Interoperability across IED Vendors***: To reinforce the standardization aspect, the simulation included devices with different vendor-specific implementations, ensuring that IEC 61850-based interoperability was maintained irrespective of hardware or software differences.

This simulation not only confirms the technical viability of long-distance IED communication using the IEC 61850 and IEC 62351 standards but also provides a practical model that bridges the gap between theoretical research and real-world implementation. By establishing a virtual testing link between Japan and Australia, this case study serves as a foundational benchmark for future collaborative digital substation deployments between countries, contributing to global smart grid integration.

**Figure 5.4** illustrates the interface of the sender IED located in Japan, configured to transmit real-time electrical parameters to the receiving IED in Australia. As shown in the figure, the IED actively publishes three critical data points over the IEC 61850 communication network: *Voltage (V)*, *Current (I)*, and the status of the *Circuit Breaker (CB)*. These parameters were encapsulated within GOOSE messages and transmitted cyclically and event-based in accordance with IEC 61850-8-1 standards.

```

$client = New-Object System.Net.Sockets.TcpClient
$client.Connect($ip, $port)
$stream = $client.GetStream()
$writer = New-Object System.IO.StreamWriter($stream)
$writer.AutoFlush = $true

Write-Host "🇯🇵 Japan IED started sending data to Australia..."

for ($i = 1; $i -le 20; $i++) {
    $voltage = [math]::Round((220 + (Get-Random -Minimum -5 -Maximum 5)), 2)
    $current = [math]::Round((10 + (Get-Random -Minimum -2 -Maximum 2)), 2)
    $breaker = if ((Get-Random -Minimum 0 -Maximum 2) -eq 0) { "OPEN" } else { "CLOSED" }

    $message = "$voltage V,$current A,$breaker"
    Write-Host "🇯🇵 Sending: $message"
    $writer.WriteLine($message)
    Start-Sleep -Seconds 1
}

$writer.WriteLine("END")
Write-Host "🇺🇦 All data sent. Closing connection..."
$writer.Close()
$stream.Close()
$client.Close()

🇯🇵 Japan IED started sending data to Australia...
🇯🇵 Sending: 219 V,11 A,CLOSED
🇯🇵 Sending: 220 V,8 A,CLOSED
🇯🇵 Sending: 224 V,10 A,CLOSED
🇯🇵 Sending: 221 V,11 A,OPEN
🇯🇵 Sending: 218 V,9 A,OPEN
🇯🇵 Sending: 221 V,10 A,OPEN
🇯🇵 Sending: 220 V,10 A,OPEN
🇯🇵 Sending: 221 V,11 A,CLOSED
🇯🇵 Sending: 224 V,11 A,CLOSED
🇯🇵 Sending: 223 V,10 A,CLOSED
🇯🇵 Sending: 217 V,9 A,OPEN
🇯🇵 Sending: 215 V,8 A,OPEN
🇯🇵 Sending: 222 V,10 A,OPEN
🇯🇵 Sending: 224 V,8 A,CLOSED
🇯🇵 Sending: 220 V,9 A,CLOSED

```

**Figure 5.4** A Sender IED Showing Transmitted Electrical Parameters.

**Figure 5.5** presents the interface of the receiver IED located in Australia, which was configured to subscribe to GOOSE messages transmitted by the sender IED in Japan. As shown in the figure, the receiver successfully captured and displayed all three transmitted parameters: voltage, current, and the CB status. These parameters, encapsulated in IEC 61850 GOOSE messages, were received in real time and mapped to the corresponding logical nodes and data attributes within the receiving device.

```

PS C:\WINDOWS\system32> # Receiver_Australia.ps1
>> $port = 5555
>> $listener = [System.Net.Sockets.TcpListener]::new([System.Net.IPAddress]::Any, $port)
>> $listener.Start()
>> Write-Host "?? Australia IED listening on port $port..."
>>
>> $client = $listener.AcceptTcpClient()
>> $stream = $client.GetStream()
>> $reader = [System.IO.StreamReader]::new($stream)
>> $csvFile = "IED_Logs_AU.csv"
>> "Timestamp,Source,Voltage,Current,BreakerStatus" | Out-File -Encoding UTF8 $csvFile
>>
>> while ($true) {
>>     if ($stream.DataAvailable) {
>>         $line = $reader.ReadLine()
>>         if ($line -eq "END") {
>>             break
>>         }
>>         Write-Host "?? Received: $line"
>>         $timestamp = Get-Date -Format "yyyy-MM-dd HH:mm:ss"
>>         "$timestamp,Japan,$line" | Out-File -FilePath $csvFile -Append -Encoding UTF8
>>     }
>>     Start-Sleep -Milliseconds 100
>> }
>>
>> Write-Host "?? Communication ended by sender."
>> $reader.Close()
>> $stream.Close()
>> $client.Close()
>> $listener.Stop()
>>
⊠ Australia IED listening on port 5555...
⊠ Received: 219 V,11 A,CLOSED
⊠ Received: 220 V,8 A,CLOSED
⊠ Received: 224 V,10 A,CLOSED
⊠ Received: 221 V,11 A,OPEN
⊠ Received: 218 V,9 A,OPEN
⊠ Received: 221 V,10 A,OPEN
⊠ Received: 220 V,10 A,OPEN
⊠ Received: 221 V,11 A,CLOSED
⊠ Received: 224 V,11 A,CLOSED
⊠ Received: 223 V,10 A,CLOSED
⊠ Received: 217 V,9 A,OPEN
⊠ Received: 215 V,8 A,OPEN
⊠ Received: 222 V,10 A,OPEN
⊠ Received: 224 V,8 A,CLOSED
⊠ Received: 220 V,9 A,CLOSED

```

**Figure 5.5** A Receiver IED Showing Transmitted Electrical Parameters.

The figure demonstrates that the voltage and current values received were continuously updated, reflecting the real-time analog measurements sent by the remote IED. Additionally, the CB status represented as a binary value was

accurately interpreted, with the interface dynamically indicating whether the breaker was *open* or *closed* based on the sender's operational state. Any change in the breaker status at the Japanese sender side was instantly reflected in the Australian receiver, confirming successful event-based message triggering and minimal communication delay.

## **5.6 Summary**

This Chapter explored the pivotal role of the VUZS Simulator as a dynamic testing facility that not only serves local research and education but also stands at the frontier of international collaboration in the power and energy sector. Through its IEC 61850 compliance, vendor-neutral architecture, and remote accessibility, VUZS Simulator proves to be more than a simulation tool - it becomes a shared digital laboratory that enables global knowledge exchange, real-time experimentation, and standard-based innovation.

One of the key importance of this chapter is the growing necessity for cross-border cooperation in smart grid development and substation automation. As the industry shifts toward digital substations and interoperable systems, institutions can no longer work in isolation. Instead, they must partner across geographies to validate technologies under a wide range of scenarios, equipment, and operating conditions. VUZS Simulator is uniquely positioned to support this collaborative ecosystem, offering a safe and flexible environment where international teams can perform joint testing of IEDs,

GOOSE communication, MMS messaging, and behavioral system protection without requiring physical access to equipment.

The collaboration between Victoria University and FREA, Japan, serves as a powerful case study that embodies the chapter's core message. It demonstrates that through platforms like VUZS Simulator, countries with distinct energy challenges and technologies can work together to develop smarter, safer, and more resilient power systems. This collaboration also validates the simulator's ability to replicate real-world scenarios in virtual environments, simulate cyberattacks using IEC 62351 frameworks, and support secure remote access for engineers and researchers working from different parts of the world.

Furthermore, the chapter explored cutting-edge research themes that are enabled by VUZSS, including substation event detection using AI/ML, and the implementation of digital twins. These topics underscore how future-ready the simulator is, making it a key enabler of not just current IEC 61850 testing, but also of the next generation of grid intelligence, anomaly detection, and predictive diagnostics.

In summary, this chapter positions VUZS Simulator not simply as a local tool but as a global bridge - connecting researchers, institutions, and ideas across borders. It is a foundation for collaborative innovation, a testbed for secure and standardized grid communication, and a living example of how simulation

technology can reshape international research in substation automation and smart grid resilience.

## **5.7 Conclusion**

The investigation presented in this chapter reinforces the understanding that the future of substation research and development cannot be confined within national or institutional borders. As energy systems become increasingly interconnected, the demand for shared platforms that support real-time, secure, and standards-based collaboration has never been more urgent. The VUZS Simulator responds directly to this need - not merely as a technological solution, but as a strategic enabler of international cooperation.

The capability of VU-ZSS to bridge geographical gaps while maintaining the fidelity of substation simulations underscores its significance in global research. Its modularity, virtual accessibility, and compliance with international standards such as IEC 61850 and IEC 62351 enable a collaborative research culture where ideas, innovations, and testing procedures can be harmonized across continents. The collaboration with FREA, Japan, is a testament to this potential, proving that digital infrastructure can overcome physical distance when backed by shared objectives and open standards.

This chapter concludes that testing facilities like VU-ZS Simulator are no longer optional additions to academic research - they are essential

infrastructure for global energy innovation. They foster interoperability, accelerate technological validation, and strengthen cybersecurity preparedness in a time where the consequences of system failures are increasingly severe. By embracing such collaborative platforms, academic and industry stakeholders can co-develop future-proof solutions that reflect the complexity and interconnectedness of modern power systems.

Ultimately, this chapter does not just illustrate the technical merits of the VUZS Simulator, it positions it as a prototype for the next generation of international research facilities. Its relevance extends beyond the campus of Victoria University and into the global discourse on sustainable, secure, and intelligent power system transformation.

# CHAPTER 6

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## Securing IEC61850 Communication Protocols with IEC62351 Standard

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*“Without order, our science is nothing but a useless mixture of facts.”,  
Dmitri Mendeleev (1834 -1907)  
Creator of Periodic Table*

*This Chapter delves into the importance of securing IEC 61850 communication protocols within modern digital substations. As substations evolve with increased connectivity and automation, they become more vulnerable to cyber threats. This chapter introduces the IEC 62351 standard as a robust cybersecurity framework that enhances data integrity, confidentiality, and authentication. It also examines practical implementations, ensuring resilient and secure communication infrastructures.*

### **6.0 Introduction**

The increasing reliance on IEC 61850 for substation automation has revolutionized how electrical systems communicate, enabling real-time data exchange, system-wide interoperability, and intelligent control through protocols such as GOOSE, MMS, and SV. However, as digital substations grow in complexity and connectivity, especially with remote access and

international collaboration - their exposure to cybersecurity threats also intensifies. Ensuring the confidentiality, integrity, and availability of these protocols is no longer optional but essential for the reliable operation of modern substations.

To address these challenges, the IEC 62351 standard was developed to provide comprehensive security mechanisms tailored for the IEC 61850 framework. This chapter explores how IEC 62351 can be effectively applied to secure GOOSE messaging, MMS communication, and SV transmissions within a substation environment. By integrating encryption, authentication, access control, and monitoring measures, IEC 62351 not only protects against cyber intrusions but also strengthens the trust and resilience of digital substations. This chapter also demonstrates practical approaches to securing IEC 61850 protocols using simulation tools such as the VUZS Simulator platform, reinforcing the role of proactive security in safeguarding critical infrastructure.

## **6.1 Communication and Information Systems Standard**

Communication protocols serve as the backbone of power system operations, facilitating the seamless transmission and reception of data across various levels of a substation. These protocols are essential for real-time monitoring, control, and protection, enabling utilities to make informed decisions and maintain grid stability. However, despite their critical role, communication protocols have historically been implemented without adequate cybersecurity

considerations. Until recently, issues such as data breaches, cyber intrusions, and protocol-level vulnerabilities were often overlooked leaving substations exposed to operational disruptions and potential equipment failures [122].

Unsecured data transmission can lead to severe consequences, including system malfunctions and compromised reliability. Power utilities are increasingly aware of these risks and are now prioritizing the integration of cybersecurity frameworks to safeguard communication systems. The most widely adopted IEC communication protocols used in substation environments: Modbus, DNP3, IEC 60870, UCA, and IEC 61850. Each of these protocols serves a unique function in grid operations.

With the growing complexity and interconnectivity of digital substations, securing these protocols has become imperative. The IEC 62351 standard was introduced specifically to address these security concerns, offering protection measures tailored to the communication protocols listed above. It ensures that data exchanges remain secure, authenticated, and tamper-proof, thus reinforcing the resilience of substation automation systems.

## **6.2 Communication Security Mechanisms of Power Systems**

In the digital era, the advancement of ICT has revolutionized nearly every sector from banking and national security to healthcare, telecommunications, and the energy industry. While this progress has enabled greater efficiency and

connectivity, it has also introduced new vulnerabilities, particularly in how data is handled and transmitted. The growing reliance on ICT within the power and energy sector has heightened the risk of cyber intrusions, data breaches, and system disruptions.

As electrical grids and power plants become more interconnected and digitally managed, the need to protect information during its processing, application, and transmission has become critical. Cyber-attacks targeting control systems and network infrastructure pose significant threats to the stability and security of power systems. In response, the industry is placing increased emphasis on robust security mechanisms focused on protecting sensitive information, securing communication networks, and implementing comprehensive cybersecurity strategies. Ensuring the integrity and reliability of data within the power system is no longer optional it is a fundamental requirement for operational safety and national energy resilience. The focus lies on the security of information, networking and cybersecurity.

- *Information Security*

It is a process of securing all forms of information – from electronic, paper print documents, other forms of confidential evidence such as private or sensitive data, and even information in people’s heads – that need protection from unauthorized access, use, disclosure, modification, disruption, and destruction.

- *Network Security*

It is a process of securing the software and hardware underlying the networking infrastructure of a system, as a preventive measure for protection of computers, users, and programs from unauthorized access, use, destruction and improper disclosure. It is also a configuration designed to protect the confidentiality, integrity and accessibility of computers either in public or in private networks.

- *Cybersecurity*

It is a process of securing the network systems and programs from threats and digital attacks during transmission and receiving of digital information across the internet. Unauthorized attacks could be carried out to infiltrate sensitive information to extort money from users, destroying evidence, modifying status, and interfering in normal business processes.

### **6.3 Sources of Cyber Threats and Attacks**

Cyber-attacks are becoming increasingly sophisticated, posing serious threats to critical sectors such as government infrastructure, power utilities, and large-scale networked organizations. These attacks can be either intentional or accidental, originating from various sources, including but not limited to [123-124]:

- Espionage and cyber warfare
- Political activists or hacktivists
- Criminal activities by foreign entities
- Insider threats and disgruntled employees
- Human error due to lack of training
- Hobbyist hackers, virus creators, and script kiddies
- Organized crime groups and terrorist networks
- Internet-based threats like malware, spyware, and worms

Each source presents unique motives and varying levels of technical capability. Their diverse attack methods can significantly disrupt the normal operations of industries and institutions, highlighting the urgent need for robust cybersecurity measures.

#### **6.4 Security Assessment Method for Power Systems**

A security assessment method for power systems is essential to evaluate these risks, identify potential attack surfaces, and implement safeguards that protect critical operations.

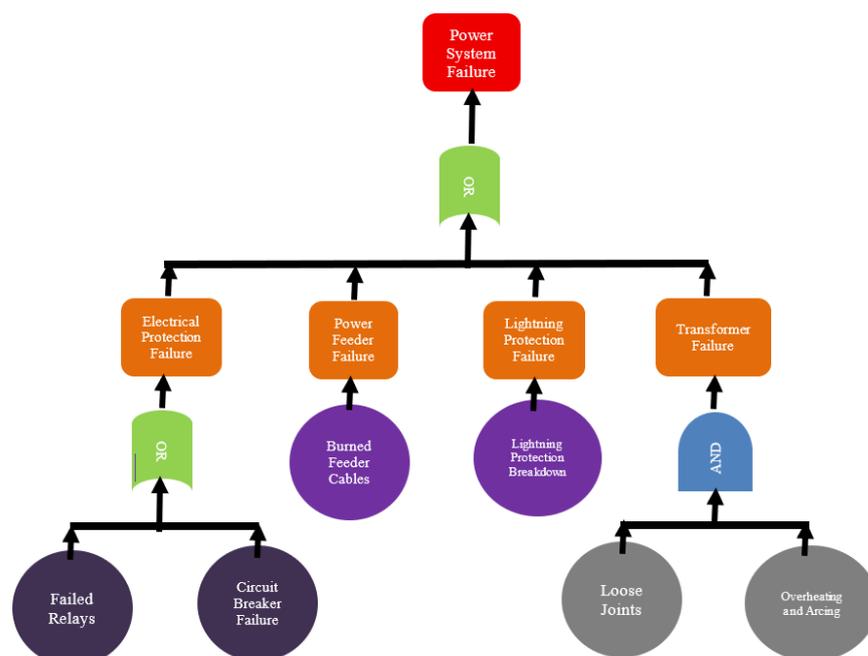
- **Fault Tree Analysis**

Fault tree analysis is a type of analysis based on possible sources of faults or accidents that lead to system failure. This method is a top to bottom empirical analysis that branches out downwards and illustrates a logical diagram of events like leaves and demonstrates a step-by-step logical relationship to find the events that cause a fault or undesired result.

**Figure 6.1** illustrates a simple power system failure based on a fault tree analysis. The analysis starts from top to bottom.

1. What caused the power system to fail?
2. What were the possible sources of faults or accidents?
3. Was it an electric protection failure, power feeder failure, lightning protection failure or transformer failure?
4. What were the underlying events that caused the faults?
5. Did the relay or the circuit breaker fail?
6. Or was it due to the blend of loose connection and overheating of the wire that caused the transformer to fail?

These are the basic procedures in analysing a fault tree scheme. Basically, it is based on a deductive type of reasoning that is compliant leading to a critical analysis of an undesired state of event.



**Figure 6.1:** Fault Tree Analysis (FTA) on Power Systems.

Cao et al (2010) [125] proposed a method by illustrating a case study using a power distribution system with multiple outputs and outlining the weak parts in the fault tree. The method illustrates that the system's unreliability is directly associated with the systems component.

Langer et al (2016) [126] conducted a risk assessment using event tree analysis on cyber physical attacks on a smart grid. The case study focused on the voltage controller of the power systems that could be exploited, leading to incorrect readings of the line voltage being sent to power equipment and DER that caused them to go off the safety limit. One of the weaknesses of the fault tree analysis is the creation of the logical fault tree diagram. The larger the fault tree, the higher the difficulty and complexity in finding the events fault.

- **Attack Tree Analysis (ATA)**

Attack tree analysis is a method of investigating an attack using a conceptual diagram and modelling a threat in a system. In the attack tree, the root node indicates the status of the node being attacked while the leaf node represents the purpose of the attack. ATA has been used indifferent applications, one of which is the use of control systems relating to smart grids. ATA exposes security threats to attacks, which can be mitigated when properly addressed.

Ten et al (2007) [127] proposed a method using attack trees to systematically evaluate the susceptibility and enhancements of cybersecurity for SCADA systems. The case study illustrated the capability of the attack tree method as a penetration testing of an attack, assessment of security flaws and confirmation of hypothesis. Li et al (2010) [128] presented a hydroelectric power plant model case study to demonstrate the vulnerability of industry control computers and that electric power devices in the system can be manipulated. Thus, the attack tree was constructed to mitigate flaws of a network and prevent future occurrences. Attack trees can mitigate and predict faults during an event. This process offers clarity and transparency in decision making.

## **6.5 Communication and Security Schemes for Power Systems**

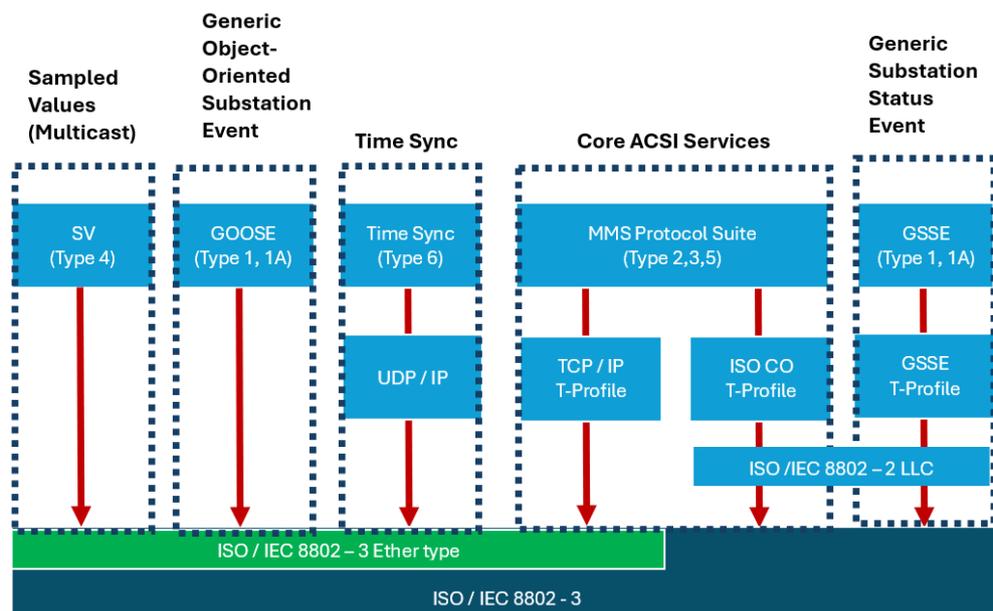
Communication and security schemes are integral to modern power systems, enabling reliable data exchange between devices while safeguarding critical infrastructure against cyber threats, unauthorized access, and operational disruptions

### **6.5.1 IEC 61850 Standard Communication Protocol**

IEC 61850 has an all-inclusive structure, and abstract data models that can be defined and mapped to different protocols.

These data models can be mapped to GOOSE, MMS and SV.

**Figure 6.2** shows the communication protocol of IEC 61850 being mapped and stacked based on the Open System Interconnection (OSI) model.



**Figure 6.2:** IEC61850 Communication Protocol Stack [129].

The OSI network layer model [130-131] is classified into parts, the data / information processing and communication functions. The communication stack mapping shows the GOOSE and SV protocols being mapped directly via highspeed messaging on LAN through Ethernet. Network transmission implies that data messages carried out are of a high level of importance, such as time-critical protection relaying, interlocking data between IEDs, alarms, signals and others. Both the GOOSE and SV are under the layer 2 multicast which means that it skips other data

and communication layers for immediate processing. The MMS is present in most devices for operational reporting and monitoring functions. MMS is positioned through the Transmission Control Protocol /Internet Protocol (TCP/IP) stack which means the transmission of data is not of high importance.

### **6.5.2 IEC 62351 Security Standard**

IEC 62351 standard has the specific details to carry out a task in securing the three most important communication protocols of IEC 61850, the GOOSE, MMS and SV [132].

IEC 62351 – 3 – Security for any profiles including TCP/IP

- Transport Layer Security (TLS) Encryption
- Node Authentication using x.509 certificates
- Message Authentication

IEC 62351 – 4 – Security for any profiles including MMS

- Authentication for MMS
- TLS to provide transport layer security

IEC 62351 – 6 - Security for IEC 61850 profiles

- VLAN mandatory for GOOSE
- Simple Network Time Protocol (SNTP)
- Message Authentication

With these types of security under IEC 62351, researchers are moving forward to develop a new security scheme for

IEC61850 and other standard protocols. Recent publications proposed an improved security scheme for MMS [133], SV and GOOSE messaging [134-135]. The results of the study verify that successful authentication, and management of keys and certificates in communication protocols can be safely used in the substation [136].

### **6.5.3 Key Security Features of IEC 62351**

IEC 62351 consists of multiple parts, each addressing specific aspects of security across different communication layers and protocols. Some of its key provisions include:

- *Authentication and Authorization*: Ensures that only verified devices and users can access control systems using Role-Based Access Control (RBAC).
- *Message Integrity and Confidentiality*: Employs digital signatures and encryption (TLS/SSL) to prevent tampering and ensure secure data transmission.
- *Intrusion Detection*: Supports integration with Intrusion Detection Systems (IDS) and audit logging for accountability and traceability.
- *Certificate Management*: Defines protocols for managing digital certificates and public key infrastructures (PKIs) for secure key exchange.

For example, IEC 62351-3 secures TCP/IP communications by using TLS encryption, while IEC

62351-6 ensures the secure transmission of data in IEC 61850 protocols like GOOSE and MMS. These standards create a multilayered defense mechanism tailored specifically for the power system environment.

## **6.6 Real World Cybersecurity Incidents in Power Systems**

Numerous incidents globally have highlighted the urgent need for cybersecurity in the energy sector. One of the most well-known was the *Ukrainian power grid cyber-attack* in December 2015, where attackers gained remote access to SCADA systems and successfully caused power outages for over 230,000 customers [137]. The attackers exploited weaknesses in the communication infrastructure and bypassed authentication mechanisms, effectively shutting down circuit breakers remotely.

Another example is the *Dragonfly malware campaign* targeting North American and European energy companies between 2011 and 2014 [138]. The attackers used spear-phishing emails and compromised legitimate industrial control software to infiltrate networks, raising concerns about the susceptibility of control systems to coordinated cyberattacks.

These events are not isolated. The NERC CIP audit reports and assessments by the U.S. Department of Energy regularly cite vulnerabilities in substation communication protocols, particularly in systems using legacy or unsecured

protocol stacks [139-140]. These incidents clearly illustrate that the convergence of IT and OT (Operational Technology) systems without adequate security measures can result in large-scale service disruption, financial loss, and public safety risks.

## **6.7 Case Study 1: Cybersecurity Assessment of VUZS Simulator**

As digital substations become increasingly integrated with networked control and communication systems, the need for comprehensive cybersecurity assessments becomes critical. This case study focuses on assessing the cybersecurity posture of VUZS Simulator, a fully virtual IEC 61850-based environment designed for training and testing smart grid technologies. Using the VU-ZSS Simulator, we simulate potential cyber threats and evaluate system vulnerabilities using two key methods: *Attack Tree Analysis (ATA)* and *Fault Tree Analysis (FTA)*. These tools allow us to visualize how cyberattacks can propagate through a system and identify weak points that could lead to operational failures.

### **6.7.1 Attack Tree Analysis (ATA) in VUZ Simulator**

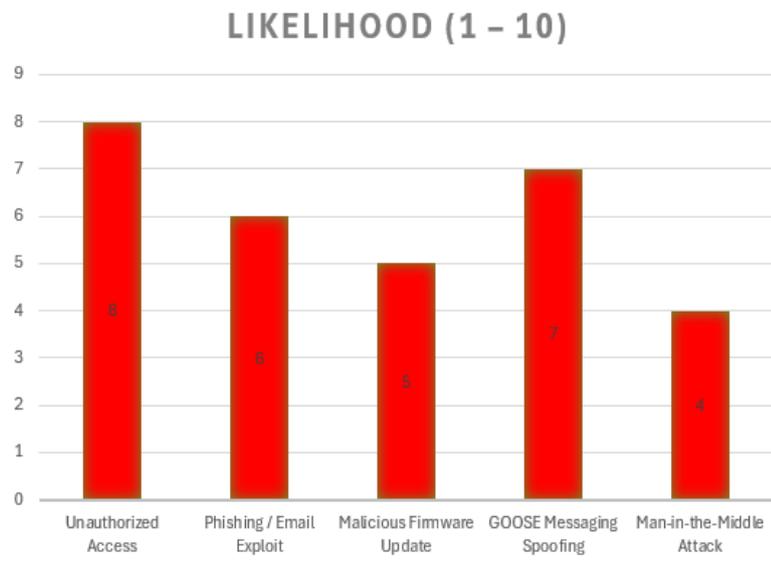
Attack Tree Analysis is a structured method for modelling how a system can be compromised. The “*root*” of the tree is the attack objective (e.g., disrupting substation control), while the branches represent methods an attacker might use to achieve this objective.

For this case study, the objective was: *"Gain unauthorized control over substation operations."* **Table 6.1** illustrates the attack vector and the likelihood that the threat is being executed. The simulated attack vectors evaluated within the VUZS Simulator environment include:

**Table 6.1** Attack Tree Analysis (ATA) in VUZS Simulator.

<b>Attack Vector</b>	<b>Likelihood (1 – 10)</b>
Unauthorized Access	8
Phishing / Email Exploit	6
Malicious Firmware Update	5
GOOSE Messaging Spoofing	7
Man-in-the-Middle Attack	4

The results, visualized in **Figure 6.3**, show that unauthorized access and GOOSE message spoofing present the highest threat levels. These were simulated in the VUZS Simulator by configuring a rogue IED attempting to inject false trip signals through the GOOSE channel, revealing the critical need for message authentication and access control.



**Figure 6.3:** Attack Tree Analysis in VUZS Simulator.

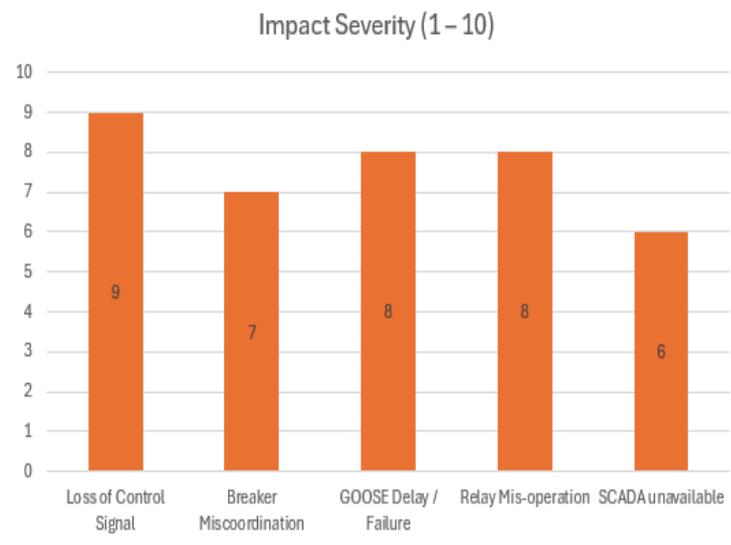
### 6.7.2 Fault Tree Analysis (FTA) in VUZ Simulator

Fault Tree Analysis identifies how combinations of component or process failures (whether caused by cyber events or other issues) can lead to undesired outcomes in a system. For this case, we analyzed five major failure scenarios resulting from cybersecurity breaches (**Table 6.2**)

**Table 6.2** Fault Tree Analysis in (ATA) VUZS Simulator.

Fault Event	Impact Severity (1 – 10)
Loss of Control Signal	9
Breaker Miscoordination	7
GOOSE Delay / Failure	8
Relay Mis-operation	8
SCADA unavailable	6

**Figure 6.4** illustrates that loss of control signals and GOOSE message failures could result in the most severe operational impacts, including grid instability and unintentional outages.



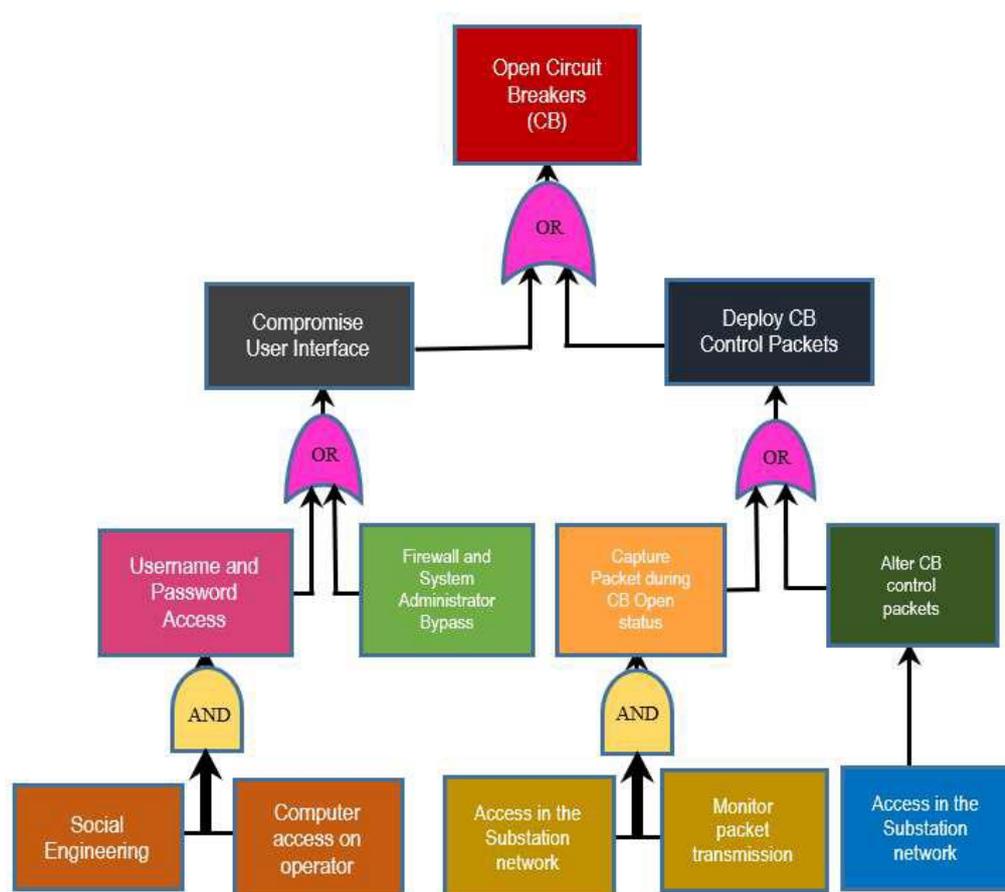
**Figure 6.4:** Fault Tree Analysis in VUZS Simulator.

These scenarios were tested using the VUZS Simulator by injecting communication delays, spoofing messages, and disabling SCADA visibility

### 6.7.3 Modelling an Attack Tree in VUZ Simulator

In the field of information technology, attack tree modelling is used to analyse potential threats and attack paths against a specific system. However, attack tree modelling can be extended and applied to other structures such as power systems in the electrical sector.

**Figure 6.5** illustrates an attack tree model for the VUZS simulator. The main goal for the attack is to open a circuit breaker which is the root node. The leaf nodes contain sub goals or stages of events that can be executed to achieve the final goal. Attack tree models are analysed generally from the bottom to top. This will give the operators ability to mitigate threats and attacks and efficiently identify the relevant intrusion events in a power system control network.



**Figure 6.5:** Attack Tree Modelling of VUZS Simulator.

The simulation highlighted critical cybersecurity vulnerabilities commonly found in real substations.

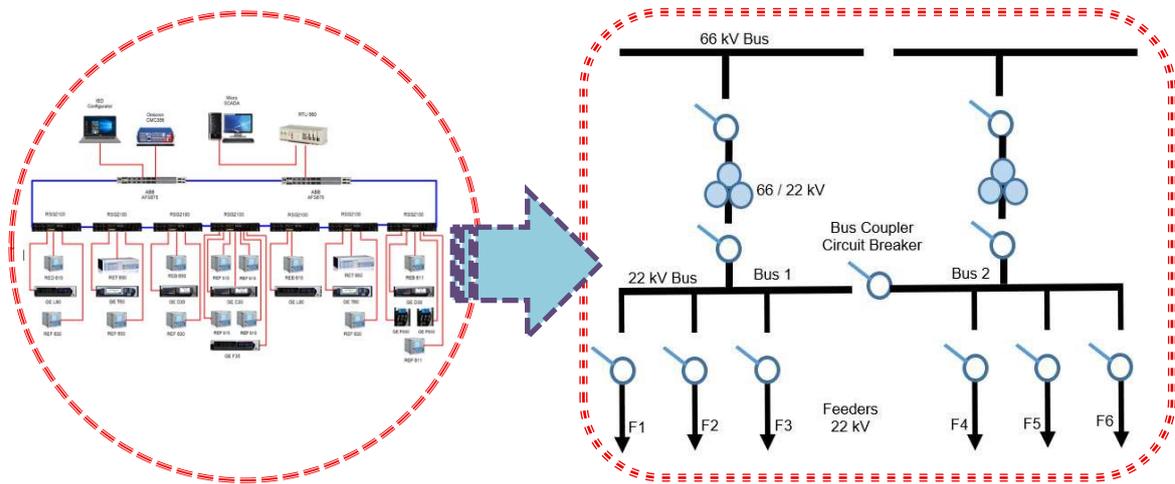
- GOOSE spoofing attacks can cause false trips, which could isolate feeders or transformers unnecessarily.
- Unauthorized access through unsecured engineering ports or remote access tunnels can give attackers control of relays or SCADA interfaces.
- Lack of encryption or authentication in MMS/GOOSE communication allows man-in-the-middle attacks, compromising data integrity.

These findings support the need for implementing the IEC 62351 standard in substation automation, especially:

- IEC 62351-6: For GOOSE message encryption and integrity
- IEC 62351-3: TLS encryption for IP-based services (like MMS)
- IEC 62351-8: Role-based access control (RBAC) for authentication

## **6.8 Case Study 2: Securing IEC 61850 Communication Protocols through MAC Authentication Based on IEC 62351 Standards**

This study evaluates the effectiveness of *Message Authentication Code* (MAC) authentication in enhancing the security of IEC 61850 communication protocols against prevalent cyber threats. A comprehensive comparative analysis of performance impacts, including communication latency and processing overhead, is conducted against alternative security measures such as encryption and digital signatures.



**Figure 6.6:** Single line diagram and VUZS Simulator.

The single-line diagram is replicated within the VUZS simulator, where all IEDs are strategically deployed to ensure comprehensive protection and control of the entire substation, see **Figure 6.6**. Each section of the substation is covered, leaving no zone unprotected. These IEDs operate under a coordinated and advanced protection scheme, allowing real-time monitoring and control.

### 6.8.1 Application of Security Measures

There are simple security measures and techniques that can be implemented inside a substation environment. For instance, personnel working in the station level must be knowledgeable in computers and know the substation process. Personnel working on SCADA and HMI must be properly oriented and must abide with the security policy of the organization. An inclusion of a GUI for security measures like biometrics and others will make computer access more protected. On the side

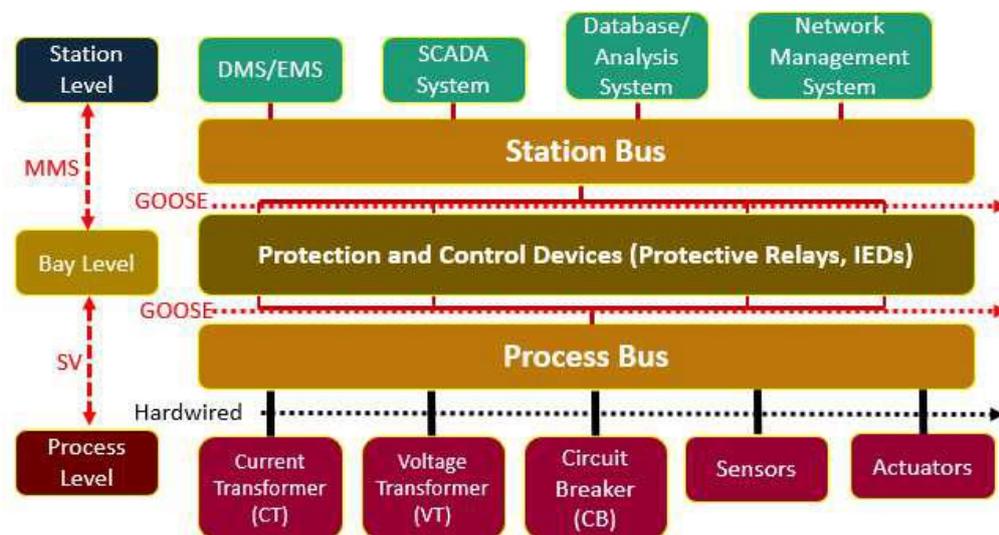
of Operating Systems (OS), the CTRL-Alt-Del combination keys are called a secure attention key. This implies trust in the integrity of the systems in filling-up a password in a real login form. The station level must be protected and secured appropriately due to the severity of contained information. GOOSE messages are sent from a publisher IED to multicast configured users known as subscriber IEDs. The GOOSE messages sent by the publisher do not need an acknowledgment acceptance coming from the subscriber. A security breach can be recognized when a GOOSE message being re-transmitted shows that the status and sequence number parameters have changed. On the other hand, the MMS uses Transport Layer Security (TLS) protocol to secure the transmission of data between a client/server. The TLS retains the integrity, authenticity, and confidentiality of the data transferred.

### **6.8.2 VUZS Simulator Process Bus Architecture**

The architecture of the VUZS Simulator follows the standard IEC 61850 substation hierarchy but with a key limitation: the absence of a physical process level. Due to the size, cost, and safety constraints associated with real high-voltage primary equipment, the process level - normally comprising circuit breakers, current transformers, and voltage transformers - is not

physically implemented in the laboratory setup. Instead, the VUZS Simulator uses process-level emulators to simulate the behavior of primary equipment.

**Figure 6.7** illustrates the flow of the GOOSE, MMS, and SV protocols across the substation and their limitations. This shows where the security measures for the GOOSE, MMS and SV will be implemented in the substation.



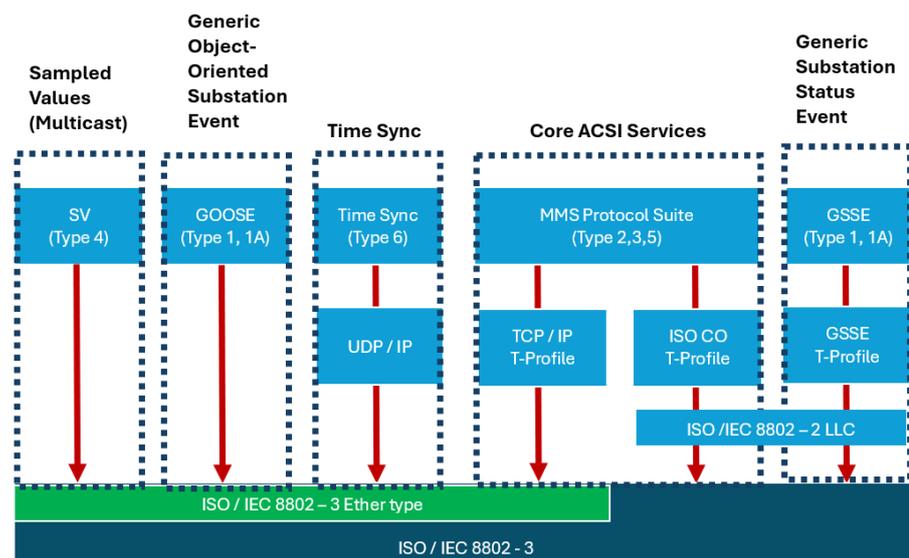
**Figure 6.7:** *Process Bus Architecture of VUZS Simulator.*

### 6.8.3 Communication Interface and Implications

The section examines the vital role of interface design in enabling seamless data exchange between substation components, while also addressing the broader impacts on system performance, interoperability, and cybersecurity resilience.

The differences in the communication mechanisms of GOOSE, SV, time synchronization, and MMS have significant implications for substation automation.

In **Figure 6.2**, both GOOSE and SV messages are transmitted at the data link layer (ISO/IEC 8802-3), ensuring minimal latency. This low-latency communication is crucial for time-sensitive operations, such as fault isolation and system protection. When a fault occurs, protection IEDs need to communicate the event instantaneously to isolate the faulted section. GOOSE messages facilitate this rapid communication, preventing equipment damage and maintaining system stability.



**Figure 6.2:** IEC61850 Communication Protocol Stack [8].

Time synchronization using UDP/IP ensures that all devices in the substation are accurately synchronized. This precision is vital for timestamping events and maintaining the sequence of

operations across devices. Accurate time synchronization is essential during post-fault analysis. If protection devices are not synchronized, it becomes challenging to correlate events and identify the root cause of the fault.

MMS messages, while not as time sensitive as GOOSE or SV, require reliable communication. TCP/IP ensures error-free data transfer, making it suitable for tasks like device configuration and control. During routine maintenance, engineers may need to reconfigure protection settings on IEDs. MMS provides a reliable communication channel for these critical configuration changes, ensuring they are accurately applied.

#### **6.8.4 Transmission Time Analysis**

##### **(a) GOOSE Transmission Times**

GOOSE and SV messages are designed for low latency. It operates on a publish-subscribe model. When a device generates an event (e.g. a protection relay, trip), it publishes it to the network. GOOSE transmission times can be estimated using the following formula:

$$T_{GOOSE} = T_{propagation} + T_{processing} \quad (6.1)$$

##### **(b) SV Transmission Times**

SV digitises analogue data (e.g. voltage or current measurements) and transmits it digitally over the network. Precision is crucial for SV, especially in application of protection relaying. The transmission time of SV depends on the

sampling rate, network latency and processing time. SV transmission times can be estimated using the following formula:

$$T_{SV} = T_{sampling} + T_{propagation} + T_{processing} \quad (6.2)$$

### (c) MMS Transmission Times

MMS messages, transmitted over TCP/IP, have additional overhead due to error checking and retransmission mechanisms. The general formula for MMS transmission time is:

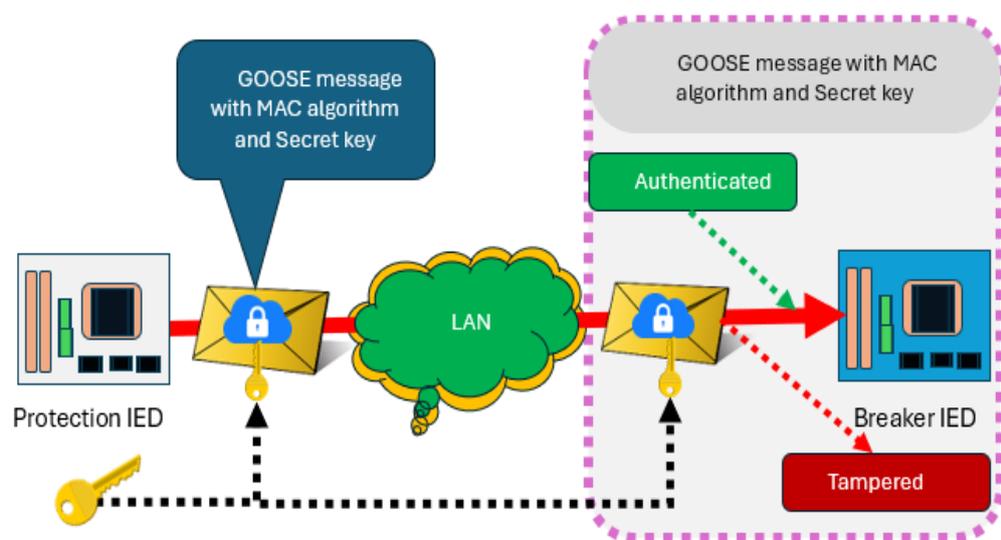
$$T_{MMS} = T_{message} + T_{propagation} + T_{processing} \quad (6.3)$$

## 6.8.5 Securing GOOSE, SV and MMS with MAC and Secret Key

The VUZS Simulator is utilized to simulate the implementation of MAC authentication to enhance cybersecurity within the substation communication network. This simulation is crucial for validating the effectiveness of MAC in protecting against common cyber threats such as data tampering and unauthorized access.

The MAC is used to verify the validity and consistency of a message coming from a sender. **Figure 6.8** shows the process of MAC authentication method. The sender IED will send a GOOSE message to a MAC algorithm and will generate a secret key. The GOOSE message and the new generated MAC

are sent through an Ethernet link to the IED receiver. The IED receiver will also generate its own MAC with the same key from the IED sender. If the MAC of the receiver is the same as the sender, the GOOSE message will be processed accordingly. Otherwise, the GOOSE message will appear to be tampered during transmission and will be discarded.



**Figure 6.8:** Securing GOOSE message with MAC and Secret Key.

### 6.8.6 Computational Analysis of MAC Authentication using GOOSE, SV, and MMS Protocol

This comparative analysis examines the transmission time and computational overhead associated with these protocols, comparing scenarios with and without MAC authentication and secret key, based on past studies.

- Comparative Analysis **Without** MAC Authentication and Secret Key

**GOOSE Messages:**

Average size: 100 bytes  
Transmission time formula:

$$T_{GOOSE} = (S_{GOOSE}) \frac{1}{B} + \Delta N + \Delta P \quad (6.4)$$

where:

$S_{GOOSE}$ : is the size of the GOOSE message.

B: is the network bandwidth.

$\Delta N$ : is the network latency.

$\Delta P$ : is the processing delay.

**SV Protocol:**

Average size: 128 bytes  
Transmission time formula:

$$T_{SV} = (S_{SV}) \frac{1}{B} + \Delta N + \Delta P \quad (6.5)$$

where:

$S_{SV}$ : is the size of the SV message.

**MMS Protocol:**

Average size: 256 bytes  
Transmission time formula:

$$T_{MMS} = (S_{MMS}) \frac{1}{B} + \Delta N + \Delta P \quad (6.6)$$

where:

$S_{MMS}$ : is the size of the MMS message.

- Comparative Analysis **with** MAC Authentication and Secret Key

Establishing a MAC authentication and a secret key introduces additional computational overhead due to the cryptographic operations required. The formulas for transmission time now include the time required for MAC generation and verification.

### ***GOOSE Messages With MAC:***

Additional overhead for MAC: 64 bytes  
Transmission time formula:

$$T_{GOOSE\_MAC} = (S_{GOOSE} + O_{MAC}) \frac{1}{B} + \Delta N + \Delta P + \Delta C \quad (6.7)$$

where:

$S_{GOOSE}$ : is the size of the GOOSE message.

$O_{MAC}$ : is the overhead added by MAC.

$B$ : is the network bandwidth.

$\Delta N$ : is the network latency.

$\Delta P$ : is the processing delay.

$\Delta C$ : is the cryptographic processing time

### ***SV Protocol:***

Additional overhead for MAC: 64 bytes  
Transmission time formula:

$$T_{SV\_MAC} = (S_{SV} + O_{MAC}) \frac{1}{B} + \Delta N + \Delta P + \Delta C \quad (6.8)$$

where:

$S_{SV}$ : is the size of the SV message.

$O_{MAC}$ : is the overhead added by MAC.

$\Delta C$ : is the cryptographic processing time

### ***MMS Protocol:***

Additional overhead for MAC: 64 bytes  
Transmission time formula:

$$T_{MMS\_MAC} = (S_{MMS} + O_{MAC}) \frac{1}{B} + \Delta N + \Delta P + \Delta C \quad (6.9)$$

where:

$S_{MMS}$ : is the size of the MMS message.

- Specific Values and Computational Analysis

Based on empirical data from various studies:

- (a) Network Bandwidth (B): 100Mbps
- (b) Network Latency ( $\Delta N$ ): 1ms
- (c) Processing Delay ( $\Delta P$ ): 0.50ms
- (b) Cryptographic Processing Time ( $\Delta C$ ): 1ms

**Table 6.3** presents a comparative analysis of IEC 61850 communication protocols - GOOSE, SV, and MMS highlighting the impact of MAC implementation and secret key usage on communication latency and quantifying the percentage change in performance between secured and unsecured transmissions.

**Table 6.3** Comparative Analysis of MAC Authentication.

IEC 61850 Communication Protocols	MAC Authentication and Secret Key		
	<i>With_MAC</i>	<i>Without_MAC</i>	<i>%change</i>
GOOSE	2.513ms	1.508ms	66.65%
SV	2.515ms	1.510ms	66.55%
MMS	2.526ms	1.520ms	66.11%

where:

$$\%change = \frac{(With\_MAC - Without\_MAC)}{Without\_MAC} \times 100 \quad (6.10)$$

### 6.8.7 Results and Observations

One of the key observations from the simulation is the evident trade-off between enhanced security and system performance when implementing Message Authentication Codes (MACs) in IEC 61850 communication protocols. This section analyses how the integration of MAC affects both latency and reliability across GOOSE, Sampled Values (SV), and MMS protocols within the VU-ZSS Simulator environment.

- ***Impact on Latency and System Performance***

The inclusion of MACs introduces additional computational steps and increases the overall message size. Each message must be processed through a cryptographic function to generate a unique authentication code, and the receiver must repeat this process to verify the integrity and authenticity of the message. This cryptographic overhead translates into increased communication latency.

From the simulation results, it was observed that all tested protocols GOOSE, SV, and MMS - exhibited an average latency increase of approximately 66%. This rise in transmission delay is directly linked to

the MAC generation and verification process. Although the increased delay may seem significant, it remains within acceptable limits for most protection and control operations, especially when weighed against the security benefits.

- **Enhancement of Message Security**

Despite the performance cost, the use of MACs substantially improves the security posture of substation communication. MACs help protect against data tampering, unauthorized command injection, and replay attacks by ensuring that each message is both authentic (from a trusted source) and intact (unchanged during transmission). In systems where milliseconds matter - such as high-speed GOOSE trip signals or SV sampling - ensuring data integrity is vital to avoid false operations or protection failures. The simulation confirms that the application of MACs can effectively detect any alteration in the message, thereby reinforcing cyber resilience in critical infrastructure.

- **Protocol Consistency and Predictable Overhead**

Another important insight from the simulation is the consistency of performance impact across different protocols. The near-identical increase in latency among GOOSE, SV, and MMS suggests that the MAC overhead is largely protocol-agnostic. This consistency is advantageous from a design and planning perspective, as engineers can anticipate the security-related processing load regardless of the specific communication protocol being used.

By knowing that the overhead is predictable, system designers can make informed decisions during IED configuration, network architecture planning, and timing coordination of protection schemes. This ensures that real-time performance requirements are met even with security measures in place.

### **6.8.8 Simulation Results of MAC Authentication**

The simulation conducted using the VUZS Simulator demonstrated three distinct outcomes in the transmission and reception of GOOSE messages under the IEC 62351 security framework. The simulation aimed to validate the integrity,

authenticity, and security of IEC 61850-based GOOSE communication through the implementation of MAC.

The first scenario, **Figure 6.9**, presented a successful transmission and reception of a GOOSE message that was authenticated using a valid MAC. This result indicates that the sender and receiver IEDs were correctly configured with the same security parameters, and the message was transmitted over the network without any corruption or interference. It validates the ability of MAC to provide secure, real-time communication between protection and control devices, maintaining system reliability and functional integrity.

```
>> # Simulated validation (you would include actual validation logic here)
>> if ($message -match "1234567890ABCDEF1234567890ABCDEF") {
>>     Write-Host "Message authenticated successfully."
>> } else {
>>     Write-Host "Message authentication failed."
>> }
>> }
>> }
>> }
>> $udpClient.Close()
>>
Listening for GOOSE messages on multicast group 239.192.0.1 on port 5000...
Received message: GOOSECtrlBlock000101-0C-CD-01-00-010000FFFF1234567890ABCDEF1234567890ABCDEFGOOSEMessageID00000000100000
1DataSetSHA25600-0C-CD-01-00-010000SampleData90B2EAF6CE95DC08005ASimpleCertInfo88B80000000100000001000000
Message authenticated successfully.
Received message: GOOSECtrlBlock000101-0C-CD-01-00-010000FFFF1234567890ABCDEF1234567890ABCDEFGOOSEMessageID00000000100000
1DataSetSHA25600-0C-CD-01-00-010000SampleDataFCBBAEF9CE95DC08005ASimpleCertInfo88B80000000100000001000000
Message authenticated successfully.
Received message: GOOSECtrlBlock000101-0C-CD-01-00-010000FFFF1234567890ABCDEF1234567890ABCDEFGOOSEMessageID00000000100000
1DataSetSHA25600-0C-CD-01-00-010000SampleData3278461BD095DC08005ASimpleCertInfo88B80000000100000001000000
Message authenticated successfully.
Received message: GOOSECtrlBlock000101-0C-CD-01-00-010000FFFF1234567890ABCDEF1234567890ABCDEFGOOSEMessageID00000000100000
1DataSetSHA25600-0C-CD-01-00-010000SampleData7E82CE1CD095DC08005ASimpleCertInfo88B80000000100000001000000
Message authenticated successfully.
```

**Figure 6.9:** Successful GOOSE message with MAC and Secret Key.

In the second scenario, **Figure 6.10**, the GOOSE message was not successfully received. The failure to authenticate the message highlights a misalignment in the MAC key configuration or possible synchronization issues between the communicating devices. This result underscores the importance of consistent and accurate cryptographic key management and system configuration. Such failures can lead to unintended delays or missed operations, which, in a live substation environment, could compromise protection functions and system stability.

```

>> param (
>>     [string]$message,
>>     [byte[]]$key
>> )
>> $Hmac = New-Object System.Security.Cryptography.HMACSHA256
>> $Hmac.Key = $key
>> $MsgBytes = [System.Text.Encoding]::UTF8.GetBytes($message)
>> return $Hmac.ComputeHash($MsgBytes)
>> }
>> # Shared key (must match sender)
>> $key = [System.Text.Encoding]::UTF8.GetBytes("mySuperSecretKey123")
>> $listener = [System.Net.Sockets.TcpListener]5000
>> $listener.Start()
>> Write-Host "GOOSE Receiver listening on port 5000..."
>>
>> while ($true) {
>>     $client = $listener.AcceptTcpClient()
>>     $stream = $client.GetStream()
>>     $reader = New-Object System.IO.StreamReader($stream)
>>     $data = $reader.ReadLine()
>>
>>     $parts = $data -split "::MAC:"
>>     $message = $parts[0]
>>     $receivedMac = $parts[1]
>>
>>     $computedMacBytes = Generate-MAC -message $message -key $key
>>     $computedMac = [BitConverter]::ToString($computedMacBytes) -replace "-", ""
>>
>>     if ($receivedMac -eq $computedMac) {
>>         Write-Host "? GOOSE message authenticated: $message
>>     } else {
>>         Write-Host "? GOOSE message failed MAC verification!"
>>     }
>>
>>     $reader.Close()
>>     $client.Close()
>> }
>>
GOOSE Receiver listening on port 5000...
? GOOSE message failed MAC verification!

```

**Figure 6.10:** Failed GOOSE message with MAC and Secret Key.

The third scenario, **Figure 6.11**, simulated a tampered GOOSE message intercepted and altered during transmission. The receiver, equipped with MAC verification, identified the message as invalid and rejected it. This behavior confirms that the MAC authentication process effectively detects unauthorized modifications and prevents compromised data from being accepted by the system. It reinforces the role of IEC 62351 in mitigating cybersecurity threats and ensuring that only verified, trusted information is processed in critical substation operations.

```

>> [byte[]]$key
>> }
>> $Hmac = New-Object System.Security.Cryptography.HMACSHA256
>> $Hmac.Key = $key
>> $msgBytes = [System.Text.Encoding]::UTF8.GetBytes($message)
>> return $Hmac.ComputeHash($msgBytes)
>> }
>> # Shared key (must match sender)
>> $key = [System.Text.Encoding]::UTF8.GetBytes("mySuperSecretKey123")
>> $listener = [System.Net.Sockets.TcpListener]5000
>> $listener.Start()
>> Write-Host "GOOSE Receiver listening on port 5000..."
>> while ($true) {
>>     $client = $listener.AcceptTcpClient()
>>     $stream = $client.GetStream()
>>     $reader = New-Object System.IO.StreamReader($stream)
>>     $data = $reader.ReadLine()
>>
>>     $parts = $data -split ":(MAC):"
>>     $message = $parts[0]
>>     $receivedMac = $parts[1]
>>
>>     $computedMacBytes = Generate-MAC -message $message -key $key
>>     $computedMac = [BitConverter]::ToString($computedMacBytes) -replace "-", ""
>>
>>     if ($receivedMac -eq $computedMac) {
>>         Write-Host "? GOOSE message authenticated!" $message
>>     } else {
>>         Write-Host "? GOOSE message failed MAC verification!"
>>     }
>>
>>     $reader.Close()
>>     $client.Close()
>> }
>>
GOOSE Receiver listening on port 5000...
Exception calling "ReadLine" with "0" argument(s): "Unable to read data from the transport connection: An existing
connection was forcibly closed by the remote host."
At line:26 char:5
+ ~~~~~
+ ~~~~~
+ CategoryInfo          : NotSpecified (:) [], MethodInvocationException
+ FullyQualifiedErrorId : IOException
GOOSE message failed MAC verification!

```

**Figure 6.11:** Tampered GOOSE message with MAC and Secret Key.

Overall, the simulation results affirm the practical benefits of integrating MAC security into IEC 61850 GOOSE communication. The VU-ZSS proved instrumental in modelling realistic scenarios, offering a secure and flexible environment for testing cybersecurity mechanisms. These findings support the adoption of IEC 62351 standards in modern digital substations to strengthen their defense against cyber threats and data manipulation.

## **6.9 Conclusion**

The transition to fully digital substations demands not only fast and reliable communication but also robust cybersecurity. Through this chapter, the role of the IEC 62351 standard in securing IEC 61850 communication protocols has been thoroughly examined - focusing on GOOSE, MMS, and Sampled Values (SV). The importance of IEC 62351 lies in its ability to introduce encryption, authentication, and data integrity checks to protocols that were originally designed with limited security in mind. As substations become more interconnected and accessible - especially across geographic and organizational boundaries - the need for standardized protection mechanisms becomes imperative.

The computational analysis of transmission delays revealed that the introduction of MAC authentication, while adding slight latency, ensures

critical data integrity and verification, particularly for time-sensitive GOOSE and SV messages. The results indicated a measurable but acceptable increase in transmission times, validating that the added security overhead does not compromise the effectiveness of real-time protection schemes.

Incorporating both ATA and FTA provided a structured approach to evaluating potential cybersecurity vulnerabilities and failure points within the communication system. These tools proved essential in identifying how threats propagate, and which countermeasures are most effective in reducing system risk.

The VUZS Simulator played a pivotal role in modelling realistic substation scenarios under secure and compromised communication conditions. Simulations of MAC-authenticated GOOSE messaging demonstrated how legitimate transmissions are successfully received and verified, while unauthorized or tampered messages were appropriately rejected. These results highlight the VUZS Simulator's capability to serve not only as a testing platform but also as a teaching and research tool in cybersecurity and substation automation.

In summary, IEC 62351 provides the much-needed security foundation for IEC 61850-based substation communication. The findings from this chapter demonstrate that applying standardized cybersecurity mechanisms is both practical and essential in protecting modern power systems. The VU-ZSS

Simulator proves to be an invaluable asset in this effort, bridging theoretical knowledge and hands-on validation to advance both academic research and industry application in securing the digital grid.

# CHAPTER 7

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## Conclusion and Recommendation for Future Works

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*“Science is not only a discipline, but, also, one of romance and passion.”*  
Stephen Hawking, (1942 – 2018)  
*Theoretical Physicist*

### 7.0 Summary of Results

This research has explored critical aspects of Substation Automation Systems (SAS), focusing on Intelligent Electronic Devices (IEDs), the IEC 61850 communication protocol, cybersecurity through IEC 62351, and the use of Real-Time Digital Simulators (RTDS) to simulate and analyse system vulnerabilities. Each chapter addressed key challenges and advancements in modern power systems, with particular emphasis on digital communication, interoperability, and security in the evolving landscape of smart grids.

**Summary of Key Findings:**

- **Cross Border Collaboration between VU, Australia and FREA, Japan:**  
The partnership showcased the potential of the VU-ZSS as a shared research environment, allowing remote testing, joint IED configuration, and international knowledge exchange on smart grid technology and IEC 61850-based systems.
- **Intelligent Electronic Devices (IEDs) Configuration:** The configuration of IEDs using tools like PCM600 (ABB) and EnerVista (GE) has demonstrated the importance of proper setup for achieving optimal system performance. Multi-vendor environments, managed through tools like IET600, present opportunities for enhancing interoperability but also bring challenges in system integration and coordination.
- **Impact of IEC 61850:** IEC 61850 has transformed substation communication architecture, offering a standard for data exchange across devices and manufacturers. The analysis of its influence on the VUZS Simulator configuration highlighted the importance of meticulous planning in both design and communication layers to ensure seamless operations.
- **Cybersecurity in IEC 61850 Systems:** The IEC 62351 standard was studied to understand the security implications in IEC 61850-based systems. As modern power grids become increasingly digitized, safeguarding communication and data integrity through advanced security mechanisms has become paramount.

- **Simulation of Cyber-attacks using Real Time Simulators:** Provided a platform for simulating the IEC 61850 communication protocol and cyberattacks, allowing for the practical validation of theoretical concepts. This simulation capability enabled comprehensive testing of system performance under normal and adverse conditions, as well as an analysis of potential system vulnerabilities and mitigations.

The integration of digital technologies, particularly IEC 61850, into substation automation systems has led to more efficient and reliable operations. However, this evolution brings new challenges, particularly in terms of system security and interoperability in multi-vendor environments. The work in this thesis highlights these challenges and provides a framework for addressing them through proper configuration, security measures, and real-time simulation testing.

## **7.1 Recommendation for Future Works**

While this research has laid a foundation for understanding key areas of substation automation, further work is required to address emerging trends, vulnerabilities, and opportunities in the power industry. The rapid pace of technological advancement, combined with the increasing complexity of modern power grids, presents several avenues for future research.

**Potential Directions for Future Work:****1. Advanced Cybersecurity for IEC 61850 Systems:**

- As the threat landscape continues to evolve, new forms of cyberattacks will emerge, requiring more advanced countermeasures. Future research could explore the application of Machine Learning (ML) and Artificial Intelligence (AI) for real-time intrusion detection and automated threat response within IEC 61850 systems.
- Investigating the role of blockchain technology in providing secure, decentralized communication frameworks for substation automation systems could also provide new avenues for enhancing system security.

**2. Optimization of Multi-Vendor System Interoperability:**

- In multi-vendor environments, ensuring seamless communication between IEDs from different manufacturers remains a challenge. Future studies could focus on developing more sophisticated tools for automatic configuration and testing of multi-vendor systems to reduce manual intervention and errors.
- Research could also be conducted on how to enhance existing standards, such as IEC 61850 and its related protocols, to address challenges specific to heterogeneous device ecosystems.

### **3. Real-Time Digital Simulation of Large-Scale Power Systems:**

- Future work could extend the use of RTDS to simulate not just individual substations but entire sections of the grid, including distributed energy resources (DERs) and renewable energy sources. This would provide insights into the system-wide implications of faults, attacks, and other contingencies.
- Integration of Artificial Intelligence (AI) with RTDS simulations to predict and simulate future scenarios in power system operation could provide a more dynamic tool for decision-making.

### **4. Improving Communication Protocols:**

- While IEC 61850 has proven effective, there is room for further optimization in terms of latency and bandwidth utilization. Research could explore enhancements to the GOOSE and Sampled Values protocols to improve their performance in high-traffic environments or in scenarios involving renewable energy resources and distributed generation.
- Future work could focus on optimizing IEC 61850 for microgrids and smart grids, ensuring it supports the dynamic, distributed nature of these systems.

**5. Simulation of Grid-Wide Cybersecurity Frameworks:**

- Simulating cyberattacks on a wider scale, such as grid-level DoS attacks or coordinated cyber-physical attacks, could provide a broader understanding of system vulnerabilities. Future work should examine large-scale attack scenarios to understand how entire regions or countries might respond to cybersecurity threats.
- Additionally, research could focus on the design and testing of national or global cybersecurity frameworks to protect interconnected power grids from large-scale disruptions.

**6. Adaptive Substation Automation Systems:**

- Substation automation systems of the future will need to adapt dynamically to changing grid conditions. Research into adaptive algorithms for IEDs that adjust to different load conditions, fault conditions, and system contingencies could lead to more resilient and flexible power systems.

**7.2 Fulfillment of the Objectives Outlined in the Introduction**

The findings and methodologies in this thesis have the potential to contribute to both academic research and industrial practices. By investigating the configuration of IEDs, the impact of IEC 61850, and the integration of cybersecurity measures, this research enhances our understanding of the

practical challenges and solutions required to ensure the safety, reliability, and efficiency of modern power systems.

Furthermore, the use of RTDS for simulation in both communication and cybersecurity studies represents a powerful tool for bridging theory and practice. Future researchers can build on this work to explore new technologies and further refine the methods developed here.

### **7.3 Practical Adoption Pathways in Collaboration with Australian Energy Regulators (AER) and Utilities**

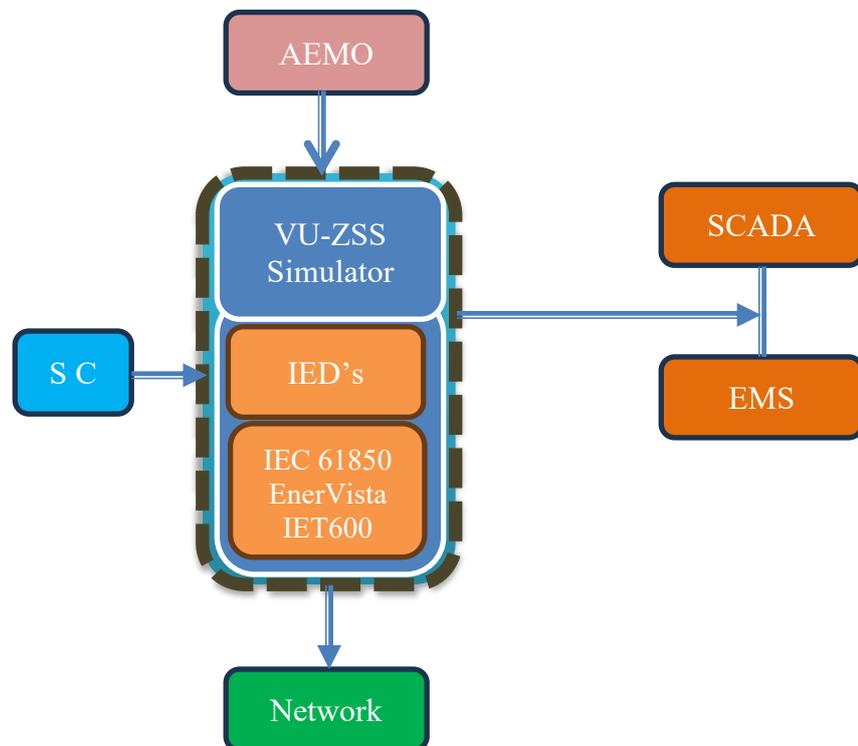
The practical adoption of substation automation systems based on IEC 61850 standards requires not only technical validation but also alignment with Australia's regulatory and operational frameworks. To bridge the gap between academic research and industry application, this section outlines potential pathways for collaboration with the *Australian Energy Market Operator (AEMO)* and the *Australian Energy Regulator (AER)* - two key bodies overseeing the operation, planning, and compliance of *Australia's National Electricity Market (NEM)*.

#### **7.3.1 Integration of IEC 61850 – based Systems with AEMO Operational Frameworks**

AEMO's operational objectives include maintaining system security, reliability, and real-time situational awareness across the NEM. The proposed VU-ZSS Simulator, equipped with

IEDs configured using PCM600, EnerVista, and IET600, can serve as a model platform for testing interoperability scenarios that mimic actual grid conditions under AEMO's dispatch and contingency management environments [141].

**Figure 7.1** illustrates a conceptual integration framework between the VU-ZSS and AEMO's SCADA and energy management systems. This enables pre-deployment testing of GOOSE-based communications, event logging, and system protection schemes before their implementation in operational substations.



**Figure 7.1** Conceptual Integration of VU-ZSS with AEMO Framework.

### 7.3.2 Regulatory Alignment of IEC 61850 – based with AER Compliance Factors

The Australian Energy Regulator (AER) governs the economic and compliance framework within which transmission and distribution utilities operate. For utilities to adopt new automation architectures, clear demonstration of *cost-effectiveness*, *cybersecurity compliance*, and *reliability benefits* is essential [142].

**Table 7.1** summarizes key regulatory factors defined by AER and their corresponding implications for IEC 61850-based substation projects [143].

Through coordinated engagement with AER, this research can inform future technical standards and incentive mechanisms for digital substation and smart grid modernization efforts across Australia.

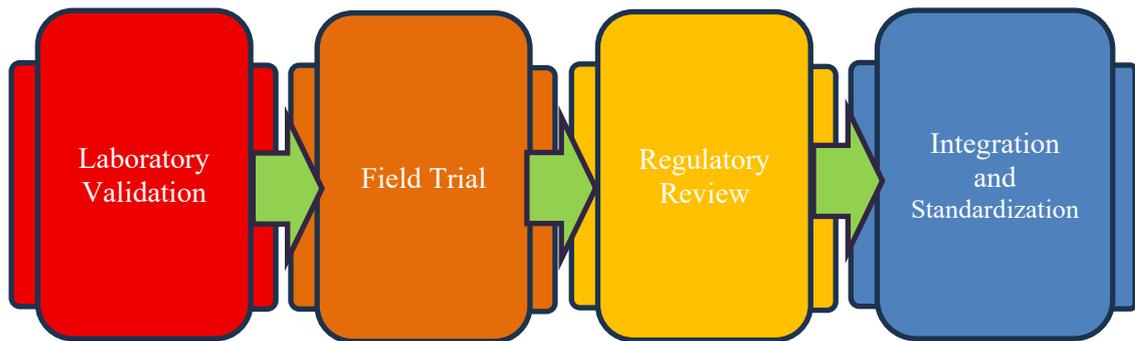
**Table 7.1** Regulatory alignment of IEC 61850 – based system with AER compliance factors.

<b>AER Focus Area</b>	<b>Compliance or Evaluation Metric</b>	<b>Implications for IEC 61850 Deployment</b>
Network Reliability Standards	Service target performance (SAIDI, SAIFI)	Real-time data and automated fault isolation improve reliability indices
Expenditure Justification	Capital vs Operational efficiency	Reduced maintenance cost through standardized configuration and remote diagnostics
Cybersecurity Obligations	Compliance with Australian Energy Sector Cyber Security Framework (AESCSF)	GOOSE message authentication and ensure compliance
Innovation Incentives	Regulatory Investment Test for Transmission (RIT-T)	IEC 61850 – based projects can quality as innovative network solutions

### 7.3.3 Adoption Roadmap for Australian Utilities

A structured adoption roadmap is proposed to transition research outcomes into practical applications. This roadmap, shown in **Figure 7.2**, illustrates four progressive stages of implementation:

1. Laboratory Validation (VU-ZSS Simulation Environment).
2. Field Trial with Partner Utilities.
3. Regulatory review and Compliance Assessment (with AER).
4. Integration and Standardization under AEMO Operational Framework.



**Figure 7.2** Stages of Adoption Roadmark for Australian Utilities.

## 7.4 Final Remarks

This research redefines substation automation as a dynamic, intelligent, and interconnected pillar of modern energy systems. As grids grow smarter and more complex, our engineering methods must evolve - embracing innovation, resilience, and collaboration.

The VU-ZSS simulator stands as a breakthrough: a scalable, standards-driven platform built on real-world tools like PCM600, EnerVista, and IET600. It enables rigorous testing of IEDs and communication architectures, mirroring the operational realities of utility networks.

By aligning with AEMO's priorities and AER's regulatory framework, this work bridges academic insight with industry deployment. The proposed roadmap from laboratory validation to national integration charts a clear path toward digital substation modernization across Australia's energy sector.

More than a technical achievement, this thesis offers a vision: substations as adaptive, interoperable, and globally connected systems. The VU-ZSS is not just a simulator - it's a catalyst for international collaboration, standardization, and future-ready engineering.

As energy systems transform through decarbonization, decentralization, and digitalization, the tools and frameworks developed here will guide the next generation of engineers toward smarter, safer, and more secure infrastructure.

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# APPENDICES

## Appendix A:

```
# Receiver_Australia.ps1
$port = 5555
$listener = [System.Net.Sockets.TcpListener]::new([System.Net.IPAddress]::Any, $port)
$listener.Start()
Write-Host "🟢 Australia IED listening on port $port..."

$client = $listener.AcceptTcpClient()
$stream = $client.GetStream()
$reader = [System.IO.StreamReader]::new($stream)
$csvFile = "IED_Logs_AU.csv"
"Timestamp,Source,Voltage,Current,BreakerStatus" | Out-File -Encoding UTF8 $csvFile

while ($true) {
    if ($stream.DataAvailable) {
        $line = $reader.ReadLine()
        if ($line -eq "END") {
            break
        }
        Write-Host "📄 Received: $line"
        $timestamp = Get-Date -Format "yyyy-MM-dd HH:mm:ss"
        "$timestamp,Japan,$line" | Out-File -FilePath $csvFile -Append -Encoding UTF8
    }
    Start-Sleep -Milliseconds 100
}

Write-Host "🔴 Communication ended by sender."
$reader.Close()
$stream.Close()
$client.Close()
$listener.Stop()
```

*Appendices:*


---

```

# Sender_Japan.ps1
$ip = "127.0.0.1" # Replace with Australia IP for remote simulation
$port = 5555

$client = New-Object System.Net.Sockets.TcpClient
$client.Connect($ip, $port)
$stream = $client.GetStream()
$writer = New-Object System.IO.StreamWriter($stream)
$writer.AutoFlush = $true

Write-Host "🚀 Japan IED started sending data to Australia..."

for ($i = 1; $i -le 20; $i++) {
    $voltage = [math]::Round((220 + (Get-Random -Minimum -5 -Maximum 5)), 2)
    $current = [math]::Round((10 + (Get-Random -Minimum -2 -Maximum 2)), 2)
    $breaker = if ((Get-Random -Minimum 0 -Maximum 2) -eq 0) { "OPEN" } else { "CLOSED" }

    $message = "$voltage V,$current A,$breaker"
    Write-Host "📡 Sending: $message"
    $writer.WriteLine($message)
    Start-Sleep -Seconds 1
}

$writer.WriteLine("END")
Write-Host "✅ All data sent. Closing connection..."
$writer.Close()
$stream.Close()
$client.Close()

```

*Appendices:*

PYTHON program that generates the ATA and FTA:

```

import pandas as pd
import matplotlib.pyplot as plt

# Step 1: Define simulated attack vectors and assign likelihood scores (1 = low, 10 = high)
attack_vectors = {
    "Unauthorized Access": 8,
    "Phishing/Email Exploit": 6,
    "Malicious Firmware Update": 5,
    "GOOSE Message Spoofing": 7,
    "Man-in-the-Middle Attack": 4,
    "Physical Tampering": 3
}

# Step 2: Define potential fault events and assign impact severity (1 = minor, 10 = critical)
fault_events = {
    "Loss of Control Signal": 9,
    "Breaker Miscoordination": 7,
    "GOOSE Delay/Failure": 8,
    "Relay Misoperation": 8,
    "SCADA Unavailable": 6,
    "Unintended Trip": 7
}

# Step 3: Convert to DataFrames
df_attack = pd.DataFrame(list(attack_vectors.items()), columns=["Attack Vector", "Likelihood"])
df_fault = pd.DataFrame(list(fault_events.items()), columns=["Fault Event", "Impact Severity"])

# Step 4: Visualize Attack Tree
df_attack.plot(kind="bar", x="Attack Vector", y="Likelihood", color="salmon", legend=False,
title="Attack Tree Analysis")
plt.ylabel("Likelihood (1-10)")
plt.xticks(rotation=45)
plt.tight_layout()
plt.grid(True)
plt.show()

# Step 5: Visualize Fault Tree
df_fault.plot(kind="bar", x="Fault Event", y="Impact Severity", color="skyblue", legend=False,
title="Fault Tree Analysis")
plt.ylabel("Impact Severity (1-10)")
plt.xticks(rotation=45)
plt.tight_layout()
plt.grid(True)
plt.show()

Likelihood = (Commonality + (10 - Complexity) + (10 - Access)) / 3

Impact Severity = (Scope + Restore + Disruption) / 3
POWERSHELL SENDER

```

*Appendices:*


---

```

# Sender.ps1
Add-Type -AssemblyName System.Security

function Generate-MAC {
    param (
        [string]$message,
        [byte[]]$key
    )
    $hmac = New-Object System.Security.Cryptography.HMACSHA256
    $hmac.Key = $key
    $msgBytes = [System.Text.Encoding]::UTF8.GetBytes($message)
    return $hmac.ComputeHash($msgBytes)
}

# Shared key (must match receiver)
$key = [System.Text.Encoding]::UTF8.GetBytes("mySuperSecretKey123")

# Simulated GOOSE message
$message = "GOOSE|Status=1|Timestamp=$(Get-Date -Format o)"
$macBytes = Generate-MAC -message $message -key $key
$macHex = [BitConverter]::ToString($macBytes) -replace "-", ""

$fullMessage = "$message::MAC::$macHex"

# Send to receiver
$client = New-Object System.Net.Sockets.TcpClient("localhost", 5000)
$stream = $client.GetStream()
$writer = New-Object System.IO.StreamWriter($stream)
$writer.AutoFlush = $true
$writer.WriteLine($fullMessage)
Write-Host "🔒 Sent authenticated GOOSE message:"
Write-Host $message

$writer.Close()
$client.Close()

```

*Appendices:*

## RECEIVER

```

# Receiver.ps1
Add-Type -AssemblyName System.Security

function Generate-MAC {
    param (
        [string]$message,
        [byte[]]$key
    )
    $hmac = New-Object System.Security.Cryptography.HMACSHA256
    $hmac.Key = $key
    $msgBytes = [System.Text.Encoding]::UTF8.GetBytes($message)
    return $hmac.ComputeHash($msgBytes)
}

# Shared key (must match sender)
$key = [System.Text.Encoding]::UTF8.GetBytes("mySuperSecretKey123")

$listener = [System.Net.Sockets.TcpListener]5000
$listener.Start()
Write-Host "GOOSE Receiver listening on port 5000..."

while ($true) {
    $client = $listener.AcceptTcpClient()
    $stream = $client.GetStream()
    $reader = New-Object System.IO.StreamReader($stream)
    $data = $reader.ReadLine()

    $parts = $data -split "::MAC::"
    $message = $parts[0]
    $receivedMac = $parts[1]

    $computedMacBytes = Generate-MAC -message $message -key $key
    $computedMac = [BitConverter]::ToString($computedMacBytes) -replace "-", ""

    if ($receivedMac -eq $computedMac) {
        Write-Host "✅ GOOSE message authenticated:" $message
    } else {
        Write-Host "❌ GOOSE message failed MAC verification!"
    }

    $reader.Close()
    $client.Close()
}

```

Appendices:

---

TAMPERED VALUES

```
# Sender.ps1 Add-Type -AssemblyName System.Security function Generate-MAC
{ param ( [string]$message, [byte[]]$key ) $hmac = New-Object
System.Security.Cryptography.HMACSHA256 $hmac.Key = $key $msgBytes =
[System.Text.Encoding]::UTF8.GetBytes($message) return
$hmac.ComputeHash($msgBytes) } # Correct shared key $key =
[System.Text.Encoding]::UTF8.GetBytes("mySuperSecretKey123") # Simulated
message $message = "GOOSE|Status=1|Timestamp=$(Get-Date -Format o)" #
Generate MAC based on original message $macBytes = Generate-MAC -message
$message -key $key $macHex = [BitConverter]::ToString($macBytes) -replace "-
", "" # ✨ Tamper with message AFTER computing MAC $fakeMessage = $message
-replace "Status=1", "Status=0" # So now MAC won't match the message content
$fullMessage = "$fakeMessage::MAC::$macHex" # Send to receiver $client = New-
Object System.Net.Sockets.TcpClient("192.168.1.16", 5000) $stream =
$client.GetStream() $writer = New-Object System.IO.StreamWriter($stream)
$writer.AutoFlush = $true $writer.WriteLine($fullMessage) Write-Host "Sent
tampered GOOSE message:" Write-Host $fakeMessage $writer.Close()
$client.Close()
```

```
# WRONG KEY SCRIPT # Sender.ps1 Add-Type -AssemblyName System.Security
function Generate-MAC { param ( [string]$message, [byte[]]$key ) $hmac = New-
Object System.Security.Cryptography.HMACSHA256 $hmac.Key = $key
$msgBytes = [System.Text.Encoding]::UTF8.GetBytes($message) return
$hmac.ComputeHash($msgBytes) } # Shared key (must match receiver) $key =
[System.Text.Encoding]::UTF8.GetBytes("wrongSecretKey456") # Simulated
GOOSE message $message = "GOOSE|Status=1|Timestamp=$(Get-Date -Format
o)" $macBytes = Generate-MAC -message $message -key $key $macHex =
[BitConverter]::ToString($macBytes) -replace "-", "" $fullMessage =
"$message::MAC::$macHex" # Send to receiver $client = New-Object
System.Net.Sockets.TcpClient("192.168.1.16", 5000) $stream =
$client.GetStream() $writer = New-Object System.IO.StreamWriter($stream)
$writer.AutoFlush = $true $writer.WriteLine($fullMessage) Write-Host "Sent
authenticated GOOSE message:" Write-Host $message $writer.Close()
$client.Close()
```

*Appendices:*


---

```
# TAMPERED MESSAGE AFTER GENERATING MAC # Sender.ps1 Add-Type -
AssemblyName System.Security function Generate-MAC { param ( [string]$message,
[byte[]]$key ) $hmac = New-Object System.Security.Cryptography.HMACSHA256
$hmac.Key = $key $msgBytes = [System.Text.Encoding]::UTF8.GetBytes($message)
return $hmac.ComputeHash($msgBytes) } # Correct shared key $key =
[System.Text.Encoding]::UTF8.GetBytes("mySuperSecretKey123") # Simulated
message $message = "GOOSE|Status=1|Timestamp=$(Get-Date -Format o)" #
Generate MAC based on original message $macBytes = Generate-MAC -message
$message -key $key $macHex = [BitConverter]::ToString($macBytes) -replace "-", ""
# ✨ Tamper with message AFTER computing MAC $fakeMessage = $message -
replace "Status=1", "Status=0" # So now MAC won't match the message content
$fullMessage = "$fakeMessage::MAC::$macHex" # Send to receiver $client = New-
Object System.Net.Sockets.TcpClient("192.168.1.16", 5000) $stream =
$client.GetStream() $writer = New-Object System.IO.StreamWriter($stream)
$writer.AutoFlush = $true $writer.WriteLine($fullMessage) Write-Host "Sent
tampered GOOSE message:" Write-Host $fakeMessage $writer.Close() $client.Close()
```

Appendices:

## Appendix B:

Appendix B provides a detailed overview of the Intelligent Electronic Device (IED) configurations conducted using GE's EnerVista software suite, outlining the setup procedures, communication parameters, and logical node mapping essential for IEC 61850-based substation automation

### GE T60

Model Information // Quick Connect: Quick Connect Device: Actual Values: Product Info

Order Code	T60-T03-HKH-F8N-H6N-M8M-P6N-LX0C-W00X
Serial Number	ABHC13001619
Ethernet MAC Address	00 A0 F4 05 65 F7
Manufacturing Date	Saturday, September 28, 2013 21:51:35
Operating Time	163:00:06
PMU Feature Active	No
DSP Advanced Diagnostics Active	Yes
Last Setting Change	Thursday, January 01, 1970 00:00:00

Quick Connect Device | Actual Values: Product Info | Screen ID: 131

Firmware Revisions // Quick Connect: Quick Connect Device: Actual ...

Revision	7.11
Modification File Number	0
Boot Program Revision	7.00
Front Panel Program Revision	2.01
Compile Date	Friday, 21 June 2013 11:48:13 AM
Boot Date	Friday, 21 September 2012 9:06:15 AM
FPGA Version	01.05
FPGA Date	Thursday, 13 September 2012 8:58:00 PM

Quick Connect Device | Actual Values: Product Info | Screen ID: 130

### GE L90

Model Information // Quick Connect: Quick Connect Device: Actual Values: Product Info

Order Code	L90-T05-HKH-F8L-H6G-L8L-N67-SX0C-UXX-W77
Serial Number	AAZC13001277
Ethernet MAC Address	00 A0 F4 05 9A D1
Manufacturing Date	Saturday, September 28, 2013 18:49:54
Operating Time	40:53:13
PMU Feature Active	No
DSP Advanced Diagnostics Active	Yes
Last Setting Change	Thursday, January 01, 1970 00:00:00

Quick Connect Device | Actual Values: Product Info | Screen ID: 131

Firmware Revisions // Quick Connect: Quick Connect Device: Actual ...

Revision	7.11
Modification File Number	0
Boot Program Revision	7.00
Front Panel Program Revision	2.01
Compile Date	Friday, 21 June 2013 11:48:13 AM
Boot Date	Friday, 21 September 2012 9:06:15 AM
FPGA Version	01.05
FPGA Date	Thursday, 13 September 2012 8:58:00 PM

Quick Connect Device | Actual Values: Product Info | Screen ID: 130

Appendices:

GE F35

Model Information // Quick Connect: Quick Connect Device: Actual Values: Product Info

Order Code	F35-T03-HKH-F8H-H6N-M67-P67-UXX-WXX
Serial Number	AAIC13001443
Ethernet MAC Address	00 A0 F4 05 9A B6
Manufacturing Date	Sunday, September 29, 2013 10:44:02
Operating Time	508 09:31
PMU Feature Active	No
DSP Advanced Diagnostics Active	Yes
Last Setting Change	Sunday, March 13, 2016 07:13:29

Quick Connect Device | Actual Values: Product Info | Screen ID: 131

Firmware Revisions // Quick Connect: Quick Connect Device: Actual ...

Revision	7.11
Modification File Number	0
Boot Program Revision	7.00
Front Panel Program Revision	2.01
Compile Date	Friday, 21 June 2013 11:48:13 AM
Boot Date	Friday, 21 September 2012 9:06:15 AM
FPGA Version	01.05
FPGA Date	Thursday, 13 September 2012 8:58:00 PM

Quick Connect Device | Actual Values: Product Info | Screen ID: 130

GE C30

Model Information // Quick Connect: Quick Connect Device: Actual Values: Product Info

Order Code	C30-T03-HLH-F8H-H6G-MXX-PXX-UXX-WXX
Serial Number	AA7C13000430
Ethernet MAC Address	00 A0 F4 05 9A CB
Manufacturing Date	Saturday, September 28, 2013 18:31:43
Operating Time	82:44:44
PMU Feature Active	No
DSP Advanced Diagnostics Active	No
Last Setting Change	Tuesday, February 16, 2016 21:25:00

Quick Connect Device | Actual Values: Product Info | Screen ID: 131

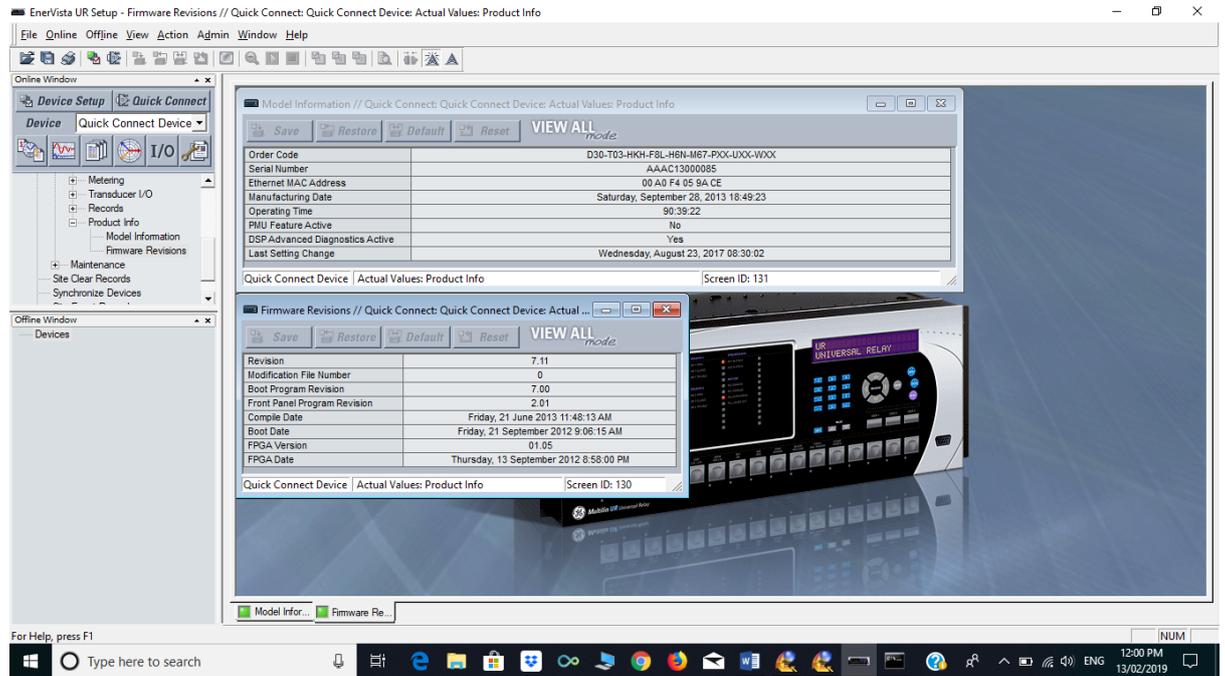
Firmware Revisions // Quick Connect: Quick Connect Device: Actual ...

Revision	7.11
Modification File Number	0
Boot Program Revision	7.00
Front Panel Program Revision	2.01
Compile Date	Friday, 21 June 2013 11:48:13 AM
Boot Date	Friday, 21 September 2012 9:06:15 AM
FPGA Version	01.05
FPGA Date	Thursday, 13 September 2012 8:58:00 PM

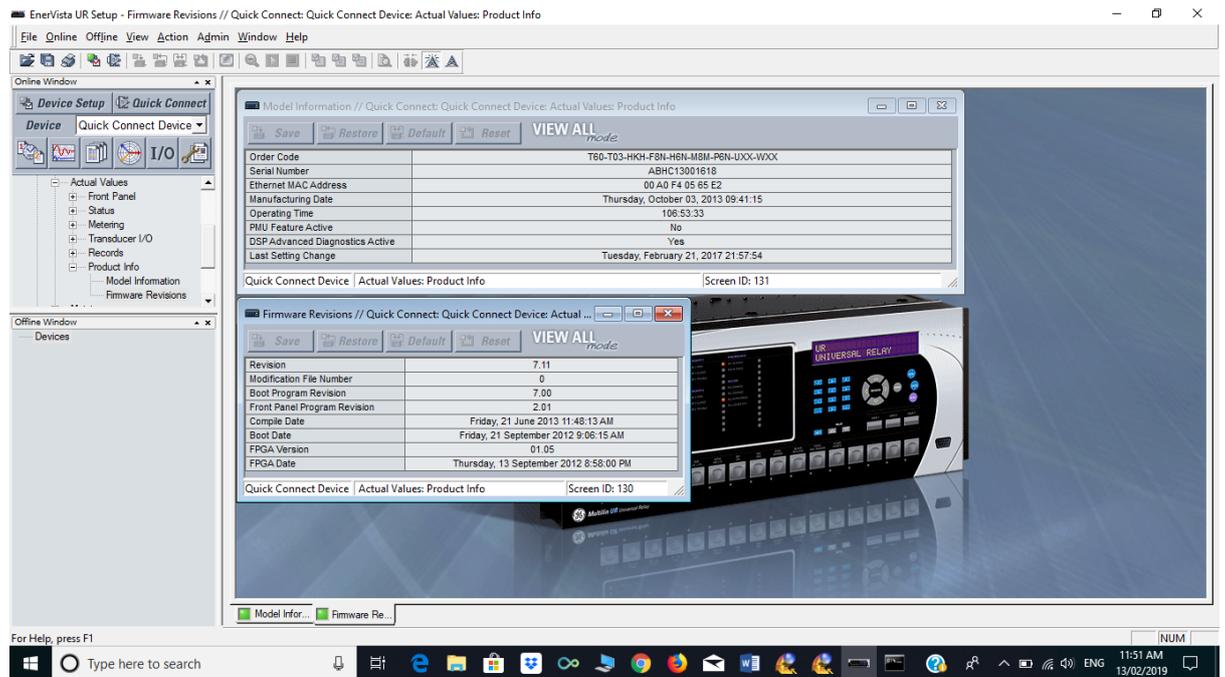
Quick Connect Device | Actual Values: Product Info | Screen ID: 130

Appendices:

GE D30

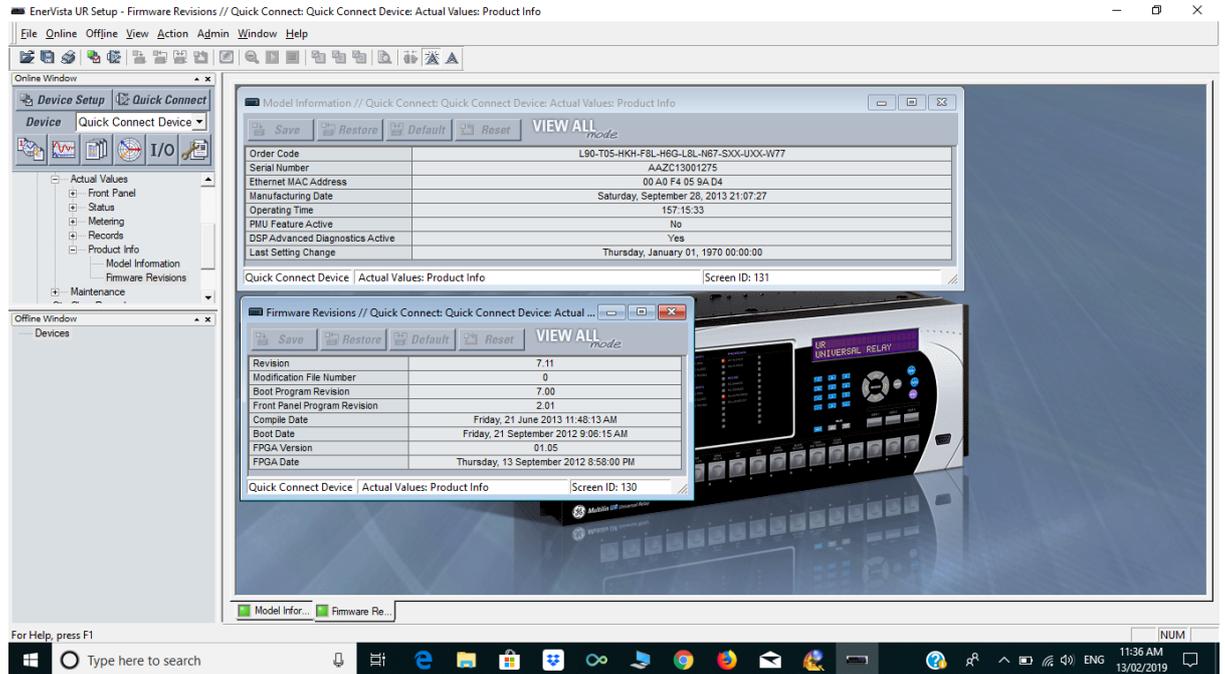


GE T60



Appendices:

GE L90



GE F650

